



## A Combinatorial Approach for $q$ -Analogue of $r$ -Stirling Numbers

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### Abstract

We define a  $q$ -analogue of  $r$ -Stirling numbers of the second kind using their combinatorial interpretation in terms of set partition. Some properties are obtained including recurrence relation, explicit formula and certain symmetric formula. Moreover, a  $q$ -analogue of  $r$ -Stirling numbers of the first kind is introduced to obtain a  $q$ -analogue of the orthogonality and inverse relations of the two kinds of  $r$ -Stirling numbers.

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### 1 Introduction

Several generalizations of Stirling numbers have appeared in the literature. Almost all the generalizations of Stirling numbers have been listed in [1]. One of these is the  $r$ -Stirling numbers of the first and second kind in [2] which are defined, respectively, as follows

$\left[ \begin{matrix} n \\ k \end{matrix} \right]_r$  := number of permutations of the set  $\{1, 2, \dots, n\}$  into  $k$  nonempty disjoint cycles, such that the numbers  $1, 2, \dots, r$  are in distinct cycles.

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r$  := number of partitions of the set  $\{1, 2, \dots, n\}$  into  $k$  nonempty disjoint classes (or blocks), such that the numbers  $1, 2, \dots, r$  are in distinct classes (or blocks).

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Detailed discussion on  $r$ -Stirling numbers and some related works can be found in [2, 3, 4]. Recently, the  $r$ -Stirling numbers of the second kind have been generalized further in [5] by replacing the condition

*the numbers  $1, 2, \dots, r$  are in distinct classes (or blocks)*

with the condition

*for given subsets  $R_1, \dots, R_r$  of  $\{1, 2, \dots, n\}$  where  $|R_i| = r_i$  and  $R_i \cap R_j = \emptyset$ , for all  $i, j = 1, \dots, r$   $i \neq j$ , the elements of each subsets  $R_i, i = 1, \dots, r$  are in distinct classes (or blocks).*

This generalization of  $r$ -Stirling numbers of the second kind is called the  $(r_1, \dots, r_r)$ -Stirling numbers of the second kind.

On the other hand, Certain generalization of Stirling numbers has been defined in [6] by considering the normal ordering of powers  $(VU)^n$  of the noncommuting variables  $U$  and  $V$  satisfying  $UV = VU + hV^s$  where  $h \in \mathbb{C} - \{0\}$  and  $s \in \mathbb{N}_0$ . More precisely,

$$(VU)^n = \sum_{k=1}^n \mathfrak{S}_{s;h}(n, k) V^{s(nk)+k} U^k$$

where  $\mathfrak{S}_{s;h}(n, k)$  denotes their generalized Stirling numbers. In [7], the numbers  $\mathfrak{S}_{s;h}(n, k)$  were expressed in terms of the unified generalization of Stirling numbers in [1]. This result was used to derive more properties for  $\mathfrak{S}_{s;h}(n, k)$ . Further investigation of these numbers has been done in [8] by considering the particular case  $s = 2$  corresponding to the meromorphic Weyl algebra.

One of the outgrowths in generalizing Stirling numbers is the introduction of their  $q$ -analogues. The study of  $q$ -analogue has become more popular nowadays due to its application in physics and other areas in mathematics, particularly, in the study of fractals, dynamical system, quantum groups,  $q$ -deformed superalgebras, fermionic oscillator, creation-annihilation principle and Ising model. There are two main classification of  $q$ -analogues: the combinatorial  $q$ -analogues and the  $q$ -analogues extended by F.H. Jackson [9]. This present study can be classified as part of combinatorial  $q$ -analogues.

A  $q$ -analogue of a number, polynomial, theorem, identity or expression is a generalization involving a new parameter  $q$  such that when  $q \rightarrow 1$ , it gives back the original number, polynomial, theorem, identity or expression. For instance, a given polynomial  $a_k(q)$  is a  $q$ -analogue of an integer  $a_k$  if

$$\lim_{q \rightarrow 1} a_k(q) = a_k.$$

Hence, the polynomials

$$[n]_q = \frac{q^n - 1}{q - 1}, \quad [n]_q! = \prod_{i=1}^n [i]_q, \quad \binom{n}{k}_q = \prod_{i=1}^k \frac{q^{n-i+1} - 1}{q^i - 1}$$

are the  $q$ -analogues of the integers  $n$ ,  $n!$ , and  $\binom{n}{k}$ . It is important to note that a  $q$ -analogue of a number, polynomial, theorem, identity or expression is not unique. For example, a  $q$ -analogue of the classical Stirling numbers has been defined by some authors in different manner (cf [10, 11]). In 1992, a new  $q$ -analogue of Stirling numbers has been defined by Cigler in [12] using the concept of set partitions (see also [13]). This is closely related to the  $q$ -Stirling numbers defined in [14] in three different ways using generating functions. This work of Cigler motivates the present authors to define a  $q$ -analogue of  $r$ -Stirling numbers of the second kind using their combinatorial interpretation in terms of set partitions. Moreover, a  $q$ -analogue of  $r$ -Stirling numbers of the first kind is defined by means of certain generating function, which, consequently, gives the orthogonality and inverse relations of the  $q$ -analogue of both kinds of  $r$ -Stirling numbers.

## 2 A $q$ -Analogue of $r$ -Stirling Numbers of the Second Kind

The classical Stirling numbers of the second kind  $S(n, k)$  were defined in [15] as the cardinality of set  $B$  of partitions of  $\{0, 1, 2, \dots, n - 1\}$  into  $k$  nonempty disjoint subsets. Based on this definition, a  $q$ -analogue of  $S(n, k)$  was defined in [12] to be the following sum

$$\sum_{\pi \in B} w(\pi), \quad w(\pi) = q^{\sum_{i \in B_0} i}$$

where  $B_0$  is a subset in partition  $\pi$  which contains 0.

On the other hand, the above definition of  $r$ -Stirling numbers of the second kind  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r$  can be restated as follows:

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r :=$  number of partitions  $\pi$  of  $\{0, 1, \dots, n - 1\}$  into  $k$  nonempty subsets  $B_0, B_1, \dots, B_{k-1}$  such that the first  $r$  elements are in distinct subsets.

In this section, a  $q$ -analogue of  $r$ -Stirling numbers of the second kind will be defined parallel to the work of Cigler. First, we choose  $B_0$  so that the number  $0 \in B_0$ . Then, let us define the following notations:

- the weight of partition  $\pi$

$$w(\pi) = q^{s(B_0)}, \quad s(B_0) = \sum_{i \in B_0} i.$$

- the weight of each set of partitions  $A$

$$w(A) := \sum_{\pi \in A} w(\pi)$$

- $A_{n,k,r} :=$  the set of all partitions of  $0, 1, \dots, n - 1$  into  $k$  nonempty parts such that the first  $r$  elements are in distinct partitions.

Now, we have the following definition:

**Definition 2.1.** A  $q$ -analogue  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}$  of  $r$ -Stirling number of the second kind is defined by

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r} := w(A_{n,k,r}) \quad n, k \geq 1, \quad n \geq k \geq r$$

where  $\left\{ \begin{matrix} 0 \\ k \end{matrix} \right\}_{q,r} := \delta_{0k}$  and  $\left\{ \begin{matrix} n \\ 0 \end{matrix} \right\}_{q,r} := \delta_{0n}, \quad n, k \geq 0$

*Remark 2.1.* We choose the above weight function so that, when  $q = 1$ ,

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{1,r} = |A_{n,k,r}| = \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r.$$

Moreover, the above weight function is a kind of variation of the weight function corresponding to the  $q$ -Stirling numbers of the second kind in [11] resulting to a new  $q$ -analogue of second kind Stirling-type numbers. One may also try to define a  $q$ -analogue of  $r$ -Stirling numbers of the second kind using the weight function in terms of non-inversion numbers.

When  $n = 4, k = 3$  and  $r = 2$ , we have the following partitions of  $\{0, 1, 2, 3\}$ :

$$A_{4,3,2} = \{\{0\}\{1\}\{2, 3\}\}, \{\{0, 2\}\{1\}\{3\}\}, \{\{0\}\{1, 2\}\{3\}\}, \{\{0, 3\}\{1\}\{2\}\}, \{\{0\}\{1, 3\}\{2\}\}.$$

Then

$$\left\{ \begin{matrix} 4 \\ 3 \end{matrix} \right\}_{q,2} = q^0 + q^{0+2} + q^0 + q^{0+3} + q^0 = 3 + q^2 + q^3.$$

To compute quickly the first values of the  $q$ -analogue, let us consider the following recurrence relation:

**Theorem 2.1.** The number  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}$  satisfy the following recurrence relation

$$\left\{ \begin{matrix} n+1 \\ k \end{matrix} \right\}_{q,r} = \left\{ \begin{matrix} n \\ k-1 \end{matrix} \right\}_{q,r} + (k-1 + q^n) \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}$$

where  $n \geq k \geq r \geq 0$ .

*Proof.* We write  $A_{n+1,k,r} = C_1 \cup C_2 \cup C_3$  such that

- $C_1$  is the set of all  $\pi \in A_{n+1,k,r}$  such that  $\{n\}$  is one of the nonempty parts of  $\pi$ .
- $C_2$  is the set of all  $\pi$  such that  $n \in B_i, i \neq 0$ , and  $B_i \setminus \{n\} \neq \phi$ .
- $C_3$  is the set of all  $\pi$  such that  $n \in B_0$ .

Then we have

$$w(C_1) = \left\{ \begin{matrix} n \\ k-1 \end{matrix} \right\}_{q,r}, w(C_2) = (k-1) \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}, \text{ and } w(C_3) = q^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}.$$

□

Using this recurrence relation, we can generate the first values of the  $q$ -analogue.

The next theorem contains an explicit formula for  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}$ , which is analogous to certain identity in [2]. But before that, let us consider first the following lemma.

**Lemma 2.2.**

$$\sum_{r \leq j_1 < j_2 < \dots < j_i \leq n} q^{j_1 + j_2 + \dots + j_i} = \binom{n-r+1}{i}_q q^{\frac{i(i-1+2r)}{2}}.$$

*Proof.* Note that from [16]

$$(a+x)(a+qx) \dots (a+q^{n-r}x) = \sum_{i=0}^{n-r+1} \binom{n-r+1}{i}_q q^{\binom{i}{2}} x^i a^{n-r+1-i}$$

Replacing  $x$  by  $q^r x$ , we have

$$\begin{aligned} (a + q^r x)(a + q^{r+1} x) \cdots (a + q^n x) &= \sum_{i=0}^{n-r+1} \binom{n-r+1}{i}_q q^{\binom{i}{2}} q^{ri} x^i a^{n-r+1-i} \\ &= \sum_{i=0}^{n-r+1} \binom{n-r+1}{i}_q q^{\frac{i(i-1)}{2}} q^{ri} x^i a^{n-r+1-i} \\ &= \sum_{i=0}^{n-r+1} \binom{n-r+1}{i}_q q^{\frac{i(i-1+2r)}{2}} x^i a^{n-r+1-i} \end{aligned}$$

And comparing the coefficients of  $x^i$  at  $a = 1$  gives

$$\begin{aligned} \sum_{i=0}^{n-r+1} \left( \sum_{r \leq j_1 < j_2 < \cdots < j_i \leq n} q^{j_1 + j_2 + \cdots + j_i} \right) x^i &= \sum_{i=0}^{n-r+1} \binom{n-r+1}{i}_q q^{\frac{i(i-1+2r)}{2}} x^i \\ \sum_{r \leq j_1 < j_2 < \cdots < j_i \leq n} q^{j_1 + j_2 + \cdots + j_i} &= \binom{n-r+1}{i}_q q^{\frac{i(i-1+2r)}{2}} \end{aligned}$$

□

Writing  $\pi \in A_{n+1, k+1}$  in the form

$$\pi = \{0, j_1, j_2, \dots, j_i\} / B_1 / \cdots / B_k$$

where  $j_l \neq 1, 2, \dots, r-1$ , we get therefore

$$\begin{aligned} \left\{ \begin{matrix} n+1 \\ k+1 \end{matrix} \right\}_{q,r} &= w(A_{n+1, k+1}) = \sum_{\pi \in A_{n+1, k+1}} w(\pi) \\ &= \sum_{i=0}^n \sum_{r \leq j_1 < \cdots < j_i \leq n} q^{j_1 + \cdots + j_i} \left\{ \begin{matrix} n-i \\ k \end{matrix} \right\}_{r-1} \end{aligned}$$

Thus, using Lemma 2.2, we obtain the following explicit formula.

**Theorem 2.3.** The explicit formula for  $\left\{ \begin{matrix} n+1 \\ k+1 \end{matrix} \right\}_{q,r}$  is given by

$$\left\{ \begin{matrix} n+1 \\ k+1 \end{matrix} \right\}_{q,r} = \sum_{i=0}^n \binom{n-r+1}{i}_q q^{\frac{i(i-1+2r)}{2}} \left\{ \begin{matrix} n-i \\ k \end{matrix} \right\}_{r-1}. \tag{2.1}$$

*Remark 2.2.* Equation (2.1) is a  $q$ -analogue of the identity in [2], which is given by

$$\left\{ \begin{matrix} n \\ m \end{matrix} \right\}_r = \sum_k \binom{n-r}{k} \left\{ \begin{matrix} n-p-k \\ m-p \end{matrix} \right\}_{r-p} p^k,$$

when  $p = 1$ .

**Remark 2.3.** The  $r$ -Stirling numbers of the second kind in [2] satisfy the following exponential generating function

$$\sum_{k \geq 0} \left\{ \begin{matrix} k+r \\ m+r \end{matrix} \right\}_r \frac{z^k}{k!} = \frac{1}{m!} e^{rz} (e^z - 1)^m.$$

Using the Binomial Theorem and the expansion of exponential function, this can be expressed further as

$$\sum_{k \geq 0} \left\{ \begin{matrix} k+r \\ m+r \end{matrix} \right\}_r \frac{z^k}{k!} = \sum_{k \geq 0} \left\{ \frac{1}{m!} \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} (r+j)^k \right\} \frac{z^k}{k!}.$$

This implies that

$$\left\{ \begin{matrix} k+r \\ m+r \end{matrix} \right\}_r = \frac{1}{m!} \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} (r+j)^k. \tag{2.2}$$

This formula can also be obtained via  $(r, \beta)$ -Stirling numbers  $\left\langle \begin{matrix} k \\ m \end{matrix} \right\rangle_{\beta,r}$  in [17] by taking  $\beta = 1$ . That is,

$$\left\{ \begin{matrix} k+r \\ m+r \end{matrix} \right\}_r = \frac{1}{m!} \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} (r+j)^k = \left\langle \begin{matrix} k \\ m \end{matrix} \right\rangle_{1,r}. \tag{2.3}$$

Thus, using (2.2), the explicit formula in (2.1) can be rewritten as

$$\begin{aligned} \left\{ \begin{matrix} n+1 \\ k+1 \end{matrix} \right\}_{q,r} &= \sum_{i=0}^n \sum_{j=0}^{k-r+1} \frac{(-1)^{k-r+1-j} \binom{k-r+1}{j} \binom{n-r+1}{i} q^{\frac{i(i-1+2r)}{2}} (r-1+j)^{n-r+1-i}}{(k-r+1)!} \\ &= \frac{1}{(k-r+1)!} \sum_{j=0}^{k-r+1} (-1)^{k-r+1-j} \binom{k-r+1}{j} (r-1+j)^{n-r+1} \times \\ &\quad \times \left\{ \sum_{i=0}^{n-r+1} \binom{n-r+1}{i} q^{\frac{i(i-1)}{2}} \left( \frac{q^r}{r-1+j} \right)^i \right\}. \end{aligned}$$

Applying a  $q$ -identity in [15], which is given by

$$\sum_{i=0}^n \binom{n}{i}_q q^{\frac{i(i-1)}{2}} x^i = \prod_{i=0}^{n-1} (1+xq^i),$$

we obtain

$$\left\{ \begin{matrix} n+1 \\ k+1 \end{matrix} \right\}_{q,r} = \frac{1}{(k-r+1)!} \sum_{j=0}^{k-r+1} (-1)^{k-r+1-j} \binom{k-r+1}{j} \prod_{i=0}^{n-r} (r-1+j+q^{r+i}). \tag{2.4}$$

This identity is a kind of  $q$ -analogue of that identity in (2.3) since, when  $q = 1$ , (2.4) reduces immediately to (2.3).

The next theorem contains a symmetric formula for  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r}$  which is analogous to the horizontal generating function of Stirling numbers of the second kind.

**Theorem 2.4.** A  $q$ -analogue of  $r$ -Stirling numbers of the second kind satisfies the following relation

$$\sum_{k=0}^{n-1} \left\{ \begin{matrix} n \\ k+1 \end{matrix} \right\}_{q,r} (x-r+1)^{k-r+1} = (x+q^r)(x+q^{r+1}) \cdots (x+q^{n-1}).$$

*Proof.* From the well-known formula

$$\frac{\Delta^k}{k!}(x+r)^n|_{x=0} = \left\{ \begin{matrix} n+r \\ k+r \end{matrix} \right\}_r,$$

we get

$$\begin{aligned} \left\{ \begin{matrix} n \\ k+1 \end{matrix} \right\}_{q,r} &= \sum_{i=0}^{n-1} \binom{n-r}{i}_q q^{\frac{i(i-1+2r)}{2}} \left\{ \begin{matrix} n-i-1 \\ k \end{matrix} \right\}_{r-1} \\ &= \frac{\Delta^{k-r+1}}{(k-r+1)!} \sum_{i=0}^{n-1} \binom{n-r}{i}_q q^{\frac{i(i-1+2r)}{2}} (x+r-1)^{n-r-i}|_{x=0} \\ &= \frac{\Delta^{k-r+1}}{(k-r+1)!} \sum_{i=0}^{n-r} \binom{n-r}{i}_q q^{\frac{i(i-1+2r)}{2}} (x+r-1)^{n-i-r}|_{x=0}. \end{aligned}$$

It is known that, for a positive integer  $n$ , a real number  $q \neq 1$ , and an indeterminate  $z$ , we have

$$\prod_{i=1}^n (a + q^{i-1}z) = \sum_{k=0}^n \binom{n}{k}_q q^{\frac{k(k-1)}{2}} z^k a^{n-k}.$$

With  $z = q^r$  and  $a = x+r$ , we obtain

$$\left\{ \begin{matrix} n \\ k+1 \end{matrix} \right\}_{q,r} = \frac{\Delta^{k-r+1}}{(k-r+1)!} (q^r + x+r-1)(q^{r+1} + x+r-1) \cdots (q^{n-1} + x+r-1)|_{x=0}.$$

The well-known formula for higher order difference operator yields

$$\begin{aligned} \sum_{k=0}^{n-1} \left\{ \begin{matrix} n \\ k+1 \end{matrix} \right\}_{q,r} (x-r+1)^{k-r+1} &= \sum_{k=0}^{n-1} \left\{ \frac{\Delta^{k-r+1}}{(k-r+1)!} \prod_{j=r}^{n-1} (q^j + x+r-1)|_{x=0} \right\} (x-r+1)^{k-r+1} \\ &= \sum_{k=0}^{n-1} \frac{1}{(k-r+1)!} \left\{ \sum_{j=0}^{k-r+1} (-1)^{k-r+1-j} \binom{k-r+1}{j} \right. \\ &\quad \cdot \left. \prod_{l=r}^{n-1} (q^l + r+j-1) \right\} (x-r+1)^{k-r+1} \\ &= \sum_{k=0}^{n-1} \frac{1}{(k-r+1)!} \left\{ \sum_{j=0}^{k-r+1} (-1)^{k-r+1-j} \binom{k-r+1}{j} \right. \\ &\quad \cdot \left. \left\{ \sum_{i=0}^{n-r} \sum_{r \leq i_1 < i_2 < \cdots < i_i \leq n-1} q^{i_1+i_2+\cdots+i_i} (r+j-1)^{n-r-i} \right\} \right\} (x-r+1)^{k-r+1} \\ &= \sum_{k=0}^{n-1} \frac{1}{(k-r+1)!} \sum_{i=0}^{n-r} \sum_{r \leq i_1 < \cdots < i_i \leq n-1} q^{i_1+\cdots+i_i} \times \\ &\quad \left\{ \sum_{j=0}^{k-r+1} (-1)^{k-r+1-j} \binom{k-r+1}{j} (r+j-1)^{n-r-i} \right\} (x-r+1)^{k-r+1}. \end{aligned}$$

Using the explicit formula for  $(r, \beta)$ -Stirling numbers in (2.3) which also appears in [19], we have

$$\sum_{k=0}^{n-1} \left\{ \begin{matrix} n \\ k+1 \end{matrix} \right\}_{q,r} (x-r+1)^{\overline{k-r+1}} = \sum_{i=0}^{n-r} \sum_{r \leq i_1 < i_2 < \dots < i_i \leq n-1} q^{i_1+i_2+\dots+i_i} \left\{ \sum_{k=0}^{n-1} \left\langle \begin{matrix} n-r-i \\ k-r+1 \end{matrix} \right\rangle_{1,r-1} (x-r+1)^{\overline{k-r+1}} \right\}.$$

A relation in [19] implies that

$$\begin{aligned} \sum_{k=0}^{n-1} \left\{ \begin{matrix} n \\ k+1 \end{matrix} \right\}_{q,r} (x-r+1)^{\overline{k-r+1}} &= \sum_{i=0}^{n-r} \sum_{r \leq i_1 < i_2 < \dots < i_i \leq n-1} q^{i_1+i_2+\dots+i_i} x^{n-r-i} \\ &= (x+q^r)(x+q^{r+1}) \dots (x+q^{n-1}). \end{aligned}$$

□

For example, when  $n = 4$  and  $r = 2$ , we have

$$\begin{aligned} \sum_{k=0}^3 \left\{ \begin{matrix} 4 \\ k+1 \end{matrix} \right\}_{q,2} (x-1)^{\overline{k-1}} &= \left\{ \begin{matrix} 4 \\ 2 \end{matrix} \right\}_{q,2} + \left\{ \begin{matrix} 4 \\ 3 \end{matrix} \right\}_{q,2} (x-1) + \left\{ \begin{matrix} 4 \\ 4 \end{matrix} \right\}_{q,2} (x-1)(x-2) \\ &= (1+q^2+q^3+q^5) + (3+q^2+q^3)(x-1) + (x-1)(x-2) \\ &= x^2+q^2x+q^3x+q^5 = (x+q^2)(x+q^3). \end{aligned}$$

It is worth mentioning that certain generalization of Bell numbers, called  $r$ -Bell numbers, has been investigated in [18] resulting to several interesting properties of these numbers. These numbers were first defined in [19] as the sum of  $r$ -Stirling numbers of the second kind. It is then interesting to define a  $q$ -analogue of  $r$ -Bell numbers in terms of the above  $q$ -analogue of  $r$ -Stirling numbers of the second kind and establish some properties analogous to those obtained in [18] for  $r$ -Bell numbers.

### 3 A $q$ -Analogue of $r$ -Stirling Numbers of the First Kind

It is known that the classical Stirling numbers satisfy the following inverse relation

$$f_n = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix} g_k \iff g_n = \sum_{k=0}^n (-1)^{n-k} \left\{ \begin{matrix} n \\ k \end{matrix} \right\} f_k. \tag{3.1}$$

This inverse relation can be obtained using the following generating functions

$$\begin{aligned} x^n &= \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix} x^k \\ x^n &= \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} x^{\overline{k}}. \end{aligned}$$

This motivates the authors to define a  $q$ -analogue of  $r$ -Stirling numbers of the first kind as follows:

**Definition 3.1.** A  $q$ -analogue of  $r$ -Stirling number of the first kind is defined by

$$(x-r+1)^{\overline{n-r}} = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} (-1)^{n-k} (x+q^r)(x+q^{r+1}) \dots (x+q^{k-1}) \tag{3.2}$$



with  $r \leq k - 1$ . By convention,  $\begin{bmatrix} n \\ k \end{bmatrix}_{q,r} = 1$  when  $r = k$  and  $n \geq k$ ,  $\begin{bmatrix} n \\ 0 \end{bmatrix}_{q,r} = 1$  when  $n = 0$ ,  $\begin{bmatrix} n \\ 0 \end{bmatrix}_{q,r} = 0$  when  $n > 0$  and  $\begin{bmatrix} n \\ k \end{bmatrix}_{q,r} = 0$  when  $n < k$  or  $n, k < 0$ .

Using the relation in Theorem 2.4, we have

$$\begin{aligned} (x - r + 1)^{n-r} &= \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} (-1)^{n-k} \sum_{m=1}^k \left\{ \begin{matrix} k \\ m \end{matrix} \right\}_{q,r} (x - r + 1)^{m-r} \\ &= \sum_{m=1}^n \left\{ \sum_{k=m}^n (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} \left\{ \begin{matrix} k \\ m \end{matrix} \right\}_{q,r} \right\} (x - r + 1)^{m-r}. \end{aligned}$$

Comparing the coefficients of  $(x - r + 1)^{n-r}$ , we obtain

$$\sum_{k=m}^n (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} \left\{ \begin{matrix} k \\ m \end{matrix} \right\}_{q,r} = \delta_{mn}$$

where  $\delta_{mn}$  is the Kronecker delta. On the other hand, the relation in Theorem 2.4 can be written as

$$\begin{aligned} (x + q^r) \cdots (x + q^{n-1}) &= \sum_{k=1}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r} \sum_{m=0}^k \begin{bmatrix} k \\ m \end{bmatrix}_{q,r} (-1)^{k-m} (x + q^r) \cdots (x + q^{m-1}) \\ &= \sum_{m=1}^k \left\{ \sum_{k=m}^n (-1)^{k-m} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r} \begin{bmatrix} k \\ m \end{bmatrix}_{q,r} \right\} (x + q^r) \cdots (x + q^{m-1}). \end{aligned}$$

Thus, we can state formally these results in the following theorem.

**Theorem 3.1.** *The  $q$ -analogue of  $r$ -Stirling numbers of the first kind satisfies the following orthogonality relations*

$$\begin{aligned} \sum_{k=m}^n (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} \left\{ \begin{matrix} k \\ m \end{matrix} \right\}_{q,r} &= \delta_{mn} \\ \sum_{k=m}^n (-1)^{k-m} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r} \begin{bmatrix} k \\ m \end{bmatrix}_{q,r} &= \delta_{mn}. \end{aligned}$$

*Remark 3.1.* This theorem immediately implies that

$$\left( (-1)^{i-j} \begin{bmatrix} i \\ j \end{bmatrix}_{q,r} \right)_{0 \leq i, j \leq n} \left( \left\{ \begin{matrix} i \\ j \end{matrix} \right\}_{q,r} \right)_{0 \leq i, j \leq n}^T = I_{n+1}$$

where  $I_{n+1}$  is the identity matrix of order  $n + 1$ . That is,

$$\left( (-1)^{i-j} \begin{bmatrix} i \\ j \end{bmatrix}_{q,r} \right)_{0 \leq i, j \leq n}^{-1} = \left( \left\{ \begin{matrix} i \\ j \end{matrix} \right\}_{q,r} \right)_{0 \leq i, j \leq n}^T$$

and

$$\det \left[ \left( (-1)^{i-j} \begin{bmatrix} i \\ j \end{bmatrix}_{q,r} \right)_{0 \leq i, j \leq n} \left( \left\{ \begin{matrix} i \\ j \end{matrix} \right\}_{q,r} \right)_{0 \leq i, j \leq n}^T \right] = 1.$$

As a direct consequence of this theorem, we have the following inverse relations of  $q$ -analogue of  $r$ -Stirling numbers.

**Theorem 3.2.** *The  $q$ -analogue of  $r$ -Stirling numbers of the first kind satisfies the following inverse relations*

$$f_n = \sum_{k=0}^n (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} g_k \iff g_n = \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r} f_k$$

$$f_k = \sum_{n=0}^{\infty} (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} g_n \iff g_k = \sum_{n=0}^{\infty} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_{q,r} f_n.$$

For quick computation of the first values of  $\begin{bmatrix} n \\ k \end{bmatrix}_{q,r}$ , we need the following triangular recurrence relation.

**Theorem 3.3.** *The  $q$ -analogue of  $r$ -Stirling numbers of the first kind  $\begin{bmatrix} n \\ k \end{bmatrix}_{q,r}$  satisfies*

$$\begin{bmatrix} n+1 \\ k \end{bmatrix}_{q,r} = \begin{bmatrix} n \\ k-1 \end{bmatrix}_{q,r} + (n-1+q^k) \begin{bmatrix} n \\ k \end{bmatrix}_{q,r}. \tag{3.3}$$

*Proof.* Equation (3.2) implies that

$$\begin{aligned} & \sum_{k=0}^{n+1} \begin{bmatrix} n+1 \\ k \end{bmatrix}_{q,r} (-1)^{n+1-k} (x+q^r)(x+q^{r+1}) \dots (x+q^{k-1}) = (x-r+1-n+r)(x-r+1)^{n-r} \\ & = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} (-1)^{n-k} (x+q^k - q^k + 1 - n)(x+q^r)(x+q^{r+1}) \dots (x+q^{k-1}) \\ & = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} (-1)^{n-k} (x+q^r)(x+q^{r+1}) \dots (x+q^{k-1})(x+q^k) \\ & \quad + \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} (-1)^{n-k} (-q^k + 1 - n)(x+q^r)(x+q^{r+1}) \dots (x+q^{k-1}) \\ & = \sum_{k=0}^{n+1} \begin{bmatrix} n \\ k-1 \end{bmatrix}_{q,r} (-1)^{n+1-k} (x+q^r)(x+q^{r+1}) \dots (x+q^{k-1}) \\ & \quad + \sum_{k=0}^n (q^k - 1 + n) \begin{bmatrix} n \\ k \end{bmatrix}_{q,r} (-1)^{n+1-k} (x+q^r)(x+q^{r+1}) \dots (x+q^{k-1}) \end{aligned}$$

By comparing the coefficients, we obtain the desired recurrence relation. □

We observe that the  $q$ -Stirling numbers  $\begin{bmatrix} n \\ k \end{bmatrix}_q^*$  in [12] satisfy the relation

$$\begin{bmatrix} n+1 \\ k \end{bmatrix}_q^* = \begin{bmatrix} n \\ k-1 \end{bmatrix}_q^* + (n-1+q^k) \begin{bmatrix} n \\ k \end{bmatrix}_q^*$$

which is analogous to the recurrence relation in Theorem 3.3. This recurrence relation has been used to give combinatorial interpretation of  $\begin{bmatrix} n \\ k \end{bmatrix}_q^*$  in terms of the weight of permutations in  $\{1, 2, \dots, n\}$  with  $k$  nonempty cycles. Hence, we can also use the recurrence relation in Theorem 3.3 to give combinatorial interpretation for  $\begin{bmatrix} n \\ k \end{bmatrix}_{q,r}$  by following the same argument in constructing the combinatorial interpretation of  $\begin{bmatrix} n \\ k \end{bmatrix}_q^*$ .

To sketch the construction, first, we let  $\mathcal{P}_n$  be the set of all permutations of  $\{1, 2, \dots, n\}$ ,  $\mathcal{P}_{n,r}$  be the set of all permutations of  $\{1, 2, \dots, n\}$  such that elements  $1, 2, \dots, r$  are in different cycles and  $w(\pi)$  be the weight of  $\pi \in \mathcal{P}_n$ . As defined in [12], the decomposition into nonempty cycles  $C_0, C_1, \dots, C_{k-1}$  of a permutation  $\pi \in \mathcal{P}_n$  is called a *natural decomposition* if the ordering is according to decreasing largest elements of the cycles, the natural ordering. Since  $\max(C_0) = n$ , the natural decomposition of  $C_0$  is given by  $\{n\}, C_{01}, C_{02}, \dots, C_{0i}$ . Also, in [12], for  $\pi = [C_{01}|C_{02}|\dots|C_{0i}|n]C_1|C_2|\dots|C_{k-1} \in \mathcal{P}_n$ , we define

$$w(\pi) := q^{j_1+j_2+\dots+j_i}$$

where  $j_l = m$  if  $C_{0l}$  lies between  $C_{m-1}$  and  $C_m$  in the natural ordering of cycles and  $j_l = k$  if  $\max(C_{0l}) < \max(C_{k-1})$ . Then the  $q$ -analogue  $\begin{bmatrix} n \\ k \end{bmatrix}_{q,r}$  of  $r$ -Stirling numbers of the first kind can be interpreted as the sum of the weights of all permutations  $\pi \in \mathcal{P}_{n,r}$  such that the natural decomposition has exactly  $k$  cycles.

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## Conflict of Interest Statement

The authors declare that there is no conflict of interests regarding the publication of this article.

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