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# Distributed query optimization

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These slides are a modified version of the slides provided with the book  
Özsu and Valduriez, *Principles of Distributed Database Systems* (3rd Ed.), 2011

The original version of the slides is available at: [extras.springer.com](http://extras.springer.com)

# Outline (distributed DB)

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- Introduction (Ch. 1) \*
- Distributed Database Design (Ch. 3) \*
- Distributed Query Processing (Ch. 6-8) \*
  - Overview (Ch. 6) \*
  - Query decomposition and data localization (Ch. 7) \*
  - **Distributed query optimization (Ch. 8) \***
- Distributed Transaction Management (Ch. 10-12) \*

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\* Özsu and Valduriez, *Principles of Distributed Database Systems* (3rd Ed.), 2011

# Outline (today)

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- Distributed query optimization (Ch. 8) <sup>\*</sup>
  - Overview
  - Join Ordering in Localized Queries
  - Semijoin-based Algorithm
  - Distributed query optimization strategies
  - Hybrid approaches

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<sup>\*</sup> Özsu and Valduriez, *Principles of Distributed Database Systems* (3rd Ed.), 2011

# Distributed Query Optimization

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- In previous chapter (Ch. 7) <sup>\*</sup>:
  - A distributed query is mapped into a query over fragments (decomposition and **data localization**)
  - Reduction (“optimization”) independent from relation (fragment) statistics (e.g., cardinality)
- In this chapter (Ch. 8) <sup>\*</sup>:
  - Optimization based on DB statistics (order of operations and operands, algorithm to perform simple operations) to produce a query execution plan (QEP)
    - ◆ In the distributed case a QEP is further extended with communication operations to support execution of queries over fragment sites
  - Once again: the problem is NP-hard, so not looking for the optimal solution
  - Statement of the problem
    - ◆ **Input:** Fragment query
    - ◆ **Output:** the “*best*” global strategy
  - Additional problems specific to the distributed setting
    - ◆ Where to execute (partial) queries? Which relation to ship where?
    - ◆ Choose between data transfer methods : ship-whole vs. fetch-as-needed
      - ✓ Decide on the use of semijoins (semijoins save on communication at the expense of more local processing)

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# Elements of the Optimizer

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- The elements of the optimization process are similar in distributed and centralized cases
  - **Search space** (aka solution space)
    - ◆ The set of equivalent QEP: algebra expressions enriched with implementation details and **communication choices**
  - **Cost model**
    - ◆ Cost function (in terms of time)
      - ✓ I/O cost + CPU cost + **communication cost**
      - ✓ In early approach only communication costs were considered; due to fast communication technology, communication and I/O costs become comparable
      - ✓ These might have different weights in different distributed environments (LAN vs WAN)
  - Search algorithm (aka search strategy)
    - ◆ How do we move inside the solution space?
      - ✓ Exhaustive search, heuristic algorithms
    - ◆ Goal is searching the solution space to find a good strategy according to the cost model
- **Difference** between centralized and **distributed** settings: **search space** and **cost model** (*search strategy* remains the same)

# Search Space

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- Search space is large
  - $N$  relations  $\Rightarrow ((2(N-1))!)/((N-1)!)$  equivalent join trees (by join commutativity and associativity)
  - **Larger search space** due to more options
- QEP are decorated with more information (on **data exchange**)
- Focus on join and **semijoin** order
- Different candidate solution in the search space
  - A good heuristics for centralized context: left-deep trees
  - In distributed context: non left-deep trees allow for **parallelization**

# Centralized vs. Distributed Query Optimization

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- Relation between centralized and distributed query optimization
  - Distributed query optimization (**DQO**) employs techniques and solutions from the centralized context
    - ◆ A distributed query is translated into local ones (localized queries): centralized query optimization (**CQO**) techniques
    - ◆ Distributed query optimization is a more general (and thus difficult) problem
      - ✓ Most solution to DQO extend solutions to CQO
  - We focus on communication costs (local CPU and I/O costs are ignored)
    - ◆ Clearly, cost of localized queries (handled with CQO techniques) is computed as in the centralized case (mainly I/O costs)

# Join Ordering in the Distributed Context

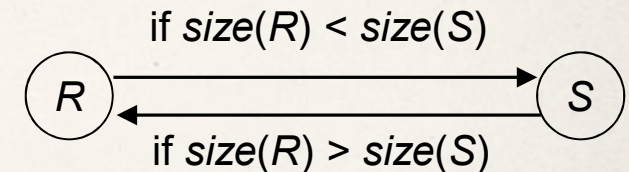
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- Join ordering is important in centralized query optimization
- It is even more in distributed query optimization (affect communication costs)
- Use of semijoins to reduce relation sizes (and thus communication costs) before performing join operations



# Join Ordering – 2 relations

- We assume query to be already localized (i.e., on fragments)
  - Fragments are relations entirely stored at a single site
    - ♦ We often use “fragments” and “relations” indistinguishably (no technical reason to distinguish them)
- We first focus on ordering issues without using semijoins
  - Consider 2-relation join:  $R \bowtie S$   
(where  $R$  and  $S$  are stored at different sites)
    - ♦ Move the smaller relation to the site of the larger one
    - ♦ If  $size(R)$  and  $size(S)$  are (more or less) the same (and not other factor comes into play), then moving outer relation  $R$  has benefits:
      - ✓ No need for storing  $R$  in *nested-loop* or *block nested-loop* join algorithms
      - ✓ *indexed nested-loop* join algorithm remains available as index on inner relation  $S$  is preserved (index is lost when transferring  $S$ )



# Join Ordering – Multiple Relations

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- Multiple relations case: more difficult because too many alternatives
- Goal is still transmit small operands (relations)
  - Compute the cost of all alternatives and select the best one
    - ◆ Necessary to compute the size of intermediate relations which is difficult
      - ✓ In distributed context it is even more because information may be not available on site

# Join Ordering – Example

Consider  $PROJ \bowtie_{PNO} ASG \bowtie_{ENO} EMP$

Execution alternatives:

1.  $EMP \rightarrow$  Site 2

Site 2 computes  $EMP' = EMP \bowtie ASG$

$EMP' \rightarrow$  Site 3

Site 3 computes  $EMP' \bowtie PROJ$

2.  $ASG \rightarrow$  Site 1

Site 1 computes  $EMP' = EMP \bowtie ASG$

$EMP' \rightarrow$  Site 3

Site 3 computes  $EMP' \bowtie PROJ$

3.  $ASG \rightarrow$  Site 3

Site 3 computes  $ASG' = ASG \bowtie PROJ$

$ASG' \rightarrow$  Site 1

Site 1 computes  $ASG' \bowtie EMP$

4.  $PROJ \rightarrow$  Site 2

Site 2 computes  $PROJ' = PROJ \bowtie ASG$

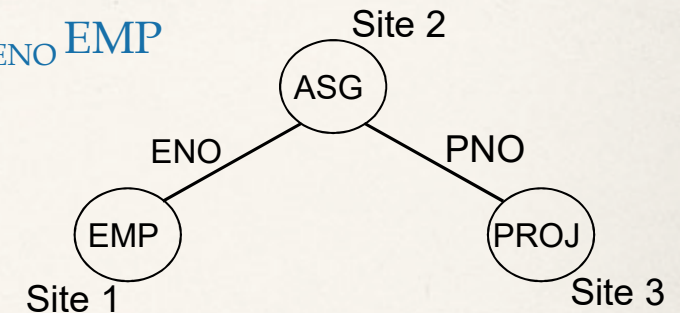
$PROJ' \rightarrow$  Site 1

Site 1 computes  $PROJ' \bowtie EMP$

5.  $EMP \rightarrow$  Site 2

$PROJ \rightarrow$  Site 2

Site 2 computes  $EMP \bowtie PROJ \bowtie ASG$



Join graph of distributed query

# Semijoin Algorithms

- Semijoins can be used to reduce the sizes of operands to transfer (similar to what selections do)
  - Reduced communication costs
- Consider the join of two relations:
  - $R$  (at site 1)
  - $S$  (at site 2)
- Alternatives:
  1. Do the join  $R \bowtie_A S$
  2. Perform one of the semijoin-based equivalent options

$$\begin{aligned}R \bowtie_A S &\Leftrightarrow (R \times_A S) \bowtie_A S \\ &\Leftrightarrow R \bowtie_A (S \times_A R) \\ &\Leftrightarrow (R \times_A S) \bowtie_A (S \times_A R)\end{aligned}$$

Tradeoff between

- a) cost to compute and send semijoin to other site (and then perform the join there)
- b) Cost to send the whole relation to other site (and then perform the join there)

# Semijoin Algorithms – Example

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- Perform the join
  - Send  $R$  to Site 2
  - Site 2 computes  $R \bowtie_A S$
- Consider semijoin  $(R \bowtie_A S) \bowtie_A S$ 
  - $S' = \Pi_A(S)$
  - $S' \rightarrow$  Site 1
  - Site 1 computes  $R' = R \bowtie_A S'$
  - $R' \rightarrow$  Site 2
  - Site 2 computes  $R' \bowtie_A S$
- Semijoin is better if
$$size(\Pi_A(S)) + size(R \bowtie_A S) < size(R)$$
  - Only communication costs (time to transfer relations)

# Semijoin Algorithms – Sum up

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- Using semijoin is convenient if  $R \bowtie_A S$  has high selectivity (select few tuples) and/or size of tuples of  $R$  is large
- It is bad otherwise, due to the additional transfer of  $\Pi_A(S)$
- Cost of transferring  $\Pi_A(S)$  can be reduced by using **bit arrays**
- **A disadvantage of using semijoin is the loss of indices**

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## Bit arrays

- Let  $h$  be a hash function that distributes possible values for  $A$  into  $n$  buckets:

$$h : \text{Dom}(A) \longrightarrow \{ 0, \dots, n-1 \}$$

- Bit array  $BA[0 .. n-1]$  over relation  $S$  is defined as:

$$BA[i] = 1 \quad \text{iff} \quad \exists \text{ value } v \text{ for attribute } A \text{ in } S \text{ s.t. } h(v) = i$$

- Transfer  $BA$  ( $n$  bits) rather than  $\Pi_A(S)$
- A tuple of  $R$  with value  $v$  for attribute  $A$  belongs to  $R'$  iff  $BA[h(v)] = 1$
- $R'$  is an (over-)approximation of  $R \bowtie_A S$

# Bit Arrays for Semijoins

$R$	
$id_R$	$A$
1	1
2	2
3	2
4	5
5	4
6	5
7	4
8	5

$S$	
$id_S$	$A$
1	5
2	5
3	3
4	5
5	3

$R'$	$\supseteq$	$R \bowtie_A S$
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$id_R$	$A$	$id_S$	$A$
1	1	4	5
4	5	6	5
6	5	8	5
8	5		

- Recall:
  - $BA[i] = 1$  iff  $\exists$  value  $v$  for attribute  $A$  in  $S$  s.t.  $h(v) = i$
  - a tuple of  $R$  with value  $v$  for  $A$  belongs to  $R'$  iff  $BA[h(v)] = 1$
- $h(x) = x \bmod 4$
- $n = 4$  (4 buckets)
- $h(1) = h(5) = 1$
- $BA[0] = 0$  (no value  $v$  occurs in  $S.A$  s.t.  $h(v) = 0$ )
- $BA[1] = 1$  (due to occurrence of 5 for attribute  $A$  in  $S$ )
- $BA[2] = 0$  (no value  $v$  occurs in  $S.A$  s.t.  $h(v) = 2$ )
- $BA[3] = 1$  (due to occurrence of 3 for attribute  $A$  in  $S$ )

$R'$  contains tuple  $\langle 1, 1 \rangle$  that does not belong to  $R \bowtie_A S$

However,  $R'$  is a good approximation because  $h$  has only one conflict ( $h(1) = h(5)$ ) among values for attribute  $A$  in  $R$  and  $S$

$R' : R \bowtie_A S$  computed with bit array



# Semijoins for Joins among Multiple Relations

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- Semijoins to optimize joins among more than 2 operands

$$EMP \bowtie ASG \bowtie PROJ = EMP' \bowtie ASG' \bowtie PROJ$$

where  $EMP' = EMP \bowtie ASG$

and  $ASG' = ASG \bowtie PROJ$

- Each operand can be further reduced using more than one semijoin in cascade

$$EMP'' = EMP \bowtie (ASG \bowtie PROJ)$$

We have  $size(ASG \bowtie PROJ) \leq size(ASG)$

Therefore  $size(EMP'') \leq size(EMP')$

# Semijoins for Joins among Multiple Relations

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Semijoin  
program



# Semijoins for Joins among Multiple Relations

- Semijoins to optimize joins among more than 2 operands

$$\text{EMP} \bowtie \text{ASG} \bowtie \text{PROJ} = \text{EMP}' \bowtie \text{ASG}' \bowtie \text{PROJ}$$

where  $\text{EMP}' = \text{EMP} \bowtie \text{ASG}$

and  $\text{ASG}' = \text{ASG} \bowtie \text{PROJ}$

- Each operand can be further reduced using more than one semijoin in cascade

$$\text{EMP}'' = \text{EMP} \bowtie (\text{ASG} \bowtie \text{PROJ})$$

We have

$$\text{size}(\text{ASG} \bowtie \text{PROJ}) \leq \text{size}(\text{ASG})$$

Therefore

$$\text{size}(\text{EMP}'') \leq \text{size}(\text{EMP}')$$

Semijoin  
program

- **Full reducer** for a relation is the semijoin program that reduces the relation **the most**
- Finding full reducer for a relation with exhaustive brute force approach
  - For cyclic queries full reducer cannot be found
    - ♦ Solution: break the cycle
  - With other queries: inefficient (NP-hard)
    - ♦ Solution: only use semijoin when problem is simple
      - ✓ e.g., for chained queries, where relations are in sequence and each one joins with the next one

# Distributed Query Optimization

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- We focus on optimization of joins
- The algorithm for optimizing a join is adapted from the one for the centralized case
- In distributed context
  - There is a coordinator (master site) where query is initiated
  - Coordinator chooses
    1. execution site and
    2. transfer method
  - Apprentice sites (where fragments are stored and queries are executed)
    - ♦ Apprentices behave as in the case of centralized query optimization in optimizing localized queries (over fragments) assigned to them
      - ✓ Choose best join ordering, join algorithm, and access method for relations

# Choices of the Master Site

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## 1. Choice of the execution sites

- E.g.,  $R \bowtie S$  can be executed:
  - ◆ at the site where  $R$  is stored
  - ◆ at the site where  $S$  is stored
  - ◆ at a third site (e.g., where a 3<sup>rd</sup> relation waits to be joined – allows for parallel transfer)

## 2. Transfer method

- *ship-whole*: relation is transferred to the join execution site entirely
  - ◆ In some cases (e.g., for outer relations of in case of merge join) there is no need to store the relation: join as it arrives, in pipelined mode
- *fetch-as-needed* (only needed tuples are transferred, i.e., tuples selected by the join):
  - ◆ equivalent to perform semijoin of one relation with tuple of the other one (to reduce size of the former) before executing the join
  - ◆ e.g., semi-join of inner relation wrt outer one (only needed tuples of inner relation are transferred)
    - ✓ tuples of the outer relation are sent (only the join attribute) to the site of the inner relation
    - ✓ matching tuples of the inner relation are sent to the site of the external relation to execute the join

Not all combinations are worth being considered (we consider 4 strategies)

# Strategy 1 – *ship-whole/inner* site

1. *ship-whole/* site of *inner* relation: move outer relation ( $R$ ) to the site of the inner relation ( $S$ )

- (a) Retrieve outer tuples
- (b) Send them to the inner relation site
- (c) Join them as they arrive

- $CT(x)$ : communication time to transfer  $x$  bytes
- $LT(x)$ : local processing time to perform op.  $x$
- $s = card(S \bowtie_A R) / card(R)$ : average number of tuples of  $S$  that match a tuple of  $R$

$$\begin{aligned} \text{Total Cost} = & LT (\text{retrieve } card(R) \text{ tuples from } R ) \\ & + CT ( size(R) ) \\ & + LT (\text{retrieve } s \text{ tuples from } S ) * card(R) \end{aligned}$$

**Join is done as  $R$  comes because  $R$  is the outer relation**

# Strategy 2 – *ship-whole/outer* site

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2. *ship-whole/site of outer* relation: move inner relation ( $S$ ) to the site of outer relation ( $R$ )

Cannot join as  $S$  arrives; it needs to be stored

$$\begin{aligned} \text{Total cost} &= LT(\text{retrieve } \textit{card}(S) \text{ tuples from } S) \\ &+ CT(\textit{size}(S)) \\ &+ LT(\text{store } \textit{card}(S) \text{ tuples in temporary relation } T) \\ &+ LT(\text{retrieve } \textit{card}(R) \text{ tuples from } R) \\ &+ LT(\text{retrieve } s \text{ tuples from } T) * \textit{card}(R) \end{aligned}$$

- $CT(x)$ : communication time to transfer  $x$  bytes
- $LT(x)$ : local processing time to perform op.  $x$
- $s = \textit{card}(S \bowtie_A R) / \textit{card}(R)$ : average number of tuples of  $S$  that match a tuple of  $R$

# Strategy 3 – *fetch-as-needed/outer* site

## 3. *fetch-as-needed/* site of *outer* relation

- (a) Retrieve tuples at outer relation ( $R$ ) site
- (b) For each tuple of  $R$ , send join attribute values to inner relation ( $S$ ) site
- (c) Retrieve matching inner tuples at inner relation site
- (d) Send the matching inner tuples to outer relation site
- (e) Join as they arrive

$$\begin{aligned} \text{Total Cost} &= LT(\text{retrieve } \text{card}(R) \text{ tuples from } R) \\ &+ CT(\text{length}(A)) * \text{card}(R) \\ &+ LT(\text{retrieve } s \text{ tuples from } S) * \text{card}(R) \\ &+ CT(s * \text{length}(S)) * \text{card}(R) \end{aligned}$$

- $CT(x)$ : communication time to transfer  $x$  bytes
- $LT(x)$ : local processing time to perform op.  $x$
- $s = \text{card}(S \bowtie_A R) / \text{card}(R)$ : average number of tuples of  $S$  that match a tuple of  $R$



# Strategy 4 – Move Both Relation at Third Site

4. move both inner ( $S$ ) and outer ( $R$ ) relations to another site

$$\begin{aligned} \text{Total cost} &= LT(\text{retrieve } \textit{card}(S) \text{ tuples from } S) \\ &+ CT(\textit{size}(S)) \\ &+ LT(\text{store } \textit{card}(S) \text{ tuples in temporary relation } T) \\ &+ LT(\text{retrieve } \textit{card}(R) \text{ tuples from } R) \\ &+ CT(\textit{size}(R)) \\ &+ LT(\text{retrieve } s \text{ tuples from } T) * \textit{card}(R) \end{aligned}$$

- $CT(x)$ : communication time to transfer  $x$  bytes
- $LT(x)$ : local processing time to perform op.  $x$
- $s = \textit{card}(S \bowtie_A R) / \textit{card}(R)$ : average number of tuples of  $S$  that match a tuple of  $R$

**Moving inner relation  $S$  first is better so we can then join as outer relation  $R$  arrives**

# Strategy comparison

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$$\text{PROJ} \bowtie_{\text{PNO}} \text{ASG}$$

- PROJ (outer rel.) and ASG (inner rel.) are stored at different sites
- Index on PNO for relation ASG

1. Ship whole PROJ at site of ASG	$CT ( size(\text{PROJ}) )$
2. Ship whole ASG at site of PROJ	$CT ( size(\text{ASG}) )$
3. Fetch tuples of ASG as needed at site of PROJ	$CT ( length ( A ) ) * card ( \text{PROJ} )$ $+ CT ( s * length ( \text{ASG} ) ) * card ( \text{PROJ} )$
4. Move both ASG and PROJ to a third site	$CT ( size ( \text{ASG} ) ) + CT ( size ( \text{PROJ} ) )$

- If there is no upper level operation then **4** is a bad choice
- If  $size ( PROJ ) \gg size ( ASG )$ , then **2** is a good choice (if local processing time is not too bad compared with **1** and **3**, which can exploit index on ASG in their local processing)
- If PROJ is large/few tuples of ASG match, then **3** is better than **1**
- Otherwise, **1** is better than **3**

# Hybrid approach

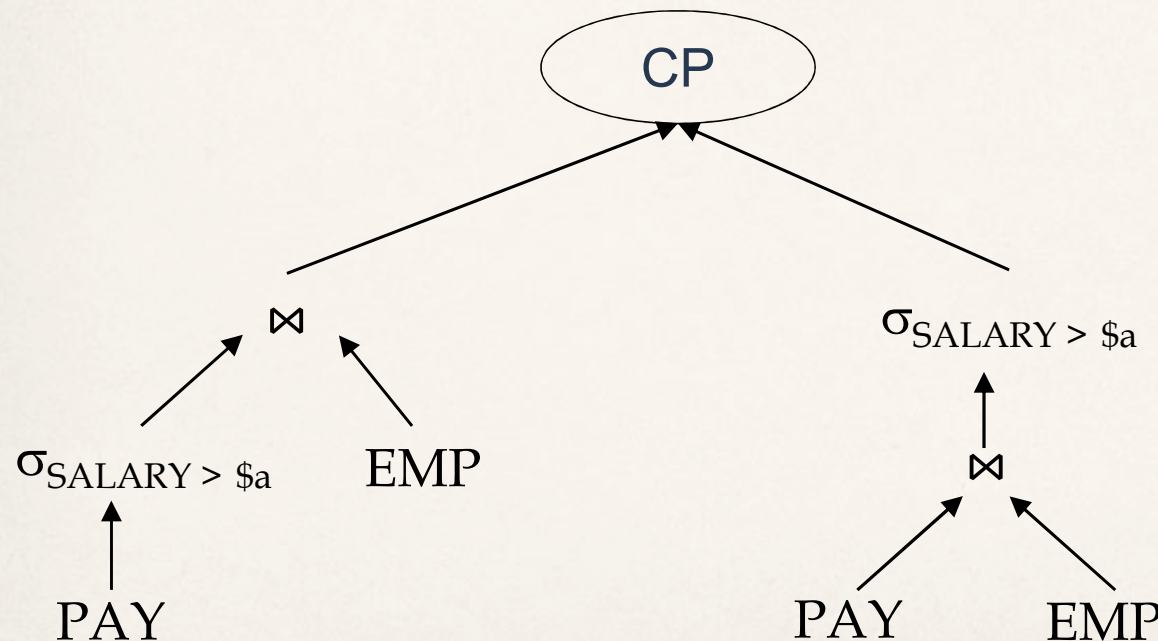
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- So far, focus on *static* approaches, i.e., strategies (QEP, expressed as decorated trees) are evaluated and compared at compile time
- Advantages: query optimization is done once and used for several query executions
- Disadvantages: cost evaluation is not that accurate
  - it is not always done on exact values but on estimations based on statistics
    - ◆ e.g., size of intermediate results
  - some parameter of a query might be known only at runtime
- Problems of static query optimization are much more severe in the distributed context: more information variability at runtime
  - Sites may become unavailable or overloaded
  - Selection of site and fragment copy should be done at runtime to increase availability and load balancing
- An hybrid solution (some decisions are taken at runtime) is implemented by means of the CP (choose-plan) operator, which is resolved at runtime, when an exact plan comparison can be done

# The CP (choose-plan) Operator

```
SELECT *  
FROM EMP, PAY  
WHERE SALARY > $a
```

where \$a is a variable whose value is specified by the user at runtime

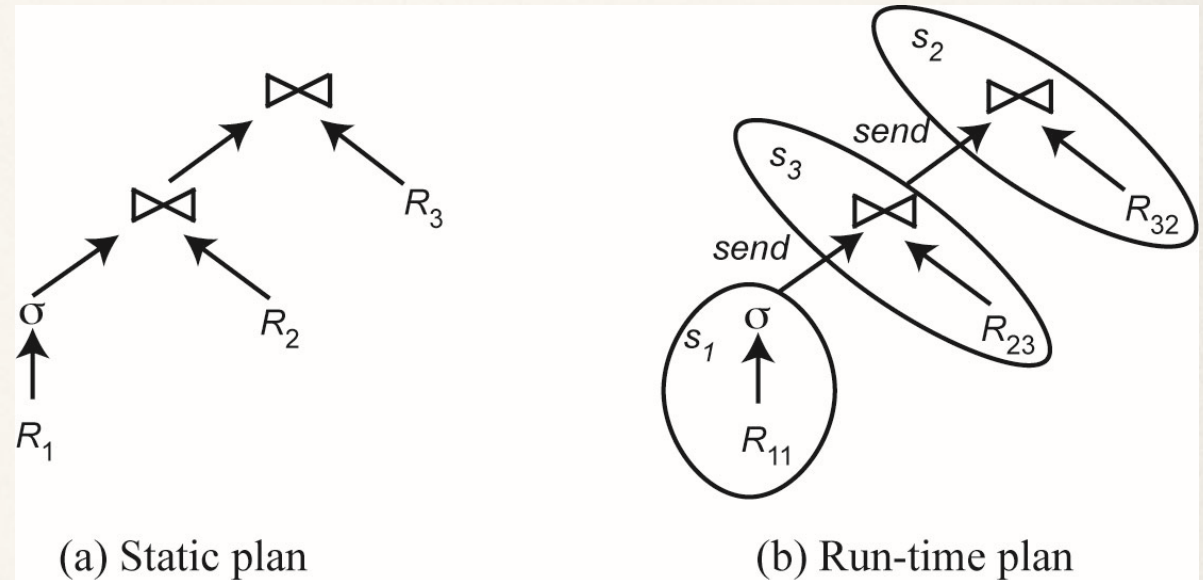


Normally, pushing  $\sigma$  inside  $\Join$  is a good heuristic, but it can be bad if selection rate of  $\Join$  is higher than the one of  $\sigma$

# 2-Step Optimization

- **2-Step optimization**: a simpler approach (more efficient, less exhaustive) than the one based on CP operator; it reduces workload at runtime (no CP operator)
  - At runtime labels are added about site and fragment copy selection only

1. At compile time, generate a static plan with operation ordering and access methods only
2. At startup time, select site and fragment to use and allocate operations to sites



- Site (and copy) selection is done in a greedy fashion
  - best load balancing,
  - best benefit (# of queries already executed at the site, possible saving of communication costs as the site might have already data available)