

# Determining the anisotropic traction state in a membrane by boundary measurements

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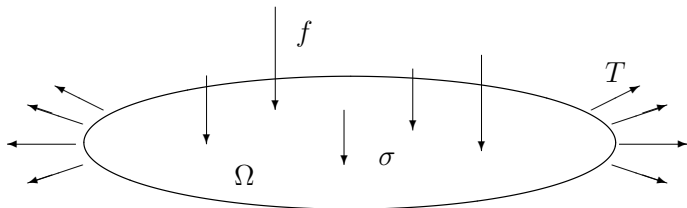
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Technion - Israel Institute of Technology  
Department of Mathematics  
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# the classical equilibrium problem

Elastic or inextensible membrane  $\Omega \subset \mathbf{R}^2$



# the classical equilibrium problem

- $\Omega$  simply connected bounded open set
- $\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22} \end{pmatrix}$  stress tensor
- Assume  $\sigma \in L^\infty(\Omega)$  be such that

$$K^{-1}|\xi|^2 \leq \sigma(x)\xi \cdot \xi \leq K|\xi|^2, \quad K \geq 1,$$

and equilibrated with stretching forces  $T$  applied on  $\partial\Omega$ , so

$$\begin{cases} \operatorname{div} \sigma = 0 & \text{in } \Omega \\ \sigma \nu = T & \text{on } \partial\Omega \end{cases}$$

- $f$  transverse load

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The transverse displacement  $u$  satisfies the boundary value problem (see [2] and [1])

$$\begin{cases} -\operatorname{div}(\sigma \nabla u) = f & \text{in } \Omega \\ u = \varphi & \text{on } \partial\Omega \end{cases}$$

Let  $f = 0$  in the sequel.

Notice that  $\sigma$  divergencefree means

$$\operatorname{div}(\sigma \xi) = 0 \quad \forall \xi \in \mathbf{R}^2$$

hence (crucial for a property in  $\Gamma$ -convergence [6])

$$\varphi(x) = \xi \cdot x \quad \text{on } \partial\Omega \quad \Rightarrow \quad u(x) = \xi \cdot x \quad \text{on } \Omega$$

equivalently, the operator is both variational and nonvariational

$$\sum_{ij=1}^2 D_i(\sigma_{ij} D_j u) = \sum_{ij=1}^2 \sigma_{ij} D_{ij}^2 u$$



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This model is derived in [2] from the Von Kármán plate

$$\left\{ \begin{array}{l} \frac{Eh^3}{12(1-\nu)} \Delta^2 u - h \operatorname{div}(\sigma \nabla u) = f \\ \operatorname{div} \sigma = 0 \\ +b.c. \end{array} \right.$$

where the first term (longitudinal stress caused by bending) is neglected, it is considered small with respect to the external stretching forces applied on  $\partial\Omega$ .

In [1] the model is justified in the framework of asymptotic expansion in  $\Gamma$ -convergence for dimension reduction of a 3D elastic body.

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For every Dirichlet datum  $\varphi$  we measure the corresponding load ("Neumann output") on the boundary

$$\Lambda_\sigma \varphi = \sigma \nabla u \cdot \nu \quad \text{on } \partial\Omega$$

The bounded linear operator  $\Lambda_\sigma : H^{\frac{1}{2}}(\partial\Omega) \rightarrow H^{-\frac{1}{2}}(\partial\Omega)$  is named Dirichlet-to-Neumann (D-N) map.

Weak definition of  $\Lambda_\sigma$

$$\langle \Lambda_\sigma \varphi, v|_{\partial\Omega} \rangle = \int_{\Omega} \sigma \nabla u \cdot \nabla v \quad \forall v \in H^1(\Omega)$$

so that it is related with the energy (in a sense, the power needed to maintain the potential  $\varphi$  on  $\partial\Omega$ )

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## Problem

Given  $\Lambda_\sigma$ , find  $\sigma \in \Sigma_K$  where

$$\Sigma_K = \{ \sigma \in L^\infty(\Omega, \text{Sym}_{2 \times 2}) \mid K^{-1} \leq \sigma \leq K, \text{div } \sigma = 0 \}$$

Relevant aspects: **uniqueness** and, possibly, **stability** (i.e. continuous dependence).

In general:

- proposed by Calderón in 1980 [8] for a scalar (conductivity isotropic tensor)  $\sigma : \Omega \rightarrow (0, +\infty)$ , has been extensively studied for  $k^{-1} \leq \sigma \leq k, k > 1$ , see [3], [11] etc.
- for uniqueness, regularity assumptions on  $\sigma$  and  $\partial\Omega$  were needed, now relaxed in [5] for the 2D case:  $\sigma \in L^\infty$  and  $\partial\Omega$  simple curve.
- Material instability may lead to perturbed anisotropic tensors, see [10], in the natural framework of G-convergence. For this reason, assuming additional bounds on the derivatives of  $\sigma$  play an essential rôle for stability, see [3].

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Let  $\Phi : \bar{\Omega} \rightarrow \bar{\Omega}$  be a  $C^\infty$  diffeomorphism such that  $\Phi|_{\partial\Omega} = \text{identity}$

Apply the change of variables  $v = u \circ \Phi^{-1}$  to the energy

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where  $\tilde{\sigma} = \left( \frac{\nabla \Phi \circ \sigma \circ \nabla^T \Phi}{\det \nabla \Phi} \right) \circ \Phi^{-1}$  and note as a consequence

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$Q_{\tilde{\sigma}}(\varphi) = Q_{\sigma}(\varphi) \Rightarrow \langle \Lambda_{\tilde{\sigma}}(\varphi), \varphi \rangle = \langle \Lambda_{\sigma}(\varphi), \varphi \rangle$ , so  $\Lambda_{\tilde{\sigma}} = \Lambda_{\sigma}$

In [4] the converse:  $\Lambda_{\tilde{\sigma}} = \Lambda_{\sigma} \Rightarrow \sigma, \tilde{\sigma}$  related by some  $\Phi$

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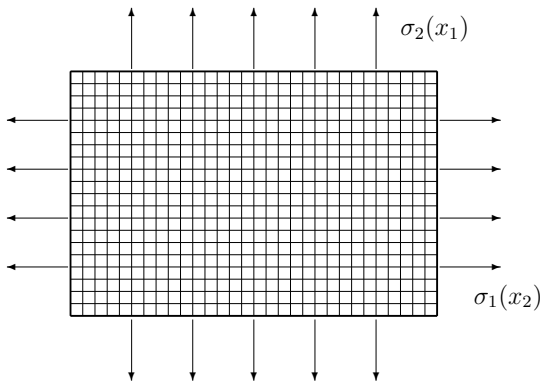
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# an explicit example

Explicit identification for a rectangular network, a portion of fabrics  
(see [7] for an optimal traction design problem)



# an explicit example

In this case the traction tensor field takes the form

$$\sigma = \begin{pmatrix} \sigma_{11}(x_2) & 0 \\ 0 & \sigma_{22}(x_1) \end{pmatrix} = (\sigma_1(x_2), \sigma_2(x_1)), \quad x_1, x_2 \in [0, 1]$$

Given  $\Lambda_\sigma(\varphi) = \sigma \nabla u \cdot \nu = \psi \quad \forall \varphi$  on  $\partial\Omega$ , take  $\varphi$  linear

$$\varphi(x) = \xi \cdot x \Rightarrow u(x) = \xi \cdot x$$

then

$$\sigma \xi \cdot \nu = \psi_\xi$$

choose  $\xi = (1, 0)$  on  $x_1 = 1$ ,  $\nu = (1, 0)$  and  $\psi_\xi(x) = \psi(x_2)$ ,

then

$$\sigma_1(x_2) = \psi(x_2)$$

similar argument for the other edges.

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$$\sigma = \begin{pmatrix} \sigma_{11}(x_2) & 0 \\ 0 & \sigma_{22}(x_1) \end{pmatrix} = (\sigma_1(x_2), \sigma_2(x_1)), \quad x_1, x_2 \in [0, 1]$$

Given  $\Lambda_\sigma(\varphi) = \sigma \nabla u \cdot \nu = \psi \quad \forall \varphi$  on  $\partial\Omega$ , take  $\varphi$  linear

$$\varphi(x) = \xi \cdot x \Rightarrow u(x) = \xi \cdot x$$

then

$$\sigma \xi \cdot \nu = \psi_\xi$$

choose  $\xi = (1, 0)$  on  $x_1 = 1$ ,  $\nu = (1, 0)$  and  $\psi_\xi(x) = \psi(x_2)$ ,  
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## Theorem

$\Lambda_\sigma$  uniquely determines  $\sigma$  among all tensors in  $\Sigma_K$

We work with  $\sigma_{ij}, \partial\Omega \in C^\infty$ , but our result carries over to the case when  $\sigma \in L^\infty(\Omega)$  and  $\partial\Omega$  arbitrary closed curve.

- pushforward of  $\sigma$  by  $\Phi : \bar{\Omega} \rightarrow \bar{D}$

$$T_\Phi \sigma(y) = \frac{\nabla \Phi \sigma \nabla^T \Phi}{\det \nabla \Phi} (\Phi^{-1}(y)), \quad y \in D$$

- $T_\Phi \sigma$  is still symmetric and elliptic with a (possibly new) constant  $K \geq 1$
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If  $\operatorname{div} \sigma = 0$  in  $\Omega$  then  $\operatorname{div}(T_{\Phi} \sigma \nabla^T \Phi^{-1}) = 0$  in  $D$

Proof.

Put  $u = x_r$  in the previous bilinear form

$$\int_{\Omega} \sigma_{rj} D_j v = \int_D \frac{\Phi_{i,h} \sigma_{hk} \Phi_{j,k}}{\det \nabla \Phi} \circ \Phi^{-1} D_i \Phi_r^{-1}(y) D_j v(\Phi^{-1}(y))$$

If

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the same holds true for the right hand side

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From [11] and [12]  $\Lambda_\sigma$  determines uniquely the class

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In fact, if  $\operatorname{div} T_\Phi \sigma = 0$  for some diffeomorphism  $\Phi$  which fixes the boundary, by the II lemma

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The mapping  $\sigma \rightarrow \Lambda_\sigma$  from  $\Sigma_K$  to  $\mathcal{L}(H^{1/2}(\Omega), H^{-1/2}(\Omega))$  has a continuous inverse when  $\Sigma_K$  is endowed with G-convergence topology

## Proof.

$\Sigma_K$  closed, hence G-compact.

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This result explains previous *instability* results ([10] and others).  
For isotropic conductivity problems, stability fails because when  $\sigma$  is known to be isotropic any tensor can be  $G$ -approximated by isotropic tensors. Hence stability for isotropic  $\Rightarrow$  uniqueness in its  $G$ -closure, in contradiction with Tartar's example.

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Hence, previous theorem  $\Rightarrow \forall \psi \in L^1(\Omega)$

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If  $\psi = 1/|\Omega|$  we get the average, which depends Lipschitz on on  $D - N$  map.

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$\forall \sigma, \sigma' \in \Sigma$  we have

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Proof.

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