# Chapter 2: Intro to Relational Model 

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Database System Concepts, $6^{\text {th }}$ Ed.
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## Example of a relation

- All the data is stored in various tables
- Example of tabular data in the relational model
- The set of allowed values for each attribute is called the domain of the attribute

| $I D$ | name | dept_name | salary |
| :---: | :--- | :--- | :--- |
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 12121 | Wu | Finance | 90000 |
| 15151 | Mozart | Music | 40000 |
| 22222 | Einstein | Physics | 95000 |
| 32343 | El Said | History | 60000 |
| 33456 | Gold | Physics | 87000 |
| 45565 | Katz | Comp.Sci. | 75000 |
| 58583 | Califieri | History | 62000 |
| 76543 | Singh | Finance | 80000 |
| 76766 | Crick | Biology | 72000 |
| 83821 | Brandt | Comp.Sci. | 92000 |
| 98345 | Kim | Elec. Eng. | 80000 |

## Relation schemas and instances

Let $A_{1}, A_{2}, \ldots, A_{n}$ be attributes and $D_{1}, D_{2}, \ldots D_{n}$ be domains

- relation schema: describes the form of a tables (its definition, its meta-data)

Formally, the list of attributes and their domains
$R=\left(A_{1}, A_{2}, \ldots, A_{n}\right)$ is a relation schema (sometimes information about domain is omitted)
Example: instructor = (ID: integer, name: string, dept_name: string, salary: integer)
instructor $=(I D$, name, dept_name, salary)

- relation instance: captures the actual content of a table (its values) at a particular moment

Formally, a relation instance $r$ is a finite subset of $D_{1} \times D_{2} \times \ldots \times D_{n}$
Thus, a relation instance is a finite set of $n$-tuples $<a_{1}, a_{2}, \ldots, a_{n}>$ where $a_{i} \in D_{i}$
Example: \{ <12121, "Wu", "Finance", 90000>, <22222, "Einstein", "Physics", 95000>, <33456, "Gold", "Physics", 87000> \}

■ Terminology: RELATIONS = TABLES - ATTRIBUTES = COLUMNS - TUPLES = ROWS
$\square$ Notation: lowercase letters for relation instances $-r, s, r_{1}, r_{2}, \ldots$ uppercase letters for relation schemas - R, $S, R_{1}, R_{2}, \ldots$ distinct lowercase letters for tuples - $t, t_{1}, t_{2}, \ldots$

■ Examples: $\quad r(R)$ or $r \in R$ means " $r$ is an instance of relation with schema $R$ " $t \in r$ means "tuple $t$ belongs to instance $r$ "

## Attribute types

- The set of allowed values for each attribute is called the domain of the attribute
- Attribute values are (normally) required to be atomic; that is, indivisible
- What does atomic means? It depends on the applications
- The special value null is a member of every domain. It indicates that the value is "unknown", "undefined", "optional"
- Using a "dummy value" is not a good solution
- The null value causes complications in the definition of many operations


## Relations are unordered

■ Order of tuples is irrelevant (tuples may be stored in an arbitrary order)

- Same for attribute if columns have names (this is usually the case and we assume so)
- Example: instructor relation with unordered tuples

| ID | name | dept_name | salary |
| :---: | :--- | :--- | :---: |
| 22222 | Einstein | Physics | 95000 |
| 12121 | Wu | Finance | 90000 |
| 32343 | El Said | History | 60000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 98345 | Kim | Elec. Eng. | 80000 |
| 76766 | Crick | Biology | 72000 |
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 58583 | Califieri | History | 62000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 15151 | Mozart | Music | 40000 |
| 33456 | Gold | Physics | 87000 |
| 76543 | Singh | Finance | 80000 |

## Keys

- Let $K \subseteq R \quad$ ( $R$ is a relation schema)
- $K$ is a superkey of $R$ if values for $K$ are sufficient to identify a unique tuple of each possible relation $r(R)$
- Example: $\{I D\}$ and $\{I D, n a m e\}$ are both superkeys of instructor.
- Superkey $K$ is a candidate key if $K$ is minimal Example: $\{I D\}$ is a candidate key for Instructor
- One of the candidate keys is selected to be the primary key.
- which one?
- Terminology: by key we may refer to both candidate key or primary key (disambiguation by context)
- Foreign key constraint: Value in one relation must appear in another
- Referencing relation
- Referenced relation
- Example - dept_name in instructor is a foreign key from instructor referencing department


## A Sample Relational Database

| ID | name | dept_name | salary |
| :--- | :--- | :--- | :--- |
| 22222 | Einstein | Physics | 95000 |
| 12121 | Wu | Finance | 90000 |
| 32343 | El Said | History | 60000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 98345 | Kim | Elec. Eng. | 80000 |
| 76766 | Crick | Biology | 72000 |
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 58583 | Califieri | History | 62000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 15151 | Mozart | Music | 40000 |
| 33456 | Gold | Physics | 87000 |
| 76543 | Singh | Finance | 80000 |

(a) The instructor table

| dept_name | building | budget |
| :--- | :--- | ---: |
| Comp. Sci. | Taylor | 100000 |
| Biology | Watson | 90000 |
| Elec. Eng. | Taylor | 85000 |
| Music | Packard | 80000 |
| Finance | Painter | 120000 |
| History | Painter | 50000 |
| Physics | Watson | 70000 |

(b) The department table

## Database Design (Cont.)

- Is there any problem with this relation?

| ID | name | salary | dept_name | building | budget |
| :---: | :--- | ---: | :--- | :--- | ---: |
| 22222 | Einstein | 95000 | Physics | Watson | 70000 |
| 12121 | Wu | 90000 | Finance | Painter | 120000 |
| 32343 | El Said | 60000 | History | Painter | 50000 |
| 45565 | Katz | 75000 | Comp. Sci. | Taylor | 100000 |
| 98345 | Kim | 80000 | Elec. Eng. | Taylor | 85000 |
| 76766 | Crick | 72000 | Biology | Watson | 90000 |
| 10101 | Srinivasan | 65000 | Comp. Sci. | Taylor | 100000 |
| 58583 | Califieri | 62000 | History | Painter | 50000 |
| 83821 | Brandt | 92000 | Comp. Sci | Taylor | 100000 |
| 15151 | Mozart | 40000 | Music | Packard | 80000 |
| 33456 | Gold | 87000 | Physics | Watson | 70000 |
| 76543 | Singh | 80000 | Finance | Painter | 120000 |

## Schema diagram for university database



## Relational query languages

- Each Query input is a table (or set of tables)
- Each query output is a table.
- All data in the output table appears in one of the input tables
- Relational Algebra is not Turning complete
- Procedural vs .non-procedural, or declarative
- "Pure" languages:
- Relational algebra
- Tuple relational calculus
- Domain relational calculus
- The above 3 pure languages are equivalent in computing power
- We will concentrate in this chapter on relational algebra
- Not Turing machine equivalent
- consists of 6 basic operations


## Select operation - selection of rows (tuples)

- Relation r

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\alpha$ | $\beta$ | 5 | 7 |
| $\beta$ | $\beta$ | 12 | 3 |
| $\beta$ | $\beta$ | 23 | 10 |

- $\sigma_{A=B \wedge D>5}(r)$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\beta$ | $\beta$ | 23 | 10 |

## Project operation - selection of columns (attributes)

- Relation $r$.

| $A$ | $B$ | $C$ |
| :--- | :--- | :--- |
| $\alpha$ | 10 | 1 |
| $\alpha$ | 20 | 1 |
| $\beta$ | 30 | 1 |
| $\beta$ | 40 | 2 |

- $\prod_{\mathrm{A}, \mathrm{C}}(r)$

| $A$ | $C$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |$=$| $A$ | $C$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |

## Union of two relations

- Relations $r$, $s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $r$ |  |$\quad$| $A$ | $B$ |
| :--- | :--- | :--- |
| $\alpha$ | 2 |
| $\beta$ | 3 |

- $r \cup s$ :

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $\beta$ | 3 |

## Set difference of two relations

- Relations $r$, $s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
|  |  |


| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |
| $s$ |  |

- $r-s$ :

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\beta$ | 1 |

## Set intersection of two relations

- Relation $r$, $s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $r$ |  |$\quad$| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |

- $r \cap s$

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 2 |

Note: $r \cap s=r-(r-s)$

## Join of two relations - Cartesian product

- Relations $r$, $s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 2 |
| $r$ |  |


| $C$ | $D$ | $E$ |
| :--- | :--- | :--- |
| $\alpha$ | 10 | a |
| $\beta$ | 10 | a |
| $\beta$ | 20 | b |
| $\gamma$ | 10 | b |

- rxs:

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 20 | b |
| $\alpha$ | 1 | $\gamma$ | 10 | b |
| $\beta$ | 2 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |
| $\beta$ | 2 | $\gamma$ | 10 | b |

## Cartesian product - naming issue

- Relations $r$, $s$ :

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\beta$ | 2 |
| $r$ |  |


| $B$ | $D$ | $E$ |
| :--- | :--- | :--- |
| $\alpha$ | 10 | a |
| $\beta$ | 10 | a |
| $\beta$ | 20 | b |
| $\gamma$ | 10 | b |

$s$

- rxs:

| $A$ | $r . B$ | $s . B$ | $D$ | $E$ |
| :---: | :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 20 | b |
| $\alpha$ | 1 | $\gamma$ | 10 | b |
| $\beta$ | 2 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |
| $\beta$ | 2 | $\gamma$ | 10 | b |

## Renaming a Table

- Allows us to rename attributes of a relation

$$
\rho_{C / B}(r)
$$

where $r(R)$ and $B \in R(B$ is in is an attribute in the relation schema of $r)$


## Composition of operations

- Can build expressions using multiple operations
- Example: $\sigma_{A=C}(r x s)$
- rxs

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 20 | b |
| $\alpha$ | 1 | $\gamma$ | 10 | b |
| $\beta$ | 2 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |
| $\beta$ | 2 | $\gamma$ | 10 | b |

- $\sigma_{\mathrm{A}=\mathrm{C}}(r \times s)$

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |

## Joining two relations - Natural join

- Let $r$ and $s$ be relations on schemas $R$ and $S$ respectively. Then, the "natural join" of relations $R$ and $S$ is a relation on schema $R \cup S$ obtained as follows:
- Consider each pair of tuples $t_{r}$ from $r$ and $t_{s}$ from $s$.
- If $t_{r}$ and $t_{s}$ have the same value on each of the attributes in $R \cap S$, add a tuple $t$ to the result, where
- $t$ has the same value as $t_{r}$ on $r$
- $t$ has the same value as $t_{S}$ on $s$


## Natural join - Example

- Relations $\mathrm{r}, \mathrm{s}$ :

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | a |
| $\beta$ | 2 | $\gamma$ | a |
| $\gamma$ | 4 | $\beta$ | b |
| $\alpha$ | 1 | $\gamma$ | a |
| $\delta$ | 2 | $\beta$ | b |
| $r$ |  |  |  |


| $B$ | $D$ | $E$ |
| :--- | :--- | :--- |
| 1 | a | $\alpha$ |
| 3 | a | $\beta$ |
| 1 | a | $\gamma$ |
| 2 | b | $\delta$ |
| 3 | b | $\varepsilon$ |
| s |  |  |

- Natural Join
-r m

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | a | $\alpha$ |
| $\alpha$ | 1 | $\alpha$ | a | $\gamma$ |
| $\alpha$ | 1 | $\gamma$ | a | $\alpha$ |
| $\alpha$ | 1 | $\gamma$ | a | $\gamma$ |
| $\delta$ | 2 | $\beta$ | b | $\delta$ |

$\prod_{A, r . B, C, r . D, E}\left(\sigma_{r . B=s . B \wedge r . D=s . D}(r X s)\right)$

## Notes about Relational Languages

- Each Query input is a table (or set of tables)
- Each query output is a table.
- All data in the output table appears in one of the input tables
- Relational Algebra is not Turning complete
- Can we compute:
- SUM
- AVG
- MAX
- MIN


## Summary of Relational Algebra Operators

| Symbol (Name) | Example of Use |
| :---: | :---: |
| $\sigma$ (Selection) | ${ }^{\sigma}$ salary > $=85000{ }^{\text {(instructor) }}$ |
|  | Return rows of the input relation that satisfy the predicate. |
| $\begin{aligned} & \hline \Pi \\ & \text { (Projection) } \end{aligned}$ | ${ }^{\Pi} \mathrm{ID}$, salary ${ }^{\text {(instructor) }}$ |
|  | Output specified attributes from all rows of the input relation. Remove duplicate tuples from the output. |
| (Cartesian Product) | instructor $\mathbf{x}$ department |
|  | Output pairs of rows from the two input relations that have the same value on all attributes that have the same name. |
| (Union) | $\Pi_{\text {name }}{ }^{\text {(instructor })} \cup^{\prime} \Pi_{\text {name }}{ }^{\text {(student) }}$ |
|  | Output the union of tuples from the two input relations. |
| (Set Difference) | $\Pi_{\text {name }}{ }^{\text {(instructor) }}$-- $\Pi_{\text {name }}{ }^{\text {(student }}$ |
|  | Output the set difference of tuples from the two input relations. |
| ®(Natural Join) | instructor $\bowtie$ department |
|  | Output pairs of rows from the two input relations that have the same value on all attributes that have the same name. |

End of Chapter 2

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