



# Plane Sweep Approach

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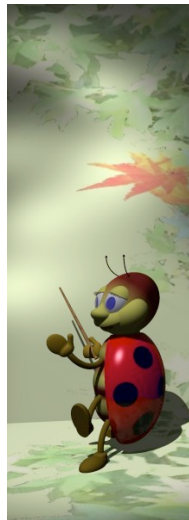
[claudio.mirolo@uniud.it](mailto:claudio.mirolo@uniud.it)

Computational Geometry

[users.dimi.uniud.it/~claudio.mirolo](http://users.dimi.uniud.it/~claudio.mirolo)

# Outline

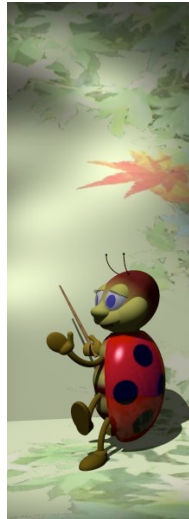
- 1 Plane sweep
- 2 Line segment intersection
  - first idea
  - second idea
  - one more step
- 3 Bentley & Ottmann's algorithm
  - correctness
  - computational costs
  - weakening assumptions





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- *Sweep line:*
  - sweeps the plane, e.g. moving left to right
  - keeps track of what it “sees” about the problem geometry
  - processing completed on the left
  - work in progress on the right
- *Events:*
  - sweep line positions where some critical change occurs. . .
  - . . . in connection with what it sees
  - critical: important qualitative, e.g. topological, change



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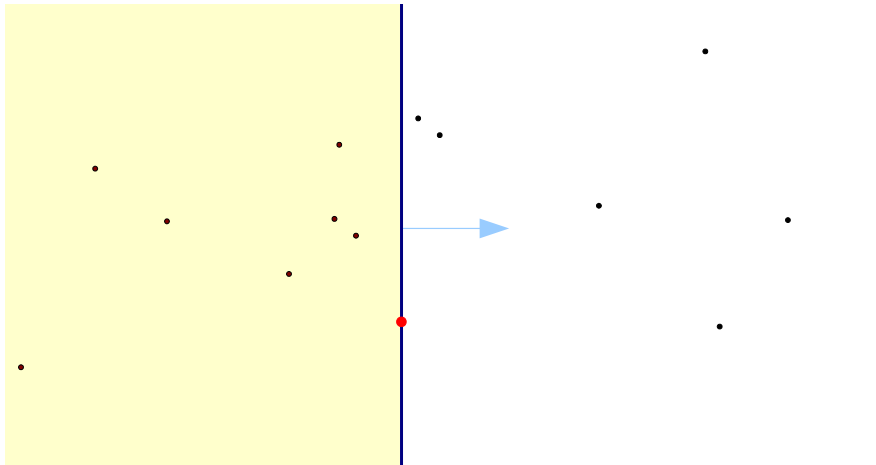


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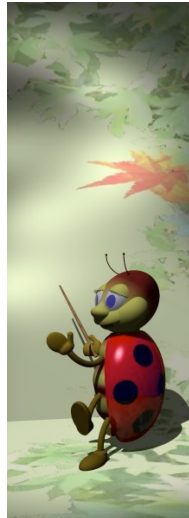


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# Line segment intersection problem

- Given a set  $S$  of  $n$  line segments in the plane
- Report all intersection points between segments in  $S$
- Trivially  $\Theta(n^2)$  for worst-case arrangements
- Interest in *output-sensitive* algorithms



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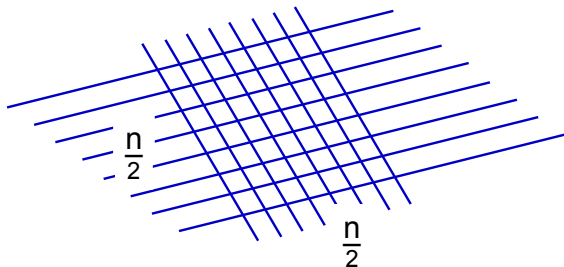
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# First idea. . .

- Two line segments *may* intersect only if their projections onto (e.g.) the  $x$ -axis overlap
- The sweep line “sees” the line segments it crosses (whose projections do overlap)
- Critical changes occur at segment endpoints:
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# Rudimentary algorithm

- At *ENTER* events, check for intersection of the “entering” segment against those crossed by the sweep line
- The list of crossed segments is updated at each event by either adding or removing a line segment
- Sorting endpoints:  $O(n \log n)$
- However:  $O(n^2)$  checks in the worst case...
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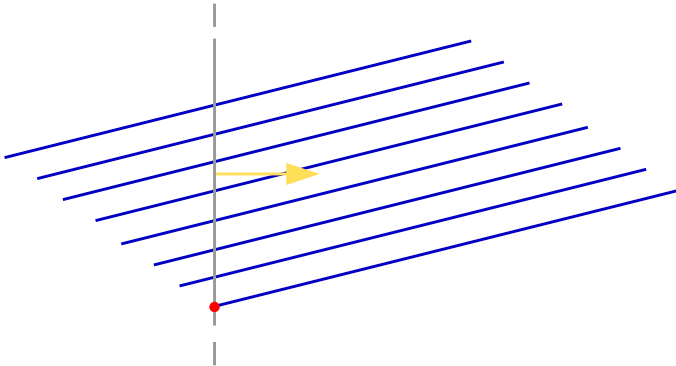


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# Rudimentary algorithm: Worst case arrangement





## Second idea. . .

- At stage  $k$ , let  $L_k$  be the set of points where the sweep line crosses the segments of  $S$
- In order for two line segments to intersect the corresponding points in  $L_k$  must be adjacent at some “previous” stage  $k$
- The segments crossing the sweep line at stage  $k$  ( $S_k$ ) can be organized according to the order of points in  $L_k$  (along the sweep line)



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# Shamos & Hoey (1976)

- Sort endpoints of line segments in  $S$ :  $O(n \log n)$
- Arrange  $S_k$  as a balanced search tree (initially empty)
- *ENTER* event — add  $s \in S$  into  $S_k$   
when the sweep line reaches its left endpoint:  $O(\log n)$
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- When can new pairs of adjacent segments in  $S_k$  be found?
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# Operations on the tree structure

- *insert*( $s, T$ )
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- All running in  $O(\log |T|) = O(\log n)$



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# Optimal intersection test algorithm

- Shamos & Hoey's  $O(n \log n)$  algorithm for intersection test ...
- Do any two line segments in  $S$  intersect? (and if they do, report a “witness” pair)
- ... is optimal:  $\Omega(n \log n)$  lower bound (worst case)
- *Element uniqueness* (see Dobkin & Lipton, 1975; 1979) can be reduced to this problem



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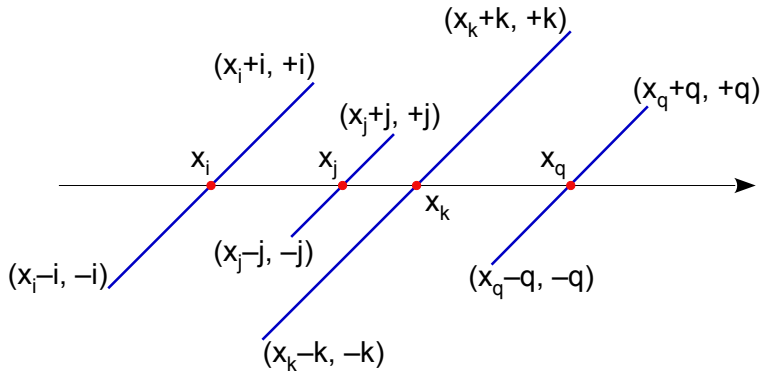


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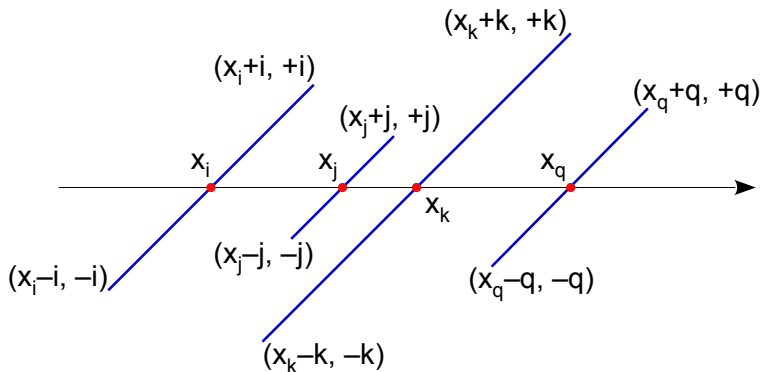
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segment endpoints are all distinct, but segments overlap if  $x_i$  is the same

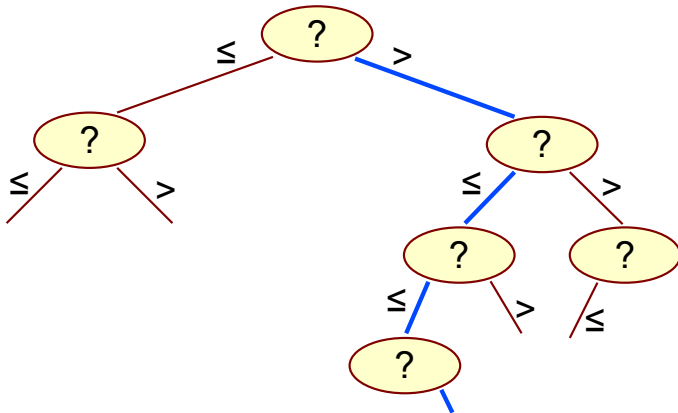


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# “Uniqueness”: Algorithm decision tree





# “Uniqueness”: A bit of notation

- Collection of  $n$  numbers (linear order:  $<, =, >$ )
- $\langle x_1, x_2, \dots, x_n \rangle$  and  $\langle y_1, y_2, \dots, y_n \rangle$   
are two permutations of the same  $n$ -tuple
- $\langle z_1, z_2, \dots, z_n \rangle$   
such that  $z_i = \alpha x_i + (1 - \alpha)y_i$ , where  $\alpha \in [0, 1]$
- i.e.,  $z_j = z_j(\alpha)$



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# “Uniqueness”: Property

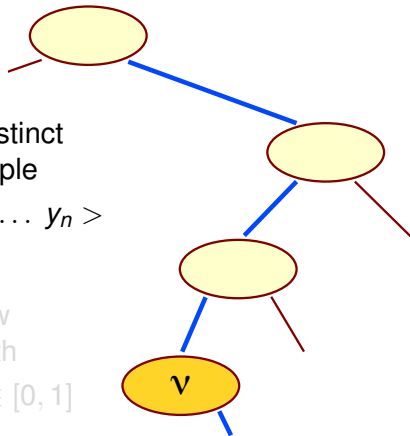
If the decision paths for two distinct permutations of the same  $n$ -tuple

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both reach node  $\nu$ ,

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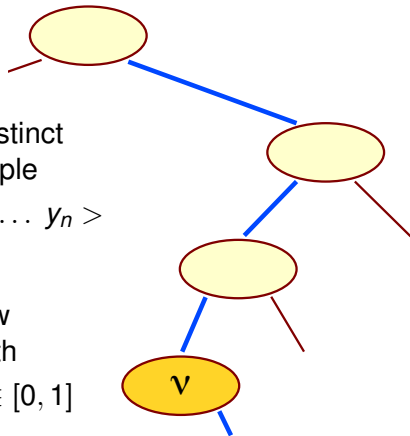
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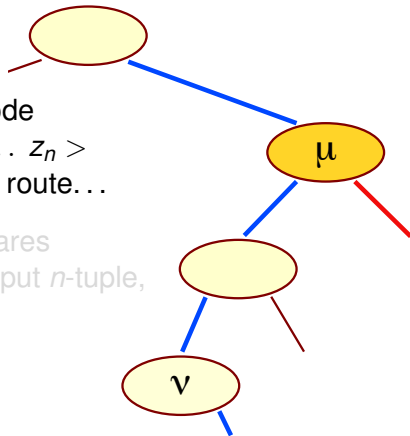


# “Uniqueness”: Contradiction

If not so, let  $\mu$  be  $\nu$ 's parent node where the path for  $\langle z_1, z_2, \dots, z_n \rangle$  is supposed to take a different route. . .

At node  $\mu$  the algorithm compares the  $i$ -th and  $j$ -th items of the input  $n$ -tuple,

but since  $z_i = \alpha x_i + (1 - \alpha)y_i$   
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comparing  $z_i$  and  $z_j$  cannot  
result in a different decision



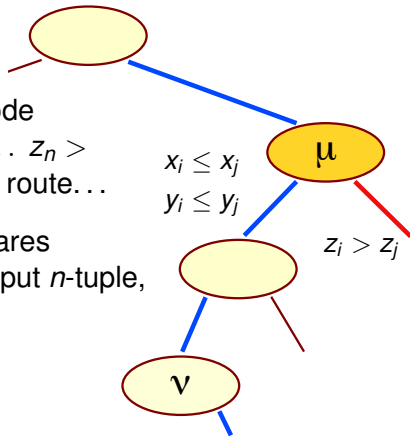


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comparing  $z_i$  and  $z_j$  cannot  
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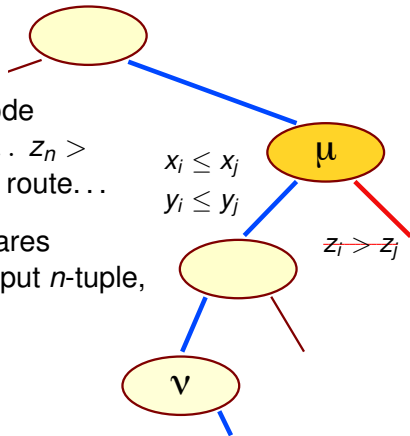


# “Uniqueness”: Contradiction

If not so, let  $\mu$  be  $\nu$ 's parent node where the path for  $\langle z_1, z_2, \dots, z_n \rangle$  is supposed to take a different route. . .

At node  $\mu$  the algorithm compares the  $i$ -th and  $j$ -th items of the input  $n$ -tuple,

but since  $z_i = \alpha x_i + (1 - \alpha)y_i$   
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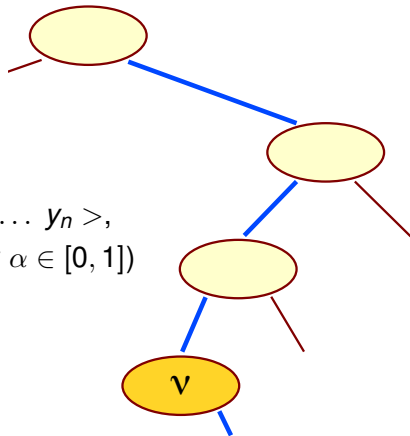




# “Uniqueness”: Implication

Thus, the input  $n$ -tuples

$\langle x_1, x_2, \dots, x_n \rangle$ ,  $\langle y_1, y_2, \dots, y_n \rangle$ ,  
or  $\langle z_1, z_2, \dots, z_n \rangle$  (for any  $\alpha \in [0, 1]$ )  
all lead to node  $\nu \dots$





## “Uniqueness”: At node $\nu \dots$

- $\langle x_1, x_2, \dots, x_n \rangle, \langle y_1, y_2, \dots, y_n \rangle$   
are permutations of a same  $n$ -tuple of *distinct* items
- Hence, we can find  $i, j$  such that  $x_i < x_j$  and  $y_i > y_j$ <sup>1</sup>
- By choosing  $\alpha = \frac{y_i - y_j}{x_j - x_i + y_i - y_j}$  we have  $z_i = z_j$   
(notice that the denominator is strictly positive)

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<sup>1</sup>Let  $x_i$  be the smallest item changing its position, with destination  $j$ , then  $x_i = y_j < x_j, y_i$ .



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as well as  $\langle z_1, z_2, \dots, z_n \rangle$  (uniqueness does not hold) ...
- the algorithm always reaches node  $\nu$
- $\nu$  cannot be a leaf of the decision tree!
- $\Rightarrow$  there need to be as many decision-tree leaves  
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- If an intersection is reported then, of course, a pair of segments do intersect
- May intersections remain undetected?
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- If no other intersection is found in the meanwhile. . .
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# Degeneracies...

- What about segments sharing endpoints?
- And what about vertical segments?
- Or vertical *collinear* segments?
- Think of sorting in *lexicographic* order!



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- Shamos & Hoey's algorithm reports a “witness” intersection
- How to proceed in order to find the other intersections?
- Key observation: critical changes at intersection points!
- New plane-sweep event: *SWAP* event  
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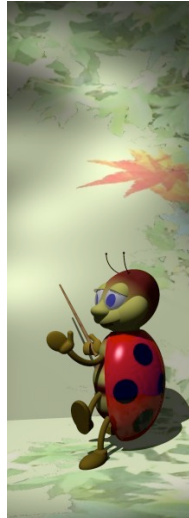


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# Outline

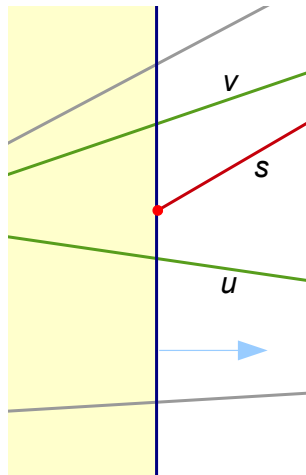
- 1 Plane sweep
- 2 Line segment intersection
  - first idea
  - second idea
  - one more step
- 3 Bentley & Ottmann's algorithm
  - correctness
  - computational costs
  - weakening assumptions



# Bentley & Ottmann (1979)

*ENTER* event:

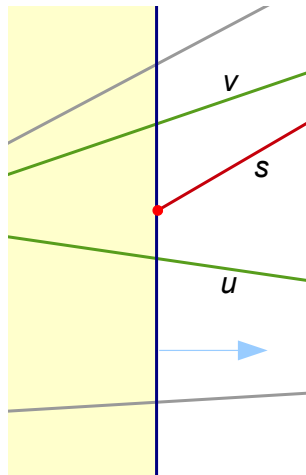
- leftmost endpoint of segment  $s$
- falling between  $u$  and  $v$
- new adjacencies:  $u-s$ ,  $s-v$
- both are to be checked for intersection



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*ENTER* event:

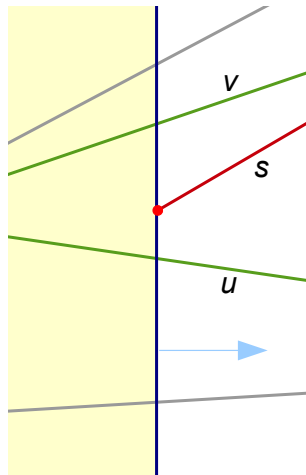
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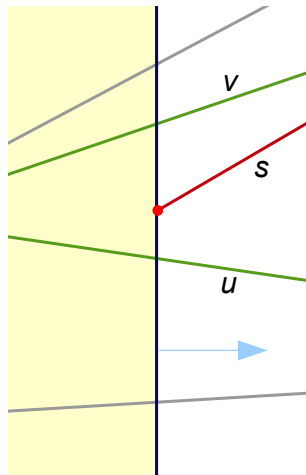
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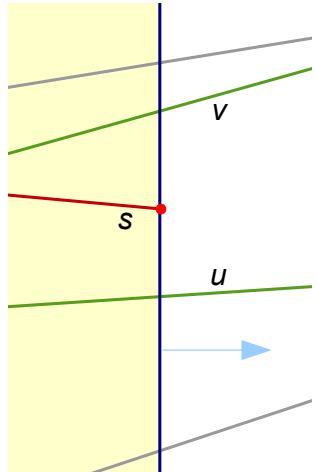
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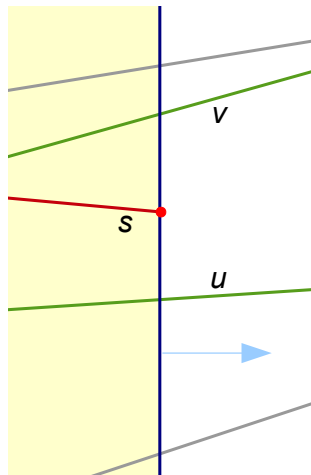
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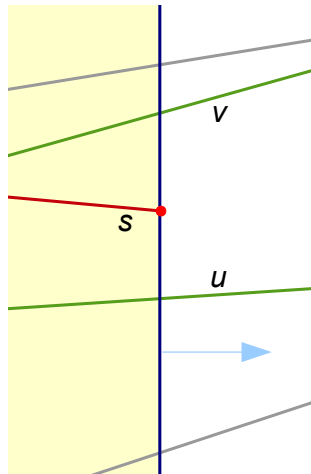
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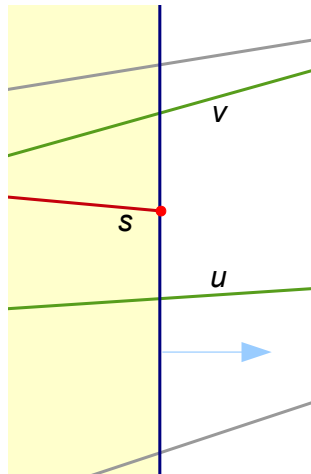
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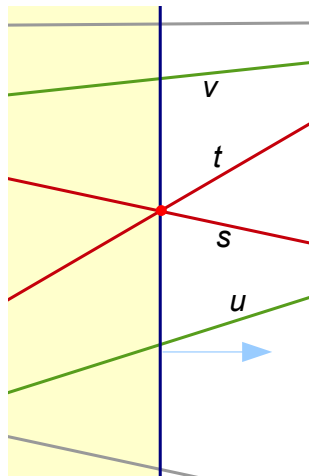
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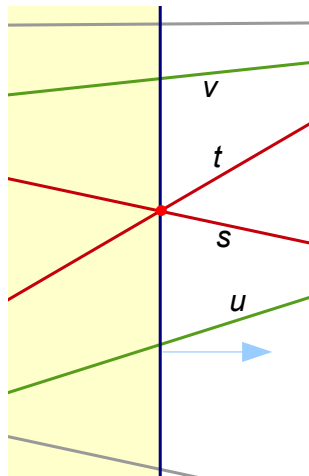
- crossing point of segments  $s$  and  $t$
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# Bentley & Ottmann (1979)

*SWAP* event:

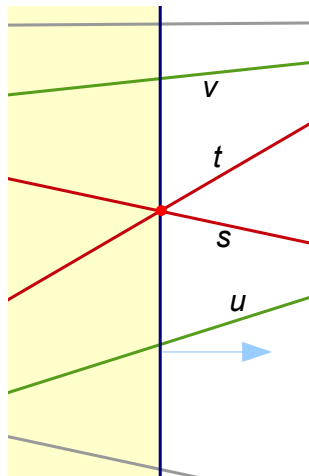
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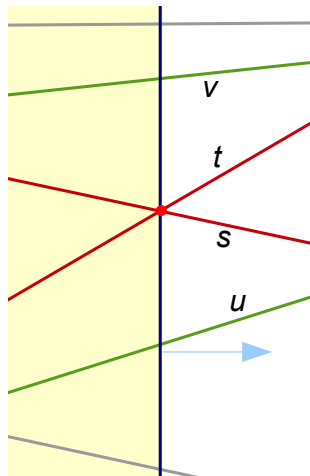
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# Provisional assumptions

- No vertical segments
- Segments do not overlap
- No three segments meet at a common point
- Endpoints are not shared between segments
- No (other) intersections with endpoints



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## Further remarks

- *Invariant*: all intersection points on the left side of the sweep line have been computed
- Intersection events may be found more than once
- Only intersection events on the right side of the sweep line need to be considered
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- This will also allow to deal with shared endpoints, three or more segments meeting in a common point. . .



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# Data structure refinement

- *SWAP* events are interleaved with *ENTER/EXIT* events. . .
- . . . but the corresponding points are not known in advance
- Refinement: dynamic event sorting via *priority queue*
- Overall cost of operations on the event queue:  
 $O(|E| \log n)$ , where  $E$  is the set of all processed events



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- Observation: the structure connected to the sweep line is representative of the geometry within a vertical strip between two consecutive event points
- Since segment intersections are event points, segments crossing the strip can be sorted from the bottom upwards
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- Then, there must be a former event where  $u$  and  $v$  become adjacent for the first time
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# Putting things together

- *Event queue:*
  - either priority queue or balanced search tree
  - insert / fetch in  $O(\log |E|) = O(\log n^2) = O(\log n)$
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# Main steps

- Event queue initialization (all *ENTER/EXIT* events):  
 $O( n \log n )$
- For each event:  $O( n + k )$  iterations for  $k$  intersections
  - possible repeats of SWAP events can be ascribed to one of the  $O( n + k )$  "previous" EXIT/SWAP events
- Process event:  $O( \log n )$ 
  - event queue: one *fetch* and possibly one or two *insert*
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# Main steps

- Event queue initialization (all *ENTER/EXIT* events):  
 $O( n \log n )$
- For each event:  $O( n + k )$  iterations for  $k$  intersections
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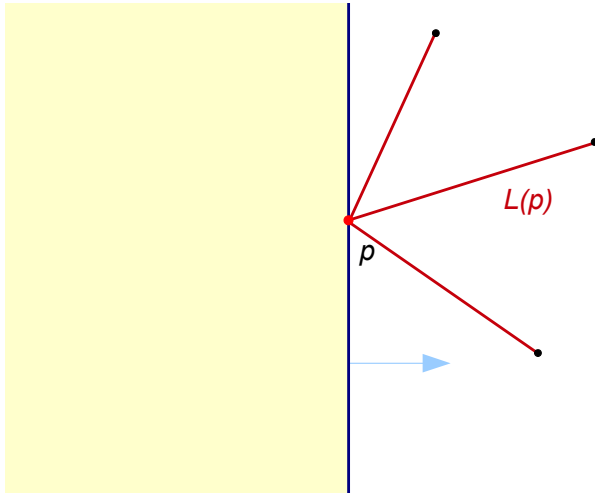
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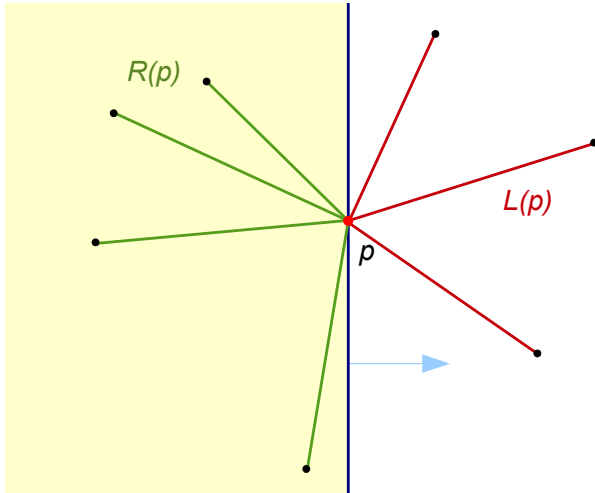
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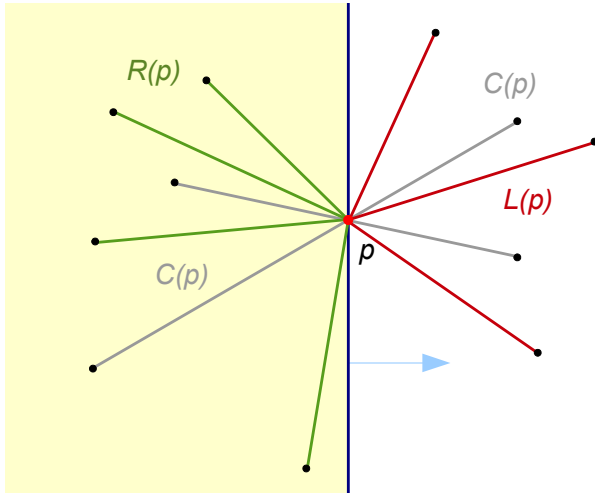
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# Processing *HYBRID* events

- If  $|L(p) \cup R(p) \cup C(p)| > 1$  then report intersection point  $p$
- Remove segments in  $R(p) \cup C(p)$  from the sweep line structure
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## Stricter cost bound

- From  $O((n+k)\log n) \dots$
- $\dots$  to  $O((n+i)\log n)$
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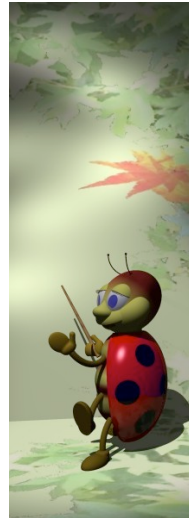
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- Optimal *output-sensitive* algorithms
- Running time:  $O(n \log n + k)$
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in the worst case (Chazelle & Edelsbrunner, 1988)  
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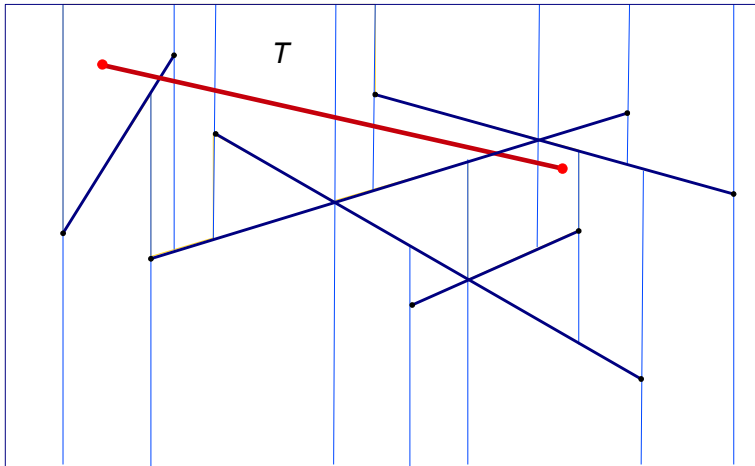


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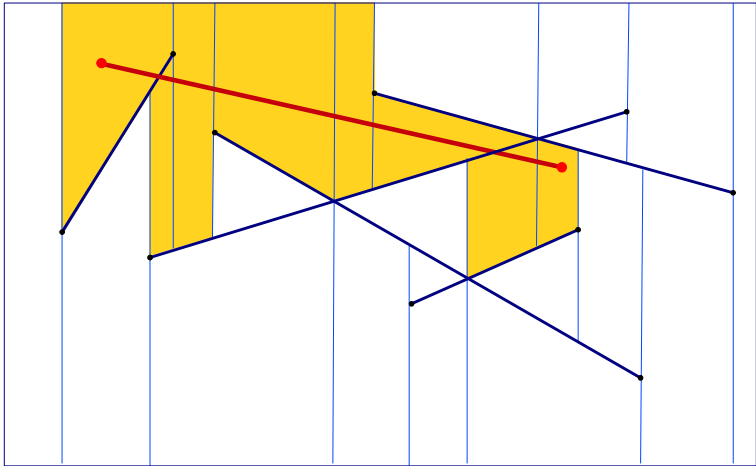


# Mulmuley: Trapezoidal subdivision



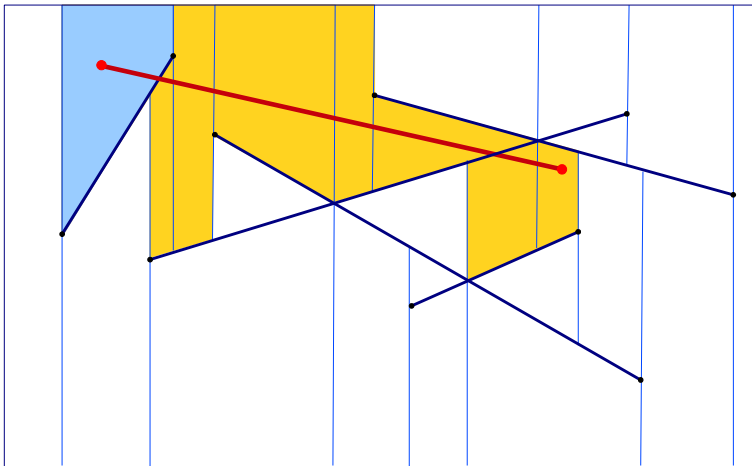


# Mulfmuley: Conflicts



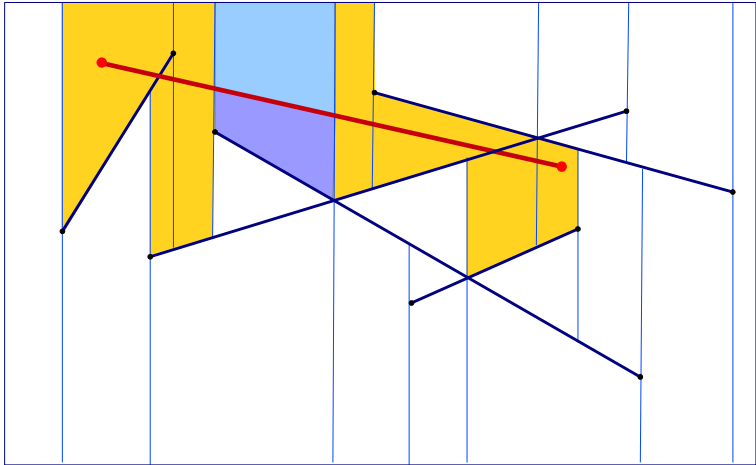


# Mulmuley: Point location



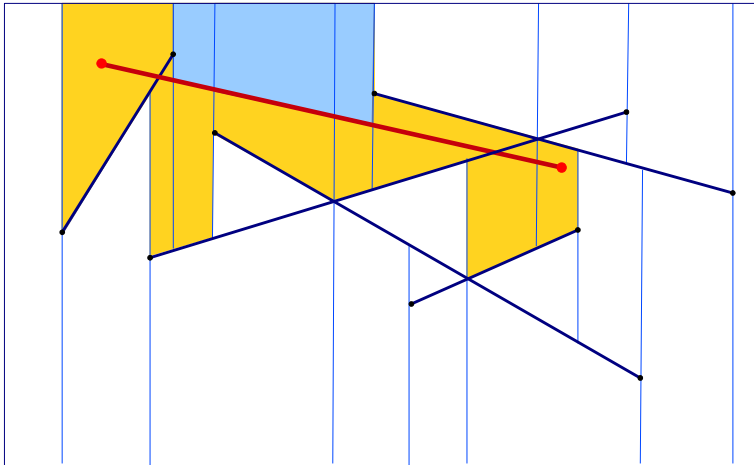


# Mulmuley: Split





# Mulmuley: Merge





# Randomized incremental approach

- Trapezoidal decomposition of a rectangular area:  $T$
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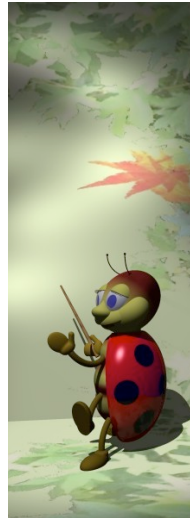
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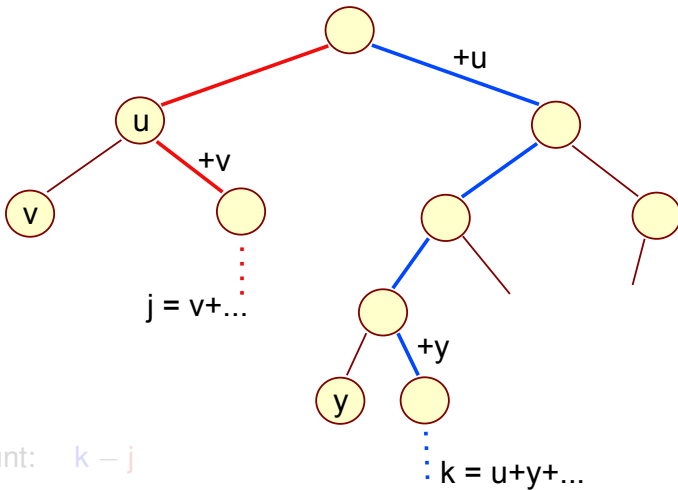


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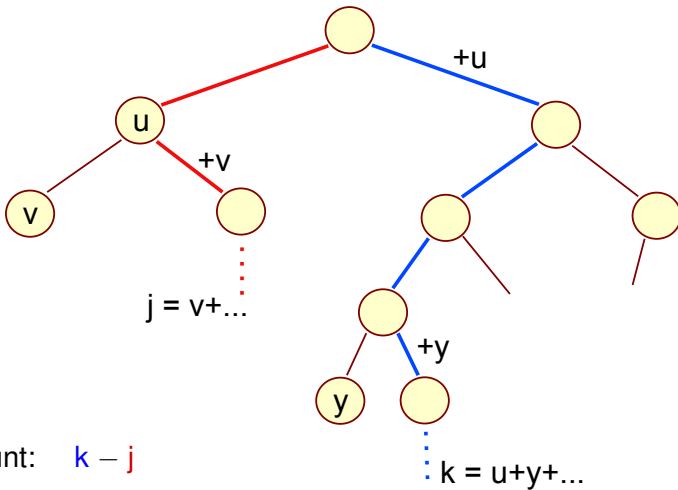
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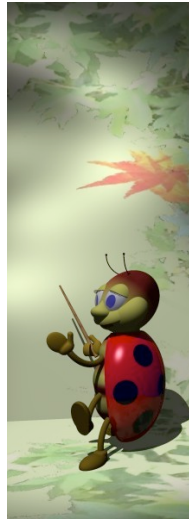


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