



# From $(2, 3)$ -Motzkin Paths to Schröder Paths

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## Abstract

In this paper, we provide a bijection between the set of restricted  $(2, 3)$ -Motzkin paths of length  $n$  and the set of Schröder paths of semilength  $n$ . Furthermore, we give a one-to-one correspondence between the set of  $(2, 3)$ -Motzkin paths of length  $n$  and the set of little Schröder paths of semilength  $n + 1$ . By applying the bijections, we get the enumerations of Schröder paths according to the statistics “number of *udd*’s” and “number of *hd*’s”.

## 1 Introduction and notations

A  $(2, 3)$ -Motzkin path of length  $n$  is a lattice path from  $(0, 0)$  to  $(n, 0)$  that does not go below the  $x$ -axis and consists of up steps  $u = (1, 1)$ , down steps  $d = (1, -1)$  and level steps  $l = (1, 0)$ , where each up step is possibly colored by  $u_1$  or  $u_2$  and each level step is possibly colored by  $l_1, l_2$  or  $l_3$ . such Motzkin paths have been extensively studied by Woan [9, 10]. Ordinary Motzkin paths arise when both level steps and up steps are only of one color. They are counted by the so-called Motzkin numbers [1]. Denote by  $\mathcal{M}_n$  the set of  $(2, 3)$ -Motzkin paths of length  $n$  and  $m_n$  its cardinality. Recall that  $m_n$  equals  $n + 1$ -th super-Catalan number or little Schröder number ([A001003](#) in [5]). A *restricted  $(2, 3)$ -Motzkin path* of length  $n$  is a  $(2, 3)$ -Motzkin path such that each level step on the  $x$ -axis is possibly colored by  $l_2$  or  $l_3$ . Denote by  $\mathcal{M}_n^*$  the set of restricted  $(2, 3)$ -Motzkin paths of length  $n$  and  $m_n^*$  its cardinality.  $m_n^*$  equals  $n$ -th large Schröder number ([A006318](#) in [5]).

A *Schröder path* of semilength  $n$  is a lattice path from  $(0, 0)$  to  $(2n, 0)$  that does not go below the  $x$ -axis and consists of up steps  $u = (1, 1)$ , down steps  $d = (1, -1)$  and horizontal steps  $h = (2, 0)$ . They are counted by the larger Schröder numbers ([A006318](#) in [5]). Denote

by  $\mathcal{R}_n$  the set of Schröder paths of semilength  $n$  and  $r_n$  its cardinality. A *little Schröder path* is a Schröder path without horizontal steps on the  $x$ -axis. Denote by  $\mathcal{S}_n$  the set of little Schröder paths of semilength  $n$  and  $s_n$  its cardinality. It is well known that  $s_n$  is the  $n$ -th super-Catalan number or little Schröder number ([A001003](#) in [5]) with  $s_0 = s_1 = 1, s_2 = 3, s_3 = 11$ . Hence we have the relation  $s_{n+1} = m_n$  and  $r_n = m_n^*$  for  $n \geq 0$ .

In this paper, we aim to provide a bijection between the set of restricted  $(2, 3)$ -Motzkin paths of length  $n$  and the set of Schröder paths of semilength  $n$ . By refining this bijection, we obtain a bijection between the set of  $(2, 3)$ -Motzkin paths of length  $n$  and the set of little Schröder paths of semilength  $n + 1$ . The enumeration of Dyck paths according to semilength and various other parameters has been extensively studied in several papers [4, 3, 8]. The enumeration of Dyck paths according to the statistic “number of  $udu$ ’s” has been recently considered by Sun[8]. His results was generalized by Mansour [3] by studying the statistic “number of  $uu \dots udu$ ’s” and the statistic “number of  $udud \dots udu$ ’s”. However, there are few results on the enumerations of little Schröder paths according to semilength and various other parameters. By applying bijections, we get the enumerations of Schröder paths according to the statistics: “ number of  $udd$ ’s ” and “ number of  $hd$ ’s”.

The organization of this paper is as follows. In Section 2, we give a bijection between the set of restricted  $(2, 3)$ -Motzkin paths of length  $n$  and the set of Schröder paths of semilength  $n$ . By refining this bijection, one-to-one correspondence between the set of  $(2, 3)$ -Motzkin paths of length  $n$  and the set of little Schröder paths of semilength  $n + 1$  is found. In section 3, by specializing the bijections, we get the enumerations of Schröder paths according to statistics: “ number of  $udd$ ’s ” and “ number of  $hd$ ’s”.

## 2 Bijections between $(2, 3)$ -Motzkin paths and Schröder paths

In this section, our goal is to construct a bijection between the set of restricted  $(2, 3)$ -Motzkin paths of length  $n$  and Schröder paths of semilength  $n$ . By refining this bijection we get a bijection between  $(2, 3)$ -Motzkin paths of length  $n$  and little Schröder paths of semilength  $n + 1$ .

Before giving the bijections, we recall some definitions. For any step in a lattice path, we say that it is at level  $k$  if the  $y$ -coordinate of its end point equals  $k$ . For an up step  $s$  at level  $k$ , its *corresponding down step* is defined to be the leftmost down step at level  $k - 1$  right to  $s$ . Similarly, for a down step  $s$  at level  $k$ , its *corresponding up step* is defined to be the rightmost up step at level  $k + 1$  left to  $s$ . In a Schröder path, the level of  $udd$  is equal to that of its step  $u$  and the level of  $hd$  is equal to that of its step  $h$ . A  $udd$  is said to be *high* if its level is larger than two. A  $hd$  is said to be *high* if its level is larger than one. In a Schröder path, a  $udd \dots d$  consists of an up step and at least two immediately followed down steps; similarly, a  $hd \dots d$  consists of a horizontal step and immediately followed down steps; a  $udd \dots d$  or  $hd \dots d$  is called *maximal* if it is not followed by another down step; a peak is said to be *single* if it is not followed immediately by a down step; similarly, a horizontal step is said to be *single* if it is not followed immediately by a down step; a down step is called a *tail* if it is the last down step of a maximal  $udd \dots d$  or  $hd \dots d$ ; an up step is called a *head*

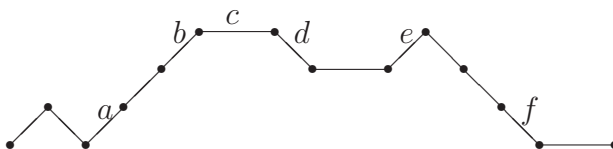


Figure 1: A Schröder path

if it is the up step of  $udd\dots d$ .

Figure 1 is an illustration of the above definitions. Steps  $a, c$ , and  $d$  are at levels 1, 3, and 2, respectively; the corresponding down step of the step  $a$  is the step  $f$  and the corresponding up step of the step  $d$  is the step  $b$ ; the step  $c$  altogether with the step  $d$  forms a maximal  $hd$ ; the steps from  $e$  to  $f$  in the Schröder path form a maximal  $udd$ ; the first peak from left to right is a single peak; the second and the third horizontal steps from left to right are single; steps  $d$  and  $f$  are tails; the step  $e$  is a head.

Now we construct a map  $\tau : \mathcal{M}_n^* \rightarrow \mathcal{R}_n$ . Given a restricted  $(2, 3)$ -Motzkin path  $P$  of length  $n$ , we can obtain a lattice path  $\tau(P)$  by the following procedure.

1. Firstly, for each down step  $x$ , find its corresponding up step  $y$ . If  $y$  is at level  $k$  and colored by  $u_1$  (resp.  $u_2$ ) and there are  $m$  level steps colored by  $l_1$  at level  $k$  between  $x$  and  $y$ , then remove the color of  $y$ , change  $x$  to  $h$  (resp.  $ud$ ) and add  $m + 1$  consecutive down steps immediately after  $h$  (resp.  $ud$ ).
2. Secondly, change each level step colored by  $l_1$  to an up step.
3. Lastly, change each level step colored by  $l_2$  to a peak, each level step colored by  $l_3$  to a horizontal step.

It is clear that the obtained path  $\tau(P)$  is a Schröder path of semilength  $n$ .

Figure 2 is an illustration of the map  $\tau$ .

Conversely, we can obtain a  $(2, 3)$ -Motzkin path from a Schröder path. Given a Schröder path  $P$  of semilength  $n$ , we get a lattice path by the following procedure.

1. Firstly, change each single peak to a level step colored by  $l_2$  and each single horizontal step to a level step colored by  $l_3$ .
2. secondly, for each non-head up step  $x$ , find its corresponding down step  $y$ . If  $y$  is a tail of a maximal  $hd\dots d$ , then color  $x$  by  $u_1$  and change this  $hd\dots d$  to one down step. If  $y$  is a tail of a maximal  $udd\dots d$ , then color  $x$  by  $u_2$  and change this  $udd\dots d$  to one down step. Otherwise, change  $x$  to a level step colored by  $l_1$ .

It is easy to check that the obtained path is a  $(2, 3)$ -Motzkin paths of length  $n$ . Note that for any non-head up step at level one, its corresponding down step must be a tail of a maximal  $udd\dots d$  or  $hd\dots d$ . Hence, there is no level step colored by  $l_1$  at level zero in the obtained path. That is to say, the obtained path is a restricted  $(2, 3)$ -Motzkin path. Therefore, the map  $\tau$  is reversible. Figure 3 is an illustration of the inverse map of  $\tau$ .

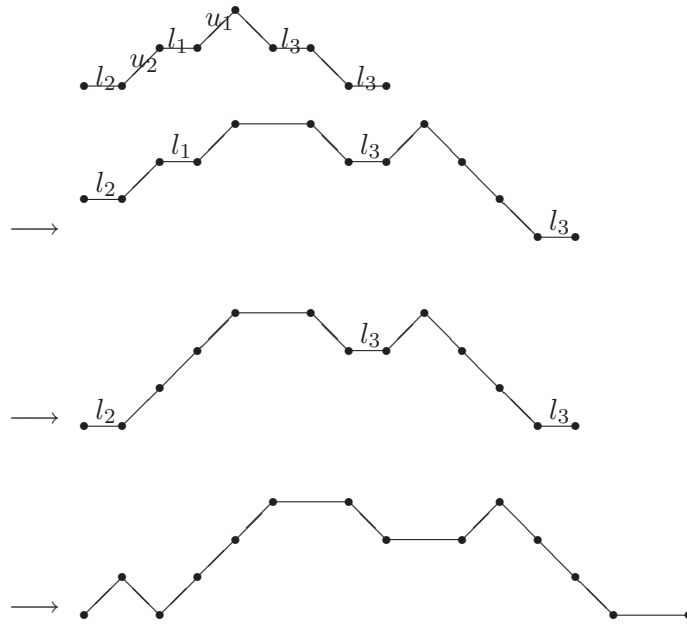


Figure 2: From a restricted  $(2, 3)$ -Motzkin path to a Schröder path

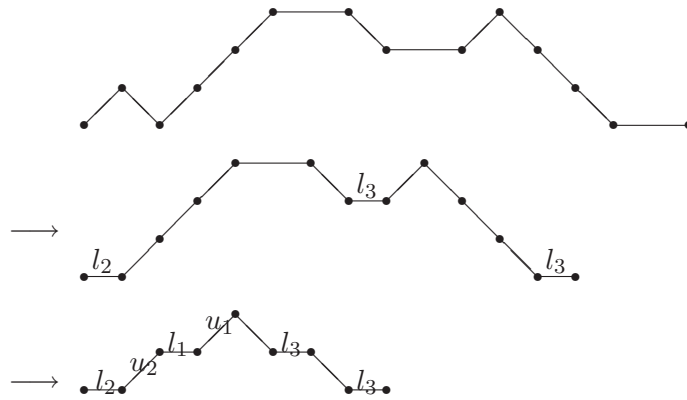


Figure 3: From a Schröder path to a  $(2, 3)$ -Motzkin path

**Theorem 1.** *The map  $\tau$  is a bijection between the set of restricted  $(2, 3)$ -Motzkin paths of length  $n$  and Schröder paths of semilength  $n$ .*

From the construction of the bijection  $\tau$ , we immediately get the following corollary.

**Corollary 2.** *The number of up steps colored by  $u_1$  in a restricted  $(2, 3)$ -Motzkin path is equal to that of  $hd$ 's in the corresponding Schröder path. The number of up steps colored by  $u_2$  in a restricted  $(2, 3)$ -Motzkin path is equal to that of  $udd$ 's in the corresponding Schröder path.*

With the bijection  $\tau$ , we can construct a map  $\phi : \mathcal{M}_n \rightarrow \mathcal{S}_{n+1}$ . Given a  $(2, 3)$ -Motzkin path  $P$ , it can be uniquely decomposed as  $P = P_1 l_1 P_2 \dots l_1 P_m$ , where each  $P_i$  is possibly empty restricted  $(2, 3)$ -Motzkin path. Now we can construct  $\phi(P)$  as follows: firstly, we get a  $(2, 3)$ -Motzkin path  $P' = l_1 P_1 l_1 P_2 \dots l_1 P_m$  of length  $n + 1$  by adding a level step colored by  $l_1$  at the beginning of  $P$ ; then  $\phi(P) = u\tau(P_1)d u\tau(P_2)d \dots u\tau(P_m)d$ . Obviously, the obtained path  $\phi(P)$  is a little Schröder path of semilength  $n + 1$  and the map  $\phi$  is invertible. Hence from Theorem 1 and Corollary 2, we have the following conclusions.

**Theorem 3.** *The map  $\phi$  is a bijection between the set of  $(2, 3)$ -Motzkin paths of length  $n$  and little Schröder paths of semilength  $n + 1$ .*

**Corollary 4.** *The number of up steps colored by  $u_1$  in a  $(2, 3)$ -Motzkin path is equal to that of high  $hd$ 's in the corresponding little Schröder path. The number of up steps colored by  $u_2$  in a  $(2, 3)$ -Motzkin path is equal to that of high  $udd$ 's in the corresponding little Schröder path.*

### 3 Statistics on Schröder paths

In this section, we begin to study the statistics: “number of  $udd$ 's” and “number of  $hd$ 's” on Schröder paths.

Denote by  $m_{n,k}$  the number of  $(2,3)$ -Motzkin paths of length  $n$  and with  $k$  up steps. Then we have the following consequence.

**Lemma 5.**

$$m_{n,k} = 3^{n-2k} 2^k \binom{n}{2k} c_k,$$

where  $c_k = \frac{1}{k+1} \binom{2k}{k}$  is the  $k$ -th Catalan number ([A000108](#) in [5]).

*Proof.* Given a Dyck path  $P$  of length  $2k$ , we can construct a  $(2, 3)$ -Motzkin path of length  $n$  and with  $k$  up steps as follows: firstly, insert  $n - 2k$  level steps between the  $2k + 1$  positions between the steps of  $P$ . The number of ways to inserting such steps is counted by  $\binom{2k+1+n-2k-1}{n-2k} = \binom{n}{2k}$ . Then assign the colors to level steps and up steps. There are  $3^{n-2k} 2^k$  ways to arrange colors. It is clear that the obtained path is a  $(2, 3)$ -Motzkin path. Conversely, given a  $(2, 3)$ -Motzkin path with  $k$  up steps, we can remove all the colors of steps and all the level steps to get a Dyck path of length  $k$ . This completes the proof.  $\square$

Summing over all the possible  $k$ 's, we get

$$m_n = \sum_{0 \leq k \leq \lfloor \frac{n}{2} \rfloor} 3^{n-2k} 2^k \binom{n}{2k} c_k,$$

which is another expression of the little Schröder numbers.

From the bijection  $\tau$ , we see that if there is no level step colored by  $l_1$  in a restricted  $(2, 3)$ -Motzkin path, then there is no  $udd$  or  $hdd$  in its corresponding Schröder path. While, by the same way as the proof of Lemma 5, we can get that the number of such  $(2, 3)$ -Motzkin paths of length  $n$  and with  $k$  up steps is equal to  $2^{n-k} \binom{n}{2k} c_k$ . Hence, by Theorem 1 and Corollary 2, the following conclusion holds immediately.

**Corollary 6.** *The number of Schröder paths of semilength  $n$  and with altogether  $k$   $udd$  and  $hd$ 's but without  $udd$  or  $hdd$  is equal to*

$$2^{n-k} \binom{n}{2k} c_k.$$

Similarly, if there is no level step colored by  $l_1$  and the up steps are only colored by  $u_1$  (resp.  $u_2$ ) in a restricted  $(2, 3)$ -Motzkin path, then there is no  $udd$  and  $hd$  (resp.  $udd$ ) in its corresponding Schröder path. By simple computation, the number of such  $(2, 3)$ -Motzkin paths of length  $n$  and with  $k$  up steps is equal to  $2^{n-2k} \binom{n}{2k} c_k$ . Hence, by Theorem 1 and Corollary 2, the following two conclusions are obtained.

**Corollary 7.** *The number of Schröder paths of semilength  $n$  and with  $k$   $udd$ 's but without  $udd$  or  $hd$  is equal to*

$$2^{n-2k} \binom{n}{2k} c_k.$$

**Corollary 8.** *The number of Schröder paths of semilength  $n$  and with  $k$   $hd$ 's but without  $udd$  or  $hdd$  is equal to*

$$2^{n-2k} \binom{n}{2k} c_k.$$

A  $(2, 3)$ -Motzkin path with up steps colored by  $u_2$  and level steps colored by  $l_2$  can be reduced to an ordinary Motzkin path. It is well known that the number of ordinary Motzkin paths of length  $n$  and with  $k$  up steps is equal to  $\binom{n}{2k} c_k$ . From the construction of the bijection  $\tau$ , a  $(2, 3)$ -Motzkin path with up steps colored by  $u_2$  and level steps colored by  $l_2$  corresponds to a Dyck path without  $udd$ . Hence, by Theorem 1 and Corollary 2, the following conclusion is obtained.

**Corollary 9.** *The number of Dyck paths of semilength  $n$  and with  $k$   $udd$ 's but without  $udd$  is equal to  $\binom{n}{2k} c_k$ .*

By Theorem 3, Corollary 4 and Lemma 5, we get the following result.

**Corollary 10.** *little Schröder paths of semilength  $n + 1$  and with altogether  $k$  high  $udd$  and  $hd$ 's are counted by*

$$3^{n-2k} 2^k \binom{n}{2k} c_k.$$

If there is no up steps colored by  $u_1$  (resp.  $u_2$ ) in a  $(2, 3)$ -Motzkin path, then there is no high  $udd$  (resp. high  $hd$ ) in its corresponding Schröder path. It is easy to get that the number of such  $(2, 3)$ -Motzkin paths of length  $n$  and with  $k$  up steps is equal to  $3^{n-2k} \binom{n}{2k} c_k$ . By Theorem 3 and Corollary 4, we get the following two results.

**Corollary 11.** *The number of little Schröder paths of semilength  $n+1$  and with  $k$  high  $udd$ 's but no high  $hd$  is equal to*

$$3^{n-2k} \binom{n}{2k} c_k.$$

**Corollary 12.** *The number of little Schröder paths of semilength  $n+1$  and with  $k$  high  $hd$ 's but no high  $udd$  is equal to*

$$3^{n-2k} \binom{n}{2k} c_k.$$

From the construction of the bijection  $\phi$ , a  $(2, 3)$ -Motzkin path with up steps colored by  $u_2$  and level steps colored by  $l_1$  or  $l_2$  corresponds to a Dyck path. It is easy to get that the number of such  $(2, 3)$ -Motzkin paths of length  $n$  and with  $k$  up steps equals  $2^{n-2k} \binom{n}{2k} c_k$ . Hence, by Theorem 3 and Corollary 4, the following conclusion is obtained.

**Corollary 13.** *The number of Dyck paths of length  $2n+2$  and with  $k$  high  $udd$ 's is equal to*

$$2^{n-2k} \binom{n}{2k} c_k.$$

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(Concerned with sequences [A000108](#), [A001003](#), and [A006318](#).)

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