

# Baire property and the Ellentuck-Prikry topology

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## Inspiration (Woodin)

I0 is a large cardinal similar to AD.

## Motivation

- Proving theorems that reinforce such statement
- Understanding the deep reasons behind such similarity

## Definition (Woodin, 1980)

We say that  $I_0(\lambda)$  holds iff there is an elementary embedding  $j : L(V_{\lambda+1}) \prec L(V_{\lambda+1})$  such that  $j \upharpoonright V_{\lambda+1}$  is not the identity.

It is a large cardinal: if  $I_0(\lambda)$  holds, then  $\lambda$  is a strong limit cardinal of cofinality  $\omega$ , limit of cardinals that are  $n$ -huge for every  $n \in \omega$ .

These are some similarities with AD:

$L(\mathbb{R})$ under AD	$L(V_{\lambda+1})$ under I0( $\lambda$ )
DC	$DC_\lambda$
$\Theta$ is regular	$\Theta^{L(V_{\lambda+1})}$ is regular
$\omega_1$ is measurable	$\lambda^+$ is measurable
the Coding Lemma holds	the Coding Lemma holds

### Theorem (Laver)

Let  $\langle \kappa_n : n \in \omega \rangle$  be a cofinal sequence in  $\lambda$ . For every  $A \subseteq V_\lambda$ :

- $A$  is  $\Sigma_1^1$ -definable in  $(V_\lambda, V_{\lambda+1})$  iff there is a tree  $T \subseteq \prod_{n \in \omega} V_{\kappa_n} \times \prod_{n \in \omega} V_{\kappa_n}$  whose projection is  $A$ ;
- $A$  is  $\Sigma_2^1$ -definable in  $(V_\lambda, V_{\lambda+1})$  iff there is a tree  $T \subseteq \prod_{n \in \omega} V_{\kappa_n} \times \lambda^+$  whose projection is  $A$ .

Let us go a bit deeper.

We define a topology on  $V_{\lambda+1}$ : Since  $V_{\lambda+1} = \mathcal{P}(V_\lambda)$ , the basic open sets of the topology are, for any  $\alpha < \lambda$  and  $a \subseteq V_\alpha$ ,

$$O_{(a,\alpha)} = \{b \in V_{\lambda+1} : b \cap V_\alpha = a\}.$$

### Theorem (Cramer, 2015)

Suppose  $I0(\lambda)$ . Then for every  $X \subseteq V_{\lambda+1}$ ,  $X \in L(V_{\lambda+1})$ , either  $|X| \leq \lambda$  or  ${}^\omega\lambda$  can be continuously embedded inside  $X$  ( ${}^\omega\lambda$  with the bounded topology).

This is similar to AD: in fact, under AD every subset of the reals has the Perfect Set Property.

But the proof is completely different: Cramer uses heavily elementary embeddings (inverse limit reflection), while in the classical case involves games.

In recent work, with Motto Ros we clarified the similarity.

The classical case is:

- Large cardinals  $\Rightarrow$  every set of reals in  $L(\mathbb{R})$  is homogeneously Suslin
- Every homogeneously Suslin set is determined (so  $L(\mathbb{R}) \models \text{AD}$ )
- Every determined set has the Perfect Set Property

But there is a shortcut for regularity properties:

- Infinite Woodin cardinals  $\Rightarrow$  every set of reals in  $L(\mathbb{R})$  is weakly homogeneously Suslin
- Every weakly homogeneously Suslin set has the Perfect Set Property

## D.-Motto Ros

Let  $\lambda$  be a strong limit cardinal of cofinality  $\omega$ , and let  $\langle \kappa_n : n \in \omega \rangle$  be a increasing cofinal sequence in  $\lambda$ . Then the following spaces are isomorphic:

- ${}^\lambda 2$ , with the bounded topology;
- ${}^\omega \lambda$ , with the bounded topology, and the discrete topology in every copy of  $\lambda$ ;
- $\prod_{n \in \omega} \kappa_n$ , with the bounded topology and the discrete topology in every  $\kappa_n$ ;
- if  $|V_\lambda| = \lambda$ ,  $V_{\lambda+1}$ , with the previously defined topology.

Moreover, they are  $\lambda$ -Polish, i.e., completely metrizable and with a dense subset of cardinality  $\lambda$ .

So, for example, we can rewrite Cramer's result as:

### Theorem (Cramer, 2015)

Suppose I0( $\lambda$ ). Then  $L(\lambda^2) \models \forall X X \subseteq \lambda^2$  has the  $\lambda$ -PSP.

For any  $\lambda$  strong limit of cofinality  $\omega$ , we defined *representable* subsets of  ${}^\omega\lambda$ , a generalization of weakly homogeneously Suslin sets.

### D.-Motto Ros

Let  $\lambda$  strong limit of cofinality  $\omega$ . Then every representable subset of  ${}^\omega\lambda$  has the  $\lambda$ -PSP.

Cramer's analysis of I0 finalizes the similarity with AD:

### Theorem (Cramer, to appear)

Suppose I0( $\lambda$ ). Then every  $X \subseteq V_{\lambda+1}$ ,  $X \in L(V_{\lambda+1})$  is representable.

- Infinite Woodin cardinals  $\Rightarrow$  every set of reals in  $L(\mathbb{R})$  is weakly homogeneously Suslin
- Every weakly homogeneously Suslin set has the Perfect Set Property

- I0  $\Rightarrow$  every subset of  $V_{\lambda+1}$  in  $L(V_{\lambda+1})$  is representable
- Every representable set has the  $\lambda$ -Perfect Set Property

This approach is more scalable, as it works even in  $\lambda$ -Polish spaces such that  $\lambda$  does not satisfy I0:

#### D.-Motto Ros

Suppose  $I0(\lambda)$ . Then it is consistent that there is  $\kappa$  strong limit of cofinality  $\omega$  such that all the subsets of  ${}^\omega \kappa$  in  $L(V_{\kappa+1})$  have the  $\kappa$ -PSP, and  $\neg I0(\kappa)$ .

The next step would be to analyze the Baire Property.

The most natural thing is to define nowhere dense sets as usual,  $\lambda$ -meager sets as  $\lambda$ -union of nowhere dense sets and  $\lambda$ -comeager sets as complement of  $\lambda$ -meager sets.

The most natural thing is to define nowhere dense sets as usual,  $\lambda$ -meagre sets as  $\lambda$ -union of nowhere dense sets and  $\lambda$ -comeagre sets as complement of  $\lambda$ -meagre sets.

Let  $f : \omega \rightarrow \omega$ .

Then  $D_f = \prod_{n \in \omega} \kappa_{f(n)}$  is nowhere dense in  ${}^\omega \lambda$ .

But  ${}^\omega \lambda = \bigcup_{f \in {}^\omega \omega} D_f$ , therefore the whole space is  $\lambda$ -meagre (in fact, it is  $\mathfrak{c}$ -meagre), and the Baire property in this setting is just nonsense.

Or is it?

From now on, we work with  $\lambda$  strong limit of cofinality  $\omega$ ,  $\langle \kappa_n : n \in \omega \rangle$  a strictly increasing cofinal sequence of *measurable* cardinals in  $\lambda$ .

The space we work in is  $\prod_{n \in \omega} \kappa_n$ .

### Idea

- Baire category is closely connected to Cohen forcing
- The space  ${}^\kappa 2$ , with  $\kappa$  regular, is  $\kappa$ -Baire (i.e., every nonempty open set is not  $\kappa$ -meagre) because Cohen forcing on  $\kappa$  is  $< \kappa$ -distributive
- “Cohen” forcing on  $\lambda$  singular is not  $< \lambda$ -distributive, and this is why  ${}^\lambda 2$  is not  $\lambda$ -Baire
- But there are other forcings on  $\lambda$  that are  $< \lambda$ -distributive, like Prikry forcing
- We can try to define Baire category via Prikry forcing instead of Cohen forcing.

## Definition

Let  $\lambda$  be strong limit of cofinality  $\omega$ ,  $\langle \kappa_n : n \in \omega \rangle$  a strictly increasing cofinal sequence of *measurable* cardinals in  $\lambda$ , and fix  $\mu_n$  a measure for each  $\kappa_n$ . The *Prikry forcing*  $\mathbb{P}_{\vec{\mu}}$  on  $\lambda$  respect to  $\vec{\mu}$  has conditions of the form  $\langle \alpha_1, \dots, \alpha_n, A_{n+1}, A_{n+2} \dots \rangle$ , where  $\alpha_i \in \kappa_i$  and  $A_i \in \mu_i$ .

$\langle \alpha_1, \dots, \alpha_n \rangle$  is the *stem* of the condition.

$\langle \beta_1, \dots, \beta_m, B_{m+1}, B_{m+2} \dots \rangle \leq \langle \alpha_1, \dots, \alpha_n, A_{n+1}, A_{n+2} \dots \rangle$  iff  $m \geq n$  and

- for  $i \leq n$   $\beta_i = \alpha_i$
- for  $n < i \leq m$   $\beta_i \in A_i$
- for  $i > m$   $B_i \subseteq A_i$ .

$p \leq^* q$  if  $p \leq q$  and they have the same stem.

## Definition

The *Ellentuck-Prikry  $\vec{\mu}$ -topology* (in short EP-topology) on  $\prod_{n \in \omega} \kappa_n$  is the topology generated by the family  $\{O_p : p \in \mathbb{P}_{\vec{\mu}}\}$ , where if  $p = \langle \alpha_1, \dots, \alpha_n, A_{n+1}, A_{n+2} \dots \rangle$ , then

$$O_p = \{x \in \prod_{n \in \omega} \kappa_n : \forall i \leq n \ x(i) = \alpha_i, \forall i > n \ x(i) \in A_i\}.$$

The EP-topology is a refinement of the bounded topology: if a set is open in the bounded topology, it is open also in the EP-topology, but not viceversa (in fact, many open sets in the EP-topology are nowhere dense in the bounded topology).

There is a connection between the concepts of “open” and “dense” relative to the forcing and relative to the topology:

$$\frac{\mathbb{P}_{\vec{\mu}} \text{ (forcing)}}{O \text{ open}} \rightarrow \frac{\prod_{n \in \omega} \kappa_n \text{ (EP-topology)}}{{}^1O = \{x \in \prod_{n \in \omega} \kappa_n : \exists p \in \mathbb{P}_{\vec{\mu}} x \in O_p\} \text{ open}}$$

$$\{p \in \mathbb{P}_{\vec{\mu}} : {}_1U = U \text{ open}\} \leftarrow U \text{ open}$$

$$O \text{ open dense} \rightarrow {}^1O \text{ open dense}$$

$${}_1U \text{ open dense} \leftarrow U \text{ open dense}$$

${}^1({}_1U) = U$ , but not viceversa.

## Definition

Let  $X$  be a topological space.

- a set  $A \subseteq X$  is  $\lambda$ -meagre iff it is the  $\lambda$ -union of nowhere dense sets
- a set  $A \subseteq X$  is  $\lambda$ -comeagre iff it is the complement of a  $\lambda$ -meagre set
- a set  $A \subseteq X$  has the  $\lambda$ -Baire property iff there is an open set  $U$  such that  $A \Delta U$  is  $\lambda$ -meagre
- $X$  is a  $\lambda$ -Baire space iff every nonempty open set in  $X$  is not  $\lambda$ -meagre, i.e., the intersection of  $\lambda$ -many open dense sets is dense.

The key to prove that the space  $\prod_{n \in \omega} \kappa_n$  is  $\lambda$ -Baire resides in this combinatorial property of Prikry forcing:

### Strong Prikry condition

Let  $D \subseteq \mathbb{P}_{\vec{\mu}}$  be open dense. Then for every  $p \in \mathbb{P}_{\vec{\mu}}$  there are  $p' \leq^* p$  and  $n \in \omega$  such that for every  $q \leq p'$  with stem of length at least  $n$ ,  $q \in D$ .

Topologically: Let  $D \subseteq \prod_{n \in \omega} \kappa_n$  be open dense. Then for every  $p \in \mathbb{P}_{\vec{\mu}}$  there is a  $p' \leq^* p$  such that  $O_{p'} \subseteq D$ .

Coupled with the fact that if  $p \in \mathbb{P}_{\vec{\mu}}$  has stem of length  $n$ , then the intersection of  $< \kappa_n$ -many  $\leq^*$ -extensions of  $p$  is still in  $\mathbb{P}_{\vec{\mu}}$ , we have:

### Proposition (D.-Shi)

The space  $\prod_{n \in \omega} \kappa_n$  with the EP-topology is  $\lambda$ -Baire.

### (Generalized) Mycielski Theorem (D.-Shi)

In  $\prod_{n \in \omega} \kappa_n$  with the EP-topology every  $\lambda$ -comeagre set contains a  $\lambda$ -perfect set.

### Conjecture

All the results in classical descriptive set theory that depend only on Baire category can be generalized to this setting.

Test case:

### Kuratowski-Ulam Theorem

Let  $X, Y$  be second-countable spaces, and  $A \subseteq X \times Y$  with the Baire property. Then  $A$  is meagre iff  $\{x \in X : \{y \in Y : (x, y) \in A\} \text{ is meagre in } Y\}$  is  $\lambda$ -comeagre in  $X$ .

The key lemma to prove the Kuratowski-Ulam Theorem is the following:

### Lemma

Let  $X, Y$  be second-countable spaces. Then if  $A \subseteq X \times Y$  is open dense,  $\{x \in X : \{y \in Y : (x, y) \in A\} \text{ is open dense in } Y\}$  is comeagre in  $X$ .

### Sketch of proof.

For any  $x \in X$ , let  $A_x = \{y \in Y : (x, y) \in A\}$ , and let  $\langle V_n : n \in \omega \rangle$  be a countable base. Then  $A_x$  is open, and  $A_x$  is dense iff  $\forall n \in \omega \ A_x \cap V_n \neq \emptyset$ . But then  $\{x \in X : A_x \text{ is open dense}\} = \bigcap_{n \in \omega} \{x \in X : A_x \cap V_n \neq \emptyset\}$ , a countable intersection of open dense sets, so comeagre.  $\square$

We can see why this proof cannot be generalized:

$\prod_{n \in \omega} \kappa_n$ , with the EP-topology, has a base of cardinality  $2^\lambda$ , so the set we want to be  $\lambda$ -comeagre is actually the intersection of  $2^\lambda$ -many open dense sets, not  $\lambda$ -many.

The key is still the Strong Prikry condition, in this more general definition:

### Strong Prikry condition

Let  $A \subseteq \mathbb{P}_{\vec{\mu}}$  be an *open* set. Then for any  $p \in \mathbb{P}_{\vec{\mu}}$ , there is a  $p^A \leq^* p$  such that if there is a  $q \leq p^A$  with  $q \in A$  with stem of length  $n$ , then for every  $q \leq p^A$  with stem at least  $n$ ,  $q \in A$ .

Topologically: Let  $U \subseteq \prod_{n \in \omega} \kappa_n$  be an open set. Then for any  $p \in \mathbb{P}_{\vec{\mu}}$ , there is a  $p^A \leq^* p$  such that either  $O_{p^A} \subseteq A$ , or  $O_{p^A} \cap A = \emptyset$ .

For any  $s \in \bigcup_{m \in \omega} \prod_{n \leq m} \kappa_n$ , let  $1_s = s \hat{\ } \langle \kappa_{m+1}, \kappa_{m+2}, \dots \rangle$ .

Let  $A \subseteq \prod_{n \in \omega} \kappa_n$  be open.

For any  $s \in \bigcup_{m \in \omega} \prod_{n \leq m} \kappa_n$ , fix  $1_s^A$  as in the Strong Prikry condition.

Then  $A$  is dense iff for all  $s \in \bigcup_{m \in \omega} \prod_{n \leq m} \kappa_n$  there is a  $q \leq 1_s^A$  such that  $q \in A$ .

So to test that  $A$  open is dense, we do not need to test it for all the basic open sets, just for a subfamily of them of size  $\lambda$ !

### (Generalized) Kuratowski-Ulam Theorem (D.)

Let  $A \subseteq \prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n$  be with the  $\lambda$ -Baire property. Then  $A$  is  $\lambda$ -meagre iff  $\{x \in X : A_x \text{ is } \lambda\text{-meagre}\}$  is  $\lambda$ -comeagre.

## ERRATA CORRIGE

The last theorem is vacuously true. In fact:

### Proposition

The  $\prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n$ , with the product topology of the EP-topology, is not  $\mathfrak{c}$ -Baire.

### Proof.

For any  $c \in {}^\omega 2$ , consider  $D_c = \{(x, y) \in \prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n : \exists n \in \omega (c(n) = 0 \wedge x(n) = y(n)) \vee (c(n) = 1 \wedge x(n) \neq y(n))\}$ .  
Then  $D_c$  is open dense and  $\bigcap_{c \in {}^\omega 2} D_c = \emptyset$ . □

The “right” product is the following:

$$\prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n = \{(x, y) \in \prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n : \exists n \in \omega \forall m > n \ x(m) < y(m)\}$$

Then  $\prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n$ , with the topology that is the restricted product of the EP-topologies, is  $\lambda$ -Baire and

(Generalized) Kuratowski-Ulam Theorem (D.)

Let  $A \subseteq \prod_{n \in \omega} \kappa_n \times \prod_{n \in \omega} \kappa_n$  be with the  $\lambda$ -Baire property. Then  $A$  is  $\lambda$ -meagre iff  $\{x \in X : A_x \text{ is } \lambda\text{-meagre}\}$  is  $\lambda$ -comeagre.

### Question

Are measurable cardinals necessary?

### Question

What other forcings there are on  $\lambda$  that can generate interesting concepts?

### Question

What about  $\lambda$ -universally Baire sets?

Thanks for watching