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Convex Hull Algorithms

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Outline

Incremental algorithm

- degeneracies
- correctness
- computational costs
- 2 Divide-et-impera algorithm
 - recursive approach
 - corrrectness
 - computational costs
- 3 Randomized algorithm
 - conflict graph
 - corrrectness
 - computational costs



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Convex hull

• Given a set *P* of *n* points in the plane (space)

• "Smaller" convex region containing all points in P

• Region = convex polygon (polyhedron)

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Convex hull: Motivations

• "Classical" problem in the field

• Easy to state...

- ... and easy to solve, even efficiently
- Amenable to application of different general algorithmic approaches to CG problems

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From definition to operational definition

• Convex hull = $\bigcap \{C : P \subset C\}, \quad C$ convex region

- Convex hull = $\bigcap \{H : P \subset H\}, H$ halfplane
- Convex hull = $\bigcap \{H_{pq} : p, q \in P \land P \subset H_{pq}\}$
- Directed segment pq: halfplane interior on its left
- Minimal (and finite!) set of halfplanes

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- pq bounds the convex hull $\Leftrightarrow P \setminus \{p,q\}$ on the left of pq
- CGAL::left_turn(p1, p2, q) \rightarrow code
- When applied to a (small) set of *random* points it seems to work properly
- But what about the algorithm's robustness?
- Indeed, a more accurate analysis is usually required

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- In a first stage it can be useful to ignore possible degenaracies
- Assuming some "general configuration" (e.g. no three colinear points)
- How to deal with colinear points on the boundary of the convex hull?
- ! CGAL::right_turn(p1, p2, q) (?) \rightarrow code
- Structural integrity for small perturbations (e.g. floating-point inaccuracies)...

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- What is, exactly, the intended output representation?
- Topological/relational information
- Polygon sides in counterclockwise order
- Related structural integrity issues...
- However: the output adds only relational information to the input! (selection + coupling of input points)

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Analysis of computational costs

• Identification of convex hull sides (brute force):

• Incidence between sides (*brute force*):

• Overall:

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• Identification of convex hull sides (*brute force*): $O(n^3)$

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• Overall: $O(n^3)$

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- Can this approach be improved?
- Walking along the convex hull boundary
- Starting vertex...
- Jarvis' March (1973)

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Jarvis' March

h-sided convex hull:

• Worst case:

- However, it may be efficient if $h \ll n$
- ullet ightarrow *code*

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Jarvis' March

• *h*-sided convex hull: O(hn)

Worst case:

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Jarvis' March

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- How much room to improve performances?
- Convex hull sides must be ordered...
- Let us consider the following point set: $P = \{ (x_i, x^2) : 1 \le i \le n \land x_i \in \mathbb{R} \}$
- *P*'s convex hull sorts $\{x_i : 1 \le i \le n\} \subset \mathbb{R}$!
- Lower bound to computational costs:

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- Lower bound to computational costs: $\Omega(n \log n)$

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Convex hull: Instrinsic complexity

• Jarvis' March is then interesting for $h = O(\log n)$

• But what about the (expected) number of sides?

- Clearly, it depends on point distribution
- See, e.g. (students' projects):
 - Har-Peled (1997, 2011)
 - Golin & Sedgewick (1988)

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Har-Peled (1997, 2011)

• Uniform point distribution in a disc: $O(\sqrt[3]{n})$

- Uniform distribution in a square/triangle: $O(\log n)$
- Uniform distribution in a k-sided polygon: $O(k \log n)$
- Jarvis' March Ok for uniform distributions in rectangles/triangles?
- Or we could do something better under similar assumptions?

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Har-Peled (2011)



Theorem 2.3 The expected number of vertices of the convex hull of n points, chosen uniformly and independently from the unit disk, is $O(n^{1/3})$.

Proof: We claim that the expected area of the convex hull of n points, chosen uniformly and independently from the unit disk, is at least $\pi - O(n^{-2/3})$.

Indeed, let D denote the unit disk, and assume without loss of generality, that $n = m^3$, where m is a positive integer. Partition D into m sectors, S_1, \ldots, S_m , by placing m equally spaced points on the boundary of D and connecting them to the origin. Let D_1, \ldots, D_{m^2} denote the m^2 disks centered at the origin, such that (i) $D_1 = D$, and (ii) $Area(D_{i-1}) - Area(D_i) = \pi/m^2$, for $i = 2, \ldots, m^2$. Let r_i denote the radius of D_i , for $i = 1, \ldots, m^2$.

Let $S_{i,j} = (D_i \setminus D_{i+1}) \cap S_j$, and $S_{m^2,j} = D_{m^2} \cap S_j$, for $i = 1, \ldots, m^2 - 1$, $j = 1, \ldots, m$. The set $S_{i,j}$ is called the *i*-th *tile* of the sector S_j , and its area is π/n , for $i = 1, \ldots, m^2$, $j = 1, \ldots, m$.

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Golin & Sedgewick (1988)

• Uniform distribution of *n* points in a square

- Inner construction (quadrilateral) to remove most points from further consideration in O(n)
- Expected number of remaining candidates: $O(\sqrt{n})$
- $h = O(\sqrt{n}) \Rightarrow$ Jarvis' March in $O(\sqrt{n} \cdot \sqrt{n}) = O(n)$
- We certainly can't do better than this!

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• Can the optimal $O(n \log n)$ trend be achieved in general?

• In the worst case?

In the expected case, but regardless of assumptions on the point distribution?

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degeneracies correctness computational costs



Graham's scan

Lower hull first

• Adding points one by one, sorted left-to-right

• Lower hull is updated after each addition

degeneracies correctness computational costs



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degeneracies correctness computational costs



Scan step

• From *lower_hull*({ *p*₁, *p*₂, *p*₃, ..., *p*_{*i*-1} }) ...

• ... to lower_hull({ $p_1, p_2, p_3, ..., p_{i-1}, p_i$ })

• Basic idea: Animation



degeneracies correctness computational costs



Scan step

- From *lower_hull*({ $p_1, p_2, p_3, \ldots, p_{i-1}$ }) ...
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Scan step

- From *lower_hull*({ $p_1, p_2, p_3, \ldots, p_{i-1}$ }) ...
- ... to lower_hull({ $p_1, p_2, p_3, ..., p_{i-1}, p_i$ })
- Basic idea: Animation

degeneracies correctness computational costs



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Lower hull

• After the *i*-th step, p_1 and p_i belong to the lower hull

• p_1 and p_n belong to both the lower and upper hull

• Lower hull construction: Code
degeneracies correctness computational costs



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degeneracies correctness computational costs



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degeneracies correctness computational costs



Upper hull

• Upper hull: similar processing, by symmetry

- E.g., adding points in backward order
- Upper hull construction: Code

degeneracies correctness computational costs



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- E.g., adding points in backward order
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degeneracies correctness computational costs



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Upper hull

- Upper hull: similar processing, by symmetry
- E.g., adding points in backward order
- Upper hull construction: Code

degeneracies

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Degeneracies: Vertically aligned points

- Lexicographic order
- Geometric interpretation of lexicographic order
- "Symbolic perturbation"

degeneracies correctness



computational costs

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degeneracies

correctness computational costs



Geometric interpretation



degeneracies

correctness computational costs



Geometric interpretation



C. Mirolo Convex Hull

degeneracies

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Degeneracies: Collinear hull vertices

• Strict left turn

- Which is the result?
- Animation (vertical/horizontal point alignments)
- Code details...

degeneracies correctness



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degeneracies correctness



Robustness?

What about inaccurate floating-point calculations?

- Structural integrity is preserved!
- ... As opposed to the "brute force" algorithm

degeneracies correctness



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Iteration invariants

Lower hull construction invariant:

- After *i*-th step: $P_i = \{ p_1, p_2, p_3, \dots, p_{i-1}, p_i \}$
- No point of *P_i* lies below (to the right of) *lower_hull*(*P_i*)
- *lower_hull*(*P_i*) is convex (left turn at each vertex)

degeneracies correctness

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degeneracies correctness



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degeneracies

correctness computational costs



Proof: Induction step



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Proof: Induction step



degeneracies correctness computational costs



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Computational costs

• Sorting points in lexicographic order: $O(n \log n)$

- Adding points incrementally: O(n) for iterations
- Updating lower/upper hull: O(n) while iterations...
- ... over all for iterations (at each further iteration a hull vertex is removed!)

degeneracies correctness computational costs



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degeneracies correctness computational costs



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degeneracies correctness computational costs



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Optimality of $n \log n$

- May any better algorithm be conceived?
- Sorting $x_1, x_2, \ldots x_n$ can be reduced to convex hull...
- Consider point set $P = \{ (x_1, x_1^2), (x_2, x_2^2), \dots, (x_n, x_n^2) \}$
- Convex hull (sorted vertices): $n \log n$ lower bound

degeneracies correctness computational costs



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degeneracies correctness computational costs



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degeneracies correctness computational costs



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degeneracies correctness computational costs



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Variations on the theme...

- Graham's scan for "angular" order of points (around a convex hull vertex)
- Animation ...
- ... Try it yourself!

degeneracies correctness computational costs



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degeneracies correctness computational costs



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recursive approach corrrectness computational costs



Outline

Incremental algorithm

- degeneracies
- orrectness
- computational costs
- 2 Divide-et-impera algorithm
 - recursive approach
 - corrrectness
 - computational costs
- 3 Randomized algorithm
 - conflict graph
 - corrrectness
 - computational costs



recursive approach corrrectness computational costs



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Preparata & Hong's recursive approach

- Preliminarily, points are sorted lexicographically
- Balanced bipartition through a vertical line
- Convex hull of the left half (recursively)
- Convex hull of the right half (recursively)
recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



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- Boundary walks to draw the connecting edges (common tangent lines above and below)
- Cut & sew appropriate (half)chains of points and connecting edges
- Animation / Code

recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



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Correctness

 Recursive constructions are assumed to be correct (and base cases are)

 Walk(s) to determine connecting edges must come to an end and the resulting chain will be convex

recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



Correctness



recursive approach corrrectness computational costs



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Computational costs

• Sorting points in lexicographic order: $O(n \log n)$

• Walks + cut & sew: O(n)

- Well known equation: T(n) = 2 T(n/2) + O(n)
- Solution: $T(n) = O(n \log n)$

recursive approach corrrectness computational costs



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recursive approach corrrectness computational costs



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conflict graph corrrectness computational costs



Outline

Incremental algorithm

- degeneracies
- correctness
- computational costs
- 2 Divide-et-impera algorithm
 - recursive approach
 - orrrectness
 - computational costs

3 Randomized algorithm

- conflict graph
- corrrectness
- computational costs



conflict graph corrrectness computational cost



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Randomization and conflict graph

Conflict-graph framework:

- Objects: problem input data set S
- Regions: identified by O(1) objects
- Conflicts: relationship between regions and objects

conflict graph corrrectness computational costs



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conflict graph corrrectness computational costs



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Conflict-graph

Assumptions (static approach):

- Links in both directions between regions and objects in conflict
- Direct link from object to conflict region
- Direct link from region to entry point ("iterator") to list of conflicting objects

conflict graph corrrectness computational costs



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conflict graph corrrectness computational cost



Conflict-graph as a general framework

Simple example: Sorting numbers

- Objects: real numbers x_i from finite set X
- *Regions*: intervals [*x_i*, *x_j*] between (two) numbers from *X*
- Conflicts: $[x_i, x_j]$ and x are in conflict if $x \in]x_i, x_j[$

conflict graph corrrectness computational cost



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conflict graph corrrectness computational cost



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conflict graph corrrectness computational cost



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conflict graph corrrectness computational costs



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Conflict-graph as a general framework

Simple example: A different point of view on quick-sort?

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conflict graph corrrectness computational cos



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Conflict-graph approach to the convex hull

Conflict-graph framework:

- Objects: points p; from finite set P
- Regions: outer "sector" of (current) convex hull edge pipi
- Conflicts: when $p \in P$ falls in one such outer sector

conflict graph corrrectness computational cos



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conflict graph corrrectness computational cos



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- *Regions*: outer "sector" of (current) convex hull edge $p_i p_j$
- Conflicts: when p ∈ P falls in one such outer sector

conflict graph corrrectness computational cos



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conflict graph corrrectness computational cos



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conflict graph corrrectness computational cos



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Randomized incremental convex hull algorithm
conflict graph corrrectness computational cos





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Conflict-graph approach to the convex hull

Conflict-graph framework:

- Objects
- Regions
- Conflicts

Result: no point $p \in P$ lies outside H_n

conflict graph corrrectness computational costs



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Points p_i from finite set P

Conflict-graph framework:

- Objects
- Regions
- Conflicts

Result: no point $p \in P$ lies outside H_n

conflict graph corrrectness computational costs



Outer sector of hull edge $p_i p_j$



conflict graph corrrectness computational cost



$p \in P$ falls in one such outer sector



conflict graph corrrectness computational cost



$p \in P$ falls in one such outer sector



conflict graph corrrectness computational cost



Correctness

Straightforward

• Walk(s) to get rid of non-convex vertices must come to an end and the updated hull will be convex



conflict graph corrrectness computational costs



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Computational costs

At each stage, to update the convex hull from H_k to H_{k+1} , the algorithm accomplishes three main tasks:

- It removes a few "old" edges from *H_k*
- It adds two "new" edges to build H_{k+1}
- It re-arranges the links of the conflict graph between the outer regions of the new edges and all the points in the conflict lists of the removed edges

conflict graph corrrectness computational costs



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Removing and creating edges

• Only edges that have been created can be removed

- Two edges are created at each of the O(n) stages
- Hence, the overall costs of both removing and creating edges are bound by *O*(*n*)

conflict graph corrrectness computational costs



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Updating the conflict graph

Expected cost

- Approach: backward analysis
- Points which do not fall outside *H_k* at some stage will no longer be taken into account
- Focus on a set $P_k \subset P$ of k points (k-th stage) ...

conflict graph corrrectness computational costs



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Backward analysis

 Because of the randomization, each of the k points in Pk may be the last added with equal probability 1/k

• Let p be this last added point

- Then, either *p* is interior to *H_k* (no related processing) or *p* is a vertex of *H_k* with incident edges *e'* and *e''*
- Graph links re-arranged at the k-th stage (only) for points in the conflict lists of e' and e''...

conflict graph corrrectness computational costs



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Backward analysis

- ... the cost of re-arranging links at stage k is O(l' + l''), where l', l'' are the sizes of the conflict lists of e', e''
- Then, the expected cost of the k-th stage is

$$\sum_{p \in P_k} \frac{1}{k} O(l' + l'') = \frac{1}{k} \sum_{e \in H_k} 2 O(l) \leq \frac{2}{k} O(n)$$

since the conflict lists contain $n - k \le n$ points overall

actually l' + l'' may underestimate the costs at stage k, but O(n) recovers anything lost, or ... only re-accounted to be accounted for show a point can be removed to be accounted for show a point can be removed to be contact for shows a point can be removed to be contact for the point for shows a point can be removed to be contact for the point for shows a point for the point for the point for the point for shows a point for the point for

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Backward analysis

• Expected cost of the *k*-th stage: O(n)/k

- Notice that O(n)/k does not depend on the specific P_k, but the result would be the same for any P_k ⊂ P of size k
- O(n)/k is the expected cost of the *k*-th stage...

conflict graph corrrectness computational costs



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$$\sum_{k=4}^{n} \frac{O(n)}{k} = O(n) \sum_{k=4}^{n} \frac{1}{k}$$

i.e. $O(n \log n)$ [1, $\frac{1}{2}, \frac{1}{3}, \dots, \frac{1}{k}, \dots$ harmonic series]

• ... which dominates the running time of the algorithm
conflict graph corrrectness computational costs



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conflict graph corrrectness computational costs



Log trend of the harmonic series: Why?

$$1, \quad \frac{1}{2}, \quad \frac{1}{3}, \quad \frac{1}{4}, \quad \frac{1}{5}, \quad \frac{1}{6}, \quad \frac{1}{7}, \quad \frac{1}{8}, \quad \frac{1}{9}, \quad \dots, \quad \frac{1}{15}, \quad \frac{1}{16}, \quad \dots$$

conflict graph corrrectness computational costs



Log trend of the harmonic series: Why?

$$1, \quad \underbrace{\frac{1}{2}, \quad \frac{1}{3}}_{<}, \quad \frac{1}{4}, \quad \frac{1}{5}, \quad \frac{1}{6}, \quad \frac{1}{7}, \quad \frac{1}{8}, \quad \frac{1}{9}, \quad \dots, \quad \frac{1}{15}, \quad \frac{1}{16}, \quad \dots \\ < 1$$

conflict graph corrrectness computational costs



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conflict graph corrrectness computational costs



Incremental algorithm: Worst-case point sequence



Outline



Semi-dynamic algorithms

5 Related results

- Convex hull in 3D
- Miscellaneous results

6 References





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Static vs. semi-dynamic algorithms

• Conflict graph \rightarrow influence graph

- Influence graph: tree-like, incrementally updated structure
- *static* algorithm \rightarrow *semi-dynamic* algorithm
- Same computational trend, for *random* input data, provided the cost of each graph update is $O(\log n)$



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Devillers (1996)





Semi-dynamic convex hull algorithm: Embedded tree





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Semi-dynamic convex hull algorithm: Embedded tree

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created ray-nodes are never removed from trees even if the ray's origin is no longer a vertex of the convex hull

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Convex hull in 3D /liscellaneous results





5 Related results

- Convex hull in 3D
- Miscellaneous results

6 References



Convex hull in 3D Miscellaneous results



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Convex hull in 3D

• No straightforward 3D generalization of Graham's scan

 Divide-et-impera approach: Preparata & Hong (1977), O(n log n)

Randomized incremental approach:
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Convex hull in 3D Miscellaneous results



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Semi-dynamic algorithms Related results

Convex hull in 3D Miscellaneous results



Preparata & Hong (1977)

Fig. 4. Merging two convex hulls. Construction of 3.





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Remark: Euler's formula for planar graphs

 Relation between # of vertices (V), edges (E), faces (F), and connected components (C) of a planar graph

• V+F=E+C+1

- Proof: adding vertex or edge connecting two vertices
- Base case (1 vertex): V = 1, F = 1, E = 0, C = 1



Convex hull in 3D Miscellaneous results



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Convex hull in 3D Miscellaneous results



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Remark: Euler's formula for planar graphs

• Invariant: V + F = E + C + 1

Inductive step...

• Adding a disconnected vertex:

$$V' = V + 1, F' = F, E' = E, C' = C + 1$$

• Adding an edge between disconnected components:

$$V' = V, F' = F, E' = E + 1, C' = C - 1$$

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Convex hull in 3D Miscellaneous results



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$$V' = V, F' = F + 1, E' = E + 1, C' = C$$



Remark: Euler's formula for planar graphs

- Invariant: V + F = E + C + 1
- Inductive step...
- Adding a disconnected vertex:

$$V' = V + 1, F' = F, E' = E, C' = C + 1$$

• Adding an edge between disconnected components:

$$V' = V, F' = F, E' = E + 1, C' = C - 1$$

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Remark: Euler's formula for polyhedra

- Invariant (C = 1): V + F = E + 2
- No disconnected vertices: $V \leq 2E$
- No two edges between the same pair of vertices:

 $F \leq 2E/3$

i.e., 2E halfedges and ≥ 3 halfedges per face

- Hence: E = V + F 2 < V + 2E/3
- $\Rightarrow E < 3V$ and F < 2V
- To sum up:

V = O(E); E = O(V); F = O(V) = O(E)


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Convex hull in 3D Miscellaneous results



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More about convex hull algorithms

• Jarvis' march (2D) / gift wrapping (3D)

- simple to code
- running time: O(nh)
- *h* = hull vertices: may it be convenient?
- Optimal output sensitive algorithms
 - E.g., Chan (1996)
 - running time: $O(n \log h)$
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Outline



5 Related results

- Convex hull in 3D
- Miscellaneous results









"Convex hull is the favorite paradigm of computational geometers.

Although the description of the problem is fairly simple, its solution takes into account all aspects of computational geometry."

Olivier Devillers (1996)



References



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