

RESEARCH ARTICLE

# Star operations on numerical semigroups: the multiplicity 3 case

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**Abstract** We prove an explicit formula for the number of star operations on numerical semigroups of multiplicity 3 in terms of the generators of the semigroup. We also estimate the number of semigroups of multiplicity 3 with exactly n star operations.

Keywords Numerical semigroups · Star operations · Pseudosymmetric semigroups

# 1 Introduction

The notion of star operation was born in the context of the multiplicative theory of ideals, as a generalization of the divisorial closure (or *v*-operation) [6,11]. The problem of counting the number of star operations on a given domain has been recently solved in some special cases, such as *h*-local Prüfer domains [7], pseudo-valuation domains [13] and some classes of one-dimensional Noetherian domains [8,9]. In the latter case, there is often much interplay between local rings and their value semigroups (see e.g. [2–4,12]); in particular, semigroup rings in the form  $K[[X^S]] := K[[\{X^s : s \in S\}]]$  (where *K* is a field and *S* is a numerical semigroup) are a rich source of examples, either for studying star operations [8,9] or the related case of semiprime operations [19].

Star operations were subsequently defined on semigroups as a way to generalize certain ring-theoretic definitions [10]. The study of the case of numerical semigroups was undergone in [18], where it was shown that, if n > 1, there are only a finite number of numerical semigroups with exactly *n* star operations; however, this result

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was obtained not through a precise counting, but through estimates. Like in other cases [5,14,15], the problem of obtaining an exact counting becomes simpler if we fix a low multiplicity: since the cases of multiplicity 1 and 2 are trivial (the former containing only  $\mathbb{N}$  and the latter consisting only of symmetric semigroups, which have only one star operation), the goal of this paper is to tackle semigroups of multiplicity 3. We prove (Theorem 7.6) a direct formula for the number of star operations in terms of the generators of the semigroup, which in particular allows, for any integer *n*, to obtain fairly quickly an explicit list of the semigroups of multiplicity 3 with exactly *n* star operations.

The structure of the paper is as follows: Sect. 3 introduces an order on the set of non-divisorial ideals of a numerical semigroup *S*; in Sect. 4 is introduced a graphical representation of the ideals between *S* and  $\mathbb{N}$ , which is used in Sect. 6 to find explicitly the set of ideals closed by a principal star operations. Section 7 contains the main theorem of the paper, while Sect. 8 presents some estimates on the number of numerical semigroups with exactly *n* star operations.

#### 2 Background and notation

Like [18], the notation and the terminology of this paper follow [4]; for further informations about numerical semigroups, the reader may consult [16].

A numerical semigroup is a subset  $S \subseteq \mathbb{N}$  such that  $0 \in S$ ,  $a + b \in S$  for every  $a, b \in S$  and such that  $\mathbb{N} \setminus S$  is finite. If  $a_1, \ldots, a_n$  are natural numbers,  $\langle a_1, \ldots, a_n \rangle$  denotes the semigroup generated by  $a_1, \ldots, a_n$ , i.e., the set  $\{\lambda_1 a_1 + \cdots + \lambda_n a_n : \lambda_i \in \mathbb{N}\}$ .

A *fractional ideal* (or simply an *ideal*) of *S* is a nonempty subset  $I \subseteq S$  such that  $i + s \in S$  for every  $i \in I$ ,  $s \in S$ , and such that  $d + I \subseteq S$  for some  $d \in \mathbb{Z}$ . We denote by  $\mathcal{F}(S)$  the set of fractional ideals of *S*, and by  $\mathcal{F}_0(S)$  the set of fractional ideals contained between *S* and  $\mathbb{N}$  or, equivalently, the set of fractional ideals whose minimal element is 0. Note that, if *I* is an ideal, *I* is bounded below and  $I - \min(I) \in \mathcal{F}_0(S)$ . The intersection of a family of ideals, and the union of a finite family of ideals, is an ideal. If *I*, *J* are ideals of *S*, then  $(I - J) := \{x \in \mathbb{Z} : x + J \subseteq I\}$  is an ideal; moreover, if  $I, J \in \mathcal{F}_0(S)$  then  $(I - J) \subseteq \mathbb{N}$ .

The *Frobenius number* g(S) of a numerical semigroup *S* is the biggest element of  $\mathbb{Z} \setminus S$ , while the *degree of singularity*  $\delta(S)$  is the cardinality of  $\mathbb{N} \setminus S$ . The *multiplicity*  $\mu(S)$  is the smallest positive integer in *S*.

A star operation on S is a map  $* : \mathcal{F}(S) \longrightarrow \mathcal{F}(S), I \mapsto I^*$ , such that, for any  $I, J \in \mathcal{F}(S), a \in \mathbb{Z}$ , the following properties hold:

(a) 
$$I \subseteq I^*$$
;  
(b) if  $I \subseteq J$ , then  $I^* \subseteq J^*$ ;  
(c)  $(I^*)^* = I^*$ ;  
(d)  $a + I^* = (a + I)^*$ ;

(e)  $S^* = S$ .

An ideal *I* such that  $I = I^*$  is said to be \*-closed. The set of \*-closed ideals is denoted by  $\mathcal{F}^*(S)$ ; \* is uniquely determined by  $\mathcal{F}^*(S)$ , and even by  $\mathcal{F}^*(S) \cap \mathcal{F}_0(S)$ . The set of star operation on *S* is denoted by Star(*S*). Star(*S*) has a natural ordering, where  $*_1 \leq *_2$  if and only if  $I^{*_1} \subseteq I^{*_2}$  for every ideal *I* or, equivalently, if and only if  $\mathcal{F}^{*_1} \supseteq \mathcal{F}^{*_2}$ . With this ordering, its minimum is the identity star operation (usually denoted by *d*), while the maximum is the star operation  $I \mapsto (S - (S - I))$ , usually denoted by *v*. Ideals that are *v*-closed are commonly said to be *divisorial*. We denote by  $\mathcal{G}_0(S)$  the set of nondivisorial ideals *I* such that min I = 0, that is,  $\mathcal{G}_0(S) := \mathcal{F}_0(S) \setminus \mathcal{F}^v(S)$ .

## 3 Ordering and antichains

Every set  $\Delta$  of ideals of *S* defines a star operation  $*_{\Delta}$  such that, for every ideal *J* of *S*,

$$J^{*\Delta} := J^{v} \cap \bigcap_{I \in \Delta} (I - (I - J)) = J^{v} \cap \bigcap_{I \in \Delta} \bigcap_{\alpha \in (I - J)} (-\alpha + I).$$
(1)

(For the equivalence of the two representations, see [18, Proposition 3.6].) Equivalently,  $*_{\Delta}$  can be defined as the biggest star operation \* such that every element of  $\Delta$  is \*-closed. We call  $*_{\Delta}$  the star operation generated by  $\Delta$ . Denoting  $*_{II}$  as  $*_{I}$ , we see that  $*_{\Delta} = \inf_{I \in \Delta} *_{I}$ . It is rapidly seen that  $*_{I} = *_{a+I}$  for every ideal I and every integer a, so that we can always suppose  $\Delta \subseteq \mathcal{F}_{0}(S)$ , or even  $\Delta \subseteq \mathcal{G}_{0}(S)$ , since  $*_{I} = v$  when I is divisorial.

A major problem is to find conditions under which two different sets of ideals generate different star operations. In general, it is possible that  $*_{\Delta} = *_{\Lambda}$  while  $\Delta \neq \Lambda$ : the simplest example is maybe the case  $\Lambda = \Delta \setminus \{J\}$ , where *J* is a divisorial ideal. The non-unicity persists even if we discard divisorial ideals: in fact, whenever *J* is  $*_{I}$ -closed, both  $\{I\}$  and  $\{I, J\}$  define the same star operation.

**Definition 3.1** Let *S* be a numerical semigroup and let  $I, J \in \mathcal{G}_0(S)$ . We say that *I* is *\*-minor* than *J*, and we write  $I \leq_* J$ , if  $*_I \geq *_J$  or, equivalently, if *I* is  $*_J$ -closed.

By [18, Theorem 3.8], if  $I, J \in \mathcal{G}_0(S)$  and  $I \neq J$  then  $*_I \neq *_J$ . In particular,  $\leq_*$  is antisymmetric, and so it is an order on  $\mathcal{G}_0(S)$ .

By [18, Corollary 4.5],  $(\mathcal{G}_0, \leq_*)$  has a maximum,  $M_g := \{x \in \mathbb{N} : g - x \notin S\}$ , but it has not (in general) a minimum, since the biggest star operation is v, and we are considering only operations generated by non-divisorial ideals. However, since the set  $\mathcal{G}_0$  is finite, there are always minimal elements: more precisely, I is a minimal element if and only if  $\mathcal{F}^{*_I} = \mathcal{F}^v \cup \{n + I : n \in \mathbb{Z}\}$ . For example, if  $S = \{0, \mu, \ldots\}$ , then every ideal in the form  $I = \{0, a, \ldots\}$  (with  $1 < a < \mu$ ) is a minimal element of  $(\mathcal{G}_0, \leq_*)$ .

If a star operation \* closes an ideal I, then each ideal \*-minor than I is \*-closed. It follows that the set  $\mathcal{A}(*) := \max_*(\mathcal{F}^* \cap \mathcal{G}_0)$  is uniquely determined by \* (where  $\max_*$  denotes the maximum with respect to the  $\leq_*$ -ordering). The set  $\mathcal{A}(*)$  is an example of antichain:

**Definition 3.2** Let  $(\mathcal{P}, \leq)$  be a partially ordered set. An *antichain* of  $\mathcal{P}$  is a set  $\Delta \subseteq \mathcal{P}$  such that no two members of  $\Delta$  are comparable.

Let  $\Omega(\mathcal{P})$  be the set of antichains of  $\mathcal{P}$ . By the previous observations, we have an injective map  $\mathcal{A}$ : Star(S)  $\longrightarrow \Omega(\mathcal{G}_0(S))$ , given by  $* \mapsto \mathcal{A}(*)$ ; conversely, (1) defines a map  $*: \Omega(\mathcal{G}_0(S)) \longrightarrow$  Star(S) which sends  $\Delta$  into  $*_{\Delta}$ . It is clear that  $*_{\mathcal{A}(*_{\Delta})} = *_{\Delta}$ 

for every  $\Delta \subseteq \mathcal{G}_0(S)$ ; therefore,  $* \circ \mathcal{A}$  is the identity on Star(S), and \* is a surjective map. We shall show in Corollary 6.5 that, when  $\mu = 3$ ,  $\mathcal{A}$  and \* are bijective.

#### 4 The graphical representation

The remainder of this article will deal excusively with semigroups of multiplicity 3. The following trivial observation is the basis of all our method.

**Proposition 4.1** Let *S* be a numerical semigroup of multiplicity 3, and *I* a fractional ideal of *S*. Then, there are uniquely determined  $a, b, c \in \mathbb{Z}$  such that  $I = (3a + 1 + 3\mathbb{N}) \cup (3b + 2 + 3\mathbb{N}) \cup (3c + 3\mathbb{N})$ . If  $I \in \mathcal{F}_0(S)$ , then c = 0.

*Proof* Since *I* is a fractional ideal of *S*, *I* is bounded below. Let a', b', c' be the minimal elements of *I* congruent (respectively) to 1, 2 and 0 modulo 3: defining *a*, *b*, *c* as the integers such that a' = 3a + 1, b' = 3b + 2 and c' = 3c we obtain what we need, since  $3 \in S$  implies that if  $x \in I$  then also  $x + 3 \in I$ . If moreover  $I \in \mathcal{F}_0(S)$ , then  $0 \in I$ , so that  $c \leq 0$ , but  $I \subseteq \mathbb{N}$ , and thus  $c \geq 0$ .

In particular, the above proposition applies when I = S: in this case, we use  $\alpha$  and  $\beta$  instead of a and b, that is, we shall put  $S = (3\alpha + 1 + 3\mathbb{N}) \cup (3\beta + 2 + 3\mathbb{N}) \cup 3\mathbb{N}$ . In particular, we have  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$ .

Let  $I \in \mathcal{F}_0(S)$ . If  $I = (3a+1+3\mathbb{N}) \cup (3b+2+3\mathbb{N}) \cup 3\mathbb{N}$ , then we set [a, b] := I. We note that  $\mathbb{N} = [0, 0]$  and  $S = [\alpha, \beta]$ .

**Proposition 4.2** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup of multiplicity 3, and suppose that  $\alpha \leq \beta$ .

- (a) If  $I = [a, b] \in \mathcal{F}_0(S)$ , then  $0 \le a \le \alpha$ ,  $0 \le b \le \beta$  and  $-\alpha \le b a \le \alpha$ .
- (b) Conversely, if a, b are integers,  $0 \le a \le \alpha$ ,  $0 \le b \le \beta$  and  $b a \le \alpha$ , then I = [a, b] for some  $I \in \mathcal{F}_0(S)$ .
- *Proof* (a) Suppose I = [a, b]. Since  $I \subseteq \mathbb{N}$ ,  $a, b \ge 0$  and, since  $S \subseteq I$ , we have  $3\alpha + 1, 3\beta + 2 \in I$ , and thus  $a \le \alpha, b \le \beta$ . In particular,  $b a \ge 0 \alpha = -\alpha$ . If  $b a > \alpha$ , then

$$3a + 1 + 3\alpha + 1 = 3(a + \alpha) + 2 < 3(a + b - a) + 2 < 3b + 2$$

and thus  $3a+1+3\alpha+1 \notin I$ , while we should have  $3a+1+3\alpha+1 \in 3a+1+S \subseteq I + S \subseteq I$ . Hence  $b-a \leq \alpha$ .

(b) Let  $I := (3a + 1 + 3\mathbb{N}) \cup (3b + 2 + 3\mathbb{N}) \cup \mathbb{N}$ ; we have to prove that I is indeed an ideal, and to do this it is enough to show that I + 3,  $I + 3\alpha + 1$  and  $I + 3\beta + 2$ belong to I. Clearly  $I + 3 \subseteq I$ ; for  $3\alpha + 1$ , note that

$$3b + 2 + 3\mathbb{N} + 3\alpha + 1 = 3(b + \alpha + 1) + 3\mathbb{N} \subseteq S$$

since  $b + \alpha + 1 \ge \alpha + 1 \ge 0$ , while  $3\alpha + 1 + 3\mathbb{N} \subseteq I$  since  $a \ge \alpha$ . Moreover,

$$3a + 1 + 3\mathbb{N} + 3\alpha + 1 = 3(a + \alpha) + 2 + 3\mathbb{N} \subseteq I$$

since  $a + \alpha \ge a + b - a = b$ . Analogously,  $3a + 1 + 3\mathbb{N} + 3\beta + 2 \subseteq I$  and  $3\mathbb{N} + 3\beta + 2 \subseteq I$ , while

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$$3b + 2 + 3\mathbb{N} + 3\beta + 2 = 3(b + \beta + 1) + 1 + 3\mathbb{N} \subset I$$

since  $b + \beta + 1 \ge \beta \ge \alpha \ge a$ .

Simmetrically, we have:

**Proposition 4.3** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup of multiplicity 3, and suppose that  $\alpha \ge \beta$ .

- (1) If  $I = [a, b] \in \mathcal{F}_0(S)$ , then  $0 \le a \le \alpha$ ,  $0 \le b \le \beta$  and  $-\beta \le a b \le \beta + 1$ .
- (2) Conversely, if a, b are integers,  $0 \le a \le \alpha$ ,  $0 \le b \le \beta$  and  $a b \le \beta + 1$ , then I = [a, b] for some  $I \in \mathcal{F}_0(S)$ .

*Proof* It is enough to repeat the proof of Proposition 4.2.

Suppose *S* is a numerical semigroup of multiplicity 3. If  $I = [a, b] \in \mathcal{F}_0(S)$ , then we can represent *I* by the point (a, b) in the lattice  $\mathbb{Z} \times \mathbb{Z}$  of the integral points of the plane, and Propositions 4.2 and 4.3 determines the image of  $\mathcal{F}_0(S)$ : firstly, the bounds  $0 \le a \le \alpha$  and  $0 \le b \le \beta$  shows that it will be contained in the rectangle whose vertices are  $[0, 0], [0, \beta], [\alpha, 0]$  and  $[\alpha, \beta]$ . Moreover, since each "ascending" diagonal (i.e., each diagonal going from the lower left to the upper right of the rectangle) is characterized by the quantity b - a, we see that if  $\alpha \le \beta$  then the image of  $\mathcal{F}_0(S)$ will lack the upper left corner of the rectangle (the points with  $b - a > \alpha$ ) while if  $\alpha \ge \beta$  then we have to "cut" the lower right corner. In the case  $\alpha = \beta$ ,  $\mathcal{F}_0(S)$  will be represented by the whole rectangle (that will, indeed, be a square). Thus,  $\mathcal{F}_0(S)$ will be represented by a polygon vaguely similar to a trapezoid, like the one showed in Fig. 1; we shall often identificate an ideal with the point corresponding to it in this graphical representation.

**Proposition 4.4** Let S be a numerical semigroup of multiplicity 3 and let [a, b], [a', b'] be ideals in  $\mathcal{F}_0(S)$ . Then:

(a)  $[a, b] \subseteq [a', b']$  if and only if  $a \ge a'$  and  $b \ge b'$ ; (b)  $[a, b] \cap [a', b'] = [\max\{a, a'\}, \max\{b, b'\}];$ (c)  $[a, b] \cup [a', b'] = [\min\{a, a'\}, \min\{b, b'\}].$ 

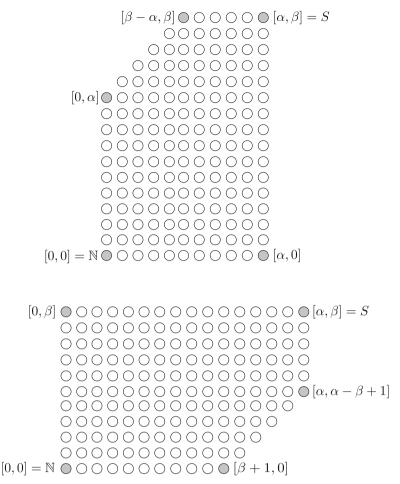
Proof Straightforward.

**Definition 4.5** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$ .

- $\Sigma^0$  is the ascending diagonal that contains  $S = [\alpha, \beta]$ , i.e., the diagonal such that  $b a = \beta \alpha$ .
- $\Sigma^+ := \{ [a, b] \in \mathcal{F}_0(S) : b a > \beta \alpha \}.$
- $\Sigma^{-} := \{[a, b] \in \mathcal{F}_{0}(S) : b a < \beta \alpha\}.$

The notation  $\Sigma^+$  and  $\Sigma^-$  is chosen to highlight the position of the two sets in the graphical representation.

**Lemma 4.6** Let S be a numerical semigroup of multiplicity 3. The sets  $\Sigma^+$ ,  $\Sigma^-$ ,  $\Sigma^0$ ,  $\Sigma^+ \cup \Sigma^0$  and  $\Sigma^- \cup \Sigma^0$  are closed by intersections.



**Fig. 1** Graphical representation of the ideals of a semigroup of multiplicity 3: above, the case  $\alpha \leq \beta$ ; below, the case  $\alpha \geq \beta$ 

*Proof*  $\Sigma^0$  is linearly ordered, so this case is trivial.

Let  $[a, b], [a', b'] \in \Sigma^+$ , and suppose without loss of generality  $a \le a', b \ge b'$  (if  $b \le b'$ , then  $[a, b] \supseteq [a', b']$ ). Then  $[a, b] \cap [a', b'] = [a, b']$ , and  $b' - a \ge b' - a' > \beta - \alpha$ , and thus  $[a, b'] \in \Sigma^+$ .

For  $\Sigma^-$ , in the same way, if  $[a, b] \cap [a', b'] = [a, b']$ , then  $b' - a \le b - a < \beta - \alpha$ and  $[a, b'] \in \Sigma^-$ .

If  $[a, b] \in \Sigma^+$  and  $[a', b'] \in \Sigma^0$ , then  $b' = a' + \beta - \alpha$  and  $b > a + \beta - \alpha$ ; hence  $\min\{b, b'\} \ge \min\{a, a'\} + \beta - \alpha$  and  $[a, b] \cap [a', b'] \in \Sigma^+ \cap \Sigma^0$ .

Analogously, if  $[a, b] \in \Sigma^-$  and  $[a', b'] \in \Sigma^0$ , then  $\min\{b, b'\} \le \min\{a, a'\} + \beta - \alpha$ and  $[a, b] \cap [a', b'] \in \Sigma^- \cap \Sigma^0$ .

# **5** Shifting ideals

**Definition 5.1** If  $I \in \mathcal{F}_0(S)$  and  $k \in I$ , the *k*-shift of *I*, denoted by  $\rho_k(I)$ , is the ideal  $(I - k) \cap \mathbb{N}$ .

It is clear that, if  $\rho_k(I)$  is defined, then it is contained in  $\mathcal{F}_0(S)$ , since 0 belongs to  $\rho_k(I)$ . Since  $3k \in S \subseteq I$  for every  $k \in \mathbb{N}$ , the 3*k*-shift (and in particular the 3-shift) is always defined.

It is straightforward to see that, if  $a, a + b \in I$ , then  $\rho_b(\rho_a(I)) = \rho_{a+b}(I)$ . Therefore, applying repeatedly the 3-shift, we can always write  $\rho_k(I)$  as  $\rho_r \circ \rho_3^q(I)$ , where  $r \in \{0, 1, 2\}$  is congruent to *k* modulo 3. Hence, the study of the shifts reduces to the study of  $\rho_1, \rho_2$  and  $\rho_3$ .

**Lemma 5.2** Let *S* be a numerical semigroup of multiplicity 3 and let I = [a, b] be an ideal in  $\mathcal{F}_0(S)$ .

- (a)  $\rho_3(I) = [\max\{0, a 1\}, \max\{0, b 1\}];$  in particular, if a, b > 0, then  $\rho_3(I) = [a 1, b 1].$
- (b)  $\rho_1(I)$  is defined if and only if a = 0, and in this case  $\rho_1(I) = [b, 0]$ .
- (c)  $\rho_2(I)$  is defined if and only if b = 0, and in this case  $\rho_2(I) = [0, a 1]$ .

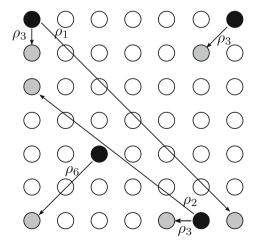
In terms of the graphical representation, this means that  $\rho_1$  and  $\rho_2$  swap the *x*-axis  $\{[a, 0] : 0 \le a \le \min\{\alpha, \beta + 1\}\}$  and the *y*-axis  $\{[0, b] : 0 \le b \le \min\{\alpha, \beta\}\}$ . On the other hand,  $\rho_3$  moves the ideals one step closer to the origin (Fig. 2).

*Proof* Write  $I = 3\mathbb{N} \cup (3a+1+3\mathbb{N}) \cup (3b+2+3\mathbb{N})$ . Then,

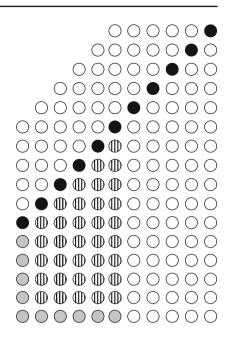
- $I 3 = (-3 + 3\mathbb{N}) \cup (3(a 1) + 1 + 3\mathbb{N}) \cup (3(b 1) + 2 + 3\mathbb{N}),$
- $I 1 = 3a\mathbb{N} \cup (3b + 1 + 3\mathbb{N}) \cup (2 + 3\mathbb{N}),$
- $I 2 = 3b\mathbb{N} \cup (1 + 3\mathbb{N}) \cup (3(a 1) + 2 + 3\mathbb{N}).$

If  $\rho_1(I)$  (respectively,  $\rho_2(I)$ ) is defined, then we must have  $0 \in 3a\mathbb{N}$ , and thus a = 0 (resp.,  $0 \in 3b\mathbb{N}$ , and thus b = 0). The lemma now follows from the definition of [x, y].

Fig. 2 Action of the shifts



#### Fig. 3 Divisorial and nondivisorial ideals. *Black circles* represent ideals of $\Sigma^0$ , *gray circles* other ideals in the form $\rho_x(S)$ , *striped circles* are intersections of *black* and *gray* ideals. *White circles* represent non-divisorial ideals



# 6 Principal star operations

**Lemma 6.1** Let *S* be a numerical semigroup of multiplicity 3 and  $\Delta \subseteq \mathcal{F}_0(S)$ . Then  $\Delta + \mathbb{Z} := \{d + I : d \in \mathbb{Z}, I \in \Delta\}$  is the set of closed ideals of a star operations if and only if  $S \in \Delta$ ,  $\Delta$  is closed by intersections and  $\rho_k(I) \in \Delta$  whenever  $I \in \Delta$  and  $\rho_k(I)$  is defined.

*Proof* It is merely a restatement of [18, Lemma 3.3].

We state separetely a corollary to underline a property which we will use many times:

**Corollary 6.2** Let S be a numerical semigroup of multiplicity 3,  $I \in \mathcal{F}_0(S)$ ,  $k \in I$  and  $* \in \text{Star}(S)$ . If I is \*-closed, so is  $\rho_k(I)$ .

**Proposition 6.3** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup of multiplicity *3. Then:* 

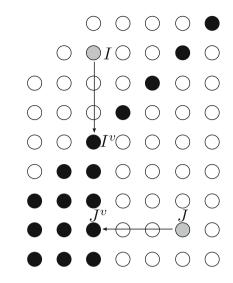
(a) if  $\alpha \leq \beta$ , then  $\mathcal{F}^{v}(S) \cap \mathcal{F}_{0}(S) = \Sigma^{0} \cup \{[a, b] \in \Sigma^{-} : a \leq \beta - \alpha\};$ (b) if  $\alpha \geq \beta$ , then  $\mathcal{F}^{v}(S) \cap \mathcal{F}_{0}(S) = \Sigma^{0} \cup \{[a, b] \in \Sigma^{+} : b \leq \alpha - \beta - 1\}.$ 

*Proof* We will prove only the case  $\alpha \leq \beta$  (pictured in Fig. 3); the proof for  $\alpha \geq \beta$  is entirely analogous.

Let  $\Delta$  be the set on the right hand side. We will show that  $\Delta$  verifies the hypotheses of Lemma 6.1 (so that  $\Delta = \mathcal{F}^*(S) \cap \mathcal{F}_0(S)$  for some star operation \*), and that each  $I \in \Delta$  is divisorial: since  $v \ge *$  for every  $* \in \text{Star}(S)$ , the claim will follow.

If  $[a, b] \in \Sigma^0$ , then  $[a, b] = [\alpha - k, \beta - k] = \rho_{3k}(S)$  for some  $k \in \mathbb{N}$ , so that [a, b] is divisorial. In particular,  $[0, \beta - \alpha] \in \mathcal{F}^v(S)$ . Therefore,  $[0, \beta - \alpha - x] = \rho_{3x}([0, \beta - \alpha])$ 

Fig. 4 Divisorial closure of ideals



is divisorial for every  $x \ge 0$ , and so is  $[\beta - \alpha - x, 0] = \rho_1([0, \beta - \alpha - x])$ . Let  $[a, b] \in \Sigma^-$  such that  $a \le \beta - \alpha$ . If  $b \le \beta - \alpha$ , then  $[a, b] = [a, 0] \cap [0, b]$  is the intersection of two divisorial ideals; if  $b > \beta - \alpha$ , then  $[a, b] = [a, 0] \cap [b - (\beta - \alpha), b]$ , and the latter is divisorial since it belongs to  $\Sigma^0$ . Hence  $\mathcal{F}^v \subseteq \Delta$ .

Let now  $[a, b], [a', b'] \in \Delta$ ; if they are both in  $\Sigma^0$  they are comparable, and thus the intersection is in  $\Delta$ . If  $[a, b] \in \Sigma^-$ , then by Lemma 4.6 its intersection with [a', b']is in  $\Sigma^- \cup \Sigma^0$ ; moreover, min $\{a, a'\} \le a \le \beta - \alpha$ , and thus  $[a, b] \cap [a', b'] \in \Delta$ .

It is clear that  $\rho_3(I) \in \Delta$  whenever  $I \in \Delta$ , since  $\rho_3([a, b]) \in \Sigma^0$  if  $[a, b] \in \Sigma^0$ and a > 0, while  $\rho_3([0, \beta - \alpha]) = [0, \beta - \alpha - 1] \in \Delta$ ; if  $[a, b] \in \Delta \setminus \Sigma^0$ , then  $\rho_3([a, b]) = [\max\{a - 1, 0\}, \max\{b - 1, 0\}]$ , and  $\max\{a - 1, 0\} \leq a$ , so that  $\rho_3([a, b]) \in \Delta$ .

By the discussion in Sect. 5, we only need to show that  $\rho_1([0, c]), \rho_2([c, 0]) \in \Delta$ if [0, c] or [c, 0] are in  $\Delta$ . However, excluding the case c = 0 (which is trivial), we have  $\rho_1([0, c]) = [c, 0]$  and  $\rho_2([c, 0]) = [0, c - 1]$ , and since  $c \leq \beta - \alpha$  we have  $[c, 0], [0, c - 1] \in \Delta$ .

**Lemma 6.4** Let S be a semigroup of multiplicity 3, and let  $I \in \mathcal{F}(S)$ . Then, the set of ideals between I and  $I^{v}$  is linearly ordered.

*Proof* If  $[a, b] \in \Sigma^0$ , then it is divisorial.

Suppose  $[a, b] \in \Sigma^+$ . Then,  $\rho_{3(\alpha-a)}([\alpha, \beta]) = [a, \min\{\beta - \alpha + a, 0\}]$ . However,  $\beta - \alpha + a \le b - a + a = b$ , and thus  $[a, b] \subseteq [a, b'] = \rho_{3(\alpha-a)}(S)$ . However, the ideals between [a, b] and [a, b'] are linearly ordered, and  $\rho_{3x}(S)$  is always divisorial (by Corollary 6.2); hence  $[a, b]^v \subseteq [a, b']$  and the ideals between [a, b] and  $[a, b]^v$ are linearly ordered (Fig. 4).

If  $[a, b] \in \Sigma^-$ , then in the same way  $[a, b]^v \subseteq \rho_{3(\beta-b)}([\alpha, \beta]) = [a', b]$  for some  $a' \leq a$ , and the claim follows.

**Corollary 6.5** Let S be a semigroup of multiplicity 3. Then, the maps A and \* (defined at the end of Sect. 3) are bijections, and |Star(S)| is equal to the number of antichains of  $(\mathcal{G}_0(S), \leq_*)$ .

*Proof* We need to show that, given two antichains  $\Delta \neq \Lambda$  of  $\mathcal{G}_0(S)$ , we have  $*_{\Delta} \neq *_{\Lambda}$ . Suppose not, and suppose (without loss of generality) that there exists an  $I \in \Delta \setminus \Lambda$ . Then,  $I = I^{*_{\Delta}} = I^{*_{\Lambda}} = \bigcap_{L \in \Lambda} I^{*_{L}}$ . Since  $I \subseteq I^* \subseteq I^v$  for every  $* \in \text{Star}(S)$ , and the set of ideals between I and  $I^v$  is linearly ordered, there is an  $J \in \Lambda$  such that  $I^{*_J} = I$ ; it follows that  $I \leq_* J$ . Analogously, since  $J = J^{*_{\Lambda}} = J^{*_{\Lambda}}$ , there is a  $I' \in \Delta$  such that  $J \leq_* I'$ . Since  $\Delta$  is an antichain in the \*-order, it follows that I = I' = J, and thus  $I \in \Lambda$ , against the hypothesis. Therefore,  $*_{\Delta} \neq *_{\Lambda}$ .

**Corollary 6.6** Let S be a semigroup of multiplicity 3 and let  $I, J \in \mathcal{F}_0(S) \cap \mathcal{F}^*(S)$ for some  $* \in \text{Star}(S)$ . Then,  $I \cup J$  is \*-closed.

*Proof* Let I = [a, b] and J = [a', b']. Without loss of generality, we can suppose a < a' and b > b' (if  $b \le b'$ , then  $I \supseteq J$  and  $I \cup J = I$ ). Then,  $I \cup J = [a, b']$ .

Suppose  $I \cup J \in \Sigma^+$ . Then, since a - b < a - b', it follows that  $I \in \Sigma^+$ . Hence,  $[a, b'] = \rho_{3(b-b')}(I) \cap I^v$ , and thus  $[a, b'] \in \Sigma^+$ . Analogously, if  $I \cup J \in \Sigma^-$ , then  $J \in \Sigma^-$  and  $[a, b'] = \rho_{3(a'-a)}(J) \cap J^v$ . In both cases,  $I \cup J$  is  $*_I$ - or  $*_J$ -closed, and in particular, since  $* \le *_I \land *_J$ , it is \*-closed.

Note that the hypothesis  $I, J \in \mathcal{F}_0(S)$  is necessary: for example, if  $S = \langle 3, 5, 7 \rangle$ ,  $I = S, J = 4 + \mathbb{N}$ , then both I and J are divisorial, but  $I \cup J = S \cup \{4\}$  while  $(I \cup J)^v = (S - M) = S \cup \{2, 4\}.$ 

**Lemma 6.7** Let *S* be a numerical semigroup of multiplicity 3, and let  $I, J \in \mathcal{F}(S)$ such that *J* is  $*_I$ -closed. There are  $\gamma_0, \gamma_1, \gamma_2 \in \mathbb{N}, \gamma_i \equiv i \mod 3$ , such that  $J^{*_I} = J^v \cap (-\gamma_0 + I) \cap (-\gamma_1 + I) \cap (-\gamma_2 + I)$ . In particular, if  $I, J \in \mathcal{F}_0(S)$ , then there are  $\gamma_i$  such that  $J^{*_I} = J^v \cap \rho_{\gamma_0}(I) \cap \rho_{\gamma_1}(I) \cap \rho_{\gamma_2}(I)$ .

*Proof* Since J is  $*_I$ -closed, using (1) we have  $J = J^v \cap \bigcap_{\gamma \in (I-J)} -\gamma + I$ ; separing the  $\gamma$  according to their residue class modulo 3 we have

$$J = J^{\nu} \cap \bigcap_{\gamma \in \Gamma_0} (-\gamma + I) \cap \bigcap_{\gamma \in \Gamma_1} (-\gamma + I) \cap \bigcap_{\gamma \in \Gamma_2} (-\gamma + I),$$

where  $\Gamma_i := (I - J) \cap (i + 3\mathbb{Z})$ ; since  $(I - J) \subseteq \mathbb{N}$ , each  $\Gamma_i$  has a minimum. However, if  $\gamma, \delta \in \Gamma_i$ , then either  $-\gamma + I \subseteq -\delta + I$  or  $-\delta + I \subseteq -\gamma + I$ ; therefore it is enough to take  $\gamma_i := \min \Gamma_i$ .

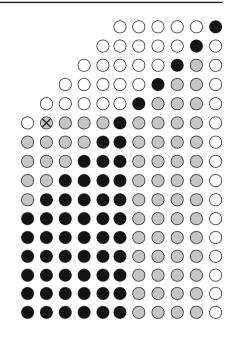
For the "in particular" statement, note that both *J* and  $J^v$  are contained in  $\mathbb{N}$ , so that the intersection does not change substituing  $-\gamma_i + I$  with  $-\gamma_i + I \cap \mathbb{N} = \rho_{\gamma_i}(I)$ .

We proceed to determine explicitly the set of ideals closed by a principal star operation (Fig. 5).

**Proposition 6.8** Let *S* be a numerical semigroup of multiplicity 3, and let I = [a, b] be an ideal.

• If  $[a, b] \in \Sigma^+$ , then  $\mathcal{F}^{*_I} \cap \Sigma^+ = \{[c, d] : d \le b, d - c \le b - a\}.$ 

**Fig. 5** The set of divisorial ideals (in *black*) and of non-divisorial  $*_I$ -closed ideals (in *gray*), where *I* is the marked ideal



• If  $[a, b] \in \Sigma^-$ , then  $\mathcal{F}^{*_I} \cap \Sigma^- = \{[c, d] : c \le a, d - c \ge b - a\}$ .

*Proof* Suppose  $[a, b] \in \Sigma^+$ , and let  $[c, d] \in \Sigma^+$  such that  $d \le b$  and  $d - c \le b - a$ . Then,  $\rho_{3(b-d)}([a, b]) = [a - (b - d), b - (b - d)] = [a - b + d, d]$  is  $*_{[a,b]}$ -closed; moreover,  $a - b + d \ge c - d + d = c$ , and thus  $[c, d] = [a - b + d, d] \cap [c, c']$ , where  $c' - c = \beta - \alpha$  (i.e.,  $c' = c + \beta - \alpha$ ), so that  $[c, c'] \in \Sigma^0$  is divisorial, and [c, d] is  $*_{[a,b]}$ -closed.

Conversely, let  $\Delta := (\mathcal{F}^{*_I} \cap \Sigma^+) \setminus \{[c, d] : d \leq b, d - c \leq b - a\}$  and suppose  $\Delta \neq \emptyset$ . Note that, by Proposition 6.3,  $\mathcal{F}^v(R) \cap \Delta = \emptyset$ . Let *B* be the maximum *b'* such that  $[a', b'] \in \Delta$  for some *a'*, and let *A* be the minimum *a'* such that  $[a', B] \in \Delta$ . Let J := [A, B].

By Lemma 6.7,  $J = J^v \cap I_0 \cap I_1 \cap I_2$ , where  $I_i := \rho_{\gamma_i}(I) = [a_i, b_i]$ . Since  $J^v = [A, b'']$  for some b'' < B, at least one of the  $b_i$  must be equal to B. We have  $I_i \in \Sigma^+$ : indeed, if  $I \in \Sigma^0$  it is divisorial, while if  $I_i \in \Sigma^-$  then  $L := [B - \beta + \alpha, B] \in \Sigma^0$  is divisorial and is contained between J and  $I_i$ : in both cases,  $J^v \subseteq I_i$ , so that  $J^v \subseteq [A, b''] \cap [a_i, B] = [A, B] = J$ , and J is divisorial, against  $J \in \Delta$ . Since  $J \subseteq [a_i, B]$ , we have  $a_i \leq A$ . Suppose  $a_i < A$ : then, by definition of A,  $I_i \notin \Delta$ . However,  $I_i$  is  $*_I$ -closed: hence,  $B \leq b$  and  $B - a_i \leq b - a$ . But  $B - a_i \geq B - A$ , so that  $B - A \leq b - a$ ; this would imply  $J \notin \Delta$ , against its definition. Therefore  $a_i = A$ , and  $J = I_i$ . However:

(1) if *i* = 0, then *b<sub>i</sub>* ≤ *b*, and *b<sub>i</sub>* − *a<sub>i</sub>* = *b* − *a*;
(2) if *i* = 1, then *I<sub>i</sub>* ∈ Σ<sup>−</sup>;
(3) if *i* = 2, then [*a<sub>i</sub>*, *b<sub>i</sub>*] = [0, 0] (since *J* ∈ Σ<sup>+</sup>). Therefore, Δ = Ø.

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If  $[a, b] \in \Sigma^-$ , we can use the same method reversing the rôle of a and b: we choose first A as the maximum a' such that  $[a', b'] \in \Delta$  for some b', and then B as the minimum b' such that  $[A, b'] \in \Delta$ . It follows as above that  $[a_i, b_i] = [A, B]$  for some *i*, and  $I_i \in \Sigma^-$ ; moreover, if i = 0 then  $[a_i, b_i] \notin \Delta$ , if i = 1 then  $[a_i, b_i] = [0, 0]$ and if i = 2 then  $[a_i, b_i] \in \Sigma^+$ . None of this cases is acceptable, and  $\Delta = \emptyset$ . 

**Proposition 6.9** Let S be a numerical semigroup of multiplicity 3, and let I = [a, b]be an ideal.

- If  $[a, b] \in \Sigma^+$ , then  $\mathcal{F}^{*_I} \cap \Sigma^- = \mathcal{F}^{*_{[b-a,0]}} \cap \Sigma^-$ .
- If  $[a, b] \in \Sigma^-$ , then  $\mathcal{F}^{*_I} \cap \Sigma^+ = \mathcal{F}^{*_{[0, b-a-1]}} \cap \Sigma^+$

In particular, both depends only on b - a.

*Proof* Suppose  $[a, b] \in \Sigma^+$ . Since [a, b] is closed, so is [0, b - a], and thus also  $[b-a,0] = \rho_1([0,b-a])$  is closed. Hence  $\mathcal{F}^{*[b-a,0]} \cap \Sigma^- \subseteq \mathcal{F}^{*_I} \cap \Sigma^-$ .

Let  $\Delta := (\mathcal{F}^{*_I} \cap \Sigma^-) \setminus \mathcal{F}^{*_{[b-a,0]}}$  and suppose it is nonempty; as in the proof of the previous proposition, let A be the maximum a' such that  $[a', b'] \in \Delta$  for some b' and let B be the minimum b' such that  $[A, b'] \in \Delta$ . Observe that A > b - a since [a', 0] is \*[b-a,0]-closed for every  $a' \leq b-a$ . Then  $J := [A, B] \in \Delta$ , and  $J = \rho_{\gamma}(I)$  for some  $\gamma$  such that  $\rho_{\gamma}(I) \in \Sigma^{-}$ , and the unique possibility is  $\gamma \equiv 1 \mod 3$ ; let  $\gamma = 3k + 1$ . Then  $\rho_{3k}([a, b]) = [0, c]$  for some  $c \leq b - a$ , and thus  $\rho_{\gamma}(I) = [c - 1, 0]$ , with  $c-1 \leq b-a$ , which is impossible.

The case  $[a, b] \in \Sigma^-$  is treated in the same manner.

# 7 The number of star operations

Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup, and suppose that  $\alpha \leq \beta$ ; let k be an integer such that  $\beta - \alpha < k < \alpha$ . We define:

- $\mathcal{L}_{k}^{+} := \{[k, \beta], [k 1, \beta 1], \dots, [0, \beta k]\};$   $\mathcal{L}_{k}^{-} := \{[\beta k, 0], [\beta k, 1], \dots, [\beta k, 2\beta \alpha k 1]\};$
- $\mathcal{L}_k^+ := \mathcal{L}_k^+ \cup \mathcal{L}_k^-.$

Equivalently,  $\mathcal{L}_{k}^{+}$  is the set of ideals [a, b] such that  $b - a = \beta - k$ , while  $\mathcal{L}_{k}^{-}$  is the set of ideals  $[a, b] \in \Sigma^-$  such that  $a = \beta - k$ . Note that, since  $k < \alpha$ , each element of  $\mathcal{L}_k^+$  is in  $\Sigma^+$  (Fig. 6).

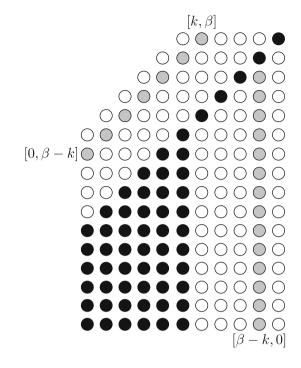
**Proposition 7.1** *Preserve the notation above. Then:* 

- (a)  $\mathcal{L}_k \cap \mathcal{L}_j = \emptyset$  if  $k \neq j$ ;
- (b)  $\bigcup_{k=\beta-\alpha}^{\alpha-1} \mathcal{L}_k = \mathcal{G}_0(S);$
- (c)  $|\mathcal{L}_k| = 2\beta \alpha + 1;$
- (d) each  $\mathcal{L}_k$  is linearly ordered (in the \*-order).

*Proof* (a) Suppose  $[a, b] \in \mathcal{L}_k \cap \mathcal{L}_j$ . If  $[a, b] \in \Sigma^+$ , then  $\beta - k = b - a = \beta - j$ ; if  $[a, b] \in \Sigma^{-}$ , then  $\beta - k = a = \beta - j$ . In both cases, k = j.

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#### **Fig. 6** A $\mathcal{L}_k$ (gray circles)



(b) Suppose  $[a, b] \in \mathcal{L}_k$  for some k. If  $[a, b] \in \Sigma^+$ , then it is not divisorial by Proposition 6.3; if  $[a, b] \in \Sigma^-$ , then  $a = \beta - k > \beta - \alpha$  and thus  $[a, b] \neq [a, b]^v$ , again by Proposition 6.3.

Conversely, suppose  $[a, b] \neq [a, b]^v$ . If  $[a, b] \in \Sigma^+$ , then  $\beta - \alpha \leq b - a < \alpha$ , and thus  $[a, b] \in \mathcal{L}_{\beta-(b-a)}$ ; if  $[a, b] \in \Sigma^-$ , then by Proposition 6.3 we have  $a > \beta - \alpha$ , so that  $\beta - a < \alpha$  and thus  $[a, b] \in \mathcal{L}_{\beta - a}$ .

- (c) We have  $|\mathcal{L}_k^+| = k + 1$  and  $|\mathcal{L}_k^-| = 2\beta \alpha k$ ; since  $\mathcal{L}_k^+$  and  $\mathcal{L}_k^-$  are disjoint,  $|\mathcal{L}_k| = 2\beta - \alpha + 1.$
- (d) By Lemma 5.2, if  $j \ge j'$  then  $[k j', \beta j'] = \rho_{3(j-j')}([k j, \beta j])$ , so that  $\mathcal{L}_{i}^{+}$  is totally ordered, with minimum  $[0, \beta - k]$ ; analogously, if  $l \geq l'$ , then  $[a, l] = [a, l'] \cap [a, l]^v$  (see the proof of Lemma 6.4) and thus  $[a, l] \leq_*$ [a, l'], i.e.,  $\mathcal{L}_i^-$  is linearly ordered, with maximum  $[\beta - k, 0]$ . Moreover,  $[\beta - k, 0]$  $= \rho_1([0, \beta - k])$ , and thus  $\mathcal{L}_k$  is totally ordered.

When  $\alpha \geq \beta$ , we can reason in a completely analogous way, but we have to reverse the rôle of  $\Sigma^+$  and  $\Sigma^-$ : we choose an integer k such that  $\alpha - \beta + 1 \le k < \beta$ , and define

- $\mathcal{L}_k^- := \{ [\alpha, k], [\alpha 1, k 1], \dots, [0, \alpha k] \};$   $\mathcal{L}_k^+ := \{ [0, \alpha k 1], [1, \alpha k 1], \dots, [2\alpha \beta k 2, \alpha k 1] \};$
- $\mathcal{L}_k^+ := \mathcal{L}_k^+ \cup \mathcal{L}_k^-$ .

Then, the elements of  $\mathcal{L}_k^-$  are in  $\Sigma^-$  and are characterized by b-a, while the elements of  $\mathcal{L}_k^+$  are the ideals in  $\Sigma^+$  with the same *b*. Proposition 7.1 becomes:

(a)  $\mathcal{L}_k \cap \mathcal{L}_j = \emptyset$  if  $k \neq j$ ;

(b)  $\bigcup_{k=\alpha-\beta+1}^{\beta-1} \mathcal{L}_k = \mathcal{G}_0(S);$ (c)  $|\mathcal{L}_k| = 2\alpha - \beta;$ 

(d) each  $\mathcal{L}_k$  is linearly ordered (in the \*-order).

**Corollary 7.3** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup. Then,  $|\mathcal{G}_0(S)|$  $= (2\alpha - \beta)(2\beta - \alpha + 1).$ 

By a *rectangle*  $a \times b$ , indicated with  $\mathcal{R}(a, b)$ , we denote the cartesian product  $\{1, \ldots, a\} \times \{1, \ldots, b\}$ , endowed with the *reverse* product order (that is, (x, y))  $\geq (x', y')$  if and only if  $x \leq x'$  and  $y \leq y'$ ).

**Theorem 7.4** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup. Then,  $(\mathcal{G}_0(S), \mathcal{G}_0)$  $\leq_*$ ) is isomorphic (as an ordered set) to  $\mathcal{R}(2\alpha - \beta, 2\beta - \alpha + 1)$ .

*Proof* Suppose  $\alpha \leq \beta$ , and let  $I \in \mathcal{G}_0(S)$ . If  $I \in \mathcal{L}_k$ , define  $\psi_1(I) := k - (\beta - \alpha) + 1$ . Moreover, if there are exactly j - 1 ideals in  $\mathcal{L}_k$  strictly bigger (in the \*-order) than I, then define  $\psi_2(I) := j$ . Explicitly, if  $[a, b] \in \Sigma^+$  then  $\psi_2([a, b]) = \beta - b + 1$ , while if  $[a, b] \in \Sigma^{-}$  then  $\psi_2([a, b]) = k + 1 + b = \beta + 1 + b - a$  (using  $a = \beta - k$ ). By Proposition 7.1, the map

$$\Psi : \mathcal{G}_0(S) \longrightarrow \mathcal{R}(2\alpha - \beta, 2\beta - \alpha + 1)$$
  
[a, b]  $\mapsto (\psi_1(I), \psi_2(I))$ 

is a bijection.

For a partially ordered set  $\mathcal{P}$ , and a subset  $\Delta \subset \mathcal{P}$ , denote by  $\overline{\Delta}$  the lower set of  $\Delta$ : i.e., let  $\overline{\Delta} := \{x \in \mathcal{P} : \exists y \in \Delta : x \leq y\}$ . To show that  $\Psi$  is order-preserving, it is enough to show that  $\Psi(\overline{\{I\}}) = \overline{\Psi(I)}$  for every ideal  $I \in \mathcal{G}_0(S)$ . Since  $\overline{\{I\}}$  $= \mathcal{G}_0(S) \cap \mathcal{F}^{*_I}$ , we need to show that J is  $*_I$ -closed if and only if  $\Psi(J) \leq \Psi(I)$ .

Let I = [a, b] and J = [c, d] be ideals. If  $I, J \in \Sigma^+$ , then by Proposition 6.8 J is  $*_I$ -closed if and only if  $d \leq b$  and  $d - c \leq b - a$ . We have  $d \leq b$  if and only if  $\psi_2(J) \geq \psi_2(I)$ ; on the other hand,  $x - y = \beta - k$  if  $[y, x] \in \mathcal{L}_k$ , and thus  $\psi_1([y, x]) = \beta - x + y$ . Therefore, d - c < b - a if and only if  $\psi_1(J) > \psi_1(I)$ . Hence (remember that the order on the rectangle is the reverse product order),  $J \in \{I\}$ if and only if  $\Psi(J) \leq \Psi(I)$ . On the other hand, if  $I, J \in \Sigma^-$ , then  $J \in \overline{\{I\}}$  if and only if  $c \leq a$  and  $d - c \leq b - a$ ; the first condition if equivalent to the requirement that  $\psi_1(J) \geq \psi_1(I)$ , while the second is equivalent to  $\psi_2(J) \geq \psi_2(I)$ . Again,  $J \in \{I\}$  if and only if  $\Psi(J) \leq \Psi(I)$ .

Suppose  $I \in \Sigma^+$  and  $J \in \Sigma^-$ . If J is  $*_I$ -closed, then by Proposition 6.9 it is  $*_{[b-a,0]}$ -closed, and, by the previous paragraph, this happens if and only if  $\Psi(J) \leq$  $\Psi([b-a, 0])$ . However, [b-a, 0] and I belong to the same  $\mathcal{L}_k$  (since [b-a, 0] = $\rho_1 \rho_{3(b-a)}([a, b]))$ , and thus  $\Psi([b-a, 0]) \leq \Psi(I)$ ; hence  $\Psi(J) \leq \Psi(I)$ . Conversely, if  $\Psi(J) \leq \Psi(I)$  then J = [c, d] belongs to  $\mathcal{L}_i$  for some  $j \geq k$  (where  $I = [a, b] \in$  $\mathcal{L}_k$ ) and thus  $c \leq a$ , and J is  $*_I$ -closed (applying again Proposition 6.9). If  $I \in \Sigma^$ and  $J \in \Sigma^+$ , the same reasoning applies; therefore, in all cases,  $J \in \overline{\{I\}}$  if and only if  $\Psi(J) \leq \Psi(I)$ , that is, if and only if  $\Psi(J) \in \overline{\Psi(I)}$ . Hence  $\Psi$  is an order isomorphism.

If  $\alpha \geq \beta$ , then we can apply the same method: we define a map

$$\Psi : \mathcal{G}_0(S) \longrightarrow \mathcal{R}(2\beta - \alpha + 1, 2\alpha - \beta)$$
  
[a, b]  $\mapsto (\psi_1(I), \psi_2(I))$ 

where, if  $I \in \mathcal{L}_k$ , then  $\psi_1(I) = k - (\alpha - \beta + 1) + 1$ , and  $\psi_2(I) = j$  if there are exactly j - 1 elements of  $\mathcal{L}_k$  \*-bigger than *I*. Proposition 7.2 shows that  $\Psi$  is a bijection, and (as before) the use of Propositions 6.8 and 6.9 shows that it is an order isomorphism. Since  $\mathcal{R}(2\beta - \alpha + 1, 2\alpha - \beta) \simeq \mathcal{R}(2\alpha - \beta, 2\beta - \alpha + 1)$ , the theorem is proved.  $\Box$ 

**Lemma 7.5** The number of antichains in  $\mathcal{R}(a, b)$  is  $\binom{a+b}{a} = \binom{a+b}{b}$ .

*Proof* Let  $A := \{1, ..., a\}$  and  $B := \{1, ..., b\}$ .

For each antichain  $\Delta$ , let  $\overline{\Delta}$  be the lower set of  $\Delta$ ; clearly  $\Delta = \max \overline{\Delta}$ , so that the number of antichains is equal to that of the sets that are downward closed (i.e., sets  $\Lambda$  such that  $\Lambda = \overline{\Lambda}$ ). When restriced to a single row  $A \times \{c\}$ ,  $\overline{\Delta}$  becomes a segment  $\{a_c, \ldots, a\} \times \{c\}$ ; moreover, if  $d \leq c$ , then  $a_d \leq a_c$ . Thus the number of antichains is equal to the number of sequences  $\{1 \leq a_1 \leq \cdots \leq a_b \leq a+1\}$  (where  $a_i = a + 1$  if and only if  $(A \times \{i\}) \cap \overline{\Delta} = \emptyset$ ), that in turn is equal to the number of combinations with repetitions of *b* elements of  $\{1, \ldots, a+1\}$ . This is equal to  $\binom{a+1+b-1}{b} = \binom{a+b}{b} = \binom{a+b}{a}$ .

**Theorem 7.6** Let  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$  be a numerical semigroup of multiplicity 3,  $g := g(S), \delta := \delta(S)$ . Then,

$$|\operatorname{Star}(S)| = \binom{\alpha+\beta+1}{2\alpha-\beta} = \binom{\alpha+\beta+1}{2\beta-\alpha+1} = \binom{\delta+1}{g-\delta+2}$$

*Proof* By Corollary 6.5, |Star(S)| is equal to the number of antichains of  $\mathcal{G}_0(S)$ , which is equal (by Theorem 7.4) to the number of antichains of  $\mathcal{R}(2\alpha - \beta, 2\beta - \alpha + 1)$ . Lemma 7.5 now completes the reasoning.

To show the last equality, note that an element in  $\mathbb{N} \setminus S$  can be written as 3a + 1 or 3b + 2, where  $0 \le a < \alpha$  or  $0 \le b < \beta$ , and thus  $\delta = \alpha + \beta$ . On the other hand, if  $\alpha > \beta$  then  $g = 3\alpha - 2$ , and thus  $2\alpha - \beta = g - \delta + 2$ , while if  $\alpha \le \beta$  then  $g = 3\beta - 1$ , and again  $2\beta - \alpha + 1 = g - \delta + 2$ .

*Remark* 7.7 We can compare the explicit counting supplied by Theorem 7.6 with the three main estimates obtained in [18].

(1) The most general one (assuming only that *S* is not symmetric) is  $|\text{Star}(S)| \ge \left\lceil \frac{g}{2\mu} \right\rceil$ . If  $\alpha > \beta$ , then (using the proof of Theorem 7.6) in the case of multiplicity 3 we can translate it as

$$|\operatorname{Star}(S)| \ge \left\lceil \frac{3\alpha - 2}{6} \right\rceil \ge \frac{1}{2}\alpha - \frac{1}{3}.$$

Deringer

Being linear, this estimate is very far from the actual numer of star operation, which grows as a binomial coefficient. This is especially evident when  $\alpha$  is close to  $\beta$ : for example, if  $\alpha = \beta$ , then  $|\text{Star}(S)| = \binom{2\alpha+1}{\alpha}$  is asymptotic to  $\frac{2}{\sqrt{\pi}} \cdot \frac{4^{\alpha}}{\sqrt{\alpha}}$ . The same phenomenon happens, simmetrically, when  $\beta \ge \alpha$  (but we will have a linear estimate in  $\beta$  instead of  $\alpha$ ).

- (2) A second estimate, valid only in some cases, is  $|\text{Star}(S)| \ge 2^{\left\lceil \frac{\mu-1}{2} \right\rceil}$ , which however does not distinguish between different semigroups of the same multiplicity.
- (3) A third estimate is  $|\text{Star}(S)| \ge \delta + 1$ , which is valid when *S* has an hole  $a < \mu$  (an integer *a* is said to be an *hole* of *S* if  $a, g a \notin S$ ). When  $g \equiv 1 \mod 3$ , the only possible hole smaller than  $\mu$  is 2: in this case, the elements of  $\mathbb{N} \setminus S$  are  $\{1, 2, 4, 5, \ldots, 3(\beta-1)+1, 3(\beta-1)+2, g = 3\beta+1\}$ , and thus  $\delta = 2\beta+1$ ; hence,  $|\text{Star}(S)| = \binom{2\beta+2}{\beta+2}$ , which is much bigger than  $\delta + 1 = 2\beta + 2$ . Analogously, when  $g \equiv 2 \mod 3$ , the only possibile hole  $a < \mu$  is a = 1: in this case, we obtain  $\delta = 2\alpha, g = 3\alpha 1$  and  $|\text{Star}(S)| = \binom{2\alpha+1}{\alpha+1}$ , which is much bigger than  $\delta + 1 = 2\alpha + 1$ .

A numerical semigroup is called *pseudosymmetric* if g is even and  $(S - M) = S \cup \{g, g/2\}$ .

**Proposition 7.8** Let S be a numerical semigroup of multiplicity 3 such that  $\mathcal{G}_0(S) \neq \emptyset$ . Then, the following are equivalent:

- (i) S is pseudosymmetric;
- (*ii*)  $\alpha = 2\beta$  or  $\beta = 2\alpha 1$ ;
- (iii)  $(\mathcal{G}_0(S), \leq_*)$  is linearly ordered;
- (iv) Star(S) is linearly ordered.
- (v) every star operation on S is principal.

*Proof* (i  $\iff$  ii) Let  $a := 3\alpha + 1 - 3 = 3\alpha - 2$  and  $b := 3\beta + 2 - 3 = 3\beta - 1$ : then,  $a, b \notin S$  but  $a + 3, b + 3 \in S$ . Hence, S is pseudosymmetric if and only if a = 2b or b = 2a.

If  $\alpha \ge \beta$ , then  $a \ge b$ , and thus *S* is pseudosymmetric if and only if  $3\alpha - 2 = 2(3\beta - 1)$ , that is, if and only if  $\alpha = 2\beta$ . Analogously, if  $\beta \ge \alpha$ , *S* is pseudosymmetric if and only if  $3\beta - 1 = 2(2\alpha - 2)$ , that is, if and only if  $\beta = 2\alpha + 1$ .

- (ii  $\iff$  iii)  $\mathcal{G}_0(S)$  is linearly ordered if and only if  $\mathcal{R}(2\alpha \beta, 2\beta \alpha + 1)$  is linearly ordered; but this happens if and only if one of the sides of the rectangle has length 1, that is, if and only if  $2\alpha \beta = 1$  (i.e.,  $\beta = 2\alpha 1$ ) or  $2\beta \alpha + 1 = 1$  (i.e.,  $\alpha = 2\beta$ ).
- $(iv \Longrightarrow iii)$  is obvious.
- (iii  $\implies$  iv,v) Let \* be a star operation. Then,  $* = *_{I_1} \land \cdots \land *_{I_n}$  for some  $I_1, \ldots, I_n$ ; since  $\mathcal{G}_0(S)$  is linearly ordered,  $* = *_{I_j}$  for some *j*. Hence each star operation is principal, and Star(S) is linearly ordered.
- (v  $\Longrightarrow$  ii) Suppose  $\alpha \neq 2\beta$  and  $\beta \neq 2\alpha 1$ . Then, the length of both sides of the rectangle  $\mathcal{R}(2\alpha \beta, \beta 2\alpha + 1)$  is 2 or more; consider the set  $\Delta$  composed by (1, 2) and (2, 1). Then,  $\Delta$  is an antichain; therefore, so is  $\Psi^{-1}(\Delta)$ , where  $\Psi$  is the isomorphism defined in the proof of

Theorem 7.4. By hypothesis,  $*_{\Psi^{-1}(\Delta)}$  is principal, i.e.,  $*_{\Psi^{-1}(\Delta)} = *_I$  for some  $I \in \mathcal{G}_0(S)$ ; however, by Corollary 6.5, this would imply  $\Psi^{-1}(\Delta) = \{I\}$ , which is absurd. Hence *S* is pseudosymmetric.  $\Box$ 

#### 8 Quantitative estimates

Let  $\xi_3(n)$  denote the number of numerical semigroups of multiplicity 3 with exactly *n* star operations.

**Proposition 8.1** If  $n \equiv 0, 1 \mod 3, n > 1$ , then there is a unique pseudosymmetric semigroup of multiplicity 3 such that |Star(S)| = n; if  $n \equiv 2 \mod 3$ , there is no such S.

*Proof* Let *S* be a pseudosymmetric semigroup of multiplicity 3.

If  $\alpha \ge \beta$ , then by Proposition 7.8 we have  $\beta = 2\alpha - 1$ ; hence  $|\text{Star}(S)| = \binom{\alpha+\beta+1}{2\beta-\alpha+1} = \alpha + \beta + 1 = 3\beta + 1$ ; for each  $n \equiv 1 \mod 3$  there is a unique  $\beta$  and thus a unique pseudosymmetric semigroup.

Analogously, if  $\beta \ge \alpha$ , then  $\alpha = 2\beta$ , and  $|\text{Star}(S)| = {\alpha + \beta + 1 \choose 2\beta - \alpha + 1} = \alpha + \beta + 1 = 3\alpha$ , and every  $n \equiv 0 \mod 3$  can be (uniquely) obtained this way.

**Proposition 8.2**  $\xi_3(n) = |\{\binom{a}{b} : \binom{a}{b} = n, a + b \equiv 1 \mod 3\}|.$ 

*Proof* If  $S = \langle 3, 3\alpha + 1, 3\beta + 2 \rangle$ , then  $|\text{Star}(S)| = {\alpha + \beta + 1 \choose 2\alpha - \beta}$  and  $\alpha + \beta + 1 + 2\alpha - \beta = 3\alpha + 1 \equiv 1 \mod 3$ ; conversely, if  $a + b \equiv 1 \mod 3$ , then the linear system

$$\begin{cases} \alpha + \beta + 1 = a \\ 2\alpha - \beta = b \end{cases}$$

has solutions  $\alpha = \frac{a+b-1}{3}$ ,  $\beta = \frac{2a-b-2}{3}$  which are integers if  $a + b \equiv 1 \mod 3$ , and verify  $\alpha \leq 2\beta + 1$  and  $\beta \leq 2\alpha$ . Hence to each semigroup we can attach a binomial coefficient and to each coefficient a semigroup, these maps are inverses and the two sets have the same cardinality.

Thus, to find all numerical semigroups of multiplicity 3 with exactly *n* star operations, we only need to determine the binomial coefficients  $\binom{a}{b}$  equal to *n*. Since  $\binom{a}{b} \ge a$  if  $\binom{a}{b} \ne 1$ , this means that we only need to inspect the case  $a \le n$ .

Removing the congruence condition, we get the function  $\eta(n) := |\{\binom{a}{b}: \binom{a}{b} = n\}|$ , that has been studied in [17] and [1]. It is straightforward to see that  $\eta(n)$  is finite for every n > 1, and it is also quick to show (quantifying the previous reasoning) that  $\eta(n) \le 2 + 2\log_2 n$  [17]. A deeper analysis, using results about the distribution of the primes, proves that  $\eta(n) = O(\log n / \log \log n)$  [1]; these results are however weaker than the expected, since in [17] it is conjectured that  $\eta$  is bounded for n > 1.

Clearly,  $\xi_3(n) \le \eta(n)$ , and thus we get another proof (independent from [18]) that  $\xi_3(n) < \infty$  for every n > 1. Note also that  $\xi_3(1) = \infty$ , because |Star(S)| = 1 whenever  $\alpha = 2\beta + 1$  or  $\beta = 2\alpha$ .

**Proposition 8.3** For every  $n \in \mathbb{N}$ ,  $\xi_3(n) \leq \frac{\eta(n)}{2}$ .

*Proof* If n = 1, then both sides of the equality are infinite; suppose n > 1. Then,  $\eta(n) = \xi_3(n) + \xi_3^{(0)}(n) + \xi_3^{(2)}(n)$ , where  $\xi_3^{(i)}$  is the number of binomial coefficients  $\binom{a}{b}$  such that  $\binom{a}{b} = n$  and  $a + b \equiv i \mod 3$ . We will show that  $\xi_3(n) = \xi_3^{(2)}$ , from which the claim follows.

Suppose  $\binom{a}{b} = n$  and  $a + b \equiv 1 \mod 3$ . Then also  $\binom{a}{a-b} = n$ , and  $a + (a-b) = 2a - b \equiv 2a + 2b \mod 3 \equiv 2 \mod 3$ . Therefore,  $\xi_3(n) = \xi_3^{(2)}(n)$ .

**Proposition 8.4** Let  $Z(x) := \{n : 1 < n \le x, \xi_3(n) > 1\}.$ 

(a)  $|Z(x)| = O(\sqrt{x}).$ 

(b) There are an infinite number of integers n such that  $\xi_3(n) = 0$ .

*Proof* Following the proof of [1, Theorem 1], let  $g(x) := \{n : 1 < n \le x, \eta(n) > 2\}$ . If  $\xi_3(n) > 1$ , then  $\eta(n) \ge 2\xi_3(n) > 2$ . Therefore,  $Z(x) \le g(x) = O(\sqrt{x})$ , applying again the proof of [1, Theorem 1].

Take an  $n \in \mathbb{N}$  such that  $\eta(n) = 2$ . Then, the only binomial coefficients such that  $\binom{a}{b} = n$  are  $\binom{n}{1}$  and  $\binom{n}{n-1}$ . It follows that  $\xi_3(n) = 1$  if n+1 or n+(n-1) are congruent to 1 modulo 3, i.e., if  $n \equiv 0 \mod 3$  or  $n \equiv 1 \mod 3$ , while  $\xi_3(n) = 0$  otherwise, i.e., if  $n \equiv 2 \mod 3$ . (Compare Proposition 8.1.)

Suppose that  $\xi_3(n) = 0$  only for  $n \in \{n_1, \ldots, n_k\}$ . For every  $m \equiv 2 \mod 3$  such that  $m \neq n_i$  for every *i*, there is a binomial coefficient  $\binom{a}{b}$  such that  $\binom{a}{b} = m$  and  $a + b \equiv 1 \mod 3$ . The last condition implies that  $a - b \neq b$  (otherwise,  $a + b \equiv a - b + 2b = 3b \equiv 0 \mod 3$ ); if b = 1 or b = a - 1, then  $\binom{a}{b} = a = m$ , and so  $a + b \equiv m + 1 \equiv 0 \mod 3$  or  $a + b \equiv 2m - 1 \equiv 0 \mod 3$ , against the congruence condition. Therefore,  $\binom{a}{b} = \binom{a}{a-b} = \binom{m}{1} = \binom{m}{m-1} = m$ , and the four coefficients are different from each other, so that  $\eta(m) \ge 4$ . Thus,  $g(x) \ge \frac{1}{3}x - k$ , against the fact that  $g(x) = O(\sqrt{x})$ . Hence,  $\xi_3(n) = 0$  infinitely often.

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