

# The Synthesis Problem

Angelo Montanari

*Dept. of Mathematics, Computer Science, and Physics  
University of Udine, Italy*



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## 1. THE SYNTHESIS PROBLEM

Introduction to the synthesis problem

The solution schema

## WARNINGS

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Our presentation of the problem and of the solution follow the tutorial: **“Solution of Church’s Problem: A Tutorial”**, by Wolfgang Thomas.

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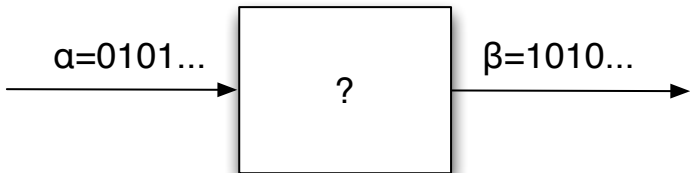
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- ▶ It consists of the synthesis of a **finite state machine** (a circuit) which realizes a bit-to-bit transformation of an infinite sequence  $\alpha$  into a corresponding infinite sequence  $\beta$  so that the pair  $(\alpha, \beta)$  satisfies a specification expressed in a suitable (temporal) logic.



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- ▶ **Goal:** given a specification of the input-output relation between  $\alpha$  e  $\beta$ , build a corresponding machine:



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- ▶ With respect to traditional (terminating) data manipulation programs, the focus switches from data with an infinite domain, which, in general, makes the synthesis problem undecidable, to infinite time.
- ▶ Surprisingly, Büchi and Landweber have shown that **Church's problem admits a positive solution**, that is, it is decidable, provided that the specification language (the temporal logic) is not too expressive.

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A solution procedure:

- ▶ if the input is 1, it produces the output 1;
- ▶ if the input is 0, it produces the output 1 if the previous output, on the input 0, was 0; otherwise, it produces the output 0.



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2. **a finite state solution (machine)** - to compute the output of a generic computation step (the output at time  $t$ ), the machine needs to exploit a finite memory of a given size.

# FORMALIZATION OF THE PROBLEM (CONT'D)

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- ▶  $\beta = 111\dots$ , if  $\alpha$  features an infinite number of occurrences of 1; otherwise,  $\beta = 000\dots$  violates condition 1 as well – the first symbol of the output sequence  $\beta$  cannot be determined on the basis of any finite prefix of  $\alpha$ .

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## A FINITE STATE MACHINE

- ▶ A **Mealy automaton** (input-output automaton or transducer)  $\mathcal{M}$ : a finite state automaton with an output function  $\tau : S \times \Sigma \rightarrow \Gamma$ , where  $S$  is a finite set of states,  $\Sigma$  is a finite input alphabet, and  $\Gamma$  is a finite output alphabet.

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the output sequence computed by  $\mathcal{M}$  is

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where  $\beta(t) = \tau(\delta^*(s_0, \alpha(0)\cdots\alpha(t-1)), \alpha(t))$

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$\delta^*(s, \epsilon) = s$ ;  $\delta^*(s, wa) = \delta(\delta^*(s, w), a)$ , for  $w \in \Sigma^*$  and  $a \in \Sigma$ ).



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- ▶ It **satisfies** the conditions on transformations.

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- ▶ For the sake of simplicity, we will only consider Boolean input and output alphabets, that is,  $\{0, 1\}$ .
- ▶ The S1S-formulas  $\varphi(X, Y)$  we will take into consideration talk about sequences  $\alpha \in \{0, 1\}^\omega$  and  $\beta \in \{0, 1\}^\omega$ .

The free variable  $X$  identifies those positions where  $\alpha$  takes value 1, while the free variable  $Y$  identifies those where  $\beta$  takes value 1.

We denote the interpretations of  $X$  and  $Y$  induced by  $\alpha$  and  $\beta$  by  $P_\alpha$  and  $P_\beta$ , respectively.

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A **finite state winning strategy for an infinite game**: according to a game-theoretic interpretation, a Mealy automaton can be viewed as the definition of a **winning strategy** for player  $B/\beta$  (Bob) that replies to the moves of player  $A/\alpha$  (Alice).

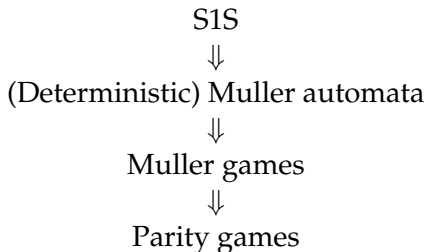


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## FROM LOGIC TO (MULLER) AUTOMATA

- ▶ We first transform an **S1S specification**  $\varphi(X, Y)$  into a **deterministic Muller automaton**  $\mathcal{A}$ , that recognizes infinite words  $\gamma$  in  $(\{0, 1\} \times \{0, 1\})^\omega$ , in such a way that
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- ▶ From automata theory, we know that:
  - (i) S1S formulas are equivalent to nondeterministic Büchi automata (NBA) and NBA are equivalent to deterministic Muller automata (DMA);
  - (ii) these transformations are effective.
    - ▶ Muller acceptance condition: given a collection of sets of states  $\mathcal{F} = \{F_1, \dots, F_k\}$ , a computation  $\sigma$  is accepted by  $\mathcal{A}$  if the set of states that occur infinitely often in  $\sigma$  belongs to  $\mathcal{F}$ .

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- ▶ Remark: the above transformations are **computable but extremely expensive** (in terms of resources), as  $|\mathcal{A}_\varphi|$  cannot be bounded by a function elementary in the size of  $|\varphi|$ .

## FROM (MULLER) AUTOMATA TO (MULLER) GAMES

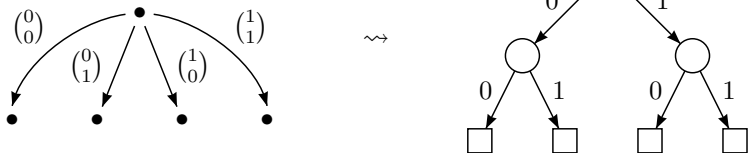
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- ▶  $\square$  = states of  $A$  (states of the Muller automaton)
- ▶  $\circ$  = states of  $B$



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- ▶ For such a state  $p$ , we define  $c$  as the output bit and we denote it by  $out(q, b, p)$  (if both transitions exiting from  $(q, b)$  lead to the same state  $p$ , we put by convention  $out(q, b, p) = 0$ ).

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- ▶ The labels associated with the transitions can be **initially ignored**, as the winning conditions are given in terms of visited states, and only subsequently reintroduced, when the Mealy automaton must be synthesized.

# GAME GRAPH AND MEALY AUTOMATON

An important remark.

**Do not confuse** the states of the game graph with the states of the (finite state) Mealy automaton: the Mealy automaton works on the game graph, but its states are not the states of the game graph.

As we will see, to solve Church's problem we need to **combine** in suitable way the states of the Mealy automaton and those of the game graph.



# THE SOLUTION

In the following, we show how to obtain a solution to Church's problem in two steps, starting from a finite game graph with Muller winning conditions:

1. to establish whether or not B wins;
2. in case of a positive answer, to provide a (finite state) winning strategy.

## 2. INFINITE GAMES AND BÜCHI-LANDWEBER THEOREM

Infinite games

Büchi-Landweber Theorem

# INFINITE GAMES

- ▶ The **game graph** (arena) is a graph  $G = (Q, Q_A, E)$ , with  $Q_A \subseteq Q$  and  $E \subseteq Q \times Q$ , where  $\forall q \in Q : qE \neq \emptyset$  (no deadlock). Let  $Q_B = Q \setminus Q_A$ . We will only consider finite game graphs. Moreover, by construction, each edge leads from a state in  $Q_A$  to a state in  $Q_B$  or vice versa. Nevertheless, the results we are going to provide do not depend on such an assumption.

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- ▶ A **game** is a pair  $(G, W)$ , where  $G = (Q, Q_A, E)$  is a game graph and  $W \subseteq Q^\omega$  is the winning condition for player  $B$ . Player  $B$  **wins the play**  $\rho = q_0q_1q_2 \dots$  if  $\rho \in W$ , otherwise  $A$  wins  $\rho$ .

# INFINITE GAMES

- ▶ The **game graph** (arena) is a graph  $G = (Q, Q_A, E)$ , with  $Q_A \subseteq Q$  and  $E \subseteq Q \times Q$ , where  $\forall q \in Q : qE \neq \emptyset$  (no deadlock). Let  $Q_B = Q \setminus Q_A$ . We will only consider finite game graphs. Moreover, by construction, each edge leads from a state in  $Q_A$  to a state in  $Q_B$  or vice versa. Nevertheless, the results we are going to provide do not depend on such an assumption.
- ▶ A **play** on  $G$  from  $q$  is an infinite path  $\rho$  on  $G$  with initial state  $q$  (infinite games). We assume  $A$  to choose the next state when we are in a  $Q_A$  state and  $b$  to choose it when we are in a  $Q_B$  state.
- ▶ A **game** is a pair  $(G, W)$ , where  $G = (Q, Q_A, E)$  is a game graph and  $W \subseteq Q^\omega$  is the winning condition for player  $B$ . Player  $B$  **wins the play**  $\rho = q_0q_1q_2 \dots$  if  $\rho \in W$ , otherwise  $A$  wins  $\rho$ .
- ▶ We are interested in winning conditions which can be expressed in a **finite** way (finitely describable).

# MULLER GAMES, WEAK MULLER GAMES, AND REACHABILITY GAMES

- ▶ **Muller games**: the winning condition is a collection of sets of states  $\mathcal{F} \subseteq 2^Q$  such that  $B$  wins  $\rho$  if and only if  $\text{Inf}(\rho) \in \mathcal{F}$ .

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- ▶ **Weak Muller games**: there exists a weak version of the winning condition of Muller games (Staiger-Wagner condition), according to which  $B$  wins  $\rho$  if and only if  $\text{Occ}(\rho) \in \mathcal{F}$ , where  $\text{Occ}(\rho) = \{q \in Q : \exists i(\rho(i) = q)\}$ .



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Reachability games can be easily expressed in terms of Staiger-Wagner condition:  $\mathcal{F} = \{R \subseteq Q : R \cap F \neq \emptyset\}$ .

# STRATEGIES, WINNING STRATEGIES, WINNING REGIONS, AND DETERMINED GAMES

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- ▶ If  $W_A \cup W_B = Q$ , we say that the game is **determined**.

## SOLUTION OF A GAME AND POSITIONAL STRATEGIES

- ▶ The **solution of a game**  $(G, W)$ , with  $G = (Q, Q_A, E)$  and  $W$  finitely describable, consists of two steps:
  - (i) to establish, for each  $q \in Q$ , if  $q \in W_B$  or  $q \in W_A$ ;
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We distinguish two types of strategy: positional and finite state.

- ▶ A strategy  $f : Q^+ \rightarrow Q$  is **positional** if the value of  $f(q_1 \cdots q_k)$  only depends on the current state  $q_k$ . A positional strategy for  $B$  is a mapping  $f : Q_B \rightarrow Q$  (the same for  $A$ ).

In graph-theoretic terms, a **positional strategy** for  $B$  can be expressed as a **subset of edges** of  $G$ , which includes **all** edges exiting from states in  $Q_A$  and **one** edge exiting from states in  $Q_B$  (the one identified by the function).

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- ▶ The strategy  $f_{\mathcal{S}}$  **computed** by  $\mathcal{S}$  can be defined by  $f_{\mathcal{S}}(q_0 \cdots q_k) = \tau(\delta^*(s_0, q_0 \cdots q_{k-1}), q_k)$ , where  $\delta^*(s, w)$  is the state reached by  $\mathcal{S}$  starting from  $s$  on the input word  $w$  and  $\tau$  is chosen by the player who is responsible for  $q_k$ .

# BÜCHI-LANDWEBER THEOREM

## Theorem (Weak Muller games)

*Weak Muller games are determined and for each weak Muller game  $(G, \mathcal{F})$ , where  $G$  has  $n$  states, the winning regions for the two players can be effectively determined and it is possible to build, for each state  $q$  in  $G$ , a finite state winning strategy from  $q$  (for the winning player) making use of a memory with  $2^n$  states.*

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4. the Mealy automaton  $\mathcal{A}$ , that solves Church's problem, is obtained from the product of the automata  $\mathcal{M}$  and  $\mathcal{S}$ .

It is worth pointing out that Büchi-Landweber Theorem is exploited only at step 3.

## THE LAST STEP IN DETAIL

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5. the **output bit  $b'$**  is the value  $out(q, b, q')$  associated with the transition from  $q^* = (q, b)$  to  $q'$ .

It is worth pointing out once more that the memory of  $\mathcal{A}$  combines the state space of the Muller automaton  $\mathcal{M}$  and the state space of the strategy automaton  $\mathcal{S}$  (see item 1).



# REACHABILITY GAMES

## Theorem

*A reachability game  $(G, F)$ , with  $G = (Q, Q_A, E)$  and  $F \subseteq Q$ , is determined and both the winning regions  $W_A$  and  $W_B$  for players A and B, respectively, and the corresponding positional winning strategies are computable.*

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## Proof.

For  $i = 0, 1, \dots$ , compute the vertices starting from which player B can force a visit in  $F$  in at most  $i$  moves ( $i$ -the attractor  $Attr_B^i(F)$ ).

The sequence  $Attr_B^0(F)(= F) \subseteq Attr_B^1(F) \subseteq Attr_B^2(F) \dots$  becomes stationary for some index  $k \leq |Q|$ . We define  $Attr_B(F) = \bigcup_{i=0}^{|Q|} Attr_B^i(F)$ .

It can be easily proved that  $W_B = Attr_B(F)$ . □

## WEAK MULLER GAMES

It is possible to show that the winning condition for weak Muller games (player  $B$  wins a play  $\rho$  if and only if  $Occ(\rho) \in \mathcal{F}$ , that is, the collection of the states visited by  $\rho$  is one of the set in  $\mathcal{F}$ ) can be expressed as **Boolean combinations of reachability conditions**.

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In general, **positional strategies do not suffice** to win weak Muller games. In some cases, indeed, it is necessary to remember the states that have been already visited.

Solution: a Mealy automaton  $\mathcal{S}$  with the set  $Q$  of the states of the game as its input alphabet, the powerset of  $Q$  as the set of its states ( $2^{|Q|}$  states), and  $\emptyset$  as the initial state.

The idea of the **appearance record**: on the input word  $q_1, \dots, q_k$ ,  $\mathcal{S}$  reaches the state  $\{q_1, \dots, q_k\}$  ( $\delta(R, p) = R \cup \{p\}$ ).

# THE REWRITING OF WEAK MULLER GAMES AS WEAK PARITY GAMES

It is possible to associate a number (**color**)  $c(R)$  with each  $R \subseteq Q$  that codifies two pieces of information: the size of  $R$  and the membership (or not) of  $R$  to  $\mathcal{F}$ .

Formally,  $c(R) = 2 \cdot |R|$  if  $R \in \mathcal{F}$  and  $c(R) = 2 \cdot |R| - 1$  if  $R \notin \mathcal{F}$ .

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Let  $\rho$  be a play and  $R_0, R_1, R_2, \dots$  be the associated sequence of appearance records.

It holds that  $Occ(\rho) \in \mathcal{F}$  if and only if the maximum color of the sequence  $c(R_0), c(R_1), c(R_2), \dots$  is even.

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A weak Muller game can be transformed into a **weak parity game** (game simulation).



# GAME SIMULATION

## PROOF OF BÜCHI-LANDWEBER THEOREM

We say that a game  $(G, W)$ , with  $G = (Q, Q_A, E)$ , is **simulated** by a game  $(G', W')$ , with  $G' = (Q', Q'_A, E')$ , if there exists a finite state automaton  $\mathcal{S} = (S, Q, s_0, \delta)$ , devoid of final states, such that:

- ▶  $Q' = S \times Q$ ;
- ▶  $Q'_A = S \times Q_A$ ;
- ▶  $((r, p), (s, q)) \in E'$  if and only if  $(p, q) \in E$  and  $\delta(r, p) = s$ , from which it follows that a play  $\rho = q_0q_1 \dots$  in  $G$  induces a play  $\rho' = (s_0, q_0)(\delta(s_0, q_0), q_1) \dots$  in  $G'$ ;
- ▶ a play  $\rho$  on  $G$  belongs to  $W$  if and only if the corresponding play  $\rho'$  on  $G'$  belongs to  $W'$ .

Whenever the above conditions hold, we write  $(G, W) \leq_{\mathcal{S}} (G', W')$ .

# GAME SIMULATION (CONT'D)

## PROOF OF BÜCHI-LANDWEBER THEOREM

**Consequence:** positional strategies for  $G'$  can be easily transformed into finite state strategies for  $G$  (a Mealy automaton). The latter strategies can be realized by automata  $\mathcal{S}$  enriched with an output function obtained from the positional strategy for  $G'$ .

### Lemma

*If there exists a positional winning strategy for player B in  $(G', W')$  from  $(s_0, q)$ , then player B has a finite state winning strategy from  $q$  in  $(G, W)$ .*

### Proof.

We extend the automaton  $\mathcal{S}$  with an output function extracted from the winning strategy  $\sigma : Q'_B \rightarrow Q'$ . To this end, it suffices to define  $\tau : S \times Q_B \rightarrow Q$  as  $\tau(s, q) := \pi_2(\sigma(s, q))$ , where  $\pi_2(\sigma(s, q))$  is simply the projection on the second component of  $\sigma(s, q)$ . □

# FROM MULLER TO PARITY GAMES

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- ▶ Given a LAR  $((i_1 \dots i_r), h)$ , its **hitting set** is the set  $\{i_1, \dots, i_h\}$  of the states which were encountered up to the hit  $h$  (including position  $h$ ).

# AN EXAMPLE OF THE USE OF LAR

## PROOF OF BÜCHI-LANDWEBER THEOREM

State	LAR	Hitting set
A	(A,0)	{}
C	(CA,0)	{}
C	(CA,1)	{C}
D	(DCA,0)	{}
B	(BDCA,0)	{}
D	(DBCA,2)	{B, D}
C	(CDBA,3)	{B, C, D}
D	(DCBA,2)	{C, D}
D	(DCBA,1)	{D}

Let us consider the 7-th row of the table. The hitting set  $\{B, C, D\}$  consists of all and only those states which have been encountered in between the last two occurrences of C (C included).

# PARITY GAMES

## PROOF OF BÜCHI-LANDWEBER THEOREM

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- ▶ A colored graph  $(G, c)$  with the parity condition is said a **parity game**.

# LAR AND PARITY GAMES

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- ▶ The coloring  $c$  of LAR, for  $h > 0$ , can be defined as follows:

$$c(((i_1 \dots i_r), h)) := \begin{cases} 2h & \text{if } \{i_1, \dots, i_h\} \in \mathcal{F}; \\ 2h - 1 & \text{if } \{i_1, \dots, i_h\} \notin \mathcal{F}, \end{cases}$$

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- ▶ A Muller game  $(G, \mathcal{F})$  can be simulated by a parity one  $(G', c)$  by means of a finite state machine that transforms a play  $\rho$  on  $G$  in a corresponding sequence  $\rho'$  of LARs (number of LARs =  $|Q|! \cdot |Q|$ ).

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- ▶ By the inductive hypothesis, we can partition  $Q \setminus A_0$  in the two winning regions  $U_A$  e  $U_B$  for  $A$  and  $B$ , respectively.

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  2. From  $q$ , player  $A$  can force the play to stay in  $U_A$  at the next step.
    - ▶ It follows that  $q \in \text{Attr}_A(U_A)$ . Let us consider now the set  $A_1 = \text{Attr}_A(U_A \cup \{q\})$ . By applying the inductive hypothesis on the subgame induced by  $Q \setminus A_1$ , we obtain  $V_A$  and  $V_B$ . It holds that  $W_B = V_B$  e  $W_A = V_A \cup A_1$ , where the winning positional strategies are given by the inductive hypothesis and the attractor strategy on  $A_1$ .

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**Remark:** equivalence of the above problem and the model checking problem for the  $\mu$ -calculus.

## WHAT NEXT? LTL SYNTHESIS AND BEYOND

A number of variants of Church's problem can be obtained by modifying or generalizing the specification language.

A special attention has been given to **the synthesis problem for LTL** and other temporal logics, a topic that will be addressed by other courses of the school.

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