

Game semantics for untyped $\lambda\beta\eta$ -calculus

Pietro Di Gianantonio, Gianluca Franco, and Furio Honsell

Dipartimento di Matematica e Informatica
Università di Udine, Italy.
email:{digianantonio,gfranco,honsell}@dimi.uniud.it

Abstract. We study extensional models of the untyped lambda calculus in the setting of the game semantics introduced by Abramsky, Hyland et alii. In particular we show that, somewhat unexpectedly and contrary to what happens in ordinary categories of domains, all reflexive objects in a standard category of games, induce the same λ -theory. This is \mathcal{H}^* , the maximal theory induced already by the classical C.P.O. model D_∞ , introduced by Scott in 1969. This results indicates that the current notion of game carries a very specific bias towards *head reduction*.

Introduction

λ -theories are congruences over λ -terms, which extend pure β -conversion. Their interest lies in the fact that they correspond to the possible *operational (observational)* semantics of λ -calculus. Although researchers have mainly focused on only three such operational semantics, namely those given by head reduction, lazy or call-by-value, the class of λ -theories is, in effect, unfathomably rich, see e.g. [6, 14, 13, 9] for interesting examples of this complexity. Brute force, purely syntactical techniques are usually extremely difficult to use in the study of λ -theories. Therefore, since the seminal work of Dana Scott on D_∞ in 1969 [18], semantical tools have been extensively investigated.

A large number of mathematical models for λ -calculus, arising from syntax-free constructions, have been introduced since then in various categories of domains (see e.g. [19, 7, 10, 6, 12, 14, 5, 9]). And a rich host of *different* λ -theories now have a “fully abstract” syntax-free model. *I.e.* a model which induces precisely those identities which hold in the given theory. However the denotational semantics supported by these models do not match all the possible operational semantics of λ -calculus.

For example, in most existing categories of domains, λ -models have too many functions, and hence many interesting λ -theories, such as those arising from observing termination under some natural sequential reduction strategy (see e.g. [13]), do not have fully abstract denotational models [14, 5, 8]. An example of such a strategy is the one which tries non-deterministically to reduce a term to a closed term. In the case of C.P.O.’s, the sequentiality embedded in these strategies clashes with the necessary existence of Scott continuous “parallel” functions. On the other hand, in the case of coherent spaces, and stable functions,

the presence of so called “parasitic” functions, prevents other kinds of identities deriving from monotonicity.

In this paper we explore the methodology for giving denotational semantics based on games, recently introduced by Abramsky, Jagadeesan, Malacaria, and Hyland, Ong (see [3, 16]). This methodology has been extremely successful [3, 17] in modeling sequential languages. It should be reasonable to expect, therefore, that one could obtain fully abstract game models, at least for those λ -theories mentioned above, which escape domain models. Of course, the very fact that game semantics faithfully captures sequentiality, should suggest also that even game semantics is not rich enough to provide fully abstract models for *all* λ -theories. It is possible to show, in fact, that there are λ -theories where, say, the behavior of an unsolvable term, *i.e.* a term with no head normal form, is that of a “parallel function”, which signals if at least one of its arguments evaluates to a fixed term.

Somewhat surprisingly, however, it turns out that *all* reflexive objects, *i.e.* extensional λ -models, in the standard category of games of [3], have the *same* theory. This is the *well known* maximal λ -theory \mathcal{H}^* [6], already induced by Scott’s D_∞ . We recall that, if M, N are closed λ -terms (*i.e.* $M, N \in \Lambda^0$), and HNF denotes the set of λ -terms which have a *head normal form*, then $M =_{\mathcal{H}^*} N$ if and only if

$$\forall C[] . C[M], C[N] \in \Lambda^0 \implies (C[M] \in HNF \iff C[N] \in HNF)$$

Alternatively, this is the theory where two terms are equal if we cannot observe that head reduction terminates when one is placed in a given context, but does not terminate when the other is.

More specifically, in this paper we show that all reflexive objects in the Cartesian closed category of games $K_1(\mathcal{G})$ [3] are isomorphic to models which can be constructed as special *non-initial* colimits in a category \mathcal{G}^e of games and “embeddings”, which mimics the traditional Scott’s construction in C.P.O.’s and embedding-projection pairs. By extending the methodology of approximants originally introduced in [20, 15, 14] for the continuous case, to the setting of the game semantics, we study the fine structure of these models.

The paper [11] is a companion to the present one. Finitary logical descriptions of game models, in the spirit of [10, 1], are introduced. The case of one of the models introduced in this paper is discussed in detail.

One can elaborate in various ways on the main result of this paper. In any case, we think that it provides a very clear indication that existing game semantics is more rigid than C.P.O. semantics, which can model a very rich collection of λ -theories. Since the current notion of game appears to carry a very strong bias towards *head reduction*, a radically new notion of game seems to be necessary to model λ -theories different from \mathcal{H}^* . This appears to be rather problematic, since we feel that “head reduction” is inherent in games for which we can observe only *interactions with the environment*.

The present paper is organized as follows. In section 1, we introduce the categories of games that we shall utilize, namely \mathcal{G} and $K_1(\mathcal{G})$. In Section 2 we

discuss initial and non-initial solutions of recursive game equations. In Section 3 we introduce the special class of extensional λ -models \mathcal{D}^* , and we prove that all reflexive objects in $K_1(\mathcal{G})$ belong to \mathcal{D}^* . In Section 4 we study the fine structure of the models in \mathcal{D}^* and prove that such models induce the theory \mathcal{H}^* . In Section 5 we give some concrete examples of extensional game λ -models, including the model arising from applying Scott’s trick [19] to the game setting. Final remarks and directions for future work appear in section 6.

We assume the reader familiar with the basic notions and definitions of λ -calculus, see e.g. [6]. For the benefit of a reader from the λ -calculus community, this paper is self-contained as far as the theory of games, however the reader can refer to [2–4, 16] for more details on this topic.

The authors are grateful to Fabio Alessi, Samson Abramsky, and Marina Lenisa for useful discussions.

1 Categories of games

In this section, we introduce two categories of games. The first is the one introduced by Abramsky, Jagadeesan and Malacaria in 1993, [3]. The second category is a co-Kleisli category over the first. Notice however that for our purposes the machinery of “questions and answers” *i.e.* the bracketing condition, seems unnecessary, and one can safely, and more simply, focus only on the full and faithful subcategory of this category consisting of all those games all whose moves are labeled as questions.

We begin by giving the basic definitions.

Definition 1 (Games). *A game has two participants: the Player and the Opponent. A game A is a quadruple $(M_A, \lambda_A, P_A, \approx_A)$ where*

- M_A is the set of moves of the game.
- $\lambda_A : M_A \rightarrow \{O, P\} \times \{Q, A\}$ is the labeling function: it tells us if a move is taken by the Opponent or by the Player, and if it is a Question or an Answer. We can decompose λ_A into $\lambda_A^{OP} : M_A \rightarrow \{O, P\}$ and $\lambda_A^{QA} : M_A \rightarrow \{Q, A\}$ and put $\lambda_A = \langle \lambda_A^{OP}, \lambda_A^{QA} \rangle$. We denote by $\bar{}$ the function which exchanges Player and Opponent, *i.e.* $\overline{O} = P$ and $\overline{P} = O$. We also denote with $\overline{\lambda_A^{OP}}$ the function defined by $\overline{\lambda_A^{OP}}(a) = \lambda_A^{OP}(\overline{a})$. Finally, we denote with $\overline{\lambda_A}$ the function $\langle \overline{\lambda_A^{OP}}, \lambda_A^{QA} \rangle$.
- P_A is a non-empty and prefix-closed subset of the set M_A^\circledast (written as $P_A \sqsubseteq^{nepref} M_A^\circledast$), where M_A^\circledast is the set of all sequences of moves which satisfy the following conditions:
 - $s = at \Rightarrow \lambda_A(a) = OQ$
 - $(\forall i : 1 \leq i \leq |s|)[\lambda_A^{OP}(s_{i+1}) = \overline{\lambda_A^{OP}(s_i)}]$
 - $(\forall t \sqsubseteq s)[|t \upharpoonright M_A^A| \leq |t \upharpoonright M_A^Q|]$

where M_A^A and M_A^Q denote the subsets of game moves labelled respectively as Answers and as Questions, $s \upharpoonright M$ denotes the set of moves of M which appear in s and \sqsubseteq is the substring relation. P_A denotes the set of positions of the game A .

- \approx_A is an equivalence relation on P_A which satisfies the following properties:
 - $s \approx_A s' \Rightarrow |s| = |s'|$
 - $sa \approx_A s'a' \Rightarrow s \approx_A s'$
 - $s \approx_A s' \ \& \ sa \in P_A \Rightarrow (\exists a')[sa \approx_A s'a']$

In the above s, s', t and t' range over sequences of moves, while a, a', b and b' range over moves. The empty sequence is written ϵ .

Definition 2 (Strategies).

A strategy for the Player in a game A is a non-empty set $\sigma \subseteq P_A^{\text{even}}$ of positions of even length such that $\bar{\sigma} = \sigma \cup \text{dom}(\sigma)$ is prefix-closed, where $\text{dom}(\sigma) = \{t \in P_A^{\text{odd}} \mid (\exists a)[ta \in \sigma]\}$, and P_A^{odd} and P_A^{even} denote the sets of positions of odd and even length respectively.

A strategy can be seen as a set of rules which tells the Player which move to take after the last move by the Opponent.

In this paper we shall consider only *history-free* strategies, i.e. strategies which depend only on the *last* move by the Opponent.

Definition 3 (History-free strategies).

A strategy σ for a game A is history-free if it satisfies the following properties:

1. $sab, tac \in \sigma \Rightarrow b = c$
2. $sab, t \in \sigma, ta \in P_A \Rightarrow tab \in \sigma$

The equivalence relation on positions \approx_A can be extended to strategies in the following way.

Definition 4. Let σ, τ be strategies, $\sigma \approx \tau$ if and only if

1. $sab \in \sigma, s'a'b' \in \tau, sa \approx_A s'a' \Rightarrow sab \approx_A s'a'b'$
2. $s \in \sigma, s' \in \tau, sa \approx_A s'a' \Rightarrow (\exists b)[sab \in \sigma] \text{ iff } (\exists b')[s'a'b' \in \tau]$

Such an extension is not in general an equivalence relation since it might lack reflexivity. If σ is a strategy for a game A such that $\sigma \approx \sigma$, we write $\sigma : A$.

Definition 5 (Tensor product).

Given games A and B the tensor product $A \otimes B$ is the game defined as follows:

- $M_{A \otimes B} = M_A + M_B$
- $\lambda_{A \otimes B} = [\lambda_A, \lambda_B]$
- $P_{A \otimes B} \subseteq M_{A \otimes B}^{\otimes}$ is the set of positions, s , which satisfy the following conditions:
 1. the projections on each component (written as $s \upharpoonright A$ or $s \upharpoonright B$) are positions for the games A and B respectively;
 2. every answer in s must be in the same component game as the corresponding question.
- $s \approx_{A \otimes B} s' \iff s \upharpoonright A \approx_A s \upharpoonright A', s \upharpoonright B \approx_B s \upharpoonright B', (\forall i)[s_i \in M_A \iff s'_i \in M_A]$

Here $+$ denotes disjoint union of sets, that is $A + B = \{in_l(a) \mid a \in A\} \cup \{in_r(b) \mid b \in B\}$, and $[-, -]$ is the usual (unique) decomposition of a function defined on disjoint unions.

It is easy to see that in such a game only the Opponent can switch component.

Definition 6 (Unit). *The unit element for the tensor product is given by the empty game $I = (\emptyset, \emptyset, \{\epsilon\}, \{(\epsilon, \epsilon)\})$.*

Definition 7 (Linear implication). *Given games A and B the the compound game $A \multimap B$ is defined as follows:*

- $M_{A \multimap B} = M_A + M_B$
- $\lambda_{A \multimap B} = [\overline{\lambda_A}, \lambda_B]$
- $P_{A \otimes B} \subseteq M_{A \otimes B}^{\otimes}$ is the set of positions, s , which satisfy the following conditions:
 1. the projections on each component (written as $s \upharpoonright A$ or $s \upharpoonright B$) are positions for the games A and B respectively;
 2. every answer in s must be in the same component game as the corresponding question.
- $s \approx_{A \multimap B} s' \iff s \upharpoonright A \approx_A s \upharpoonright A', s \upharpoonright B \approx_B s \upharpoonright B', (\forall i)[s_i \in M_A \iff s'_i \in M_A]$

It is easy to see that in such a game only the Player can switch component.

Definition 8 (Exponential). *Given a game A the game $!A$ is defined by:*

- $M_{!A} = \omega \times M_A = \sum_{i \in \omega} M_A$
- $\lambda_{!A}(\langle i, a \rangle) = \lambda_A(a)$
- $P_{!A} \subseteq M_{!A}^{\otimes}$ is the set of positions, s , which satisfy the following conditions:
 1. $(\forall i \in \omega)[s \upharpoonright A_i \in P_{A_i}]$;
 2. every answer in s is in the same index as the corresponding question.
- $s \approx_{!A} s' \iff \exists$ a permutation of indexes $\alpha \in S(\omega)$ such that:
 - $\pi_1^*(s) = \alpha^*(\pi_1^*(s'))$
 - $(\forall i \in \omega)[\pi_2^*(s \upharpoonright \alpha(i)) \approx \pi_2^*(s \upharpoonright i)]$
 where π_1 and π_2 are the projections of $\omega \times M_A$ and $s \upharpoonright i$ is an abbreviation of $s \upharpoonright A_i$.

One can easily see that the following definition is well posed and that the objects introduced in Definitions 5, 6 provide indeed a categorical tensor product and its unit.

Definition 9 (The category of games \mathcal{G}).

Throughout this paper, without loss of generality, we shall restrict ourselves to “irredundant” games, i.e. to games such that every move appears in at least one position. Any redundant game is in fact categorically isomorphic to an irredundant one.

The category \mathcal{G} has as objects games and as morphisms, between games A and B , the equivalence classes, for the relation $\approx_{A \multimap B}$, of history-free strategies $\sigma : A \multimap B$. We denote the equivalence class of σ by $[\sigma]$.

The identity for each game A is given by the (equivalence class) of the copy-cat strategy $id_A = \{s \in P_{A' \multimap A''} \mid s \upharpoonright A' = s \upharpoonright A''\}$ where the superscripts are introduced to distinguish between the two different occurrences of the game A .

Composition is given by the extension on equivalence classes of the following composition of strategies. Given strategies $\sigma : A \multimap B$ and $\tau : B \multimap C$, $\tau \circ \sigma : A \multimap C$ is defined by

$$\tau \circ \sigma = \{s \upharpoonright (A, C) \mid s \in (M_A + M_B + M_C)^* \ \& \ s \upharpoonright (A, B) \in \bar{\sigma}, s \upharpoonright (B, C) \in \bar{\tau}\}^{even}$$

One can easily see that the constructions introduced in Definitions 5, 7 and 8 can be made to be functorial.

The category \mathcal{G} is a monoidal closed category [3] but it is not Cartesian closed. A Cartesian closed category of games can be obtained by taking the co-Kleisli category $K_!(\mathcal{G})$ over the co-monad $(!, \mathbf{der}, \delta)$ [3], where for each game A the strategies $\mathbf{der}_A : !A \multimap A$ and $\delta_A : !A \multimap !!A$ are defined as follows:

- $\mathbf{der}_A = [\{s \in P_{!A \multimap A} \mid s \upharpoonright (!A)_0 = s \upharpoonright A\}]$
- $\delta_A = [\{s \in P_{!A \multimap !!A} \mid s \upharpoonright (!A)_{p(i,j)} = s \upharpoonright (!A)_i)_j\}]$ where $p : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is a pairing function

Hence one can easily see that the following definitions are well posed.

Definition 10 (A Cartesian closed category of games).

The category $K_!(\mathcal{G})$ has as objects games and as morphisms between games A and B the equivalence class of history-free strategies for the game $!A \multimap B$.

Definition 11 (Cartesian product).

Given games A and B the Cartesian product $A \& B$ is the game defined as follows:

- $M_{A \& B} = M_A + M_B$
- $\lambda_{A \& B} = [\lambda_A, \lambda_B]$
- $P_{A \& B} = P_A + P_B$
- $\approx_{A \& B} = \approx_A + \approx_B$

1.1 Order-enrichment

Following [3] we can enrich each homset of \mathcal{G} with a partial order structure, as follows

Definition 12. Given strategies σ and τ we write $\sigma \lesssim \tau$ iff

$$(\forall s, s', a, b, a')[sab \in \sigma \wedge s' \in \tau \wedge sa \approx s'a' \implies \exists b'.(s'a'b' \in \tau \wedge sab \approx s'a'b')]$$

Definition 13. Given a game A and strategies $\sigma : A$ and $\tau : A$ we define

$$[\sigma] \sqsubseteq_A [\tau] \iff \sigma \lesssim \tau$$

Given a game A let \hat{A} be the set of equivalence classes of history-free strategies for A . \sqsubseteq_A is a partial order over \hat{A} . The least element in this partial order is $[\{\epsilon\}]$.

We now prove that this partial order is not complete. This proof can be easily extended to the notion of game of [3]. This answers a question raised in [3] page.

Definition 14 (Game \mathfrak{N}). The game \mathfrak{N} is defined as follows:

- $M_{\mathfrak{N}} = \{q, !\} \cup \{n, \bar{n} \mid n \in \mathbb{N}\}$
- $\lambda_{\mathfrak{N}}(q) = \lambda_{\mathfrak{N}}(\bar{n}) = OQ$
- $\lambda_{\mathfrak{N}}(!) = \lambda_{\mathfrak{N}}(n) = PQ$
- $P_{\mathfrak{N}} = \{qn(\overline{n-1})(n-1)(\overline{n-2}) \dots \bar{0}0q!q! \dots \mid n \in \mathbb{N}\}^{nepref}$
- $s \approx_{\mathfrak{N}} t \iff |s| = |t|$

Theorem 1. $(\hat{\mathfrak{N}}, \sqsubseteq_{\mathfrak{N}})$ is not a complete partial order.

Proof. Consider the following strategies indexed by $n \geq 1$:

$$\sigma_n = \{qn(\overline{n-1})(n-1)(\overline{n-2}) \dots 1\}^{nepref}$$

It is easy to check that $\sigma_n \lesssim \sigma_m$ for $n \leq m$. The chain $[\sigma_0], [\sigma_1], \dots, [\sigma_n], \dots$ has no lub, since there is no infinite history-free strategy in \mathfrak{N} . \square

Since $\hat{\mathfrak{N}} \simeq \mathcal{G}(I, \mathfrak{N})$ we have that

Corollary 1. The categories \mathcal{G} and $K_!(\mathcal{G})$ are not cpo-enriched categories under the order relation on morphisms of Definition 13.

2 Solution of recursive games equations

The categories of games \mathcal{G} and $K_!(\mathcal{G})$ allow for the existence of *recursive* objects, *i.e.* objects that are fixed points of particular functors. In this section we analyze and elaborate the method proposed by Abramsky and McCusker in [4], for defining recursive games. In a simple-minded, well-founded setting, this method allows to define only *initial* fixed points for the functor $F(D) = D \rightarrow D$. However in order to model non-trivially $\lambda\beta\eta$ -calculus, we need to define *non-initial* fixed points of the functor F . Hence in order to be able to construct also non-initial fixed point games, either To this end we have to consider *non-well-founded* sets or, equivalently, we have to generalize the method of [4] and consider games “up to” isomorphisms, or change the functor altogether and use some form of encoding. In this section we shall explore the last two alternatives.

2.1 Initial fixed points

We start by discussing briefly the method of Abramsky and McCusker [4] in a well-founded setting,

This method follows the pattern used for building initial fixed points in the context of information systems. First a complete partial order \leq on games is introduced.

Definition 15. *Let A, B be games, A is a sub-game of B ($A \leq B$) iff*

- $M_A \subseteq M_B$;
- $\lambda_A = \lambda_B \upharpoonright M_A$;
- $P_A = P_B \cap M_A^\otimes$;
- $s \approx_A s'$ iff $s \approx_B s'$ and $s \in P_A$.

One can easily see that the sub-game relation defines a complete partial order on games. Hence a functor F which is continuous with respect to \leq has a (minimal) fixed point $D = F(D)$ given by $\bigsqcup_{\leq} F^n(I)$. Notice that we have indeed an identity between D and $F(D)$.

In domain theory, non-initial fixed points for a functor F are usually obtained by carrying out the above construction starting from some object A , different from the initial one (*i.e.* I in this case), such that $A \leq F(A)$. However one can prove that for functors F obtained from constant functors by composition of the basic functors $\&$, \otimes , \multimap , $(\)_\perp$, and $!$, and for every game A , whose moves are well-founded sets, if $A \leq F(A)$ then $\exists n \in \mathbb{N}$ s.t. $A \leq F^n(I)$. Hence, eventually, *only* initial fixed points can be obtained using this technique in well-founded Set Theory.

As remarked earlier, even if no non-trivial model of $\lambda\beta\eta$ -calculus can be obtained applying this technique directly to the functor $!D \multimap D$, nevertheless using Scott's trick (see [19]) we can still define models of $\lambda\beta\eta$. What we need is a non-trivial game which satisfies the equivalence $D \simeq D\&D$. To see this consider the initial fixed point, E , of the functor $F(X) = X \multimap D$. This is clearly non trivial. One can easily see that the following chain of equivalences holds $E = E \multimap D = (E \multimap D) \multimap D \simeq (E \multimap (D \times D)) \multimap D \simeq ((E \multimap D) \times (E \multimap D)) \multimap D = ((E \multimap D) \times E) \multimap D \simeq (E \multimap D) \multimap (E \multimap D) = E \multimap E$. We shall discuss this model in Section 5.

2.2 Non-initial fixed points

In order to obtain a non-initial fixed point of a functor, without having to deal with the subtleties of non-well-founded sets, or with indirect encodings, we present a generalization of the method proposed in [4], "up to isomorphism".

The basic idea is to obtain a fixed point of a functor F as a limit of a chain of approximations D_0, D_1, D_2, \dots where, not necessarily $D_n \leq D_{n+1}$, but only a weaker relation between D_n and D_{n+1} holds. We simply ask that each D_n is isomorphic to a sub-game B of D_{n+1} . In order to formalize our construction we need to introduce a new category \mathcal{G}^e . A similar category was introduced also in [2] for other purposes.

Definition 16. Given games A and B an embedding $f : A \rightarrow B$ is a total injective function $f : M_A \rightarrow M_B$ such that:

- $\lambda_A = \lambda_B \circ f$
- $f^*(P_A) = P_B \cap (f^*(M_A))^{\otimes}$
- $s \approx_A s'$ iff $f^*(s) \approx_B f^*(s')$

In the above we have used the notation f^* to denote the natural extension of f both to sequences and sets of sequences.

Definition 17. The category of games \mathcal{G}^e has as objects games and as morphisms embeddings.

Proposition 1. The category \mathcal{G}^e is ω -cocomplete.

Each embedding $f : A \rightarrow B$ in \mathcal{G}^e induces two morphisms $f^+ : A \rightarrow B$ and $f_- : B \rightarrow A$ in \mathcal{G} defined as follows.

Definition 18. Given an embedding $f : A \rightarrow B$, put

$$f^+ = \{t \in P_{A \rightarrow B} \mid t \in s_f\}$$

$$f_- = \{t' \in P_{B \rightarrow A} \mid t' \in s_f\}$$

where s_f is the least set satisfying:

$$s_f = \{t a f(a) \mid t \in s_f, a \in M_A\} \cup \{t' f(a) a \mid t' \in s_f, a \in M_A\} \cup \{\epsilon\}.$$

One can easily see that $(g \circ f)^+ = g^+ \circ f^+$ and $(g \circ f)_- = f_- \circ g_-$.

The category \mathcal{G}^e is indeed isomorphic to a subcategory of \mathcal{G} and to a subcategory of \mathcal{G}^{op} .

Now, using the well-known machinery, we can obtain fixed points of any continuous functor F in \mathcal{G}^e .

Theorem 2. Given a game D and an embedding $f : D \rightarrow F(D)$, let $\langle D_\infty, \mu_n \rangle_{n \in \omega}$ be the colimit of the chain $\langle (F)^n(D), (F)^n(f) \rangle_{n \in \omega}$. Then, the game D_∞ is the fixed point of the functor F . The isomorphic embeddings $\varphi : D_\infty \rightarrow F(D_\infty)$ and $\psi : F(D_\infty) \rightarrow D_\infty$ are given by $\varphi = \bigsqcup_{n \in \omega} F(\mu_n) \circ \mu_n^{-1}$ and $\psi = \bigsqcup_{n \in \omega} \mu_n \circ F(\mu_n)^{-1}$ respectively, where the lubs are taken in the category of partial embeddings.

The following Proposition will be useful in the sequel

Proposition 2. Given a game D and an embedding $f : D \rightarrow F(D)$ let $\langle D_\infty, \mu_n \rangle_{n \in \omega}$ be the fixed point of the functor F . For each $n \in \mathbb{N}$ let $p_n : D_\infty \rightarrow D_\infty = (\mu_n)^+ \circ (\mu_n)_-$. Then we have:

1. for each game A and for each strategy $\sigma : A \rightarrow D_\infty$ the following equality holds: $p_n \circ \sigma = \{s \mid s \in (\sigma \cap (in_l(M_A) \cup in_r(\mu_n(M_{F^n(D)})))^{\otimes})\}$
2. for each $n \in \mathbb{N}$

- (a) $p_n \sqsubseteq p_{n+1}$
- (b) $\bigsqcup_{n \in \omega} p_n = id$
- (c) $p_n \circ p_m = p_{\min\{m,n\}}$.

Using the above machinery, given an endofunctor (either variant or covariant) F in \mathcal{G} , one can obtain a fixed point of F provided there exists a covariant continuous functor F^e in \mathcal{G}^e , which coincides with F on objects.

One can easily see that this is the case for constant functors, the functors $\&$, \otimes , \multimap , $!$, $(\)_{\perp}$ and their compositions.

3 Extensional λ -models in $K_!(\mathcal{G})$

As it is well known, a model for $\lambda\beta\eta$ -calculus is a pair $\langle D, f \rangle$, where D is an *extensional reflexive object* in a Cartesian closed category, *i.e.* an object D such that D isomorphic to $D \rightarrow D$, and $f : D \rightarrow [D \rightarrow D]$ is an isomorphism. Two models $\langle D, f \rangle$, $\langle D', f' \rangle$ are isomorphic if there exists an isomorphism $g : D \rightarrow D'$ such that $f' \circ g = [g^{-1} \rightarrow g] \circ f$.

In this section, using the techniques outlined in Section 2, we define a subclass, \mathcal{D}^* , of extensional models in $K_!(\mathcal{G})$, and prove the crucial result, namely, that each extensional model in $K_!(\mathcal{G})$ is isomorphic to a model in \mathcal{D}^* . In Section 4 we will prove that all models in \mathcal{D}^* induce the λ -theory \mathcal{H}^* .

The endofunctor Fun on the category \mathcal{G}^e is defined as follows:

- $Fun(D) = !D \multimap D$;
- $f : A \multimap B$, then: $Fun(f) = [!f, f]$ where $!f(\langle i, a \rangle) = \langle i, f(a) \rangle$.

One can easily see that Fun is continuous.

Definition 19. *Let \mathcal{D}^* be the class of λ -models $\langle D, f \rangle$ where D is the limit of a chain generated by iterating the functor Fun on an initial game D_0 , using an initial embedding $f^* : D_0 \multimap Fun(D_0)$, such that for each $m \in M_{D_0}$, $f^*(m) = in_r(m')$ for some $m' \in M_{D_0}$. And where the isomorphism $f : D \rightarrow Fun(D)$ in \mathcal{G} is $\varphi^+ \circ \mathbf{der}_D$, where φ is the isomorphic embedding given by the colimit construction.*

Isomorphisms in $K_!(\mathcal{G})$ can be reduced to isomorphisms in \mathcal{G}^e . In fact we have:

- Proposition 3.** – *For each isomorphism $\sigma : A \multimap B$ in $K_!(\mathcal{G})$, there exists an isomorphic strategy $\sigma' : A \multimap B$ such that $\sigma = \sigma' \circ \mathbf{der}_A \circ \sigma$.*
- *For each isomorphic strategy $\sigma : A \multimap B$, there exists an isomorphic embedding $f_\sigma : A \multimap B$ such that $\sigma = (f_\sigma)^+$.*

Proof. The proof of the first part of the lemma is straightforward.

As shown in [3], a history-free strategy $\sigma : A \multimap B$ can be described as a map g_σ from Opponent's moves to Player's moves in the game $A \multimap B$. If σ is an isomorphism, with inverse σ^{-1} then g_σ maps each Player's move of A in a Player's move of B , and each Opponent's move in B to a Opponent's move in

A. In fact, suppose by contradiction, that an Opponent's move $b \in M_B$ is such that $g_\sigma(b) = b'$ is a Player's move in B , then $g_{\sigma^{-1} \circ \sigma}(b) = b'$ and therefore $\sigma^{-1} \circ \sigma$ is not the copy-cat strategy. By a similar argument one can prove that g_σ and $g_{\sigma^{-1}}$ are one the inverse of the other.

The function f_σ is then defined as

$$f_\sigma(a) = \begin{cases} g_\sigma(a) & \text{if } \lambda_B^{OP}(a) = O \\ g_{\sigma^{-1}}(a) & \text{if } \lambda_A^{OP}(a) = P \end{cases}$$

By an analysis similar to the one above, and using the bracketing condition it is possible to prove that f_σ preserves the labelling and that $f_\sigma^*(P_A) = P_B$.

In order to establish the main result of this section, we need a new definition, and prove a technical lemma.

Definition 20. Given a game A and a move $a \in M_A$, the rank of a , $r(a)$, is the smallest integer n such that there exists a sequence of moves a_1, \dots, a_n such that $a_1, \dots, a_n, a \in P_A$

Lemma 1. For each game A , for each embedding $f : A \rightarrow \text{Fun}(A)$ and for each move $a \in M_A$, if $f(a) = \text{in}_l((n, a'))$ then $r(a') < r(a)$; if $f(a) = \text{in}_r(a')$ then $r(a') \leq r(a)$.

Proof. Let s_a be a minimal position with end point a . The projection of $f^*(s_a)$ on the left component must still be a position in P_{1A} . Its length is strictly smaller than that of s_a , since the initial move of s_a has to be mapped onto a move on the right component. \square

Theorem 3. Each extensional model in $K_1(\mathcal{G})$ is isomorphic to a model in \mathcal{D}^*

Proof. Let $\langle D, \sigma \rangle$ be an extensional model in $K_1(\mathcal{G})$. Then, by Proposition 3 there exists an isomorphic embedding $f : D \rightarrow \text{Fun}(D)$, such that $\sigma = f^+ \circ \text{der}_A$. Let M_{D_0} be the largest subset of M_D such that $\forall d \in M_{D_0} \exists d' \in M_{D_0}$ such that $f(d) = \text{in}_r(d')$. Alternatively, with a slight abuse of notation, we can define $M_{D_0} = \{d \in D \mid \forall n \in \mathbb{N} . (\text{in}_r^{-1} \circ f)^n(d) \text{ is defined}\}$.

It is immediate to verify that the quadruple $D_0 = (M_{D_0}, \lambda_D \upharpoonright M_{D_0}, P_D \cap M_{D_0}^\otimes, \approx_D \cap (M_{D_0} \times M_{D_0}))$ is indeed a sub-game of D . By the construction of D_0 , it follows that $f_0 = f|_{D_0}$ is an embedding from D_0 to $\text{Fun}(D_0)$.

Let D^* be the limit of the ω -chain $\langle \text{Fun}^n(D_0), \text{Fun}^n(f_0) \rangle_{n \in \mathbb{N}}$, and let $f^* : D^* \rightarrow \text{Fun}(D^*)$ be the isomorphic embedding induced by the limit construction. We will prove that there exists an isomorphic embedding $f' : D^* \rightarrow D$ such that $f \circ f' = \text{Fun}(f') \circ f^*$.

The isomorphism f' is defined as follows: given $d \in \text{Fun}^n(D_0)$ $f'([d]_\equiv) = f_{0,n}^{-1}(d)$, where $f_{0,n} : D \rightarrow \text{Fun}^n(D)$ is the isomorphism $\text{Fun}^{n-1}(f) \circ \dots \circ \text{Fun}(f) \circ f$. Since, for each $n \in \mathbb{N}$, $M_{\text{Fun}^n(D_0)} \subseteq M_{\text{Fun}^n(D)}$, f' is a well defined function from M_{D^*} to M_D . Moreover it is not difficult to verify that f' is an embedding.

We need to prove that f' is surjective. This can be done by induction on the rank of the moves in D . Formally, we will prove that for each move $d \in M_D$ there exists a move d' in M_{D^*} such that $d = f'(d')$.

- *Basic step.* this follows from the fact that all initial moves (i.e. moves of rank 0) are in M_{D_0} .
- *Induction step.* Let $d \in M_D$ be a move of rank $n + 1$, two possible cases arise. Either $d \in D_0$, and therefore $d = f'([d]_{\equiv})$, or there exist $p, i \in \mathbb{N}$ and $d' \in M_D$ such that $\forall m \leq p, (in_r^{-1} \circ f)^m(d)$ is defined and $f((in_r^{-1} \circ f)^p(d)) = in_i(\langle i, d' \rangle)$. By Lemma 1 the rank of d' is less than $n + 1$ and, hence, by induction hypothesis, there exists $k \in \mathbb{N}$ and $d'' \in Fun^k(D_0)$ such that $d' = f'([d'']_{\equiv})$. Let $d''' = in_r^p \circ in_i(\langle i, d'' \rangle) \in Fun^{k+p}(D_0)$, it is not difficult to verify that $d = f'([d''']_{\equiv})$.

Moreover, it is straightforward to verify that $f \circ f' = Fun(f') \circ f^*$, and from the fact that: $[(f^+ \circ \mathbf{der}_D)^{-1}, f^+ \circ \mathbf{der}_D] = Fun(f)^+ \circ \mathbf{der}_{D \rightarrow D}$, the theorem follows straightforwardly. \square

4 The fine structure of models in \mathcal{D}^*

In order to analyze the equational theories induced by the models in \mathcal{D}^* , we establish an *Approximation Theorem*, in the style of [20, 14]. Using this result we will be able to characterize the meaning of a term in the model as the lub of the set of the meanings of the syntactical *approximants* of the term.

To our knowledge this is the first time such a theorem is proved for models in “non-concrete” categories such as game models.

As usual it is convenient to consider $\Lambda(\Omega)$, an extension of λ -calculus with a constant to denote divergence, and its indexed version $\Lambda(\Omega)^{\mathbb{N}}$.

Definition 21. 1. The set of $\lambda\Omega$ -terms, $\Lambda(\Omega)(\ni M)$ is defined from a set of variables $Var(\ni x)$ as follows:

$$M ::= x \mid MM \mid \lambda x.M \mid \Omega.$$

2. The set of (possibly) indexed terms $\Lambda(\Omega)^{\mathbb{N}}(\ni M)$ is the superset of $\Lambda(\Omega)$ defined as follows:

$$M ::= x \mid MM \mid \lambda x.M \mid \Omega \mid M^n.$$

3. A term is truly indexed if it is of the shape M^n . A term is completely indexed if all its subterms of the shape constant, variable, abstraction, and application are immediate subterms of truly indexed terms.

The intended meaning of an indexed term M^n is the n -th projection of the interpretation of the term M . Hence the interpretation of λ -terms in $K_!(\mathcal{G})$ is defined as follows.

Definition 22. Let $\langle D, \varphi \rangle$ be a model in \mathcal{D}^* . The interpretation of a term $M \in \Lambda(\Omega)^{\mathbb{N}}$ (whose free variables are among the list $\Delta = \{x_1, \dots, x_n\}$) in the model

is the strategy $\llbracket M \rrbracket_{\Delta}^D : !\overbrace{(D \& \dots \& D)}^{|\Delta|} \multimap D$ defined inductively as follows:

$$\begin{aligned}
\llbracket x_i \rrbracket_{\Delta}^D &= \pi_i^{\Delta}; \\
\llbracket MN \rrbracket_{\Delta}^D &= ev \circ \langle (\varphi \circ \llbracket M \rrbracket_{\Delta}^D), \llbracket N \rrbracket_{\Delta}^D \rangle; \\
\llbracket \lambda x. M \rrbracket_{\Delta}^D &= \psi \circ \Lambda(\llbracket M \rrbracket_{\Delta, x}^D); \\
\llbracket M^n \rrbracket_{\Delta}^D &= p_n \circ \llbracket M \rrbracket_{\Delta}^D; \\
\llbracket \Omega \rrbracket_{\Delta}^D &= \sigma_{\epsilon};
\end{aligned}$$

where π_i^{Δ} are the canonical projection morphisms, ev and Λ denote “evaluation” and “abstraction” in the Cartesian closed category $K_1(\mathcal{G})$, $\sigma_{\epsilon} = [\{\epsilon\}]$, $\psi = \varphi^{-1}$ and the p_n are the strategies defined in Proposition 2.

Given strategies σ, τ with codomain D , we use the abbreviation $\sigma \cdot \tau$ to denote the strategy $ev \circ \langle (\varphi \circ \sigma), \tau \rangle$, and we will denote with $(D)^n$ the game $\overbrace{D \& \dots \& D}^n$.

The main result of this section is Theorem 5. In order to establish it we need several preliminary results.

Lemma 2. *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , for each game A and pair of strategies $\sigma, \tau : !A \multimap D^*$, we have:*

1. $(p_0 \circ \sigma) \cdot \tau = (p_0 \circ \sigma) \cdot \sigma_{\epsilon} = p_0 \circ (\sigma \cdot \sigma_{\epsilon})$
2. $(p_{n+1} \circ \sigma) \cdot \tau \sqsubseteq p_{n+1} \circ (\sigma \cdot (p_n \circ \tau)) \quad \forall n \in \mathbb{N}$

Notice that in the statement of Lemma 2.2, we have not taken equality but only inequality. This is done in order to be able to deal simultaneously not only with models in \mathcal{D}^* , but also, in Section 5, with models obtained using the trick of Scott outlined in Section 2.

The following Lemmata and Definitions follow closely the pattern of [20, 14], and they amount essentially to the game theoretic version of the corresponding “continuous result”.

Definition 23. *The erasing function $\mathcal{R} : \Lambda(\Omega)^{\mathbb{N}} \rightarrow \Lambda(\Omega)$ is inductively defined as follows:*

1. $\mathcal{R}(x) = x; \quad \mathcal{R}(\Omega) = \Omega$
2. $\mathcal{R}(PQ) = \mathcal{R}(P)\mathcal{R}(Q)$
3. $\mathcal{R}(\lambda x.P) = \lambda x.\mathcal{R}(P)$
4. $\mathcal{R}(M^n) = \mathcal{R}(M)$

Lemma 3. *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , for each term $M \in \Lambda(\Omega)$ whose free variables are in Δ , given a finite strategy $\sigma : !(D^*)^{|\Delta|} \multimap D^*$ s.t. $\sigma \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*}$ there exists a natural number n s.t. $\sigma \sqsubseteq \llbracket M^n \rrbracket_{\Delta}^{D^*}$.*

Lemma 4. *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , for each term $M \in \Lambda(\Omega)$ whose free variables are in Δ , given a finite strategy $\sigma : !(D^*)^{|\Delta|} \multimap D^*$ s.t. $\sigma \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*}$ there exists a completely indexed term $Q \in \Lambda(\Omega)^{\mathbb{N}}$ such that $\mathcal{R}(Q) = M$ and $\sigma \sqsubseteq \llbracket Q \rrbracket_{\Delta}^{D^*}$.*

Lemma 5. *Let A be a game and $\sigma : A$ a strategy. $\sigma = \bigsqcup \{ \tau : A \mid \tau \text{ finite and } \tau \sqsubseteq \sigma \}$.*

From the above Lemmata it follows that

Proposition 4. *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , for each term $M \in \Lambda$, $\llbracket M \rrbracket_{\Delta}^{D^*} = \bigsqcup \{ \llbracket Q \rrbracket_{\Delta}^{D^*} \mid Q \text{ is a completely indexed term s.t. } \mathcal{R}(Q) = M \}$.*

Definition 24. 1. *The following reduction rules are definable on $\Lambda(\Omega)$:*

$$(\Omega_1) \quad \lambda x. \Omega \rightarrow \Omega$$

$$(\Omega_2) \quad \Omega M \rightarrow \Omega$$

2. *The following reduction rules are definable on completely indexed terms of $\Lambda(\Omega)^{\mathbb{N}}$:*

$$(\Omega_1^n) \quad \lambda x. \Omega^n \rightarrow \Omega^0$$

$$(\Omega_2^n) \quad \Omega^n M \rightarrow \Omega^0$$

$$(\beta_I) \quad ((\lambda x. P^n)^{m+1} Q^p)^h \rightarrow (P[x/Q^a])^b$$

where $b = \min\{n, m+1, h\}$, $a = \min\{m, p\}$

$$(\beta_0) \quad ((\lambda x. P)^0 Q)^h \rightarrow (P[x/\Omega])^0$$

$$(\beta_{i,j}) \quad (M^i)^j \rightarrow M^{\min\{i,j\}}$$

Notice again that the above definition of the (β_I) indexed reduction rule and the statement of the following Theorem are not formulated as in [20], but are relaxed so as to take care of the model $D^{\mathbb{N}}$ (see Section 5).

Theorem 4 (Validity of indexed reduction). *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , the rules $(\Omega_1^n), (\Omega_2^n), (\beta_I), (\beta_0)$ and $(\beta_{i,j})$ are valid in the following sense: let $P, Q \in \Lambda(\Omega)^{\mathbb{N}}$ then*

$$(P \xrightarrow{\Omega_1^n \Omega_2^n \beta_0 \beta_I \beta_{i,j}} Q) \implies \llbracket P \rrbracket_{\Delta}^{D^*} \sqsubseteq \llbracket Q \rrbracket_{\Delta}^{D^*}.$$

Lemma 6. *Let $Q \in \Lambda(\Omega)^{\mathbb{N}}$ be a completely indexed term. Then Q is $\Omega_1^n \Omega_2^n \beta_0 \beta_I \beta_{i,j}$ -normalizing.*

From the above lemma it is immediate to state the following.

Lemma 7. *For each model D^* in \mathcal{D}^* , for each term $M \in \Lambda$, $\llbracket M \rrbracket_{\Delta}^{D^*} = \bigsqcup \{ \llbracket N \rrbracket_{\Delta}^{D^*} \mid \exists Q \text{ completely indexed term such that } \mathcal{R}(Q) = M \text{ and } N \text{ is the } \Omega_1^n \Omega_2^n \beta_0 \beta_I \beta_{i,j}\text{-normal form of } Q \}$.*

Definition 25. *The direct approximant of a λ -term $M \in \Lambda$ is a normal form $A \in \Lambda(\Omega)$ obtained from M by replacing each redex in M by Ω , and performing all the $\Omega_1^n \Omega_2^n$ -reductions.*

Definition 26. *The set of approximants of M is the set $\mathcal{A}(M) = \{A \mid \exists M', M \rightarrow_{\beta\eta} M' \text{ and } A \text{ is the direct approximant of } M'\}$.*

Theorem 5 (Approximation theorem). *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , for each term $M \in \Lambda$, $\llbracket M \rrbracket_{\Delta}^{D^*} = \bigsqcup \{ \llbracket A \rrbracket_{\Delta}^{D^*} \mid A \in \mathcal{A}(M) \}$.*

Theorem 6. *For each model $\langle D^*, \varphi \rangle$ in \mathcal{D}^* , $Th(D^*) = \mathcal{H}^*$.*

Proof. Using Theorem 4 and standard techniques (see e.g. [6] Section 19.2), one can prove that the structure of the approximants in Theorem 5 as well as the partial order induced by the semantics over them coincide precisely with those of the approximants of Scott's D_∞ model as in the continuous case. In particular their corresponding Böhm trees satisfy the η^∞ -equivalence. Hence the argument for the continuous case goes through even in the game setting, and we have that the theory of D^* , just as in the continuous case, is \mathcal{H}^* . \square

5 Some examples of concrete game models for $\lambda\beta\eta$ -calculus

In this Section, by way of examples, we introduce four extensional reflexive objects in $K_1(\mathcal{G})$. The first two belong to \mathcal{D}^* , the third does not, while the fourth one is the model obtained by carrying the analogous of Scott's trick as outlined in Section 2.

We start by introducing three initial embeddings for the functor *Fun*:

- Definition 27.** 1. Let $D_0^\circ = (\{*\}, \lambda(*) = OQ, \{\epsilon, *\}, id)$ and define $f_\circ : D_0^\circ \rightarrow (!D_0^\circ \multimap D_0^\circ)$ by $f_\circ(*) = in_r(*)$;
 2. let $D_0^{*\circ} = (\{*, \circ\}, (\lambda(*) = OQ, \lambda(\circ) = PQ), \{\epsilon, *, *\circ\}, id)$ and define $f_{*\circ} : D_0^{*\circ} \rightarrow (!D_0^{*\circ} \multimap D_0^{*\circ})$ by $f_{*\circ}(*) = in_r(*)$ and $f_{*\circ}(\circ) = in_r(\circ)$;
 3. let $D_0^{**} = (\{*, \circ\}, (\lambda(*) = OQ, \lambda(\circ) = PQ), \{\epsilon, *, *\circ\}, id)$ and define $f_{**} : D_0^{**} \rightarrow (!D_0^{**} \multimap D_0^{**})$ by $f_{**}(*) = in_r(*)$ and $f_{**}(\circ) = in_l(\langle 0, * \rangle)$.

Finally, using Theorem 2 we give the following definition:

Definition 28. *The models $D_\infty^\circ, D_\infty^{*\circ}, D_\infty^{**}$ are the limits of the chains generated by iterating the functor *Fun* on the embeddings $f_*, f_{*\circ}, f_{**}$ respectively.*

The last λ -model we introduce, defined using Scott's trick, is $D^\mathbb{N}$.

Definition 29. Let $A_\mathbb{N} = (\mathbb{N}, \lambda n. OQ, \{\epsilon\} \cup \mathbb{N}, id)$. The model $D^\mathbb{N}$ is the least fixed point of the functor $F(D) = !D \multimap A_\mathbb{N}$ where the following chain holds for every $n \in \mathbb{N}$: $D_{n+1}^\mathbb{N} \simeq !D_n^\mathbb{N} \multimap A_\mathbb{N} \simeq !D_n^\mathbb{N} \multimap (A_\mathbb{N} \& A_\mathbb{N}) \simeq (!D_n^\mathbb{N} \multimap A_\mathbb{N}) \& (!D_n^\mathbb{N} \multimap A_\mathbb{N}) \simeq D_{n+1}^\mathbb{N} \& D_{n+1}^\mathbb{N}$. Hence we have $D_{n+1}^\mathbb{N} \simeq !D_n^\mathbb{N} \multimap A_\mathbb{N} \simeq !(D_n^\mathbb{N} \& D_n^\mathbb{N}) \multimap A_\mathbb{N} \simeq !D_n^\mathbb{N} \multimap (!D_n^\mathbb{N} \multimap A_\mathbb{N}) \simeq !D_n^\mathbb{N} \multimap D_{n+1}^\mathbb{N}$.

One can easily see that $D^\mathbb{N}$ is a λ -model since any bijection $p : \mathbb{N} + \mathbb{N} \rightarrow \mathbb{N}$, induces an isomorphism between $A_\mathbb{N}$ and $A_\mathbb{N} \& A_\mathbb{N}$.

6 Conclusions and Final Remarks

In this paper we have shown that all extensional λ -models in the category \mathcal{G} of [3] induce the same λ -theory, this is the well-known theory \mathcal{H}^* . It is natural to conjecture, therefore, that there is only one non-extensional *sensible* λ -theory which can be modeled using games. We recall that a sensible λ -theory is a theory where all unsolvable terms are equated. This would be the theory \mathcal{B} of Böhm trees, and would be the theory of any reflexive object in $K_!(\mathcal{G})$, $\langle D, f \rangle$ for which f is not an isomorphism and it maps the undefined strategy on $!D \multimap D$ on the undefined strategy on D .

Our results clearly indicate that game models are *even* more rigid than continuous models. But is this really a “surprise”, or a “bad surprise”? Definitely there must be some intrinsic feature of games, as they are currently defined, that is intimately related to head reduction. It is difficult to imagine at this stage what this is. Probably it is not the fact that we have considered only “history-free” strategies, more likely it has to do with the “strict” protocol of alternation of moves between Opponent and Player. We feel however that when the appropriate constraint will be relaxed, the perspicuous analytic power of *games* will become applicable also to other reduction strategies, besides head reduction.

We end this paper with two more technical notes.

It is worth noticing that in our models of untyped λ -calculus it is not necessary to take at the very end an extensional quotient, as done for typed calculus [3] or the lazy λ -calculus [4].

The essential ingredient in the proof of Theorem 6 is Lemma 2. This has a bearing also on models in the category of C.P.O.’s. Namely \mathcal{H}^* is the theory of all inverse limits obtained starting from an initial injection where all points are mapped onto constant functions as well as that of the “Scott’s trick model” presented in [19].

References

1. S. Abramsky. Domain theory in logical form. In *Annals of Pure and Applied Logic*, volume 51, pages 1–77, 1991.
2. S. Abramsky and R. Jagadeesan. Games and full completeness for multiplicative linear logic. *Journal of Symbolic Logic*, 59(2):543–574, June 1994.
3. S. Abramsky, R. Jagadeesan, and P. Malacaria. Full abstraction for PCF. Ftp-available at <http://www.dcs.ed.ac.uk/home/samson>, 1994.
4. S. Abramsky and G. McCusker. Games for recursive types. In I. C. Mackie C. L. Hankin and R. Nagarajan, editors, *Theory and Formal Methods of Computing 1994: Proceedings of the Second Imperial College Department of Computing Workshop on Theory and Formal Methods*. Imperial College Press, October 1995.
5. S. Abramsky and C.H.L. Ong. Full abstraction in the lazy λ -calculus. *Information and Computation*, 105:159–267, 1993.
6. H. Barendregt. *The Lambda Calculus: Its Syntax and Semantics*. North-Holland, Amsterdam, 1984. revised edition.
7. H. Barendregt, M. Coppo, and M. Dezani-Ciancaglini. A filter model and the completeness of type assignment. *Journal of Symbolic Logic*, 48(4):931–940, 1983.

8. O. Bastonero and X. Gouy. Strong stability and the incompleteness of strong models for λ -calculus. To appear on *Annals of Pure and Applied logic*.
9. C. Berline. From computation to foundations via functions and application: the λ -calculus and its webbed models. To appear.
10. M. Coppo, M. Dezani-Ciancaglini, F. Honsell, and G.Longo. Extended type structures and filter λ -models. In G.Lolli G.Longo and A.Marcja, editors, *Logic Colloquium '82*. Elsevier Science Publishers, 1984.
11. P. Di Gianantonio and G. Franco. A type assignment system for the game semantics. In Pierpaolo Degano, Ugo Vaccaro, and Giuseppe Pirillo, editors, *6th Italian Conference of Theoretical Computer Science*, pages 37–47. World Scientific, 1998.
12. J.Y. Girard. The system f of variable types, fifteen years later. *Theoretical Computer Science*, 45:159–192, 1986.
13. F. Honsell and M. Lenisa. Final semantics for untyped λ -calculus. In *LNCS*, volume 902, pages 249–265. Springer-Verlag, 1995.
14. F. Honsell and S. Ronchi Della Rocca. An approximation theorem for topological lambda models and the topological incompleteness of lambda calculus. *Journal of Computer and System Sciences*, 45:49–75, 1992.
15. J.M.E. Hyland. A syntatic characterization of the equality in some models of the λ -calculus. *Journal of London Mathematical Society*, 12(2):361–370, 1976.
16. J.M.H. Hyland and C.H.L. Ong. On full abstraction for pcf:i, ii, iii. ftp-available at theory.doc.ic.ac.uk in directory `papers/Ong`.
17. G. A. McCusker. *Games and full abstraction for a functional language with recursive types*. PhD thesis, Imperial College, 1996.
18. D. Scott. Continuous lattices. In *Toposes, Algebraic Geometry and Logic - Lecture Notes in Mathematics*, volume 274. Springer-Verlag, Berlin, New York, 1972.
19. D. Scott and C. Gunter. Semantic domains. In *Handbook of Theoretical Computer Science*. North Holland, 1990.
20. C. P. Wadsworth. The relation between computational and denotational properties for scott's d_∞ -models of the lambda-calculus. *SIAM Journal of Computing*, 5(3):488–521, September 1976.

A Proofs

Proof of Proposition 1

Given an ω -chain $\langle D_n, f_n \rangle$ with $f_n : D_n \rightarrow D_{n+1}$ its colimit is $\langle D_\infty, \mu_n \rangle$ where D_∞ is the game:

- $M_{D_\infty} = (\bigcup_{n \in \omega} M_{D_n}) / \equiv$
where \equiv is the least equivalence relation such that

$$\forall n \in \mathbb{N} \forall a \in D_n \forall b \in D_{n+1}. f_n(a) = b \Rightarrow a \equiv b.$$

- $\lambda_{D_\infty}([a]_{\equiv}) = \lambda_{D_n}(a)$ if $a \in D_n$,
- $P_{D_\infty} = \bigcup_{n \in \omega} \{[a_1]_{\equiv} [a_2]_{\equiv} \dots [a_p]_{\equiv} \mid a_1 a_2 \dots a_p \in P_{D_n}\}$
- $\approx_{D_\infty} = \bigcup_{n \in \omega} \{([a_1]_{\equiv} [a_2]_{\equiv} \dots [a_p]_{\equiv}, [a'_1]_{\equiv} [a'_2]_{\equiv} \dots [a'_p]_{\equiv}) \mid (a_1 a_2 \dots a_p, a'_1 a'_2 \dots a'_p) \in \approx_{D_n}\}$

The colimit functions $\mu_n : D_n \rightarrow D_\infty$ are defined by $\mu_n(a) = [a]_{\equiv}$. \square

Proof of Lemma 2

1. From Proposition 2 we have $p_0 \circ \sigma = \{s \in \sigma \mid s \in \sigma \wedge s \in (\text{in}_l(M_{lA}) \cup \text{in}_r(\mu_0(M_{D_0})))\}$. Let $\varphi' : D \rightarrow (!D \rightarrow D)$ the strategy such that $\varphi = \varphi' \circ \text{der}_D$. It follows that $\varphi' \circ p_0 \circ \sigma : !A \rightarrow (!D^* \rightarrow D^*)$
 $= \{s \in \sigma \mid s \in \sigma \wedge s \in (\text{in}_l(M_{lA}) \cup \text{in}_r(\text{in}_r(\mu_0(M_{D_0}))))\}$. Hence the strategy $\varphi' \circ (p_0 \circ \sigma)$ never interacts with its second input. We have then $(p_0 \circ \sigma) \cdot \tau = \text{ev} \circ \langle (\varphi' \circ (p_0 \circ \sigma)), \tau \rangle = (p_0 \circ \sigma) \cdot \sigma_\epsilon$. In the same way we get $p_0 \circ (\sigma \cdot \sigma_\epsilon) = (p_0 \circ \sigma) \cdot \sigma_\epsilon$.
2. From Proposition 2, and Theorem 2 we have $\varphi' \circ (p_{n+1} \circ \sigma) : !A \rightarrow (!D^* \rightarrow D^*)$
 $= \{s \in \sigma \mid s \in \sigma \wedge s \in (\text{in}_l(M_{lA}) \cup \text{in}_r(\text{in}_l(\mu_n(M_{Fun^n(D_0)}))) \cup \text{in}_r(\text{in}_r(\mu_n(M_{Fun^n(D_0)}))))\}$.
Hence the strategy $\varphi' \circ (p_{n+1} \circ \sigma)$ considers only the moves in $\mu_n(M_{Fun(D_0)})$ of its second component and returns only moves in $\mu_n(M_{Fun(D_0)})$ as output. It follows that $(p_{n+1} \circ \sigma) \cdot \tau = p_n \circ (\sigma \cdot (p_n \circ \tau))$, and from this $(p_{n+1} \circ \sigma) \cdot \tau \sqsubseteq p_{n+1}(\sigma \cdot (p_n \circ \tau))$. \square

Proof of Lemma 3

Since $\sigma \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*} : !(D^*)^{\Delta} \rightarrow D$ then each position of σ has a corresponding position in $\llbracket M \rrbracket_{\Delta}^{D^*}$; but σ is finite, hence there exist an n such that σ involves only moves “in D_n^* ”. \square

Proof of Lemma 4

Induction on M .

1. $M \equiv x$. Follows from lemma 3.
2. $M \equiv \lambda x.P$. Given a finite σ s.t. $\sigma \sqsubseteq \llbracket \lambda x.P \rrbracket_{\Delta}^{D^*}$ then $\Lambda^{-1}(\varphi \circ \sigma) \sqsubseteq \llbracket P \rrbracket_{\Delta, x}^{D^*}$. Since Λ preserves finiteness of strategies and since φ is an isomorphism, by induction hypothesis, there exists an indexed term P^n s.t. $\Lambda^{-1}(\varphi \circ \sigma) \sqsubseteq \llbracket P^n \rrbracket_{\Delta, x}^{D^*}$. Hence we get $\sigma \sqsubseteq \llbracket \lambda x.P^n \rrbracket_{\Delta}^{D^*}$ by definition of interpretation of terms and hence $\sigma \sqsubseteq \llbracket (\lambda x.P^n)^m \rrbracket_{\Delta}^{D^*}$ by Lemma 3.
3. $M \equiv PQ$. If $\sigma \sqsubseteq \llbracket PQ \rrbracket_{\Delta}^{D^*}$ then $\sigma = \text{ev} \circ \langle \varphi \circ \llbracket P \rrbracket_{\Delta}^{D^*}, \llbracket Q \rrbracket_{\Delta}^{D^*} \rangle$. Since ev is continuous there are finite strategies σ_1, σ_2 s.t. $\sigma_1 \sqsubseteq \varphi \circ \llbracket P \rrbracket_{\Delta}^{D^*}$, $\sigma_2 \sqsubseteq \llbracket Q \rrbracket_{\Delta}^{D^*}$ and $\psi \circ \sigma_1 \sqsubseteq \llbracket P \rrbracket_{\Delta}^{D^*}$ since ψ preserve finiteness of strategies. Hence, by induction hypothesis, there exist completely indexed terms P^n and Q^m s.t. $\psi \circ \sigma_1 \sqsubseteq \llbracket P^n \rrbracket_{\Delta}^{D^*}$ and $\sigma_2 \sqsubseteq \llbracket Q^m \rrbracket_{\Delta}^{D^*}$. By Lemma 3 we can then conclude that there exists an h s.t. $\sigma \sqsubseteq \llbracket (P^n Q^m)^h \rrbracket_{\Delta}^{D^*}$. \square

Proof of Proposition 4

Since the projection functions are smaller than the identity we have: $\llbracket Q \rrbracket_{\Delta}^{D^*} \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*}$. From Lemma 5 and 4 we have $\llbracket M \rrbracket_{\Delta}^{D^*} = \bigsqcup \{\sigma \mid \sigma \text{ finite and } \sigma \sqsubseteq$

$\llbracket M \rrbracket_{\Delta}^{D^*} \} \sqsubseteq \sqcup \{ \llbracket Q \rrbracket_{\Delta}^{D^*} \mid Q \text{ is an indexed term and } \mathcal{R}(Q) = M \} = \llbracket M \rrbracket_{\Delta}^{D^*}$ since $\sigma \sqsubseteq \llbracket Q \rrbracket_{\Delta}^{D^*} \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*}$. \square

Proof of Theorem 4

1. Rules (Ω_1^n) and (Ω_2^n) . Since the interpretation of Ω^n is always σ_ϵ , the empty strategy, the validity of the rules follows easily.
2. Rule (β_I) .

$$\begin{aligned}
& \llbracket ((\lambda x.P^n)^{m+1} Q^p)^h \rrbracket_{\Delta} \\
&= p_h \circ \llbracket (\lambda x.P^n)^{m+1} Q^p \rrbracket_{\Delta} \\
&= p_h \circ (\llbracket (\lambda x.P^n)^{m+1} \rrbracket_{\Delta} \cdot \llbracket Q^p \rrbracket_{\Delta}) \\
&= p_h \circ ((p_{m+1} \circ \llbracket \lambda x.P^n \rrbracket_{\Delta}) \cdot (p_q \circ \llbracket Q \rrbracket_{\Delta})) \\
&\sqsubseteq p_h \circ p_{m+1} \circ (\llbracket \lambda x.P^n \rrbracket_{\Delta} \cdot (p_m \circ p_q \circ \llbracket Q \rrbracket_{\Delta})) \\
&\quad (\text{by lemma 2}) \\
&= p_{\min\{h, m+1\}} \circ (\llbracket \lambda x.P^n \rrbracket_{\Delta} \cdot (p_{\min\{m, q\}} \circ \llbracket Q \rrbracket_{\Delta})) \\
&= p_{\min\{h, m+1\}} \circ (\llbracket \lambda x.P^n \rrbracket_{\Delta} \cdot \llbracket Q^{\min\{m, q\}} \rrbracket_{\Delta}) \\
&= p_{\min\{h, m+1\}} \circ \llbracket P^n[x/Q^{\min\{m, q\}}] \rrbracket_{\Delta} \\
&= p_{\min\{h, m+1\}} \circ p_n \circ \llbracket P[x/Q^{\min\{m, q\}}] \rrbracket_{\Delta} \\
&= p_b \circ \llbracket P[x/Q^a] \rrbracket_{\Delta}
\end{aligned}$$

3. Rule (β_0) .

$$\begin{aligned}
& \llbracket ((\lambda x.P)^0 Q)^h \rrbracket_{\Delta} \\
&= p_h \circ ((p_0 \circ \llbracket \lambda x.P \rrbracket_{\Delta}) \cdot \llbracket Q \rrbracket_{\Delta}) \\
&= p_h \circ (p_0 \circ (\llbracket \lambda x.P \rrbracket_{\Delta} \cdot \sigma_\epsilon)) \\
&\quad (\text{by lemma 2}) \\
&= p_h \circ (p_0 \circ (\llbracket \lambda x.P \rrbracket_{\Delta} \cdot \llbracket \Omega \rrbracket_{\Delta})) \\
&= p_0 \circ (\llbracket P[x/\Omega] \rrbracket_{\Delta})
\end{aligned}$$

4. Rule $(\beta_{i,j})$ follows immediately from Proposition 2.2.c

Proof of Theorem 5

Since $\llbracket A \rrbracket_{\Delta}^{D^*} \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*}$ for each approximant A and $\mathcal{A}(M)$ is a strongly directed set of strategies we get $\sqcup \{ \llbracket A \rrbracket_{\Delta}^{D^*} \mid A \in \mathcal{A}(M) \} \sqsubseteq \llbracket M \rrbracket_{\Delta}^{D^*}$. Since erasing the indexes from an indexed normal term Q we get an approximant of M , see [20], by lemma 7 we get the equality. \square