

Department of Mathematics, Computer Science and Physics, University of Udine

# The Safety Fragment of Temporal Logics on Infinite Sequences

Lesson 2

Luca Geatti

luca.geatti@uniud.it

Angelo Montanari

angelo.montanari@uniud.it

April 8th, 2024



The *monadic second-order theory of one successor* (S1S, for short) is a fragment of second-order logic in which we fix this alphabet:

$$\underbrace{0}_{\text{constant}}, \underbrace{+1}_{\text{function}}, \underbrace{<, =}_{\text{binary predicates}}, \underbrace{\{P\}_{P \in \Sigma}}_{\text{unary predicates}}$$



The *monadic second-order theory of one successor* (S1S, for short) is a fragment of second-order logic in which we fix this alphabet:

$$\underbrace{0}_{\text{constant}}, \underbrace{+1}_{\text{function}}, \underbrace{<, =}_{\substack{\text{binary} \\ \text{predicates}}}, \underbrace{\{P\}_{P \in \Sigma}}_{\substack{\text{unary} \\ \text{predicates}}}$$

Its syntax is the following. Let  $\mathcal{V} = \{x, y, z, \dots\}$  be a set of *first-order variables*. Let  $\mathcal{V}' = \{X, Y, Z, \dots\}$  be a set of *second-order variables*.

$$\text{(terms)} \quad t := x \mid 0 \mid t + 1$$

$$\text{(formulas)} \quad \phi := \underbrace{P(t)}_{\text{with } P \in \Sigma} \mid \underbrace{X(t)}_{\substack{\text{with } X \\ \text{monadic} \\ \text{variable}}} \mid t < t' \mid t = t' \mid \neg \phi \mid \phi \vee \phi \mid \underbrace{\exists x . \phi}_{\text{first-order quantifier}} \mid \underbrace{\exists X . \phi}_{\text{monadic second-order quantifier}}$$



The *monadic second-order theory of one successor* (S1S, for short) is a fragment of second-order logic in which we fix this alphabet:

$$\underbrace{0}_{\text{constant}}, \underbrace{+1}_{\text{function}}, \underbrace{<, =}_{\text{binary predicates}}, \underbrace{\{P\}_{P \in \Sigma}}_{\text{unary predicates}}$$

Semantics:

Words

$$\langle D, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle$$

$\omega$ -Words

$$\langle \mathbb{N}, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle$$



The *monadic second-order theory of one successor* (S1S, for short) is a fragment of second-order logic in which we fix this alphabet:

$$\underbrace{0}_{\text{constant}}, \underbrace{+1}_{\text{function}}, \underbrace{<, =}_{\text{binary predicates}}, \underbrace{\{P\}_{P \in \Sigma}}_{\text{unary predicates}}$$

- Let  $\phi(x, y, z, X, Y, Z, \dots)$  be an S1S formula with free variables  $x, y, z, X, Y, Z, \dots$  and let  $\rho$  be a variable evaluation function.
- We write  $\langle D, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle, \rho \models \phi(x, y, z, X, Y, Z, \dots)$  to denote the fact that the finite word  $\langle D, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle$  satisfies  $\phi(x, y, z, X, Y, Z, \dots)$  under the evaluation  $\rho$  of the free variables.
- The same holds for  $\omega$ -words  $\langle \mathbb{N}, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle$ .



## Remark

We can free ourselves from the dependency from a specific alphabet of symbols by replacing  $\Sigma$  by  $\{0, 1\}^n$ , where  $n = \lceil \log_2(|\Sigma|) \rceil$ .

**Example.** Let  $\Sigma = \{a, b, c\}$ . We can encode  $a, b$ , and  $c$  as  $(0, 0)$ ,  $(0, 1)$ , and  $(1, 0)$ , respectively, and make use of two second-order variables  $X_1$  and  $X_2$  to represent  $(\omega)$ -words. As an example, the word  $w = abbcb$  is encoded by the word  $w = (0, 0)(0, 1)(0, 1) (1, 0)(0, 1)$ , which interprets  $X_1$  as the singleton  $\{3\}$  and  $X_2$  as the set of natural numbers  $\{1, 2, 4\}$ .

## S1S

The Monadic Second-order Theory of One Successor is the set of sentences of such a language which are true over  $\langle D, 0, +1, <, = \rangle$  (resp.,  $\langle \mathbb{N}, 0, +1, <, = \rangle$ ).



## Example

There exists a position in which both  $P_1$  and  $P_2$  hold.

$$\exists x . (P_1(x) \wedge P_2(x + 1))$$

## Example

Each position where  $P_1$  holds is followed by a position where  $P_2$  holds (by using  $+1$  and second-order quantification).

$$\forall x . \left( P_1(x) \rightarrow \forall X . \left( X(x) \wedge \forall y . (X(y) \rightarrow X(y + 1)) \rightarrow \exists z . (X(z) \wedge P_2(z)) \right) \right)$$



- We call **S1S[FO]** (the *first-order* fragment of S1S) the fragment of S1S devoid of second-order quantifiers.
- We denote with **S1S<sub>f</sub>** the logic S1S interpreted over *finite words*.





- We are interested on S1S[FO] formula  $\phi(x)$  with *exactly one free variable*  $x$ .
  - $x$  is meant to represent the initial time point.
- The *language over finite words* of  $\phi(x)$ , denoted with  $\mathcal{L}^{<\omega}(\phi(x))$  is defined as:

$$\mathcal{L}^{<\omega}(\phi(x)) := \left\{ \langle D, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle, x \mapsto 0 \models \phi(x) \right\}$$

- The *language over  $\omega$ -words* of  $\phi(x)$ , denoted with  $\mathcal{L}(\phi(x))$  is defined as:

$$\mathcal{L}(\phi(x)) := \left\{ \langle \mathbb{N}, 0, +1, <, =, \{P\}_{P \in \Sigma} \rangle, x \mapsto 0 \models \phi(x) \right\}$$



### Theorem (Büchi's Theorem over $\omega$ -words)

- For each S1S formula  $\phi$ , the language  $\mathcal{L}(\phi)$  is an  $\omega$ -regular language.
- For each  $\omega$ -regular language  $\mathcal{L}$ , there exists an S1S formula  $\phi$  such that  $\mathcal{L} = \mathcal{L}(\phi)$ .

### Theorem (Büchi's Theorem over finite words)

- For each S1S<sub>f</sub> formula  $\phi$ , the language  $\mathcal{L}^{<\omega}(\phi)$  is a regular language.
- For each regular language  $\mathcal{L}$ , there exists an S1S<sub>f</sub> formula  $\phi$  such that  $\mathcal{L} = \mathcal{L}^{<\omega}(\phi)$ .



Reference:

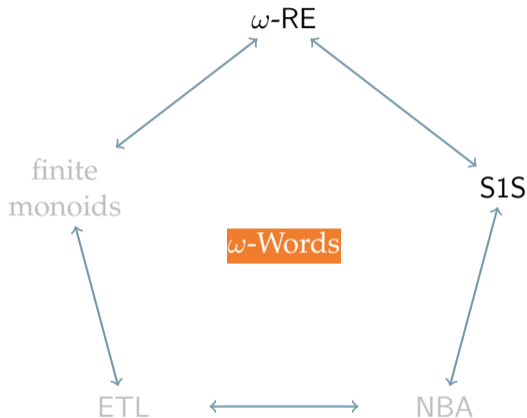
**J. R. Buechi (1960). "On a decision method in restricted second-order arithmetics".** In: *Proc. Internat. Congr. on Logic, Methodology and Philosophy of Science, 1960*

Reference:

**Calvin C Elgot (1961). "Decision problems of finite automata design and related arithmetics".** In: *Transactions of the American Mathematical Society* 98.1, pp. 21–51. DOI: 10.1090/S0002-9947-1961-0139530-9

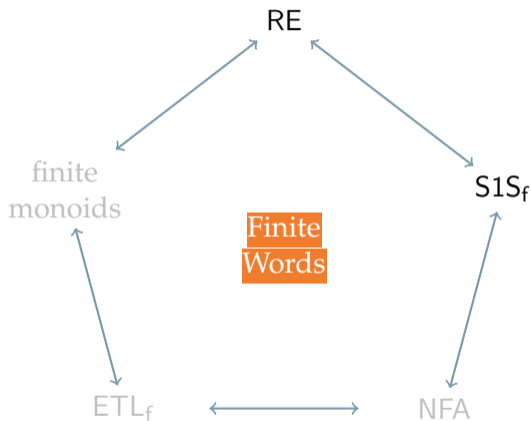


# Characterizations of $\omega$ -Regular Languages





# Characterizations of Regular Languages





### Theorem (Expressive Equivalence over $\omega$ -words)

- For each S1S[FO] formula  $\phi$ , the language  $\mathcal{L}(\phi)$  is a star-free  $\omega$ -language.
- For each star-free  $\omega$ -language  $\mathcal{L}(\phi)$ , there exists an S1S[FO] formula  $\phi$  such that  $\mathcal{L} = \mathcal{L}(\phi)$ .

### Theorem (Expressive Equivalence over finite words)

- For each S1S[FO]<sub>f</sub> formula  $\phi$ , the language  $\mathcal{L}^{<\omega}(\phi)$  is a star-free language.
- For each star-free language  $\mathcal{L}$ , there exists an S1S[FO]<sub>f</sub> formula  $\phi$  such that  $\mathcal{L} = \mathcal{L}^{<\omega}(\phi)$ .



### Reference:

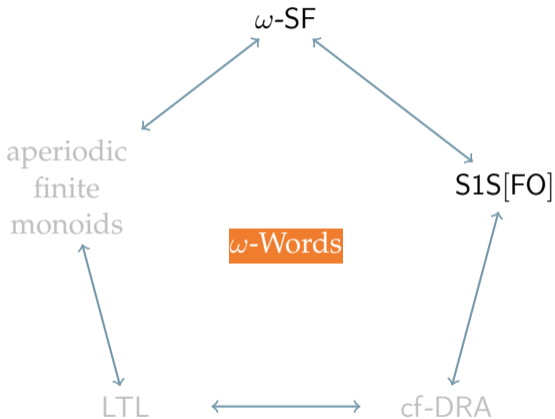
**Richard E Ladner (1977).** “Application of model theoretic games to discrete linear orders and finite automata”. In: *Information and Control* 33.4, pp. 281–303. DOI: 10.1016/S0019-9958(77)90443-0

### Reference:

**Wolfgang Thomas (1981).** “A combinatorial approach to the theory of  $\omega$ -automata”. In: *Information and Control* 48.3, pp. 261–283. DOI: 10.1016/S0019-9958(81)90663-X



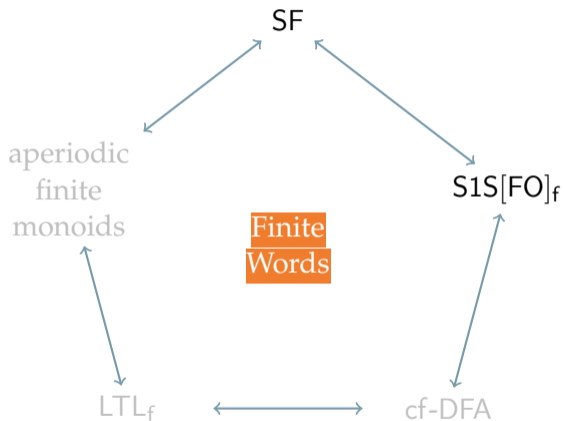
# Characterizations of $\omega$ -Star-free Languages







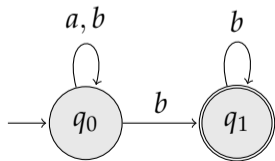
# Characterizations of Star-free Languages



## Definition (Nondeterministic Automaton)

A *nondeterministic automaton*  $\mathcal{A}$  is a tuple  $\langle Q, \Sigma, I, \Delta, F \rangle$  where:

- $Q$  is the *set of states*;
- $\Sigma$  is the *alphabet*;
- $I \subseteq Q$  is the *set of initial states*;
- $\Delta \subseteq Q \times \Sigma \times Q$  is the *transition relation*;
- $F \subseteq Q$  is the *set of final states*;



- $Q = \{q_0, q_1\}$ ;
- $\Sigma = \{a, b\}$ ;
- $I = \{q_0\}$ ;
- $\Delta = \{(q_0, a, q_0), (q_0, b, q_0), (q_0, b, q_1), (q_1, b, q_1)\}$ ;
- $F = \{q_1\}$ ;



## Definition (Nondeterministic Automaton)

A *nondeterministic automaton*  $\mathcal{A}$  is a tuple  $\langle Q, \Sigma, I, \Delta, F \rangle$  where:

- $Q$  is the *set of states*;
- $\Sigma$  is the *alphabet*;
- $I \subseteq Q$  is the set of *initial states*;
- $\Delta \subseteq Q \times \Sigma \times Q$  is the *transition relation*;
- $F \subseteq Q$  is the set of *final states*;

A (complete) nondeterministic automaton is *deterministic* iff  $\Delta$  is a *function*, that is:

$$|\Delta(q, a)| = 1 \quad \text{for each } q \in Q, a \in \Sigma$$



Let  $\mathcal{A}$  be a nondeterministic automaton with alphabet  $\Sigma$ .

### Words

- Given a word  $\sigma \in \Sigma^*$  with  $\sigma = \langle \sigma_0, \sigma_1, \dots, \sigma_n \rangle$ , a run  $\pi$  of  $\mathcal{A}$  over  $\sigma$  is a finite sequence of states  $\langle q_0, q_1, \dots, q_{n+1} \rangle \in Q^*$  such that:
  - $q_0 \in I$ ;
  - $(q_i, \sigma_i, q_{i+1}) \in \Delta$ , for each  $0 \leq i \leq n$

### $\omega$ -Words

- Given an  $\omega$ -word  $\sigma \in \Sigma^\omega$  with  $\sigma = \langle \sigma_0, \sigma_1, \dots \rangle$ , a run  $\pi$  of  $\mathcal{A}$  over  $\sigma$  is an infinite sequence of states  $\langle q_0, q_1, \dots \rangle \in Q^\omega$  such that:
  - $q_0 \in I$ ;
  - $(q_i, \sigma_i, q_{i+1}) \in \Delta$ , for each  $i \geq 0$



Let  $\mathcal{A}$  be a nondeterministic automaton with alphabet  $\Sigma$ .

### Words

#### Definition (NFA)

A *Nondeterministic Finite Automaton* (NFA, for short)  $\langle Q, \Sigma, I, \Delta, F \rangle$  is a nondeterministic automaton in which a run  $\pi := \langle q_0, q_1, \dots, q_{n+1} \rangle \in Q^*$  is said to be *accepting* iff  $q_{n+1} \in F$ .

### $\omega$ -Words

#### Definition (NBA)

A *Nondeterministic Büchi Automaton* (NBA, for short)  $\langle Q, \Sigma, I, \Delta, F \rangle$  is a nondeterministic automaton in which a run  $\pi := \langle q_0, q_1, \dots \rangle \in Q^\omega$  is said to be *accepting* iff  $\text{Inf}(\pi) \cap F \neq \emptyset$ .

$\text{Inf}(\pi)$  is the set of states that occur infinitely often in the infinite run  $\pi$ .



Let  $\mathcal{A}$  be a nondeterministic automaton with alphabet  $\Sigma$ .

### Words

#### Definition (NFA)

A *Nondeterministic Finite Automaton* (NFA, for short)  $\langle Q, \Sigma, I, \Delta, F \rangle$  is a nondeterministic automaton in which a run  $\pi := \langle q_0, q_1, \dots, q_{n+1} \rangle \in Q^*$  is said to be *accepting* iff  $q_{n+1} \in F$ .

### $\omega$ -Words

#### Definition (NBA)

A *Nondeterministic Büchi Automaton* (NBA, for short)  $\langle Q, \Sigma, I, \Delta, F \rangle$  is a nondeterministic automaton in which a run  $\pi := \langle q_0, q_1, \dots \rangle \in Q^\omega$  is said to be *accepting* iff  $\text{Inf}(\pi) \cap F \neq \emptyset$ .

A run is accepting for a Büchi automaton iff it reaches a final state infinitely often.



Let  $\mathcal{A}$  be a nondeterministic automaton with alphabet  $\Sigma$ .

### Words

Let  $\mathcal{A} = \langle Q, \Sigma, I, \Delta, F \rangle$  be an NFA.

- A word  $\sigma \in \Sigma^*$  is *accepted* by  $\mathcal{A}$  iff there exists at least one accepting run of  $\mathcal{A}$  over  $\sigma$ .
- The *language* of  $\mathcal{A}$ , denoted by  $\mathcal{L}^{<\omega}(\mathcal{A})$ , is the set of words in  $\Sigma^*$  accepted by  $\mathcal{A}$ .

### $\omega$ -Words

Let  $\mathcal{A} = \langle Q, \Sigma, I, \Delta, F \rangle$  be an NBA.

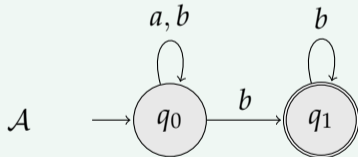
- An  $\omega$ -word  $\sigma \in \Sigma^\omega$  is *accepted* by  $\mathcal{A}$  iff there exists at least one accepting run of  $\mathcal{A}$  over  $\sigma$ .
- The *language* of  $\mathcal{A}$ , denoted by  $\mathcal{L}(\mathcal{A})$ , is the set of  $\omega$ -words in  $\Sigma^\omega$  accepted by  $\mathcal{A}$ .



Let  $\mathcal{A}$  be a nondeterministic automaton with alphabet  $\Sigma$ .

Words

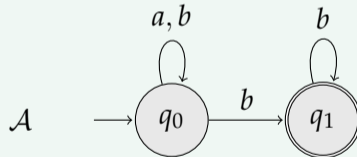
Example



$$\mathcal{L}^{<\omega}(\mathcal{A}) = \{\sigma \in \Sigma^* \mid \sigma \neq \varepsilon \wedge \text{the last letter of } \sigma \text{ is } b\}$$

$\omega$ -Words

Example



$$\mathcal{L}(\mathcal{A}) = \{\sigma \in \Sigma^\omega \mid \text{in } \sigma \text{ there is a finite number of } a\}$$





### An important difference

#### NFA

- A DFA is a deterministic NFA
- NFA are closed under *determinization*: for each NFA  $\mathcal{A}$  there exists a DFA  $\mathcal{A}'$  such that  $\mathcal{L}^{<\omega}(\mathcal{A}) = \mathcal{L}^{<\omega}(\mathcal{A}')$ .
- Subset construction.

#### NBA

- A DBA is a deterministic NBA
- NBA are **not closed under** *determinization*: there exists a NBA  $\mathcal{A}$  for which all DBA  $\mathcal{A}'$  are such that  $\mathcal{L}(\mathcal{A}) \neq \mathcal{L}(\mathcal{A}')$ .



### An important difference

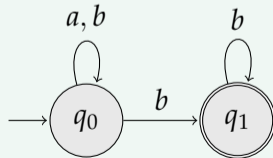
#### NFA

- A DFA is a deterministic NFA
- NFA are closed under *determinization*: for each NFA  $\mathcal{A}$  there exists a DFA  $\mathcal{A}'$  such that  $\mathcal{L}^{<\omega}(\mathcal{A}) = \mathcal{L}^{<\omega}(\mathcal{A}')$ .
- Subset construction.

#### NBA

#### Example

Let  $\Sigma := \{a, b\}$ . The language  $\mathcal{L} = \{\sigma \in \Sigma^\omega \mid \exists^{<\omega} i . \sigma_i = a\}$  is not accepted by any DBA. However, it is accepted by the following NBA.





### Theorem (Expressive Equivalence for NBA)

For each  $\omega$ -language  $\mathcal{L} \subseteq \Sigma^\omega$ , it holds that:

$$\begin{aligned} &\mathcal{L} \text{ is } \omega\text{-regular} \\ &\text{iff} \\ &\mathcal{L} = \mathcal{L}(\mathcal{A}) \text{ for some NBA } \mathcal{A} \end{aligned}$$

### Theorem (Expressive Equivalence for NFA/DFA)

For each language  $\mathcal{L} \subseteq \Sigma^*$ , it holds that:

$$\begin{aligned} &\mathcal{L} \text{ is regular} \\ &\text{iff} \\ &\mathcal{L} = \mathcal{L}^{<\omega}(\mathcal{A}) \text{ for some NFA/DFA } \mathcal{A} \end{aligned}$$

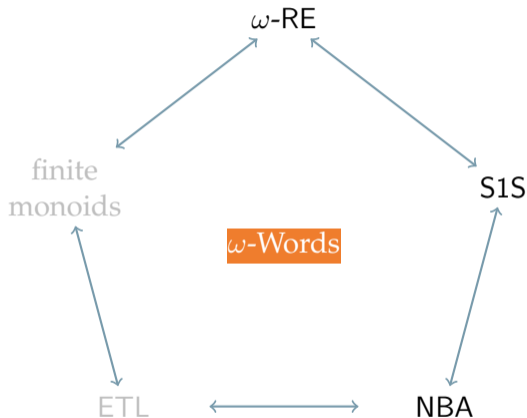


### Reference:

**Robert McNaughton (1966).** “Testing and generating infinite sequences by a finite automaton”. In: *Information and control* 9.5, pp. 521–530. DOI: 10.1016/S0019-9958(66)80013-X

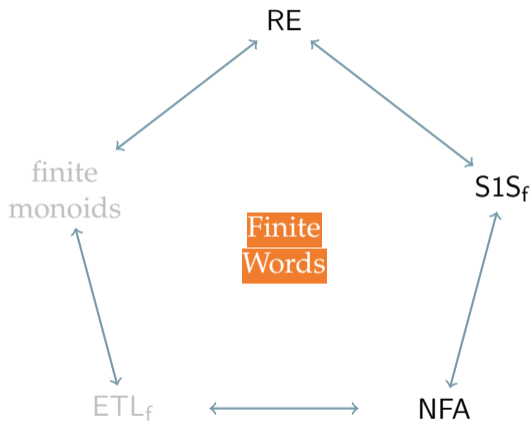


# Characterizations of $\omega$ -Regular Languages





# Characterizations of Regular Languages



# REFERENCES



- J. R. Buechi (1960).** “On a decision method in restricted second-order arithmetics”. In: *Proc. Internat. Congr. on Logic, Methodology and Philosophy of Science, 1960*.
- Calvin C Elgot (1961).** “Decision problems of finite automata design and related arithmetics”. In: *Transactions of the American Mathematical Society* 98.1, pp. 21–51. DOI: 10.1090/S0002-9947-1961-0139530-9.
- Richard E Ladner (1977).** “Application of model theoretic games to discrete linear orders and finite automata”. In: *Information and Control* 33.4, pp. 281–303. DOI: 10.1016/S0019-9958(77)90443-0.
- Robert McNaughton (1966).** “Testing and generating infinite sequences by a finite automaton”. In: *Information and control* 9.5, pp. 521–530. DOI: 10.1016/S0019-9958(66)80013-X.





**Wolfgang Thomas (1981). "A combinatorial approach to the theory of  $\omega$ -automata". In: *Information and Control* 48.3, pp. 261–283. DOI: 10.1016/S0019-9958(81)90663-X.**