I.

An overview of the theory of Zeta functions and L-series

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(a) Arithmetic L-functions

- (a1) Riemann zeta function: $\zeta(s)$, $s \in \mathbb{C}$
- (a2) Dirichlet L-series: $L(\chi, s)$

 $\chi: (\mathbb{Z}/m\mathbb{Z})^{\times} \to S^{1} = \{z \in \mathbb{C} \mid |z| = 1\}$

- (a3) Dedekind zeta funct: $\zeta_K(s)$, $[K:\mathbb{Q}] \leq \infty$
- (a4) Hecke L-series: $L_K(\chi, s)$
- (a5) Artin L-function: $L(\rho, s)$ $\rho: Gal(K/\mathbb{Q}) \to GL_n(\mathbb{C})$ Galois representation
- (a6) Motivic L-function: $L(M,s)$ M pure or mixed motive

(b) Automorphic L-functions

(b1) Classical theory (before Tate's thesis 1950)

 $L(f, s)$; $L(f, \chi, s)$ modular L-function

associated to a modular cusp form $f : \mathfrak{H} \to \mathbb{C}$

(b2) Modern adelic theory: $L(\pi, s)$

automorphic L-function

 $\pi = \otimes'_v \pi_v$, (π_v, V_{π_v}) = irreducible (admissible) representation of $GL_n(\mathbb{Q}_v)$

(a1) The Riemann zeta function

$$
s \in \mathbb{C}, \qquad \boxed{\zeta(s) := \sum_{n=1}^{\infty} \frac{1}{n^s}}
$$

Main Facts

• converges absolutely and uniformly on $Re(s) > 1$ $(Re(s) \ge 1 + \delta \, (\delta > 0), \quad \sum_{n=1}^{\infty} |\frac{1}{n^s})$ $\frac{1}{n^s}$ | $\leq \sum_{n=1}^{\infty}$ $\frac{1}{n^{1+\delta}})$

 $\Rightarrow \zeta(s)$ represents an analytic function in $Re(s) > 1$

• Euler's identity:

$$
\zeta(s) = \prod_{\substack{p \\ \text{prime}}} (1 - p^{-s})^{-1}
$$

$$
\left(\left| \prod_{p \le N} (1 - p^{-s})^{-1} - \zeta(s) \right| \le \sum_{n > N} \frac{1}{n^{1+\delta}} \right)
$$

Number-theoretic significance of the zeta-function:

 \blacktriangleright Euler's identity expresses the law of unique prime factorization of natural numbers

$$
\Gamma(s) := \int_0^\infty e^{-y} y^s \, \frac{dy}{y}
$$

Gamma-function

 $s \in \mathbb{C}$, $Re(s) > 0$; absolutely convergent

- $\Gamma(s)$ analytic, has meromorphic continuation to $\mathbb C$
- $\Gamma(s) \neq 0$, has simple poles at $s = -n$, $n \in \mathbb{Z}_{\geq 0}$

$$
Res_{s=-n}\Gamma(s)=\tfrac{(-1)^n}{n!}
$$

• functional equations $\Gamma(s + 1) = s\Gamma(s)$, $\Gamma(s)\Gamma(1 - s) = \frac{\pi}{\sin(\pi s)}$

- Legendre's duplication formula $Γ(s)Γ(s + \frac{1}{2}) = \frac{2}{3}$ √ $\frac{2\sqrt{\pi}}{2^{2s}}\mathsf{\Gamma}(2s)$
- special values

$$
\Gamma(\frac{1}{2}) = \sqrt{\pi}, \quad \Gamma(1) = 1, \quad \Gamma(k+1) = k!, \quad k \in \mathbb{Z}_{\geq 0}
$$

The connection between $\Gamma(s)$ and $\zeta(s)$

$$
y \mapsto \pi n^2 y \quad \Rightarrow \quad \pi^{-s} \Gamma(s) \frac{1}{n^{2s}} = \int_0^\infty e^{-\pi n^2 y} y^s \frac{dy}{y}
$$

sum over $n \in \mathbb{N}$

$$
\pi^{-s}\Gamma(s)\zeta(2s) = \int_0^\infty \sum_{n\geq 1} e^{-\pi n^2 y} y^s \frac{dy}{y} \quad g(y) := \sum_{n\geq 1} e^{-\pi n^2 y}
$$

$$
\Theta(z) := \sum_{n \in \mathbb{Z}} e^{\pi i n^2 z} = 1 + 2 \sum_{n=1}^{\infty} e^{\pi i n^2 z} \quad \text{Jacobi's theta}
$$

$$
g(y) = \frac{1}{2}(\Theta(iy) - 1), \qquad |Z(s) := \pi
$$

$$
Z(s):=\pi^{-\frac{s}{2}}\Gamma(\tfrac{s}{2})\zeta(s)\,\Big|
$$

Main Facts

 (1) $Z(s)$ admits the integral representation $Z(s) = \frac{1}{2}$ \overline{r}^{∞} 0 $(\Theta(iy) - 1)y^{s/2} \frac{dy}{dx}$ \hat{y} Mellin Principle
⇒ (2) $Z(s)$ admits an analytic continuation to $\mathbb{C}\setminus\{0,1\}$, has simple poles at $s=0, s=1$ $Res_{s=0}Z(s) = -1,$ $Res_{s=1}Z(s) = 1$

(3) functional eq
$$
Z(s) = Z(1 - s)
$$

Implications for the Riemann zeta $\zeta(s)$

(4) $\zeta(s)$ admits an analytic continuation to $\mathbb{C}\setminus\{1\}$ has simple pole at $s = 1$, $Res_{s=1} \zeta(s) = 1$

(5) (functional eq) $\zeta(1-s) = 2(2\pi)^{-s} \Gamma(s) \cos(\frac{\pi s}{2}) \zeta(s)$

Moreover, from $Z(s) = Z(1-s) \Rightarrow$

- ighthe only zeroes of $\zeta(s)$ in $Re(s) < 0$ are the poles of $\Gamma(\frac{s}{2})$ ($s \in 2\mathbb{Z}_{<0}$, "trivial zeroes")
- \blacktriangleright other zeroes of $\zeta(s)$ (*i.e.* on $Re(s) > 0$) must lie on the critical strip: $0 \leq Re(s) \leq 1$

Riemann Hypothesis The "non-trivial" zeroes of

 $\zeta(s)$ lie on the line $Re(s) = \frac{1}{2}$

(a2) Dirichlet L-series

$$
m \in \mathbb{N}, \quad \chi: (\mathbb{Z}/m\mathbb{Z})^* \to S^1 = \{z \in \mathbb{C} : |z| = 1\}
$$

Dirichlet character mod.m

$$
\chi: \mathbb{Z} \to \mathbb{C}, \quad \chi(n) = \begin{cases} \chi(n \text{ mod } m) & (n, m) = 1 \\ 0 & (n, m) \neq 1 \end{cases}
$$

$$
s \in \mathbb{C}, \quad \left| L(\chi, s) := \sum_{n \geq 1} \frac{\chi(n)}{n^s} \right| \qquad \text{Re}(s) > 1
$$

for $\chi = 1$ (principal character): $L(1, s) = \zeta(s)$

Main Facts

(1) Euler's identity:
$$
L(\chi, s) = \prod_{p} (1 - \chi(p)p^{-s})^{-1}
$$

(2) $L(\chi, s)$ converges absolutely and unif. on $Re(s) > 1$ (represents an analytic function)

$$
\chi(-1) = (-1)^p \chi(1), \quad p \in \{0, 1\} \text{ exponent}
$$
\n
$$
\chi : \{(n) \subset \mathbb{Z} \mid (n, m) = 1\} \to S^1
$$
\n
$$
\chi((n)) := \chi(n) \left(\frac{n}{|n|}\right)^p
$$
\nGrössencharacter mod.m (multiplicative fet)

\n
$$
\Gamma(\chi, s) := \Gamma\left(\frac{s+p}{2}\right) = \int_0^\infty e^{-y} y^{(s+p)/2} \frac{dy}{y} \text{ Gamma integral}
$$
\n
$$
y \mapsto \pi n^2 y / m, \quad \theta(\chi, iy) = \sum_n \chi(n) n^p e^{-\pi n^2 y / m} \quad \dots \Rightarrow
$$
\n
$$
L_\infty(\chi, s) := \left(\frac{m}{\pi}\right)^{\frac{s}{2}} \Gamma(\chi, s) \quad \text{archimedean Euler factor}
$$

$$
\Lambda(\chi, s) := L_{\infty}(\chi, s) L(\chi, s), \qquad \text{Re}(s) > 1
$$

completed L-series of the character χ $\Lambda(\chi,s)$ has integral representation Mellin principle

- Functional eq.: If $\chi \neq 1$ is a primitive character,
	- $\Lambda(\chi,s)$ admits an analytic continuation to $\mathbb C$ and satisfies the functional equation

$$
\Lambda(\chi, s) = W(\chi) \Lambda(\bar{\chi}, 1 - s), \quad |W(\chi)| = 1
$$

 $({\bar x} =$ complex conjugate character)

(a3) Dedekind zeta function

 K/\mathbb{Q} number field, $[K:\mathbb{Q}] = n$

$$
s \in \mathbb{C} \qquad \boxed{\zeta_K(s) := \sum_{\mathfrak{a} \subset \mathcal{O}_K} \frac{1}{N(\mathfrak{a})^s}}
$$

 $a =$ integral ideal of K, $N(a) =$ absolute norm

Main Facts

(1) $\zeta_K(s)$ converges absolutely and unif. on $Re(s) > 1$

(2) (Euler's identity)

$$
\boxed{\zeta_K(s) = \prod_{\mathfrak{p}} (1 - N(\mathfrak{p})^{-s})^{-1}} \quad Re(s) > 1
$$

 $Cl_K = J/P$ ideal class group of K

$$
\zeta_K(s) = \sum_{[\mathfrak{b}] \in Cl_K} \zeta(\mathfrak{b},s), \quad \zeta(\mathfrak{b},s) := \sum_{\mathfrak{a} \in [\mathfrak{b}]} \frac{1}{N(\mathfrak{a})^s}
$$

$\zeta(\mathfrak{b},s)$ partial zeta functions

$$
L_{\mathbb{R}}(s) := \pi^{-s/2} \Gamma(s/2)
$$

$$
L_{\mathbb{C}}(s) := 2(2\pi)^{-s} \Gamma(s)
$$

 $r_1 :=$ number of real embeddings $v = \overline{v} : K \to \mathbb{C}$

 $r_2 :=$ number of pairs of complex embeddings $\{v,\overline{v}\}:K\to\overline{\mathbb C}$

 d_K = discriminant of K

$$
\big|Z_\infty(s):= |d_K|^{s/2}L_{\mathbb R}(s)^{r_1}L_{\mathbb C}(s)^{r_2}
$$

Euler's factor at infinity of $\zeta(\mathfrak{b},s)$

$$
\blacktriangleright \ \ Z(\mathfrak{b},s) := Z_{\infty}(s)\zeta(\mathfrak{b},s), \quad \mathrm{Re}(s) > 1
$$

admits an analytic continuation to
$$
\mathbb{C} \setminus \{0,1\}
$$
 and satisfies a functional equation

$$
Z_K(s) := \sum_{\mathfrak{b}} Z(\mathfrak{b}, s) = Z_{\infty}(s) \zeta_K(s)
$$

From the corresponding properties of $Z(\mathfrak{b},s)$ one deduces

Main Facts

(1) $Z_K(s) = Z_\infty(s)\zeta_K(s)$ has analytic continuation to $\mathbb{C} \setminus \{0,1\}$

(2) (functional eq) $Z_K(s) = Z_K(1-s)$

 $Z_K(s)$ has simple poles at $s = 0, 1$

 $Res_{s=0}Z_K(s) = -\frac{2^rhR}{w}$ $\frac{hR}{w}$, $Res_{s=1}Z_K(s) = \frac{2^rhR}{w}$ ω

 $r = r_1 + 2r_2$, $h =$ class nb. of K, $R =$ regulator of K $w =$ number of roots of 1 in K

[Hecke] Subsequent results for $\zeta_K(s)$

(3) $\zeta_K(s)$ has analytic continuation to $\mathbb{C}\setminus\{1\}$

with a simple pole at $s = 1$

(4) Class number formula

$$
Res_{s=1}\zeta_K(s)=\tfrac{2^{r_1}(2\pi)^{r_2}hR}{\sqrt{|d_K|}}\tfrac{hR}{w}
$$

(5) (functional eq.) $\zeta_K(1-s) = A(s)\zeta_K(s)$

$$
A(s) := |d_K|^{s-1/2} (\cos \frac{\pi s}{2})^{r_1+r_2} (\sin \frac{\pi s}{2})^{r_2} L_{\mathbb{C}}(s)^n
$$

$$
\begin{aligned}\n\text{(6)} \ \zeta_K(s) \neq 0 \quad \text{for} \quad Re(s) > 1 \quad \Rightarrow \\
m \in \mathbb{Z}_{\geq 0} \\
\text{ord}_{s=-m} \zeta_K(s) = \begin{cases}\nr_1 + r_2 - 1 = rk(\mathcal{O}_K^*) & \text{if } m = 0 \\
r_1 + r_2 & \text{if } m > 0 \text{ even} \\
r_2 & \text{if } m > 0 \text{ odd}\n\end{cases}\n\end{aligned}
$$

The class number formula reads now as

$$
\zeta_K^*(0) := \lim_{s \to 0} \frac{\zeta_K(s)}{s^{r_1 + r_2 - 1}} = -\frac{hR}{w}
$$

(a5) Artin L-functions

 $L/K =$ Galois extension of nb field K, $G := Gal(L/K)$

Artin L-functions generalize the classical L-series in the following way

$$
L(\chi, s) = \sum_{n\geq 1} \frac{\chi(n)}{n^s} = \prod_p (1 - \chi(p)p^{-s})^{-1}, \ Re(s) > 1
$$

$$
\chi: (\mathbb{Z}/m\mathbb{Z})^* \to \mathbb{C}^*, \quad G := Gal(\mathbb{Q}(\mu_m)/\mathbb{Q}) \stackrel{\simeq}{\leftarrow} (\mathbb{Z}/m\mathbb{Z})^*
$$

p mod $m \mapsto \varphi_p, \quad \varphi_p(\zeta_m) = \zeta_m^p$ Frobenius

$$
\chi: G \to GL_1(\mathbb{C}) \quad \text{1-dim Galois representation}
$$

$$
\blacktriangleright \qquad L(\chi, s) = \prod_{n\geq 0} (1 - \chi(\varphi_p)p^{-s})^{-1}
$$

this is a description of the Dirichlet L-series in a purely Galois-theoretic fashion

 $p\nmid m$

More in general:

$$
V = \text{finite dim } \mathbb{C}\text{-vector space}
$$
\n
$$
\rho: G = Gal(L/K) \to GL(V) = Aut_{\mathbb{C}}(V)
$$

p prime ideal in K , q/p prime ideal of L above p

$$
D_{\mathfrak{q}}/I_{\mathfrak{q}} \stackrel{\simeq}{\to} Gal(\kappa(\mathfrak{q})/\kappa(\mathfrak{p})), \quad D_{\mathfrak{q}}/I_{\mathfrak{q}} = <\varphi_{\mathfrak{q}}>
$$

$$
\varphi_{\mathfrak{q}} \mapsto (x \mapsto x^{q}) \quad q = N(\mathfrak{p})
$$

 $\varphi_\mathfrak{q} \in End(V^{I_\mathfrak{q}})$ finite order endomorphism $P_\mathfrak{p}(T) := \det(1 - \varphi_\mathfrak{q} T; \,\, V^{I_\mathfrak{q}})\, \big|, \quad$ characteristic pol only depends on p (not on q/p)

$$
\zeta_{L/K}(\rho,s):=\prod\limits_{\text{prime}\atop \text{in}K}\det(1-\varphi_{\mathfrak{q}}N(\mathfrak{p})^{-s};\ V^{I_{\mathfrak{q}}})^{-1}
$$

Artin L-series

$$
\det(1 - \varphi_{\mathfrak{q}} N(\mathfrak{p})^{-s}; \quad V^{I_{\mathfrak{q}}}) = \prod_{i=1}^{d} (1 - \epsilon_i N(\mathfrak{p})^{-s})
$$

 ϵ_i = roots of 1: φ _q has finite order

 \blacktriangleright $\zeta_{L/K}(\rho, s)$ converges absolutely and unif on $Re(s) > 1$

If (ρ, \mathbb{C}) is the trivial representation, then

 $\zeta_{L/K}(\rho, s) = \zeta_K(s)$ Dedekind zeta function

- An additive expression analogous to $\zeta_K(s) = \sum_{\mathfrak{a}}$ 1 $N(\mathfrak{a})^s$ does not exist for general Artin L-series.
- ▶ Artin L-series exhibit nice functorial behavior under change of extensions L/K and representations ρ

Character of (ρ, V) $\chi_{\rho}: Gal(L/K) \to \mathbb{C}$ $\chi_{\rho}(\sigma) = tr(\rho(\sigma)), \quad \chi_{\rho}(1) = \dim V = \deg(\rho)$ $(\rho, V) \sim (\rho', V') \iff \chi_{\rho} = \chi_{\rho'}$ $\zeta_{L/K}(\rho, s) = \zeta_{L/K}(\chi_{\rho}, s)$; functorial behavior \Rightarrow \overline{y}

$$
\zeta_L(s) = \zeta_K(s) \prod_{\chi \neq 1 \atop \chi \textrm{ irred of } G(L/K)} \zeta_{L/K}(\chi,s)^{\chi(1)}
$$

Artin conjecture $\forall \chi \neq 1$ irreducible, $\zeta_{L/K}(\chi, s)$ defines an entire function *i.e.* holom. function on C

the conjecture has been proved for abelian extensions

For every infinite (archimedean) place *p* of *K*
\n
$$
\zeta_{L/K,\mathfrak{p}}(\chi,s) = \begin{cases} L_{\mathbb{C}}(s)^{\chi(1)} & \text{p complex} \\ L_{\mathbb{R}}(s)^{n+}L_{\mathbb{R}}(s+1)^{n} & \text{p real} \end{cases}
$$
\n
$$
n_{+} = \frac{\chi(1)+\chi(\varphi_{\mathfrak{q}})}{2}, \quad n_{-} = \frac{\chi(1)-\chi(\varphi_{\mathfrak{q}})}{2}; \quad \varphi_{\mathfrak{q}} \in Gal(L_{\mathfrak{q}}/K_{\mathfrak{p}})
$$
\n
$$
\zeta_{L/K,\mathfrak{p}}(\chi,s) \text{ has also nice functional behavior}
$$
\nFor *p real*, $\varphi_{\mathfrak{q}}$ induces decomp $V = V^{+} \oplus V^{-}$
\n
$$
V^{+} = \{x \in V : \varphi_{\mathfrak{q}}x = x\}, \quad V^{-} = \{x \in V : \varphi_{\mathfrak{q}}x = -x\}
$$
\n
$$
n_{+} = \dim V^{+}, \quad n_{-} = \dim V^{-}
$$

$$
\boxed{\zeta_{L/K,\infty}(\chi,s):=\prod_{\mathfrak{p}\mid\infty}\zeta_{L/K,\mathfrak{p}}(\chi,s)}
$$

$$
\Lambda_{L/K}(\chi,s):=c(L/K,\chi)^{\frac{s}{2}}\zeta_{L/K}(\chi,s)\zeta_{L/K,\infty}(\chi,s)
$$

completed Artin series

 $c(L/K, \chi) := |d_K|^{\chi(1)} N(\mathfrak{f}(L/K, \chi)) \in \mathbb{N}$ $\mathfrak{f}(L/K, \chi) = \prod_{\mathfrak{p} \nmid \infty} \mathfrak{f}_{\mathfrak{p}}(\chi)$ Artin conductor of χ $f_{\mathfrak{p}}(\chi) = \mathfrak{p}^{f(\chi)}$ local Artin conductor $(f(\chi) \in \mathbb{Z})$ 16

Main Facts

• $\Lambda_{L/K}(\chi, s)$ admits a meromorphic continuation to $\mathbb C$

• (Functional eq.)
$$
\Lambda_{L/K}(\chi, s) = W(\chi) \Lambda_{L/K}(\bar{\chi}, 1 - s)
$$

$$
W(\chi) \in \mathbb{C}, \quad |W(\chi)| = 1
$$

 \triangleright the proof of the functional equation uses the fact that the Euler factors $\zeta_{L/K,\mathfrak{p}}(\chi,s)$ at the infinite places p behave, under change of fields and characters, in exactly the same way as the Euler factors at the finite places:

$$
\mathfrak{p}<\infty \qquad \zeta_{L/K,\mathfrak{p}}(\chi,s):=\det(1-\varphi_{\mathfrak{q}}N(\mathfrak{q})^{-s};\; \; V^{I_{\mathfrak{q}}})^{-1}
$$

this uniform behavior that might seem at first in striking contrast with the definition of the archimedean Euler factors has been motivated by a unified interpretation of the Euler's factors (archimedean and non)

[Deninger 1991-92, Consani 1996]

 $\zeta_{L/K,\mathfrak{p}}(\chi,s)=\mathsf{det}_\infty(\tfrac{\log N(\mathfrak{p})}{2\pi i} (sid-\Theta_\mathfrak{p});\,\, H(X(\mathfrak{p})/\mathbb{L}_\mathfrak{p}))^{-1}$

this result reaches far beyond Artin L-series and suggests a complete analogy with the theory of L-series of algebraic varieties over finite fields.

(b) Automorphic L-functions

(b1) Classical theory (before Tate's thesis)

 $f : \mathfrak{H} \to \mathbb{C}$ modular form of weight k for $\Gamma \subset SL_2(\mathbb{Z})$

• f holomorphic, $\mathfrak{H} =$ upper-half complex plane

•
$$
\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma
$$
, $f(\frac{az+b}{cz+d}) = (cz+d)^k f(z)$

• f is regular at the cusps z of Γ , $|SL_2(\mathbb{Z}) : \Gamma| < \infty$ $(z \in \mathbb{Q} \cup \{i\infty\}$ fixed pts of parabolic elements of Γ)

Examples

- $\theta_q(z)$ theta series attached to a quadratic form $q(\underline{x})$

$$
\theta_q(z) = \sum_{n=0}^{\infty} a(n) e^{2\pi i n z}, \quad a(n) = \text{Card}\{ \underline{v} : q(\underline{v}) = n \}
$$

 $-\Delta(z)$ discriminant function from the theory of elliptic modular functions

$$
\Delta(z) = 2^{-4} (2\pi)^{12} \sum_{n=1}^{\infty} \tau(nz) e^{2\pi i n z}
$$

For simplicity will assume: $\Gamma = SL_2(\mathbb{Z})$

$$
\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in \Gamma \Rightarrow f(z+1) = f(z)
$$

$$
f(z) = \sum_{n\geq 0} a_n e^{2\pi i n z}
$$

Fourier expansion

f is a cusp form if $a_0 = 0$ i.e.

$$
f(z) = \sum_{n \geq 1} a_n e^{2\pi i n z}
$$

the Fourier coefficients a_n often carry interesting arithmetical information:

- $-f(z) = \theta_q(z)$, a_n counts the number of times *n* is represented by the quadratic form $q(x)$
- $-f(z) = \Delta(z)$, $a_n = \tau(n)$ Ramanujan's τ -function

[Hecke 1936] Attached to each cusp form there is a complex analytic invariant function: its L-function

$$
L(f,s) = \sum_{n \geq 1} \frac{a_n}{n^s}
$$

Dirichlet series

$$
L(f,s) = \sum_{n\geq 1} \frac{a_n}{n^s}
$$

This L-function is connected to f by an integral representation: its Mellin transform

$$
\Lambda(f,s) = (2\pi)^{-s} \Gamma(s) L(f,s) = \int_0^\infty f(iy) y^s d^{\times} y
$$

through this integral representation one gets

[Hecke] $L(f, s)$ is entire and satisfies the functional equation

$$
\Lambda(f,s) = i^k \Lambda(f,k-s)
$$

the functional equation is a consequence of having a \displaystyle the functional equation is a conseque
modular transformation law under $\displaystyle\Big(\frac{1}{2}\Big)^{2n}$ $0 -1$ 1 0 ¶ sending $z \mapsto -\frac{1}{z}$

Since the Mellin transform has an inverse integral transform, one gets

Converse theorem [Hecke] If

$$
D(s) = \sum_{n} \frac{a_n}{n^s}
$$

has a "nice" behavior and satisfies the correct functional equation (as above) then

$$
f(z) = \sum_{n} a_n e^{2\pi i n z}
$$

is a cusp form (of weight k) for $SL_2(\mathbb{Z})$ and

$$
D(s) = L(f, s)
$$

in particular: the modularity of $f(z)$ is a consequence of the Fourier expansion and the functional equation

[Weil 1967] the Converse theorem for $\Gamma_0(N)$ holds, by using the functional equation not just for $L(f, s)$ but also for

$$
L(f, \chi, s) = \sum_{n \geq 1} \frac{\chi(n) a_n}{n^s}
$$

 $x =$ Dirichlet character of conductor prime to the level $N \in \mathbb{N}$

$$
\Gamma_0(N) = \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : c \equiv 0 \mod N \}
$$

[Hecke 1936] An algebra of operators

$\mathcal{H} = \{T_n\}$ Hecke operators

acts on modular forms.

If $f(z)$ is a simultaneous eigen-function for the operators in H , then $L(f, s)$ has an Euler's product

$$
L(f,s) = \prod_p (1 - a_p p^{-s} + p^{-2s})^{-1}
$$

Conclusion

Arithmetic L-functions

are described by Euler's products, analytic properties are conjectural, arithmetic meaning is clear

Automorphic L-functions

are defined by Dirichlet series, characterized by analytic properties, Euler's product and arithmetic meaning are more mysterious...

(b2) Modern adelic theory

The modular form $f(z)$ for $SL_2(\mathbb{Z})$ (or congruence subgroup) is replaced by an automorphic representation of $GL_2(\mathbb{A})$

(in general by an automorphic representation of $GL_n(\mathbb{A}))$

this construction is a generalization of Tate's thesis for $GL_1(\mathbb{A})$

$$
\boxed{{\mathbb A}:=\prod_p\llbracket{\mathbb Q}_p\times{\mathbb R}}
$$

ring of adèles of $\mathbb Q$

locally compact topological ring $(\prod' =$ restricted product)

Q ⊂ A diagonal discrete embedding, A/Q compact

$$
GL_n({\mathbb A})=\prod_p G L_n({\mathbb Q}_p)\times GL_n({\mathbb R})
$$

 $GL_n(\mathbb{Q}) \subset GL_n(\mathbb{A})$ diagonal discrete embedding

 $Z(\mathbb{A})GL_n(\mathbb{Q})\backslash GL_n(\mathbb{A})$ finite volume

$GL_n(\mathbb{A})$ acts on the space

 $\mathcal{A}_0(Z(\mathbb{A})GL_n(\mathbb{Q})\backslash GL_n(\mathbb{A}))$ cusp automorphic forms

producing a decomposition:

$$
\mathcal{A}_0(GL_n(\mathbb{Q})\backslash GL_n(\mathbb{A}))=\bigoplus_{\pi}m(\pi)V_{\pi}
$$

the (infinite dimensional) factors are the cuspidal automorphic representations

The decomposition of $GL_n(\mathbb{A})$ as a restricted product corresponds to a decomposition of the representations

$$
\pi\simeq\otimes'_v\pi_v=(\otimes'_p\pi_p)\otimes\pi_\infty
$$

 (π_v, V_{π_v}) = irreducible (admissible) representations of $GL_n(\mathbb{Q}_v)$

Main relations

 $\pi_{\infty} \longrightarrow L(\pi_{\infty}, s) \longleftrightarrow \Gamma(s)$ $\pi_p \ \longrightarrow \ L(\pi_p, s) = Q_p(p^{-s})^{-1}$ $\pi \longrightarrow \Lambda(\pi, s) = \prod L(\pi_p, s) L(\pi_\infty, s) = L(\pi, s) L(\pi_\infty, s)$ p for $Re(s) >> 0$

[Jacquet, P-S, Shalika] $L(\pi, s) := \prod_p L(\pi_p, s)$ is entire and satisfies a functional equation

$$
\Lambda(\pi,s)=\epsilon(\pi,s)\Lambda(\tilde{\pi},1-s)
$$

[Cogdell, P-S] A Converse theorem holds

⇒

 \blacktriangleright "nice" degree n automorphic L-functions are modular, i.e. they are associated to a cuspidal automorphic representation π of $GL_n(\mathbb{A})$

The theory or Artin L-functions $L(\rho, s)$ associated to degree *n* representations ρ of $G_{\mathbb{Q}} := Gal(\bar{\mathbb{Q}}/\mathbb{Q})$ (and their conjectural theory) has suggested

Langlands' Conjecture (1967)

$$
\{\rho: G_{\mathbb{Q}} \to GL_n(\mathbb{C})\} \subset \{\pi | \text{autom.rep. of } GL_n(\mathbb{A})\}
$$

s.t. $L(\rho, s) = L(\pi, s)$

modularity of Galois representations

In fact the local version (now a theorem!) can be stated very precisely, modulo replacing the local Galois group $G_{\mathbb{Q}_v}$ by the (local) Weil and Deligne groups

 $G_{\mathbb{Q}_v} \; \rightsquigarrow W_{\mathbb{Q}_v}$, $W'_{\mathbb{Q}_v}$

[Harris-Taylor, Henniart 1996-98] there is a 1-1 correspondence satisfying certain natural compatibilities (e.g. compatibility with local functional equations and preservation of L and epsilon factors of pairs)

 $\{\rho_v: W'_{\mathbb{Q}_v} \to GL_n(\mathbb{C}) : \text{admissible}\} \leftrightarrow$

 \leftrightarrow { π : irred.admiss rep of $GL_n(\mathbb{Q}_v)$ }

Conclusion: local Galois representations are modular!

Global Modularity?

There is a global version of the Weil group $W_{\mathbb{Q}}$ but there is no definition for a global Weil-Deligne group (the conjectural Langlands group) At the moment there is a conjectural re-interpretation of it: an "avatar" of this global modularity:

Global (local) functoriality...

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II.

Zeta functions of schemes and motivic L-functions

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- (1) Zeta functions of schemes over finite fields
- (2) Zeta functions of arithmetic schemes
- (3) Motivic L-functions

(1) Zeta functions of schemes over finite fields

 $X_{/k}$ scheme of finite type, $k = \mathbb{F}_q$ finite field

Main Example

 $X = \{ \underline{a} = (a_1, \ldots, a_n) \in k^n : f_i(\underline{a}) = 0, i = 1, \ldots r \}$ $f_i(X_1, ..., X_n) \in k[X_1, ..., X_n]$ affine variety (e.g. $X = \mathbb{A}_k^n$) $\underline{a} = (a_1, \ldots, a_n) \in k^n, \,\, f_i(\underline{a}) = 0 \,\, \forall i \quad k \text{-rational point}$ $X(k) := \{x \in X : x = (a_1, \ldots, a_n) \in k^n\}, \quad (\vert \mathbb{A}_k^n(k) \vert = q^n)$

More in general:
$$
X \to Spec(k)
$$
, $d \in \mathbb{N}$
\n
$$
\boxed{X(\mathbb{F}_{q^d}) := Mor_k(Spec(\mathbb{F}_{q^d}), X) \quad \mathbb{F}_{q^d}\text{-rational point}}
$$
\nFact: $k_d := \mathbb{F}_{q^d}$, $N_d := |X(\mathbb{F}_{q^d})| < \infty$
\n $\bar{X} := \{x \in X : \kappa(x)/k \text{ finite}\}, \quad \kappa(x) = \text{residue field}$

$$
n_l := \#\{x \in \bar{X} : \deg(x) = l\} < \infty, \quad N_d = \sum_{l \mid d} l n_l
$$

 $N(x) := \# \kappa(x) = q^{\deg(x)}, \quad \deg(x) := [\kappa(x) : k].$

 $N_d = |X(k_d)|$ Diophantine invariant of $X_{/k}$

$$
Z(X_{/k},T) := \exp(\sum_{d \geq 1} N_d \frac{T^d}{d}) \in \mathbb{Q}[[T]]
$$

Zeta-function of $X_{/k}$

$$
s \in \mathbb{C}, \quad \boxed{\zeta_X(s) := Z(X_{/k}, q^{-s})} \quad \text{Hasse-Weil zeta}
$$

carries the "complete package" of the Diophantine information associated to the set $\{N_d : d \in \mathbb{N}\}$

Examples

1)
$$
\mathbb{P}^1_{/\mathbb{F}_q}
$$
, $N_d = q^d + 1$
\n $Z(\mathbb{P}^1, T) = \exp(\sum_{d \ge 1} (q^d + 1) \frac{T^d}{d}) = \frac{1}{(1 - qT)(1 - T)} \in \mathbb{Q}(T)$
\n $\zeta_{\mathbb{P}^1}(s) = (1 - q^{-s})^{-1}(1 - q^{-(s-1)})^{-1}$

2)
$$
\mathbb{P}^m_{/\mathbb{F}_q}, \quad N_d = \frac{q^{d(m+1)} - 1}{q^d - 1} = q^{md} + \ldots + q^{2d} + q^d + 1
$$

$$
Z(\mathbb{P}^m, T) = \frac{1}{(1 - q^m T) \cdots (1 - qT)(1 - T)} \in \mathbb{Q}(T)
$$

$$
\zeta_{\mathbb{P}^m}(s) = \prod^m (1 - q^{-(s-n)})^{-1}
$$

$$
L(s) = \prod_{n=0} (1-q^{-s})
$$

3)
$$
\mathbb{A}_{/\mathbb{F}_q}^m, \quad N_d = q^{md}
$$

\n
$$
Z(\mathbb{A}^m, T) = \exp(\sum_{d \ge 1} q^{md} \frac{T^d}{d}) = (1 - q^m T)^{-1} \in \mathbb{Q}(T)
$$

\n
$$
\zeta_{\mathbb{A}^m}(s) = (1 - q^{-(s-m)})^{-1}
$$

Main Facts

(1)
$$
Z(X_{/k},T) = \prod_{x \in \bar{X}} (1 - T^{\deg(x)})^{-1}
$$

absolutely convergent in $Re(s) > dim X$

(2) Theorem [Dwork, Grothendieck 1959-64] The zeta function of a scheme of finite type over a finite field is rational

$$
Z(X,T) = \frac{\prod_i (1-\alpha_i T)}{\prod_j (1-\beta_j T)} \in \mathbb{Q}(T), \qquad \alpha_i, \beta_j \in \mathbb{C}
$$

 $F: X(\bar{k}) \to X(\bar{k}), \quad F(\underline{a}) = \underline{a}^q \quad \underline{a} = (a_i), \,\, a_i \in \bar{k}$

Frobenius morphism

 $N_d = \sharp \{ x \in X(\bar{k}): \; F^d(\underline{a}) = \underline{a} \} \quad \text{fixed points of } F^d$ $a =$ description in local coordinates of x

 $\overline{\bf Theorem}$ [Grothendieck 1964] $\quad X_{/k}$ scheme of finite type, smooth and proper over $k=\mathbb{F}_q$

$$
N_d = \sum_{i=0}^{2 \dim X} (-1)^i Tr((F^d)^*; H^i_{et}(X_{\bar{k}}, \mathbb{Q}_\ell)) \Rightarrow
$$

\n
$$
Z(X_{/k}, q^{-s}) = \prod_{i=0}^{2 \dim X} \det(1 - F^*q^{-s}; H^i_{et}(X_{\bar{k}}, \mathbb{Q}_\ell))^{(-1)^{i+1}}
$$

\nin $\mathbb{Q}_\ell[[q^{-s}]], X_{\bar{k}} := X \times_k \bar{k}, (\ell, q) = 1, \ell = \text{prime}$

in 1964 it was not known in general (although expected) that

$$
\det(1 - F^*q^{-s}; H^i_{et}(X_{\bar{k}}, \mathbb{Q}_\ell)) \in \mathbb{Q}[q^{-s}]
$$

independently of the auxiliary choice of the prime ℓ

Theorem [Deligne 1974] Assume
$$
X_{/k}
$$
 is smooth, and proper (dim $X = m$)

(1)
$$
Z(X_{/k},T) = \frac{P_1(T) \cdots P_{2m-1}(T)}{P_0(T) \cdots P_{2m}(T)}
$$
 in $\mathbb{Q}(T)$

$$
P_i(T) := \det(1 - F^*T; H^i(X_{\bar{k}}, \mathbb{Q}_\ell)) \in \mathbb{Q}[T]
$$

In particular

$$
P_0(T) = 1 - T, \quad P_{2m}(T) = 1 - q^m T
$$

(2) (functional equations)

$$
P_{2m-i}(T) = (-1)^{B_i} \frac{q^{mB_i} T^{B_i}}{\det(F^*, H^i_{et})} P_i(\frac{1}{q^m T})
$$

$$
B_i := \dim H^i(X_{\bar{k}}, \mathbb{Q}_\ell)
$$

$$
Z(\frac{1}{q^mT}) = \pm q^{mE/2}T^E Z(T), \qquad E := \sum (-1)^i B_i
$$

(3) Riemann Hypothesis

 $P_i(T) = \prod_j (1 - \alpha_{i_j} T) \in \mathbb{Z}[T], \quad \alpha_{i_j} \in \bar{\mathbb{Q}}, \quad |\alpha_{i_j}| = q^{i/2}$

Example

 $E_{/k}$ smooth, proper elliptic curve

$$
Z(E,T) = \frac{1 - aT + qT^2}{(1 - T)(1 - qT)}, \text{ in } \mathbb{Q}(T)
$$

$$
1 - aT + qT^{2} = (1 - \alpha_{1_{1}}T)(1 - \alpha_{1_{2}}T), \quad |\alpha_{1_{i}}| = q^{1/2}
$$

$$
a = \alpha_{1_{1}} + \alpha_{1_{2}} = Tr(F^{*}; H_{et}^{1}(E_{\bar{k}}, \mathbb{Q}_{\ell})) \in \mathbb{Z}
$$

(2) Zeta functions of arithmetic schemes

 $X \rightarrow Spec(\mathbb{Z})$ scheme separated and of finite type $\bar{X} (= |X|) = \{x \in X : \kappa(x) \text{ finite}\}, \quad N(x) = |\kappa(x)|$

$$
s \in \mathbb{C}, \qquad \boxed{\zeta_X(s) := \prod_{x \in \bar{X}} (1 - N(x)^{-s})^{-1}}
$$

Hasse-Weil Zeta function of X

Examples

1) $X = Spec(\mathbb{Z})$, $\zeta_X(s) = \prod_p (1 - p^{-s})^{-1} = \zeta(s)$

$$
2) \quad X = Spec(\mathbb{Z}[T_1,\ldots,T_n]) = \mathbb{A}_{\mathbb{Z}}^n
$$

$$
\zeta_X(s) = \prod_p (1 - p^{-(s-n)})^{-1} = \zeta(s - n)
$$

3)
$$
X = \mathbb{P}_{\mathbb{Z}}^n
$$

\n
$$
\zeta_X(s) = \prod_p \prod_{m=0}^n (1 - p^{-(s-m)})^{-1} = \prod_{m=0}^n \zeta(s-m)
$$

4) $X = Spec(\mathcal{O}_K)$, $\mathcal{O}_K =$ ring of integers of K/\mathbb{Q} number field

$$
\zeta_X(s) = \zeta_K(s) = \prod_{\mathfrak{p} \subset \mathcal{O}_K} (1 - N(\mathfrak{p})^{-s})^{-1} \text{ Dedekind zeta}
$$

Question on the asymptotic distribution of closed points on X (*i.e.* $x \in \overline{X}$) can be translated into analytic questions about $\zeta_X(s)$

Fact $\zeta_X(s)$ is absolutely convergent (holomorphic) in $Re(s) >$ dim X

Expected: $\zeta_X(s)$ has a meromorphic continuation to \overline{C} and a functional equation (once suitably completed)

More in general, consider

$$
X \stackrel{\pi}{\to} Spec(\mathcal{O}_K), \quad \pi = \text{proper}
$$

irreducible, arithmetic scheme, $K =$ number field

$$
|X| = \coprod_{\substack{\mathfrak{p} \subset O_K \\ \mathfrak{p} \text{ prime} \\ \mathfrak{p} \text{ prime}}} |X_{\mathfrak{p}}|, \qquad X_{\mathfrak{p}} := X \otimes_{\mathcal{O}_K} (\mathcal{O}_K / \mathfrak{p})
$$

$$
\zeta_X(s) = \prod_{\substack{\mathfrak{p} \subset O_K \\ \mathfrak{p} \text{ prime}}} \zeta_{X_{\mathfrak{p}}}(s), \quad Re(s) > \dim X
$$

Assume: $X_K := X \times_{Spec(\mathcal{O}_K)} Spec(K)$ (generic fiber) is smooth and proper (dim $X_K = m$)

Known: X_p is smooth and proper for almost all p $(i.e.$ all p except a finite number)

$$
\zeta_X(s) = \prod_{i=0}^{2m} L_i(X,s)^{(-1)^{i+1}}
$$

$$
L_i(X,s) := \prod_{\tiny {\mathfrak{p} \atop X_{\tiny \mathfrak{p} \text{smooth}}} } P_{i,\mathfrak{p}}(X,N(\mathfrak{p})^{-s})^{-1} \times L_i^{(\text{bad})}(X,s)
$$

FACT: \overline{y} p $X_{\mathfrak{p}}$ smooth $P_{i,\mathfrak{p}}(X,N(\mathfrak{p})^{-s})^{-1}$ depends only on X_K $L_i^{\sf (bad)}$ $\chi_i^{\rm (pad)}(X,s)$ depends also on X (the "geometric model" of X_K)

$$
P_{i,\mathfrak{p}}(X,N(\mathfrak{p})^{-s}):=\det(1-F_{\mathfrak{p}}^*N(\mathfrak{p})^{-s};H^i(X_{\bar{K}},\mathbb{Q}_\ell))
$$

 $X_{\overline{\mathfrak{p}}}: = X_{\mathfrak{p}} \times_{\kappa(\mathfrak{p})} \overline{\kappa(\mathfrak{p})}, \quad q = N(\mathfrak{p}), \quad F_{\mathfrak{p}}^{-1} \in Gal(\overline{\kappa(\mathfrak{p})}/\kappa(\mathfrak{p}))$

$$
\blacktriangleright P_{i,\mathfrak{p}}(X,N(\mathfrak{p})^{-s}) = \det(1 - F_{\mathfrak{p}}^* N(\mathfrak{p})^{-s}; H^i(X_{\overline{\mathfrak{p}}}, \mathbb{Q}_\ell))
$$

because of the base-change theorem in étale cohomology

$$
\blacktriangleright \text{ [Deligne]} \quad \prod_{\tiny \mathfrak{p} \atop X_{\tiny \mathfrak{p}} \text{smooth}} P_{i,\mathfrak{p}}(X,N(\mathfrak{p})^{-s})^{-1} = L(\rho_{X,i},s)
$$

 $\rho_{X,i}: G_K \to Aut(H^i_{et}(X_{\bar K}, \mathbb Q_\ell)) \quad G_K = Gal(\bar K/K)$

$$
L(\rho_{X,i}, s) := \prod_{v \notin S} P_{v,\rho}((Nv)^{-s})^{-1} \quad \text{Artin L-series}
$$

$$
P_{v,\rho}((Nv)^{-s}) := \det(1 - F_{v,\rho}^* N(v)^{-s}; H^i(X_{\bar{K}}, \mathbb{Q}_\ell))
$$

$$
F_{v,\rho}^{-1} \in G_{k(v)} \cong D_w/I_w, \quad w|v, \quad \mathfrak{p} = \mathfrak{p}_v
$$

 $v \in \Sigma_K$ classes of normalized valutations of K $S \subset \Sigma_K$, $S = \{v : X_{\mathfrak{p}_v} \text{ not smooth}\} \cup \{v : \text{archim}\} \cup \{w|\ell\}$

$$
\rho_{X,i}
$$
 factors through $G_{k(v)} = \langle F_{\mathfrak{p}_{v}} \rangle$

 \blacktriangleright [Deligne] The conjugacy classes $\{F_{v,\rho}\}$ describe a system of (local) Galois reprentations which defines $\rho_{X,i}$

Because the infinite product

$$
\prod_{\mathfrak{p}\in \mathcal{O}_{K}\atop X_{\mathfrak{p}} \text{smooth}} P_{i,\mathfrak{p}}(X,N(\mathfrak{p})^{-s})^{-1}
$$

is known to have in some cases (e.g. abelian varieties with CM) meromorphic continuation to $\mathbb C$ and functional equation, if completed at the bad and at the archimedean primes

 \blacktriangleright One is led to study $L_i(X, s)$ "per se" as a function associated to $H^{i}(X_{\bar{K}},\mathbb{Q}_{\ell})$: the ℓ -adic realization of the (pure) motive $h^{i}(X_{K})$

 \blacktriangleright The definition of the Euler's factors at the places $\mathfrak p$ of <u>bad reduction</u> for X (*i.e.* where $X_{\mathfrak{p}}$ is not smooth) is deduced by analogy with the case of a scheme defined over a global field of positive characteristic

Main Point (Analogy with the function field case)

 $Y_{/\mathbb{F}_q}$ smooth, projective curve, $K(Y)=K$

$$
X \stackrel{\pi}{\to} Spec(K), \quad Spec(K) \stackrel{j}{\hookrightarrow} Y
$$

$$
\mathcal{F} := j_* R^i \pi_* \mathbb{Q}_\ell = j_* H^i(X_{\bar{K}}, \mathbb{Q}_\ell), \quad (\ell, q) = 1
$$

 $y \in |Y|$, $\mathcal{F}_{\bar{y}} = H^i(X_{\bar{K}}, \mathbb{Q}_\ell)^{I_y} \cong H^i(X_{\bar{K}_y}, \mathbb{Q}_\ell)^{I_y}$

 $\bar{K}_y =$ completion of K at y , $I_y \subset G_{K_y}$ inertia group

$$
L_i(X,s) = \prod_{y \in |Y|} \det(1 - F_y^* N(y)^{-s}; H^i(X_{\bar{K}_y}, \mathbb{Q}_\ell)^{I_y})^{-1}
$$

$$
\zeta_Y(\mathcal{F}, s) = \prod_{i=0}^2 \det(1 - F_y^* N(y)^{-s}; H^i(Y_{\bar{\mathbb{F}}_q}, \mathcal{F}))^{(-1)^{i+1}}
$$

has functional equation (as $Y_{/\mathbb{F}_q}$ is smooth and proper)

This result suggests to define in the number-field case $L_i^{(bad)}$ $\chi_i^{(baa)}(X,s)$ as a product of local factors such as

$$
P_{i,\mathfrak{p}}^{(bad)}(X,N(\mathfrak{p})^{-s}):=\det(1-F_{\mathfrak{p}}N(\mathfrak{p})^{-s};H^{i}(X_{\bar{K}},\mathbb{Q}_{\ell})^{I_{\mathfrak{p}}})^{-1}
$$

and assuming that the coefficients belong to $\mathbb Q$ and are independent of ℓ

Example $X_{/K}$ algebraic curve, $K =$ number-field, $q(X) = q$

$$
H^1_{et}(X_{\bar{K}},\mathbb{Q}_\ell)\simeq T_\ell(X)\otimes_{\mathbb{Z}_\ell}\mathbb{Q}_\ell=:V_\ell(J)\simeq \mathbb{Q}_\ell^{2g}
$$

Tate's module of the Jacobian $J = Jac(X)$ of X

$$
T_\ell(X) := \mathsf{lim}_m\,Ker(J \stackrel{\ell^m}{\to} J) \simeq \mathbb{Z}_\ell^{2g}
$$

 $L_1(X,s) = \prod_{\mathfrak{p}} P_{1,\mathfrak{p}}(X,N(\mathfrak{p})^{-s})^{-1}$ <u>L-function of X</u> $L_0(X, s) = \zeta_K(s), \quad L_2(X, s) = \zeta_K(s - 1)$

Cohomology classes are represented by cocycles (cells for CW complexes)

Grothendieck conjectured that an analogue of the CW-decomposition should exist for any algebraic scheme.

The factorization of the zeta-function

$$
\zeta_X(s) = \prod_{i=0}^{2m} L_i(X,s)^{(-1)^{i+1}}
$$

should then be interpreted as an arithmetic manifestation of a decomposition, holding at the level of the geometric space, into more general types of "cells":

the motives $h^{i}(X)$

 $h^i(X)$ are no longer algebraic schemes but elements of a suitable abelian category constructed by enlarging the category of smooth, projective schemes over K

(3) Motivic L-functions

 $K, E =$ number fields

$\mathcal{M}_K(E)$ = category of (pure, mixed) motives over K with coefficients in E , endowed with realization functors

 $H^*_{\mathcal{H}}: \mathcal{M}_K(E) \to Vect_E$

these functors describe the realizations of a motive M in a (Weil) cohomology theory with coefficients in E: $H^*_{\mathcal{H}}(M, E)$

Example

 $H^*_{et,\ell}(M) = H^*_{et}(X_{\bar K}, \mathbb{Q}_\ell)$, $X_{/K} =$ smooth, projective K-scheme

 ℓ -adic realization, ℓ prime number

 $\mathfrak{p}|p$ prime ideal in K, $[K_{\mathfrak{p}} : \mathbb{Q}_p] < \infty$

 $I_{\mathfrak{p}}\subset G_{K_{\mathfrak{p}}},\quad \varphi_{\mathfrak{p}}\in G_{K_{\mathfrak{p}}}/I_{\mathfrak{p}},\quad \varphi_{\mathfrak{p}}(x)=x^{N(\mathfrak{p})},\; F_{\mathfrak{p}}=\varphi_{\mathfrak{p}}^{-1}$ p

$\overline{\mathsf{Fix}} \quad \ell \neq p, \quad \iota : \mathbb{Q}_{\ell} \hookrightarrow \mathbb{C}$

 $E\otimes \mathbb C \simeq \mathbb C^{Hom(E,\mathbb C)}$, consider the functor

 $\mathcal{M}_{K_{\mathfrak{p}}}(E) \to F_{\mathfrak{p}}Mod_{E \otimes \mathbb{C}}$

 $F_{\mathfrak{p}}Mod_{E\otimes \mathbb{C}}$ = category of $(E\otimes \mathbb{C})[F_{\mathfrak{p}}]$ -modules of finite rank over $E \otimes \mathbb{C}$

$$
M \mapsto M^I_{\ell,\iota} := (M^{I_{\mathfrak{p}}}_{\ell,\iota,\sigma})_{\sigma \in Hom(E,{\mathbb C})}
$$

$$
M^{I_{\mathfrak{p}}}_{\ell,\iota,\sigma}=M^{I_{\mathfrak{p}}}_{\ell,\iota}\otimes_{E\otimes\mathbb{C},\sigma}\mathbb{C},\ M^{I_{\mathfrak{p}}}_{\ell,\iota}=H_{et}^{*}(M_{\bar{K}_{\mathfrak{p}}},\mathbb{Q}_{\ell})^{I_{\mathfrak{p}}}\otimes_{\mathbb{Q}_{\ell,\iota}}\mathbb{C}
$$

Expected These functors are isomorphic for different choices of ℓ and ι

 \blacktriangleright This is in fact the case if $M = h(X_{K_{\mathfrak{p}}})$, and $X_{K_{\mathfrak{p}}}$ is smooth, projective with good reduction (at p):

$$
H_{et}^*(M_{\bar{K}_{\mathfrak{p}}}, \mathbb{Q}_{\ell})^{I_{\mathfrak{p}}} = H_{et}^*(X_{\bar{K}_{\mathfrak{p}}}, \mathbb{Q}_{\ell}) \qquad E = \mathbb{Q}
$$

In general

$$
L_{\mathfrak{p}}(M,s) := (\det_{\mathbb{C}} (1 - F_{\mathfrak{p}} N(\mathfrak{p})^{-s}; M_{\ell,\iota,\sigma}^{I_{\mathfrak{p}}})^{-1})_{\sigma \in Hom(E,\mathbb{C})}
$$

$$
L_{\mathfrak{p}}(M,s) = (L_{\mathfrak{p}}(M,\sigma,s))_{\sigma \in Hom(E,\mathbb{C})}
$$

Expected to be independent of ℓ and ι

If K is a number field, M_K a motive over K (with coefficients in E)

 $M_{K_{\mathfrak{p}} } := M \otimes_K K_{\mathfrak{p}}$ is a motive over the local field $K_{\mathfrak{p}}$

$$
L(M,s):=\prod_{\mathfrak{p}}L_{\mathfrak{p}}(M_{\mathfrak{p}},s)
$$

expected to be independent of ℓ, ι

To state the convergency properties of the motivic L-function

consider the integer $w_m :=$ largest weight of M

Example

$$
w_m = 2n, \quad X_{/K} = \text{smooth projective algebraic variety,}
$$

dim $X = n, \quad M = h(X)$

$$
\prod_{\mathfrak{p}} L_{\mathfrak{p}}(M_{\mathfrak{p}}, s)
$$

FACT: this function converges absolutely in $Re(s) > \frac{w_m}{2} + 1 = n + 1$

Expected $L(M, s)$ has meromorphic continuation to $\mathbb C$ with functional equation holding for the complete L-function

$$
\widehat{L}(M,s) := L(M,s) \cdot L_{\infty}(M,s)
$$

The Archimedean factors $L_{\infty}(M,s)$

 $\Gamma_{\mathbb{C}}(s) := 2(2\pi)^{-s} \Gamma(s), \quad \Gamma_{\mathbb{R}}(s) := \pi^{-\frac{s}{2}} \Gamma(\frac{s}{2})$

 $L_{\infty}(M, s)$ depends on the isomorphic class of the Betti realization

 $H_{B}^{m}(M)\otimes \mathbb{C}$

of the motive, endowed with the Hodge decomposition and an involution F_{∞}

Conjecture the completed motivic L-function $\widehat{L}(M,s)$ has a meromorphic continuation to C and

(functional eq) $\hat{L}(M,s) = \epsilon(M,s)\hat{L}(M^*, 1-s)$

 $M^* =$ dual motive, $\epsilon(M, s) =$ epsilon factor

 \triangleright In all cases where the conjecture has been verified, the proof runs through the identification of $\widehat{L}(M,s)$ with an automorphic L-series!

If M is a pure, geometric motive of weight i , then $M^* \simeq M(i)$ and the (expected) functional equation is

$$
\widehat{L}(M,s) = \epsilon(M,s)\widehat{L}(M,i+1-s)
$$

Main Conjecture the zeroes of $\widehat{L}(M,s)$ lie on the line

$$
Re(s) = \frac{i+1}{2}
$$

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III.

Archimedean factors of L-functions of geometric motives Lefschetz trace formulas K. Consani – Johns Hopkins University

Vanderbilt University, May 2006

 $K =$ number field, $M =$ geometric pure motive over K with realizations: $H^m_{\mathcal{H}}(M)$

 $\Sigma_K =$ set of places of $K; v \in \Sigma_K^{ar}$ $v: K_v \to \mathbb{C}$

Examples

$$
- H_B^m(M_v) = H^m(X(\mathbb{C}), \mathbb{Q}), \quad v \in \Sigma_K^{ar}
$$

 $-M_{et}^m(M_v)=H_{et}^m(X_{\bar K_v},\mathbb Q_\ell),\quad v\in \mathsf{\Sigma}_K^{nar}$

 \blacktriangleright the motive M is realized by the family of all (Weil) cohomological theories associated to a scheme X of finite type over K

$$
H^{m}_{B}(M_{v})\otimes\mathbb{C}=\bigoplus_{p+q=m \atop p,q\geq 0} H^{p,q}, \quad h^{p,q}:=\text{dim}_{\mathbb{C}}\,H^{p,q}
$$

$$
H^{p,p}=H^{p,+}\oplus H^{p,-}
$$

 $H^{p,+} := \{v \in H^{p,p} : F_{\infty}(v) = (-1)^p v\}$

 $F_{\infty} = \mathbb{C}$ -linear involution induced by the complex conjugation on $X(\mathbb{C})$

$$
h^{p\pm}:=\dim_\mathbb{C} H^{p,\pm(-1)^p}
$$

$$
u \in \mathbb{C}, \quad L_{\mathbb{C}}(u) = 2(2\pi)^{-u} \Gamma(u), \quad L_{\mathbb{R}}(u) = \pi^{-\frac{u}{2}} \Gamma(\frac{u}{2})
$$

(Legendre's formula)
$$
L_{\mathbb{R}}(u) L_{\mathbb{R}}(u+1) = L_{\mathbb{C}}(u)
$$

$$
L_{\infty}(M, u) := \prod_{v \mid \infty} L_v(M, u), \qquad L_v(M, u) =
$$

$$
\begin{cases} L_{\mathbb{C}}(M_v, u) = \prod_{p+q=m} L_{\mathbb{C}}(u - \min(p, q))^{h^{p,q}}; & \text{v complex} \\ \[2mm] L_{\mathbb{R}}(M_v, u) = \prod_{p} L_{\mathbb{R}}(u - p)^{h^{p,+}} L_{\mathbb{R}}(u - p + 1)^{h^{p,-}} \prod_{p < q} L_{\mathbb{C}}(u - p)^{h^{p,q}} \end{cases}
$$

Archimedean factor attached to M

Assume:

(1)
$$
L(M, u) := \prod_{v} L_{v}(M, u) =
$$

$$
\prod_{v < \infty} \det(1 - F_{v} N(v)^{-u}; H^{m}(X_{\bar{K}_{v}}, \mathbb{Q}_{\ell})^{I_{v}})^{-1} \times L_{\infty}(M, u)
$$
converges absolutely in $Re(u) > \frac{m}{2} + 1$

(2) $L(M, u)$ has meromorphic continuation to $\mathbb C$

 $\widehat{L}(M, u) := L(M, u) \cdot L_{\infty}(M, u)$ satisfies functional eq

$$
(3) \quad \hat{L}(M, u) = \epsilon(M, u) \cdot \hat{L}(M, m + 1 - u)
$$

Then

 \blacktriangleright The location and the multiplicity of the zeroes of

$$
L(M, u) \quad \text{in} \quad Re(u) < \frac{m}{2}
$$

are determined by the poles of $L_{\infty}(M,s)$

(thanks to the functional equation)

The Γ -function has simple poles at $u = -n$ $(n \in \mathbb{Z}_{\geq 0})$ ⇒

 \blacktriangleright the multiplicities of the zeroes of $L(M,u)$ in $Re(u) < \frac{m}{2}$ must depend on the Hodge structure of $M.$

Assume(for simplicity): $K = \mathbb{Q}$

Fact: The poles of $L_{\infty}(M, u)$ at $Re(u) = n \leq \frac{m}{2}$.

have multiplicities

$$
\nu_{m,n} := \begin{cases} \sum_{p < q} h^{p,q} & \text{m odd} \\ \sum_{n \leq p < q} h^{p,q} + h^{\frac{m}{2},(-1)^{n-\frac{m}{2}}} & \text{m even} \end{cases}
$$

are described by the difference

 $\nu_{m,n} =$ $\dim_\mathbb{C} H^m(X(\mathbb{C}),\mathbb{R}(m-n))^{(-1)^{m-n}}-\dim_\mathbb{C} F^{m+1-n}H_{dR}^m(X_{/\mathbb{R}})$

Main Facts $(K = \mathbb{Q})$

$$
\nu_{m,n}=\dim_{\mathbb{R}}H^{m+1}_{\mathcal{D}}(X_{/\mathbb{R}},\mathbb{R}(m+1-n))
$$

$$
\begin{array}{l} \displaystyle 0 \rightarrow F^{m+1-n}H_{dR}^{m}(X_{/\mathbb{R}}) \stackrel{\alpha}{\rightarrow} H^{m}(X(\mathbb{C}),\mathbb{R}(m-n))^{(-1)^{m-n}} \rightarrow \\ \displaystyle \rightarrow H_{\mathcal{D}}^{m+1}(X_{/\mathbb{R}},\mathbb{R}(m+1-n)) \rightarrow 0 \end{array}
$$

⇒

$$
\boxed{H_{\mathcal{D}}^{m+1}(X_{/\mathbb{R}}, \mathbb{R}(m+1-n)) = Coker(\alpha)} \qquad \qquad \text{!}
$$

$$
H^i_{\mathcal{D}}(X_{/\mathbb{R}}, \mathbb{R}(p)) := H^i_{\mathcal{D}}(X_{/\mathbb{C}}, \mathbb{R}(p))^{DR}, \qquad i \geq 0
$$

 $DR =$ deRham conjugation *i.e.* R-linear involution induced by the complex conjugation on $(X(\mathbb{C}), \Omega^c)$

$$
H^i_{\mathcal{D}}(X_{/\mathbb{C}}, \mathbb{R}(p)) := \mathbb{H}^i(\mathbb{R}(p)_{\mathcal{D}} : \mathbb{R}(p) \to \mathcal{O}_{X(\mathbb{C})} \to \Omega^1 \to \cdots \to \Omega^{p-1} \to 0)
$$

$$
0\to \Omega^{\cdot}_{
$$

Desirable to have a description of the formulae of the local factors so that the archimedean and the non-archimedean cases are treated on equal footing: i.e. similar definition keep in mind the similarity in functorial behavior of the Euler factors of the Artin L-functions

2 approaches to this problem

1) [Deninger 1991, Consani 1996] the archimedean local factor is interpreted using the definition of an infinite determinant for the action of a (logarithm of) suitable archimedean Frobenius operator on an infinite-dimensional R-vector space

[Deninger] $v | \infty$ $L_v(M, u) = det_{\infty}(\frac{u}{2\pi} - \frac{\theta}{2\pi}; H_{ar}^m(M_v))^{-1}$ $H_{ar}^m(M_v) := \begin{cases} \end{cases}$ $Fil^{\mathsf{O}}(H^{m}_{B}(M_{v})\otimes_{\mathbb{C}}B_{ar})^{c=id}$ v complex $Fil^{\mathsf{O}}(H_{B}^{m}(M_{v})\otimes_{\mathbb{C}}B_{ar})^{c=id,F_{\infty}=1} \quad \text{ v real}$

 $B_{ar} \cong \mathbb{C}[T,T^{-1}]$, $c(H^{p,q}) = H^{q,p}$ conjugate linear inv

c induced by complex conj on \mathbb{C} , $F_{\infty} = \mathbb{C}$ -linear inv

For example: if
$$
H_B^m(M_v) = H^{p,p}
$$

\n
$$
L_v(M, u)^{-1} = [\amalg_{\nu=0}^{\infty} (\frac{u}{2\pi} - \frac{p-2\nu}{2\pi})]^{h^{p,+}} [\amalg_{\nu=0}^{\infty} (\frac{u}{2\pi} - \frac{p-1-2\nu}{2\pi})]^{h^{p,-}}
$$
\n5

[Consani]
$$
v|\infty
$$
, $L_v(M, u) =$
\n
$$
= \begin{cases} det_{\infty}(\frac{u}{2\pi} - \frac{\Phi}{2\pi}; H^m(\tilde{X}_{\bar{K}}^*)^{N=0})^{-1}, & v \text{ complex} \\ det_{\infty}(\frac{u}{2\pi} - \frac{\Phi}{2\pi}; H^m(\tilde{X}_{\bar{K}}^*)^{N=0,\bar{F}_{\infty}=1})^{-1}, & v \text{ real} \end{cases}
$$

 $H^m(\tilde{X}_{\bar{K}}^*)^{N=0}$ archimedean inertia invariants

 $H^m(\tilde{X}_{\bar{K}}^*)$ infinite dim. graded R-vector space associated to the nearby-fiber in an infinitesimal neighborhood of the fiber over v

 $\Phi =$ multiplication by the (pure) weight associated to each graded piece of $H^m(\tilde X^*_{\bar K})^{N=0}$

2) [Connes-Consani-Marcolli 2005] Reinterpret the archimedean local factors through a semi-local trace formula over a

(non-commutative) generalization of the motive M :

an "extension" of M by a suitable modification of the space of adeles \mathbb{A}_{K} , by replacing the local field K_v , with a division algebra, at each real archimedean place $v \in \Sigma_K$

Recall:

 $F: V \to V$ endomorphism of a v. space V $\overline{T\frac{d}{dT}\text{log}(\text{det}(1 - FT; V)^{-1})} = \sum$ $n \geq 0$ $Tr(F^n;V)T^n$ IF: $X_{/\mathbb{F}_q}$, $Z(X,T) = \prod (1 - T^{deg(x)})^{-1}$ $x \in |X|$ $T\frac{d}{d\tau}$ $\frac{d}{dT}\log Z(X,T)=\sum$ \overline{n} $\overline{}$ \overline{m} $(-1)^m Tr ((F^*)^n; H_{et}^m(X, \mathbb{Q}_\ell))T^n$

Seek for a similar formula at the archimedean places

 $H_B^m(M_v)\otimes\mathbb{C} =$ \overline{a} $p+q=m$ $H^{p,q}(M_v),\quad c=\text{\rm complex~conj}$ on $\mathbb C$ $(1 \otimes c)(H^{p,q}(M_v)) = H^{q,p}(M_v)$

 $\overline{v} = c \circ v : K_v \to \mathbb{C}$, conjugate to $v : K_v \to \mathbb{C}$

by transport of structure $\quad \exists \; \tau : H^{m}_{B}(M_{v}) \stackrel{\simeq}{\to} H^{m}_{B}(M_{\bar{v}})$

s.t. $(\tau \otimes c)$ preserves bigrading on $H_{B}^{m}\otimes \mathbb{C}$

⇒

 $F_\infty:= (\tau \otimes 1) : H^{p,q}(M_v) \stackrel{\simeq}{\to} H^{q,p}(M_{\overline{v}})$ C-linear involution 7

(Local) Weil group action

$$
\begin{aligned}\n &\text{1 case} & K_v \text{ complex (local) field} \\
 & v: K_v \xrightarrow{\simeq} \mathbb{C} \xleftarrow{\simeq} K_{\bar{v}} : \bar{v} \text{ isomorphisms} \\
 & W_{K_v} := \mathbb{C}^\times \text{ local Weil group} \\
 & \boxed{\pi(H_B^m(M_v), u)\xi = u^{-p}\bar{u}^{-q}\xi}, \quad u \in \mathbb{C}^\times, \ \xi \in H^{p,q}(M_v) \\
 & \pi((\tau \otimes 1)(H^{p,q}(M_v)), u)\xi = (\tau \otimes 1) \circ \pi(H^{p,q}(M_v), u)\xi \\
 & i.e. \quad F_\infty = (\tau \otimes 1) \text{ is } W_{K_v}\text{-equivariant}\n \end{aligned}
$$

⇒

 $\pi(H_{B}^{m}(M_{v}))\simeq \pi(H_{B}^{m}(M_{\bar{v}}))$ as representations of \mathbb{C}^{\times}

 $2 \text{ case } K_v$ real (local) field *i.e.* $v = \overline{v} : K_v \to \mathbb{C}$ $M_v = M_{\bar{v}}, \quad \pi(H_B^m(M_v)) = \pi(H_B^m(M_{\bar{v}}))$

 $F_{\infty}: M_v \stackrel{\simeq}{\to} M_{\bar{v}}$ involution (automorphism)

 $W_{K_v} := \mathbb{C}^\times \cup j\mathbb{C}^\times$, $W_{K_v} =$ normalizer of \mathbb{C}^\times in \mathbb{H}^* $\mathbb{H} = \mathbb{C} \oplus \mathbb{C}$ *j* quaternion division algebra **Rules** $j^2 = -1$, $juj^{-1} = \overline{u}$, $\forall u \in \mathbb{C}$ $w = u j^e \in W_{K_v}, \quad u \in \mathbb{C}^\times, \quad e \in \{0,1\}$

$$
\boxed{\pi(H_B^m(M_v),uj)\xi := i^{p+q}u^{-p}\overline{u}^{-q}F_\infty(\xi)}, \quad \xi \in H^{p,q}(M_v)
$$

$$
\pi(H_B^m(M_v),j)^2 = \pi(H_B^m(M_v),-1)
$$

$$
\pi(H^m), j)\pi(H^m, u) = \pi(H^m, \bar{u})\pi(H^m, j)
$$

Trace formulas for the action of W_{K_v}

(with A. Connes & M. Marcolli)

Theorem 1 $K_v = \mathbb{C}$, $Re(z) = \frac{m+1}{2}$ (critical line) $\mathbb{C} \ni z = \frac{m+1}{2} + is, \quad s \in \mathbb{R}, \quad u \in \mathbb{C}^{\times}$

$$
\int'_{W_{K_v} = \mathbb{C}^\times} \frac{Tr(\pi(H^m(M_v), u) |u|_\mathbb{C}^z}{|1 - u|_\mathbb{C}} \; d^\times u = -2 \frac{d}{ds} \Im \log L_\mathbb{C}(M_v, z)
$$

Theorem 2 $K_v = \mathbb{R}$, $Re(z) = \frac{m+1}{2}$ (critical line) $z = \frac{m+1}{2} + is, \quad s \in \mathbb{R}, \quad w \in W_{K_v}$

$$
\int'_{W_{K_v}} \frac{Tr(\pi(H^m(M_v),w)) |w|_{\mathbb{H}}^z}{|1-w|_{\mathbb{H}}}\; d^\times w = -2\frac{d}{ds} \Im \log L_{\mathbb{R}}(M_v,z)
$$

 $\|w\|_\mathbb{H} = |w|_{W_{K_v}}, \quad |1-w|_\mathbb{H} = \text{reduced norm in } \mathbb{H}$ 10

Proof of Theorem 1 follows from

Lemma 1 $K_v = \mathbb{C}, \mathbb{R}, \quad z = \frac{1}{2} + is, s \in \mathbb{R}$ $\overline{r'}$ K_v^* $|u|^z$ $|1-u|$ $d^*u = -2$ \overline{d} $\,ds$ \Im log Γ $_{K_v}\!\!\left(z \right)$

 $\overline{r'}$ \cdots = principal value on K_v^* of the distribution $|u|^z$ $|1-u|$ on K_v^*

$$
-2\frac{d}{ds}\Im\log\Gamma_{K_v}(\frac{1}{2}+is) = -(\frac{\Gamma'_{K_v}}{\Gamma_{K_v}}(\frac{1}{2}+is) + \frac{\Gamma'_{K_v}}{\Gamma_{K_v}}(\frac{1}{2}-is)) =
$$

=
$$
\begin{cases} 2\log(2\pi) - (\frac{\Gamma'}{\Gamma}(\frac{1}{2}+is) + \frac{\Gamma'}{\Gamma}(\frac{1}{2}-is)), & K_v = \mathbb{C} \\ \log(\pi) - \frac{1}{2}(\frac{\Gamma'}{\Gamma}(\frac{1}{4}+i\frac{s}{2}) + \frac{\Gamma'}{\Gamma}(\frac{1}{4}-i\frac{s}{2})), & K_v = \mathbb{R} \end{cases}
$$

 $\Gamma_{K_v}(z)$ is a <u>real</u> function, *i.e.* $\Gamma_{K_v}(\bar{z}) = \overline{\Gamma_{K_v}(z)}$

Similar formula holds for

$$
\frac{d}{ds}\Im\log\Gamma_{K_v}(\frac{1}{2}+is+\frac{|n|}{2}), \quad n\in\mathbb{Z} \quad \text{!}
$$

<u>Main Lemma 2</u> $K_v = \mathbb{C}$, $z = \frac{(1+m)}{2} + is, s \in \mathbb{R}$ $m = p + q \geq 0$

$$
\int_{\mathbb{C}^\times} \frac{u^{-p}\bar{u}^{-q}|u|_{\mathbb{C}}^z}{|1-u|_{\mathbb{C}}} d^*u = -2\frac{d}{ds} \Im \log L_{\mathbb{C}}(z-\min(p,q))
$$

The shift by min (p, q) in the argument of $L_{\mathbb{C}}$ appears when one considers the principal value on \mathbb{C}^{\times} of the distribution

$$
\frac{u^{-p}\bar{u}^{-q}|u|_{\mathbb{C}}^z}{|1-u|_{\mathbb{C}}}
$$

$$
n := p - q, \quad \min(p, q) = \frac{m}{2} - \frac{|n|}{2} = \frac{p + q}{2} - \frac{|p - q|}{2}
$$

$$
|u|_{\mathbb{C}} := u\overline{u} \quad u^{-p}\overline{u}^{-q} = e^{-in\theta}|u|_{\mathbb{C}}^{-\frac{m}{2}}, \quad \theta = \arg(u)
$$

The above equality can then be written in the following equivalent form

$$
\int_{C^{\times}}' \frac{e^{-in\theta} |u|_{\mathbb{C}}^{\frac{1}{2}+is}}{|1-u|_{\mathbb{C}}} d^*u = -2\frac{d}{ds} \Im \log \Gamma_{\mathbb{C}}(\frac{1}{2}+is+\frac{|n|}{2})
$$

$$
-2\frac{d}{ds}\Im\log\Gamma_{\mathbb{C}}(\frac{1}{2}+is+\frac{|n|}{2}) =
$$

2 log(2 π) - ($\frac{\Gamma'}{\Gamma}(\frac{1}{2}+is+\frac{|n|}{2}) + \frac{\Gamma'}{\Gamma}(\frac{1}{2}-is+\frac{|n|}{2}) - 2\Gamma'(1))$

Proof of Theorem 2, when $m = p + q$ odd (resp. $m=2p$ and $h^{p,+}=h^{p,-})$ is proven by using the same arguments as for Theorem 1 (resp. using duplication formula)

$$
\Gamma_{\mathbb{R}}(z)\Gamma_{\mathbb{R}}(z+1)=\Gamma_{\mathbb{C}}(z)
$$

When $m=2p$, $h^{p,+}\neq h^{p,-}$ one refers instead to

Lemma 2 $K_v = \mathbb{R}$, $z = \frac{1}{2} + is, s \in \mathbb{R}$

$$
\int_{\mathbb{R}_+^*} \frac{u^z}{1+u} \; d^*u = -2\frac{d}{ds} \Im \log \left(\frac{\Gamma_\mathbb{R}(z)}{\Gamma_\mathbb{R}(z+1)} \right)
$$

The space on which the trace formula for $K_v = \mathbb{R}$

$$
\int_{W_{K_v}}'\frac{Tr(\pi(H^m(M_v),w)) |w|_{\mathbb{H}}^z}{|1-w|_{\mathbb{H}}}\;d^\times w=-2\frac{d}{ds}\Im\log L_\mathbb{R}(M,z)
$$

is computed has as <u>base</u> $B = \mathbb{H}$ the quaternions

thought of as a **complex manifold** (right action of \mathbb{C}) with a left-action by the Weil group

More precisely

for a single archimedean place the space on which the trace formula is computed is a

> vector bundle E over ½

 $B =$ \mathbb{C} v complex $\mathbb H$ v real

with fiber a \mathbb{Z} -graded vector space

$$
E = \bigoplus_{m} E^{(m)} = \bigoplus_{m} H_B^m(M_v) \quad \text{& repr. of } W_{K_v}
$$

 $\pi_v: W_{K_v} \to Aut(E/B)$

$$
\pi_v(w)(z,\xi) = \begin{cases} (wz, w^{-p}\bar{w}^{-q}\xi) & \text{v complex} \\ (wz, i^m u^{-p}\bar{u}^{-q}F_\infty(\xi) & \text{v real} \end{cases}
$$

 $\mathcal{H}:=L^2(B,E^{(m)})$ - Hilbert sp of L^2 -sections of $E^{(m)}$ $\pi_v: W_{K_v} \to Aut(\mathcal{H})$

Theorem $v =$ complex, $h \in S(\mathbb{R}^*_+)$ with compact support, view $h\in S(W_{K_{v}})$ by composition with the module

$$
Tr(R_{\Lambda}\pi_{v}(h)) =
$$

2h(1)B_m log Λ + $\int_{W_{K_v}}^{'} \frac{h(|u|)Tr(\pi_v(H^m(M_v)))}{|1 - u|_{\mathbb{C}}}$ $d^*u + o(1)$

$$
as\ \Lambda\to\infty
$$

$$
B_m = \text{Betti number, } R_{\Lambda} = \hat{P}_{\Lambda} P_{\Lambda}
$$

 P_{Λ} = orthogonal projection onto the subspace $\{\xi \in L^2(B, E^{(m)}) : \xi(b) = 0 \ \forall b \in B, \ |b|_{\mathbb{C}} > \Lambda\}$

 $\widehat{P}_{\Lambda}=FP_{\Lambda}F^{-1}$, $F=$ Fourier transform

Conjecture The above trace formula generalizes to the semi-local case

i.e. $v \in S \subset \Sigma_K$ finite set of archimedean places of K

 $W =$ Weil group, $u \mapsto |u| \in \mathbb{R}_+^*$ module

 $W_{K_v} \subset W$, $h \in S(\mathbb{R}_+^*),\ h \in S(W)$ with compact support

$$
Tr(R_{\Lambda}\pi(h)) =
$$

2h(1)B_m log Λ + $\sum_{v \in S}$ $\int_{W_v^*}^{\prime} \frac{h(|w|)Tr(\pi_v(H^m(M_v)))}{|1 - u|_{\mathbb{H}_v}} d^*w + o(1)$
as $\Lambda \to \infty$

(Serre) B_m is independent of the place v

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