

# Verification of infinite state systems

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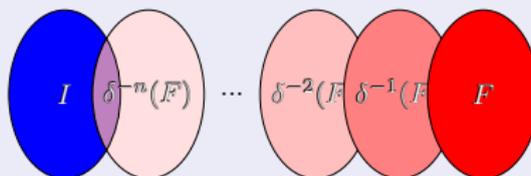
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In this part

We present solutions to the *plain reachability problem* for

- pushdown transition graphs
- transition graphs of Petri nets

Both solutions are based on **backward reachability analysis**.



## Definition

Given an input-free pushdown system  $\mathcal{P} = (Q, \Gamma, \Delta)$  and a set  $X \subseteq Q \times \Gamma^*$  of configurations, we define

$$\delta^{-1}(X) := \{(q, w) \in Q \times \Gamma^* : \exists (q', w') \in X. (q, w) \xrightarrow[\mathcal{P}]{} (q', w')\}$$

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## Problem statement

Given an input-free pushdown system  $\mathcal{P} = (Q, \Gamma, \Delta)$  and a set  $F$  of target configurations, compute the set  $(\delta^{-1})^*(F)$  of **all configurations**  $(q, w) \in Q \times \Gamma^*$  from which  $F$  is reachable.

In fact, we already know that the (full) model checking problem for pushdown transition systems is decidable. Here we give an **efficient** procedure to solve the reachability (sub-)problem.



The set  $(\delta^{-1})^*(F)$  is the limit of the sequence

$$\begin{aligned}X_0 &:= F \\X_{n+1} &:= X_n \cup \delta^{-1}(X_n)\end{aligned}$$

Obviously, if  $X_{n+1} = X_n$  for some  $n \geq 0$ , then  $(\delta^{-1})^*(F) = X_n$ .

### Effectiveness

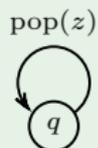
Two problems arise:

- the sets  $X_n$  may be **infinite**  
 $\Rightarrow$  *symbolic representations* are needed
- $X_0, X_1, X_2, \dots$  may be a **strictly increasing sequence**  
 $\Rightarrow$  how to guarantee convergence in *finitely many steps*?

## Example

Consider the pushdown system having

- a single state  $q$
- a single stack symbol  $z$
- a single transition  $(q, z, q, \varepsilon)$ .



If we take  $F = \{(q, \varepsilon)\}$ , then

$$X_0 = \{(q, \varepsilon)\}$$

$$X_1 = \{(q, \varepsilon), (q, z)\}$$

$$X_2 = \{(q, \varepsilon), (q, z), (q, zz)\}$$

...



We identify  $\mathcal{P}$ -configurations with **finite words** in  $Q \cdot \Gamma^*$ .

Moreover, to finitely represent sets of configurations of  $\mathcal{P}$ ,  
**we restrict to regular sets of configurations**  
( $\Rightarrow$  we represent them by finite state automata).



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To effectively solve the plain reachability problem, we compute  $(\delta^{-1})^*(F)$  as the limit of another sequence  $Y_0, Y_1, \dots$  such that:

- (termination)  $\exists n \geq 0. Y_{n+1} = Y_n$
- (completeness)  $\forall n \geq 0. X_n \subseteq Y_n$
- (soundness)  $\forall n \geq 0. Y_n \subseteq \bigcup_{i \geq 0} X_i$



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$\Rightarrow$  We shall define the sets  $Y_n$  as the languages recognized by suitable finite state automata  $\mathcal{A}_n \dots$

## Saturation algorithm (Bouajjani et al. '97)

Sketch of the algorithm:

- 1 start with an automaton  $\mathcal{A}_0$  with input alphabet  $Q \cup \Gamma$  recognizing the regular language  $Y_0 := X_0 (= F)$
- 2 build an automaton  $\mathcal{A}_{n+1}$  recognizing  $Y_{n+1}$  by simply adding new transitions to  $\mathcal{A}_n$
- 3 halt when  $\mathcal{A}_{n+1} = \mathcal{A}_n$   
(note: this eventually happens since only finitely many transitions can be added)

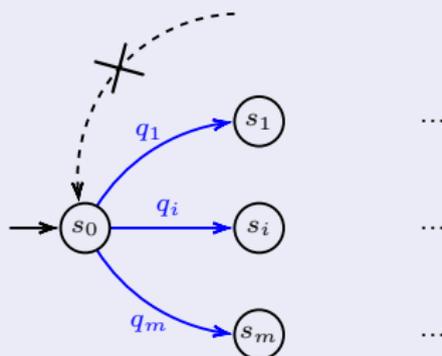




## Assumptions on the initial automaton $\mathcal{A}_0$

W.l.o.g. we can assume that:

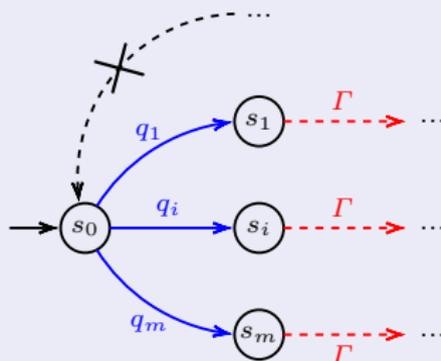
- the pushdown system  $\mathcal{P}$  has  $m$  states  $q_1, \dots, q_m$
- the automaton  $\mathcal{A}_0$  has a single initial non-final state  $s_0$ ,  $m$  distinct states  $s_1, \dots, s_m$ , and possibly other states
- there is no transition of  $\mathcal{A}_0$  reaching the initial state  $s_0$
- for  $i = 1, \dots, m$ , the unique  $q_i$ -labeled transition is  $(s_0, q_i, s_i)$



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- for  $i = 1, \dots, m$ , the unique  $q_i$ -labeled transition is  $(s_0, q_i, s_i)$
- other transitions are labeled by symbols in  $\Gamma$



### Definition (Construction of $\mathcal{A}_{n+1}$ from $\mathcal{A}_n$ )

The automaton  $\mathcal{A}_{n+1}$  for  $Y_{n+1}$  is obtained from  $\mathcal{A}_n$

by adding, for each rule  $(q_i, z, q_j, w') \in \Delta$ ,

a new transition  $(s_i, z, s')$  whenever  $s_j \xrightarrow[\mathcal{A}_n]{w'} s'$ .

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Assume that

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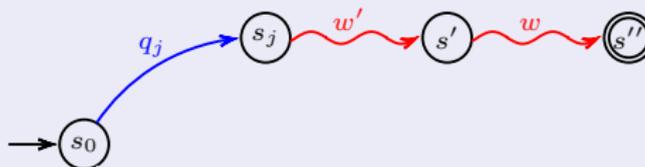
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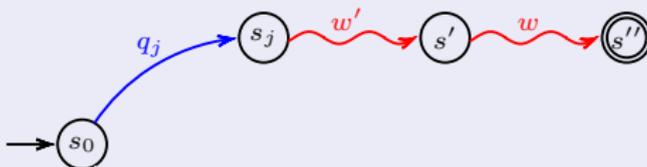
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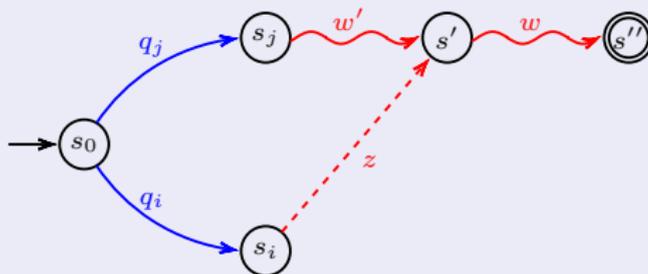
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Now, if  $(q_i, z, q_j, w') \in \Delta$ , then  $(q_i, zw) \in Y_{n+1}$ .

$\Rightarrow$  we can accept the  $\mathcal{P}$ -configuration  $(q_i, zw)$   
by adding a  $z$ -labeled transition from  $s_i$  to  $s'$ .

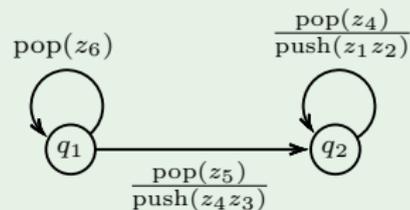


## Example

Consider the pushdown system  $\mathcal{P} = (Q, \Gamma, \Delta)$ , where

- $Q = \{q_1, q_2\}$
- $\Gamma = \{z_1, \dots, z_6\}$
- $\Delta = \{(q_1, z_6, q_1, \varepsilon), (q_1, z_5, q_2, z_4 z_3), (q_2, z_4, q_2, z_1 z_2)\}$

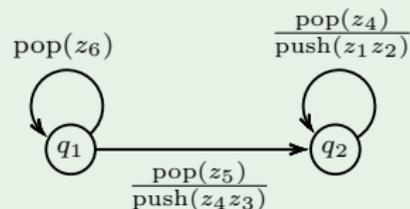
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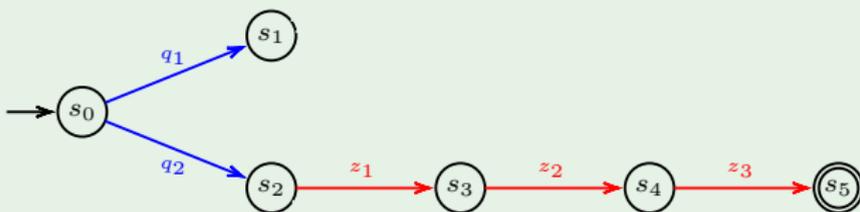
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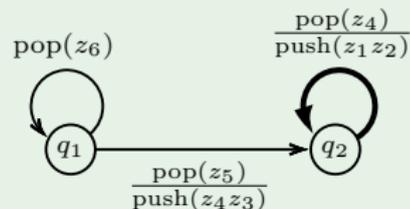
We represent  $Y_0 = \{(q_2, z_1 z_2 z_3)\}$  with the automaton  $\mathcal{A}_0$ :



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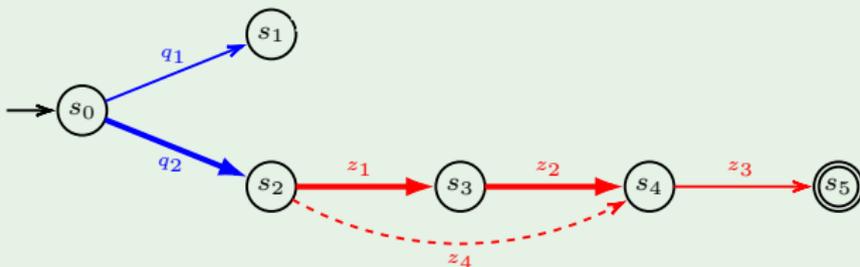
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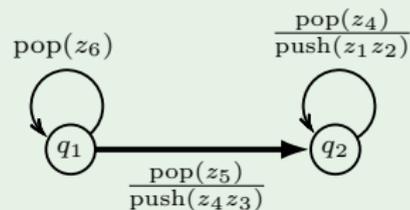
Since  $s_0 \xrightarrow[\mathcal{A}_0]{q_2} s_2 \xrightarrow[\mathcal{A}_0]{z_1 z_2} s_4$  and  $(q_2, z_4 w) \xrightarrow[\mathcal{P}]{} (q_2, z_1 z_2 w)$ ,  
we add a  $z_4$ -labeled transition from  $s_2$  to  $s_4$ .



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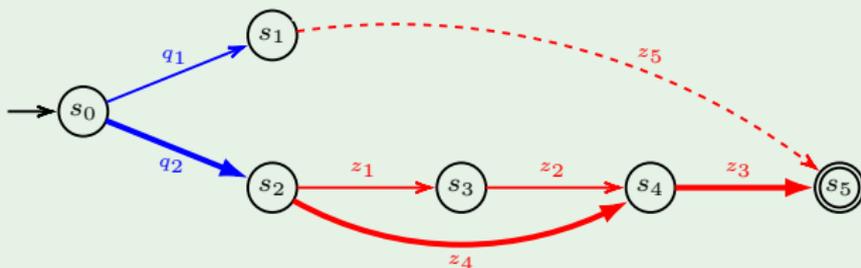
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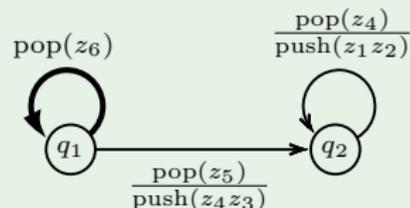
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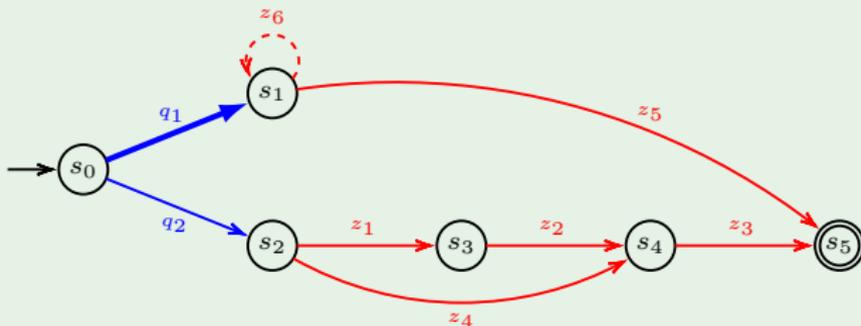
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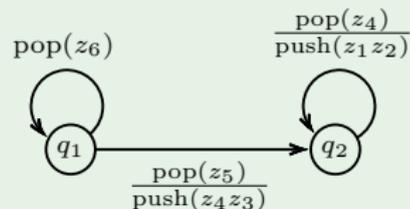
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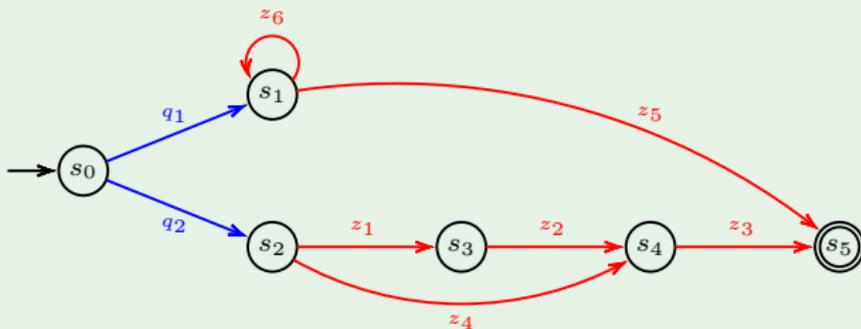
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and the target set  $F = \{(q_2, z_1 z_2 z_3)\}$ .

No more transitions can be added.

Thus  $(\delta^{-1})^*(F) = \{(q_2, z_1 z_2 z_3), (q_2, z_4 z_3), (q_1, z_6^* z_5)\}$ .



Note:

- the conditions for adding new transitions can be effectively tested in polynomial time
- at most a polynomial number of transitions are added to the initial automaton  $\mathcal{A}_0$

This gives **an efficient (polynomial-time) algorithm** that solves the **(existential) plain reachability problem** for pushdown graphs

(**EF** $\psi$  'there exist a path along which  $\psi$  eventually holds')

Variants of the plain reachability problem can be considered.

For instance, **universal reachability problem**:

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### Alternating reachability problem

The **alternating reachability problem** is a generalization of reachability problems, where existential  $EF$  and universal  $AF$  quantifications can be paired.

It can be viewed as a game played over a graph by two players  $A$  and  $B$ :

- $A$  wants to reach a safe region  $F$
- $B$  wants to indefinitely delay this achievement.

Instances of the alternating reachability problem over pushdown transition graphs are naturally encoded by

### **alternating pushdown systems**

which are able to **spawn different computations** at the same time (*existential non-determinism* and *universal non-determinism*).

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#### Generalization of the saturation algorithm

A generalization of the saturation algorithm for the alternating reachability problem over pushdown systems can be given.

Such a generalization uses **alternating finite state automata**, rather than classical (non-deterministic) finite state automata, to represent increasing sets  $Y_0, Y_1, Y_2, \dots$  of configurations.

Other generalizations of the saturation algorithm have been studied for

- **higher-order pushdown systems** [Bouajjani and Meyer '04]  
(i.e., pushdown systems working on level  $n$  stacks)
- **ground tree rewriting systems** [Löding '06]  
(i.e., rewriting systems working on finite colored trees)
- **bifix rewriting systems** [Altenbernd and Thomas]  
(they are similar to pushdown automata, but rewriting may occur at the top or at the bottom of the stack in a non-deterministic way)

We consider now Petri nets.

### Definition (Petri net)

A **Petri net** is a tuple  $\mathcal{P} = (P, T, I, O)$ , where

- $P$  is a finite set of **places**
- $T$  is a finite set of **transitions**
- $I : P \times T \rightarrow \mathbb{N}$  is the **input arc function**  
specifying **how many arcs go from  $p \in P$  to  $t \in T$**
- $O : T \times P \rightarrow \mathbb{N}$  is the **output arc function**  
specifying **how many arcs go from  $t \in T$  to  $p \in P$**

Intuitively: the above definition is nothing but a specification of a

**non-simple directed bipartite graph.**



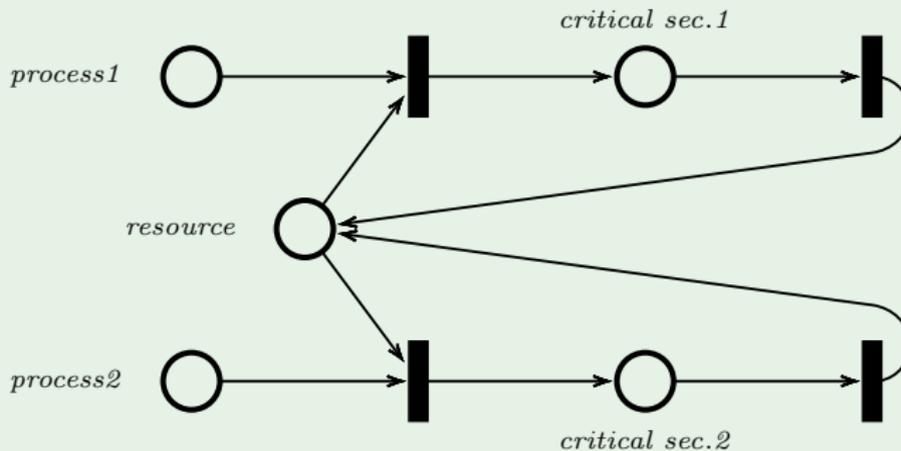
## How do they work?

Basic ingredients:

- a **configuration** is a function  $m : P \rightarrow \mathbb{N}$   
(it assigns a certain number of tokens to each place)
- a transition  $t$  is **enabled** in a configuration  $m$   
if  $m(p) \geq I(p, t)$  for every place  $p$   
(namely, if each place  $p$  contains at least  $I(p, t)$  tokens)
- when a transition  $t$  fires, the **next configuration**  $m'$  is  
such that  $m'(p) = m(p) - I(p, t) + O(t, p)$  for all  $p \in P$   
(namely,  $I(p, t)$  tokens are consumed from  $p$  and, at  
the same time,  $O(t, p)$  tokens are produced in  $p$ )

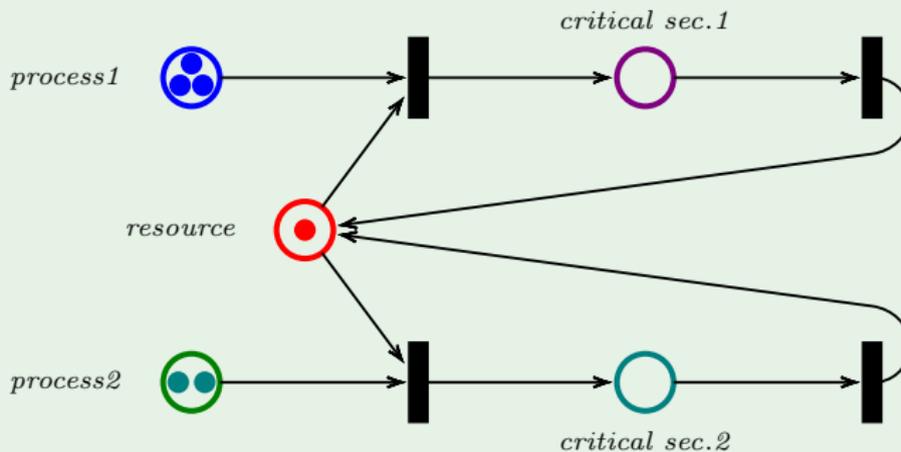
## Example

The following Petri net models two parallel processes competing for a shared resource:



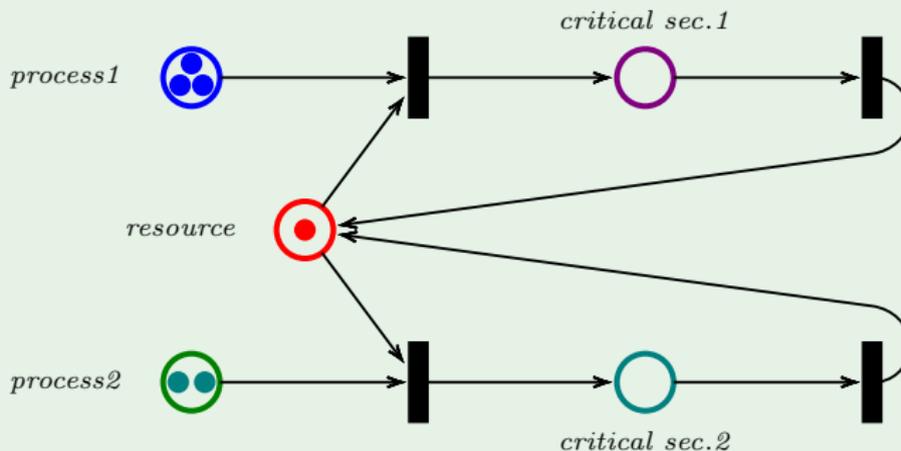
## Example

The initial configuration  $m_0$  is encoded by the tuple  $[3, 1, 2, 0, 0]$



## Example

When a transition fires, the tokens in the input places are consumed and new ones are produced inside output places.

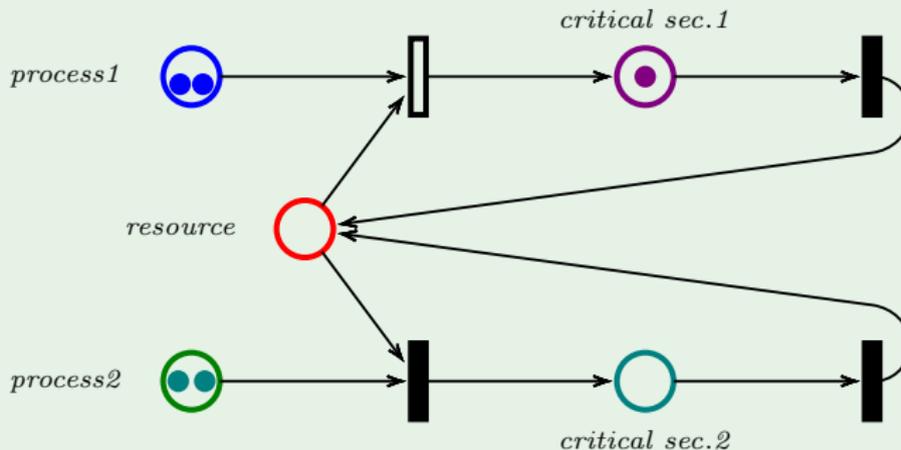


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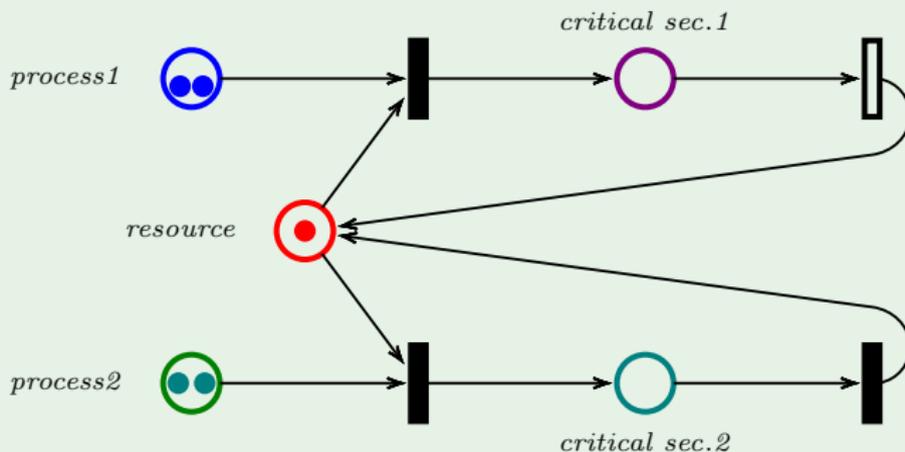


Example of computation:

$$[3, 1, 2, 0, 0] \xrightarrow{P} [2, 0, 2, 1, 0]$$

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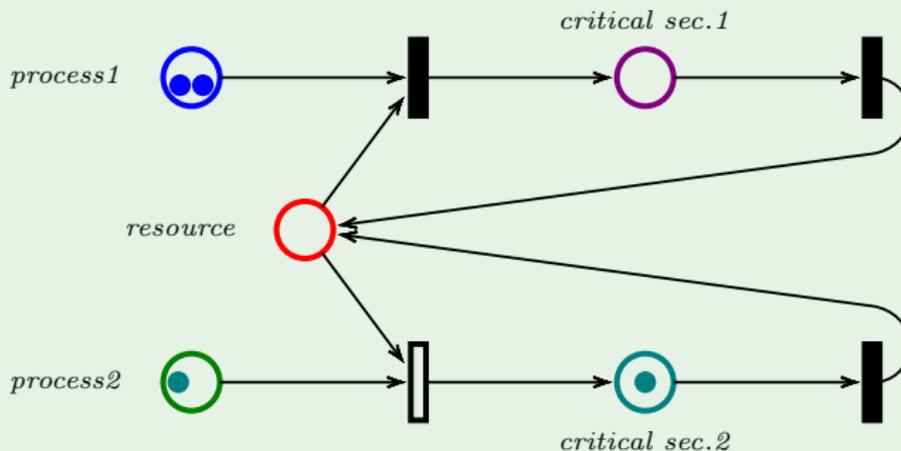


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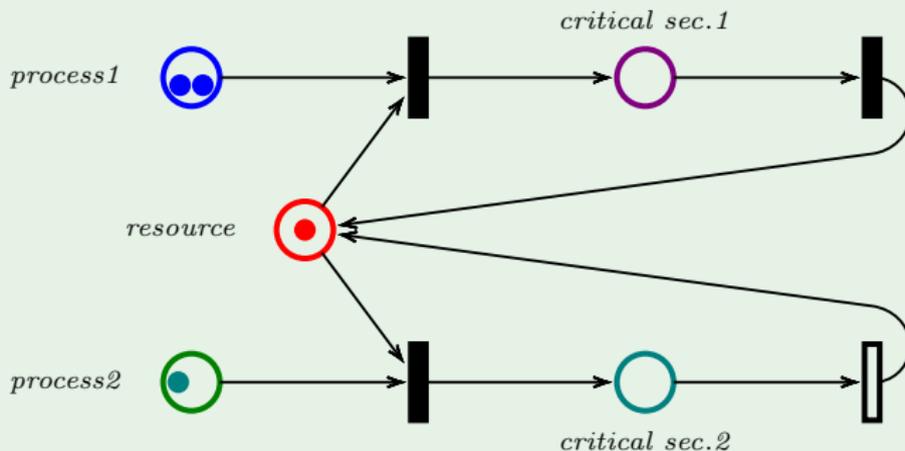


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## Definition (Petri net transition graph)

The **transition graph** of a Petri net  $\mathcal{P} = (P, T, I, O)$  is the transition system  $\mathcal{T} = (\mathbb{N}^P, \delta)$ , where

- $\mathbb{N}^P$  is the set of all possible configurations  $m : P \rightarrow \mathbb{N}$
- $\delta$  is the transition relation such that  $(m, m') \in \delta$  iff  $\exists t \in T. \forall p \in P. m'(p) = m(p) - I(p, t) + O(t, p)$ .

## Definition (Petri net transition graph)

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## Problem statement

Given a Petri net  $\mathcal{P} = (P, T, I, O)$  and a set  $F$  of target configurations, we want to compute the set  $(\delta^{-1})^*(F)$  of *all configurations*  $m : P \rightarrow \mathbb{N}$  from which  $F$  is reachable.



Like in the case of pushdown transition graphs, we cannot finitely represent all possible sets of configurations of a Petri net  $\mathcal{P}$  (note: there are uncountably many of them).

⇒ In order to find effective solutions to the reachability problem, we must restrict to a **proper subclass of sets of  $\mathcal{P}$ -configurations**.

Before introducing (finitely representable) sets of configurations, we give a notion of **partial order** over the configurations of  $\mathcal{P}$ .

### Definition (Partial order on Petri net configurations)

Given a Petri net  $\mathcal{P} = (P, T, I, O)$ , we define the **partial order**  $\leq$  on  $\mathcal{P}$ -configurations such that

$$m \leq m' \quad \text{iff, for all places } p, \quad m(p) \leq m'(p)$$

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### Example

$$[2, 1, 2, 0, 0] \leq [3, 1, 5, 0, 0] \quad \text{and} \quad [1, 0, 2, 1, 0] \not\leq [5, 2, 2, 0, 0]$$

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## Basic property

The partial order  $\leq$  is actually a **well** partial order:

- there are no infinite sequences of **strictly decreasing elements**  $m_1 > m_2 > m_3 > \dots$
- there are no infinite sequences of **pairwise incomparable elements**  $\forall i \neq j. m_i \not\leq m_j$

### Definition (Upward closed set)

Hereafter, we restrict to **upward closed sets of configurations**, namely, sets  $X \subseteq \mathbb{N}^P$  such that, for all  $m, m' : P \rightarrow \mathbb{N}$ ,

$$m \in X \wedge m \leq m' \rightarrow m' \in X$$

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### Definition (Minor set)

The **minor set**  $\min(X)$  of an upward closed set  $X$  is

$$\min(X) := \{m \in X : \nexists m' \in X. m' \leq m\}$$

(intuitively,  $\min(X)$  consists of all the minimal elements of  $X$ )

Note: the minor set  $\min(X)$  uniquely determines  $X$ , since  $X = \min(X) \uparrow$ , where  $Y \uparrow := \{m \in \mathbb{N}^P : \exists m' \in Y. m' \leq m\}$



## Why upward closed sets and minor sets?

Noticeable properties:

- 1 minor sets are finite objects  
⇒ they are **representations of upward closed sets**
- 2 Petri nets are **monotone systems w.r.t.  $\leq$**
- 3 upward closed sets are **closed under  $\delta^{-1}$**
- 4 there are **no infinite sequences of strictly increasing**  
upward closed sets  $X_0 \subset X_1 \subset X_2 \subset \dots$

## Property 1

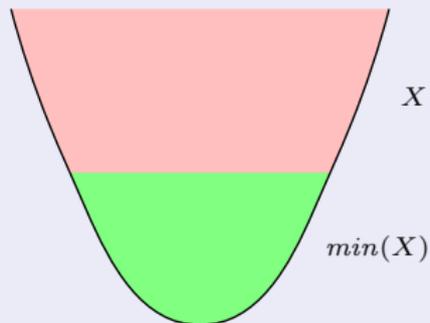
Minor sets are finite ( $\Rightarrow$  representations of upward closed sets).

## Property 1

Minor sets are finite ( $\Rightarrow$  representations of upward closed sets).

## Proof

Consider an upward closed set  $X$  and its minor set  $\min(X)$ .

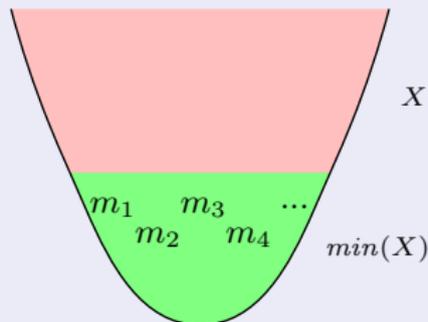


## Property 1

Minor sets are finite ( $\Rightarrow$  representations of upward closed sets).

## Proof

$\min(X)$  consists of pairwise incomparable elements  $m_1, m_2, \dots$

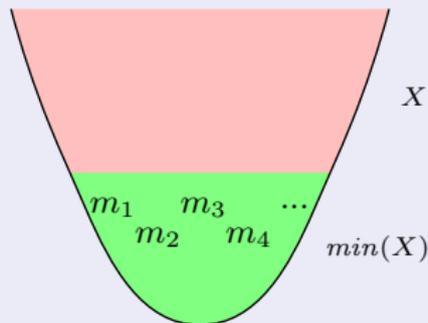


## Property 1

Minor sets are finite ( $\Rightarrow$  representations of upward closed sets).

## Proof

Since  $\leq$  is a well partial order,  $\min(X)$  is finite.



## Property 2

Petri nets are **monotone systems** w.r.t.  $\leq$ , namely

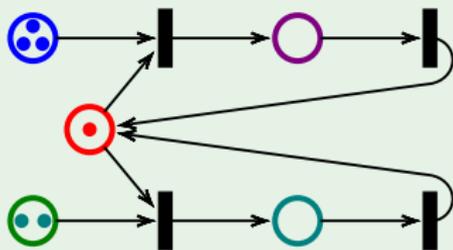
$$\begin{cases} m_1 \xrightarrow{\mathcal{P}} m_2 \\ m_1 \leq m'_1 \end{cases} \Rightarrow \exists m'_2 : P \rightarrow \mathbb{N}. \begin{cases} m'_1 \xrightarrow{\mathcal{P}} m'_2 \\ m_2 \leq m'_2 \end{cases}$$

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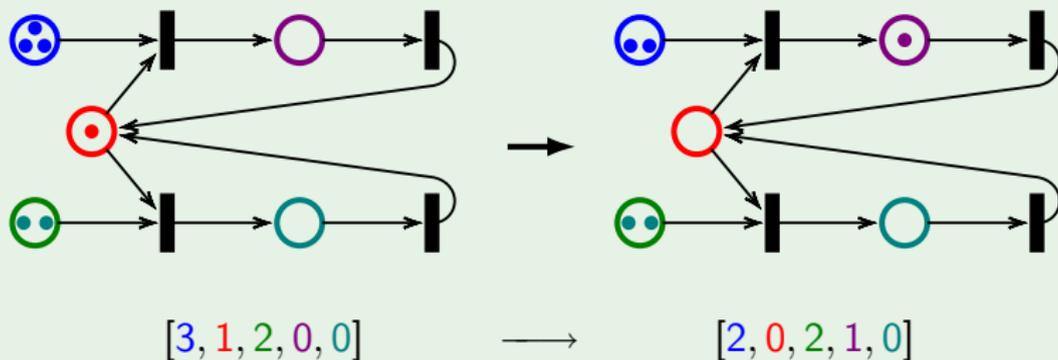
$[3, 1, 2, 0, 0]$

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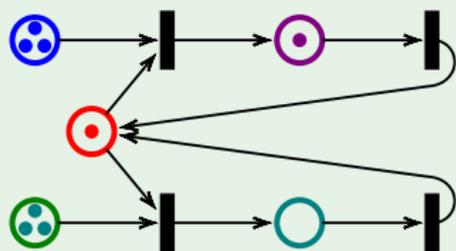


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## Example



$$[3, 1, 2, 0, 0]$$

$$\longrightarrow$$

$$[2, 0, 2, 1, 0]$$

$$\leq$$

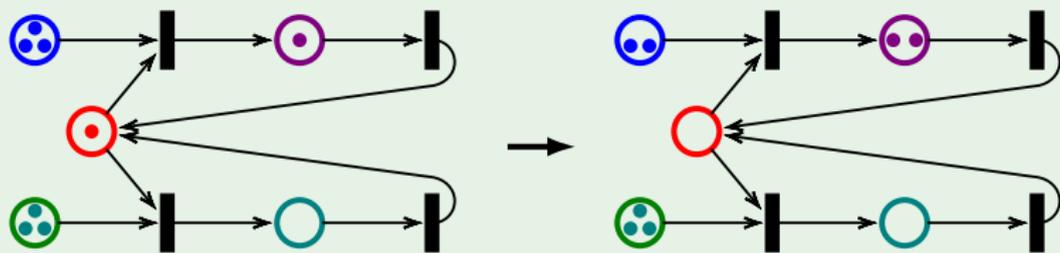
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### Property 3

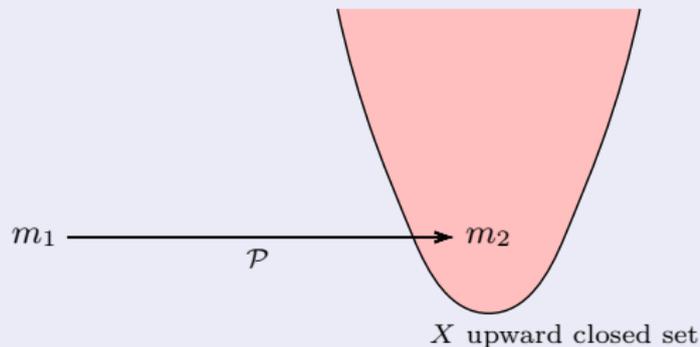
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## Proof

Consider an upward closed set  $X$  and a configuration  $m_2 \in X$  with its pre-image  $m_1$ .

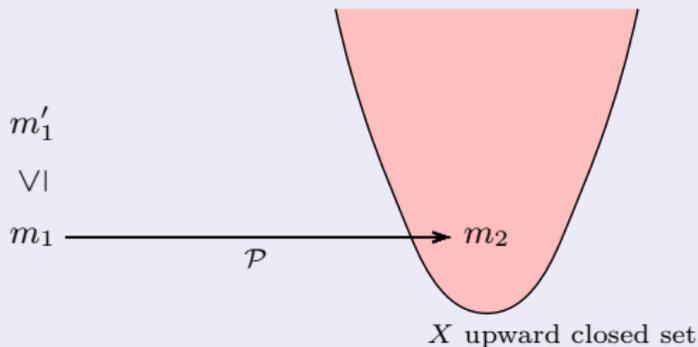


### Property 3

Upward closed sets are closed under  $\delta^{-1}$ .

### Proof

Let  $m'_1$  be another configuration **above**  $m_1$ .

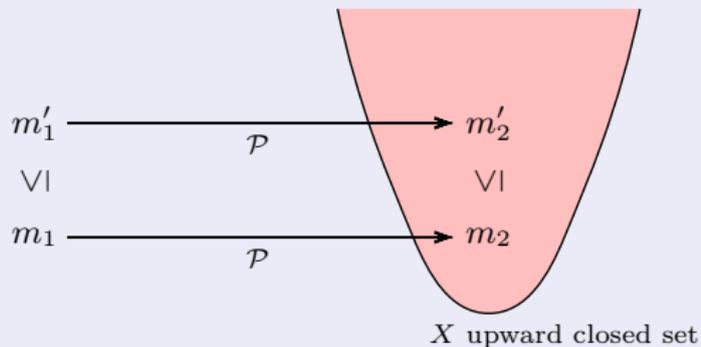


### Property 3

Upward closed sets are closed under  $\delta^{-1}$ .

### Proof

From monotonicity of Petri nets, there is a configuration  $m'_2$  above  $m_2$  which is the image of  $m'_1$  under  $\delta$ .

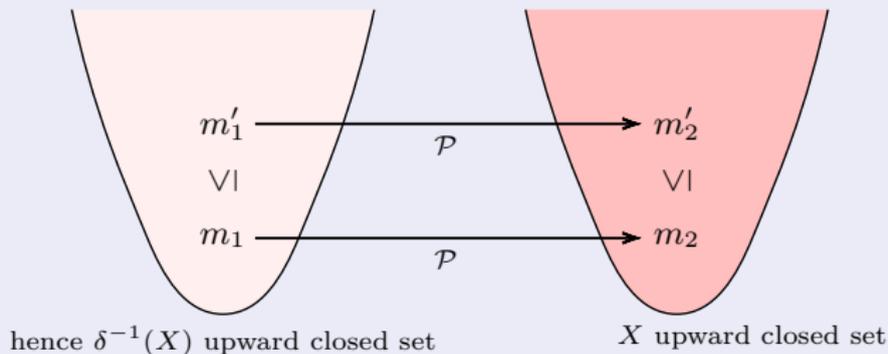


## Property 3

Upward closed sets are closed under  $\delta^{-1}$ .

## Proof

This implies that  $\delta^{-1}(X)$  is an upward closed set.



## Property 4

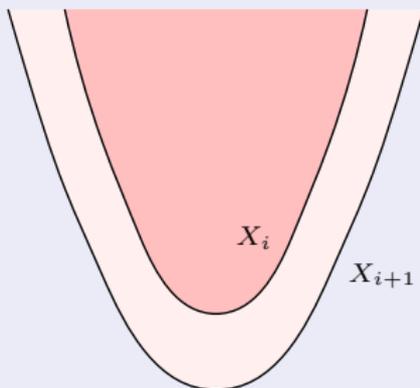
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## Proof sketch

Consider two sets  $X_i$  and  $X_{i+1}$ , with  $X_i \subset X_{i+1}$ .

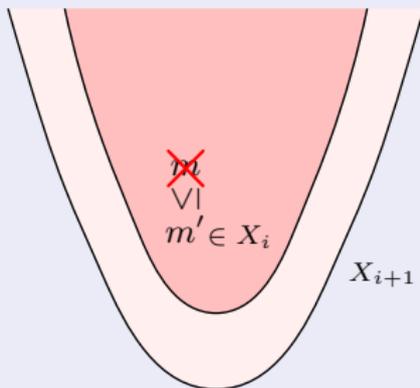


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## Proof sketch

Take a configuration  $m$  such that  $m \in X_{i+1} \setminus X_i$ .

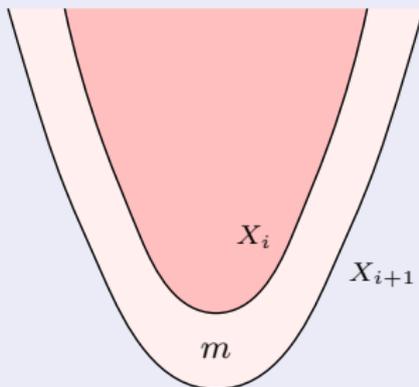


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Take a configuration  $m$  such that  $m \in X_{i+1} \setminus X_i$ .  
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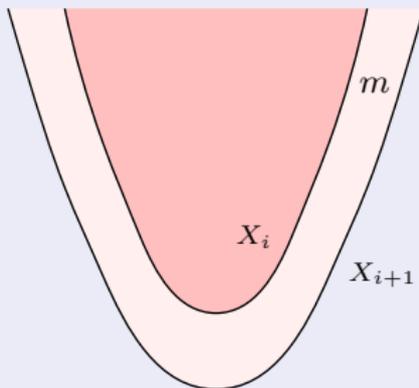
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## Proof sketch

Take a configuration  $m$  such that  $m \in X_{i+1} \setminus X_i$ .

- $\Rightarrow$  either  $m$  is below some element of  $X_i$   
or  $m$  is incomparable with any element of  $X_i$

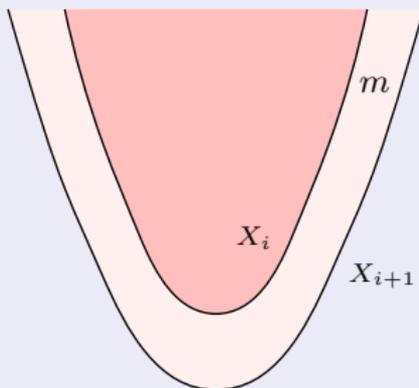


## Property 4

There are no infinite sequences of strictly increasing upward closed sets  $X_0 \subset X_1 \subset X_2 \subset \dots$

## Proof sketch

Since  $\leq$  is a *well* partial order, **neither infinite decreasing chains nor infinite antichains are allowed**,  
 $\Rightarrow$  none of the above two cases may occur infinitely often.



### Theorem (Parosh et al. '00)

*Given the transition graph  $\mathcal{T} = (\mathbb{N}^P, \delta)$  of a Petri net and an upward closed set  $F$  of configurations, the minor set of  $(\delta^{-1})^*(F)$  can be effectively calculated as follows:*

- 1 *start with  $Y_0 := \min(F)$*
- 2 *compute  $Y_{i+1} := \min(Y_i \uparrow \cup \delta^{-1}(Y_i \uparrow))$*
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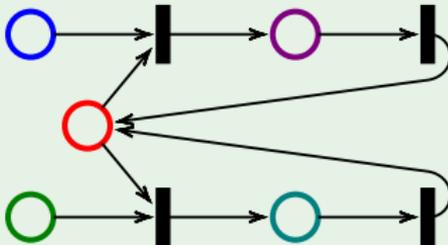
### Corollary

*The plain reachability problem over transition graphs of Petri nets restricted to upward closed sets is decidable.*

## Example

We want to check the following **mutual exclusion property**:

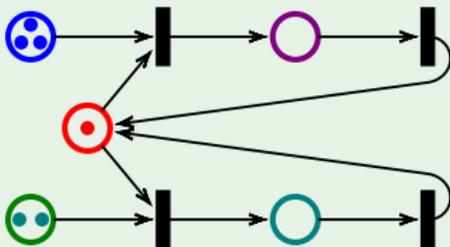
*'if the red place is initialized with one token and the violet and the cyan places with zero tokens, then it will never happen that both the violet and the cyan places have tokens at the same time'.*



## Example

- Set of initial configurations:

$$I = \{[x, 1, y, 0, 0] : x, y \geq 0\}$$



## Example

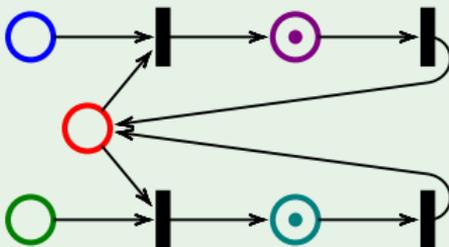
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- Set of bad configurations:

$$F = \{[x, y, z, u, v] : x, y, z \geq 0 \wedge u, v \geq 1\}$$

(note:  $F$  is infinite but **upward closed**)



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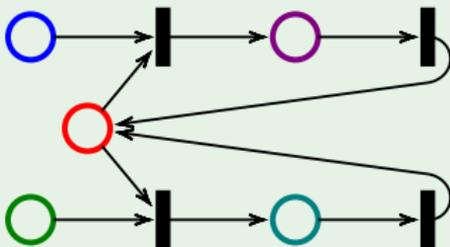
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We use backward reachability analysis  
to check whether  $(\delta^{-1})^*(F) \cap I \neq \emptyset$



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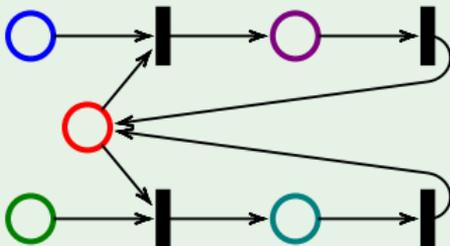
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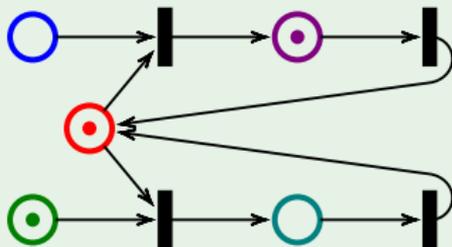
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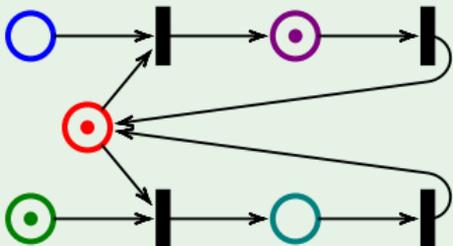
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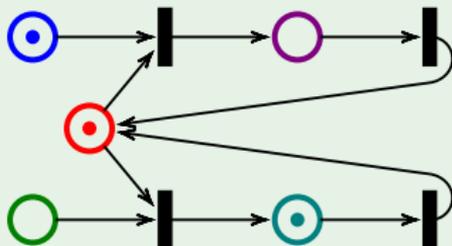
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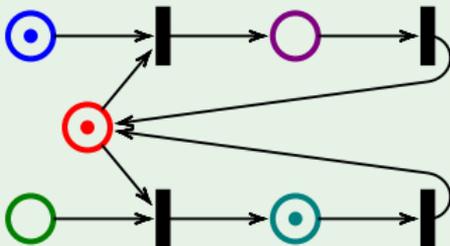
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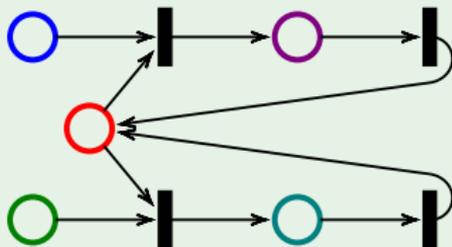
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$$Y_2 = \left\{ \begin{array}{l} [0, 0, 0, 1, 1] \\ [0, 1, 1, 1, 0] \\ [1, 1, 0, 0, 1] \end{array} \right\}$$

$$Y_1 = \left\{ \begin{array}{l} [0, 0, 0, 1, 1] \\ [0, 1, 1, 1, 0] \\ [1, 1, 0, 0, 1] \end{array} \right\}$$

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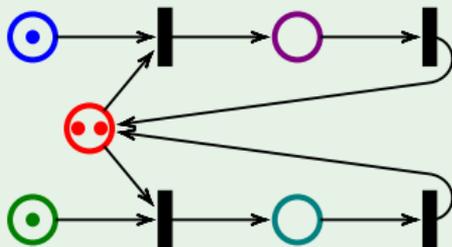
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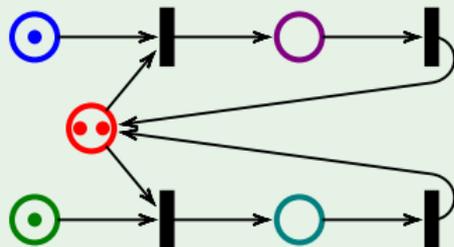
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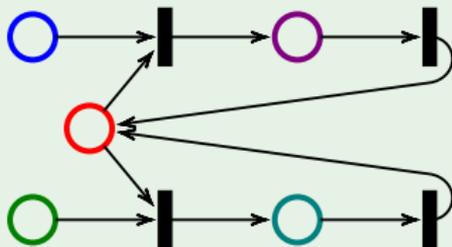
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- Set of bad configurations:

$$F = \{[x, y, z, u, v] : x, y, z \geq 0 \wedge u, v \geq 1\}$$

(note:  $F$  is infinite but **upward closed**)

We use backward reachability analysis  
to check whether  $(\delta^{-1})^*(F) \cap I \neq \emptyset$



$$Y_3 = \left\{ \begin{array}{l} [0, 0, 0, 1, 1] \\ [0, 1, 1, 1, 0] \\ [1, 1, 0, 0, 1] \\ [1, 2, 1, 0, 0] \end{array} \right\}$$

↓

$$Y_2 = \left\{ \begin{array}{l} [0, 0, 0, 1, 1] \\ [0, 1, 1, 1, 0] \\ [1, 1, 0, 0, 1] \\ [1, 2, 1, 0, 0] \end{array} \right\}$$

↓

$$Y_1 = \left\{ \begin{array}{l} [0, 0, 0, 1, 1] \\ [0, 1, 1, 1, 0] \\ [1, 1, 0, 0, 1] \end{array} \right\}$$

↓

$$Y_0 = \{[0, 0, 0, 1, 1]\}$$

What did we use?

- a well partial order  $\leq$  on the configurations
- monotonicity of transition systems w.r.t.  $\leq$
- computability of  $\min(\delta^{-1}(Y \uparrow))$  for any minor set  $Y$ .



### Definition (Well-structured transition system)

A transition system  $\mathcal{T} = (S, \delta)$  is **well-structured** if

- there is a well quasi-order  $\preceq$  on  $S$
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### Theorem (Parosh et al. '00)

*Backward reachability analysis of well-structured systems (starting from upward closed sets) is effective.*



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