

# On the distribution of some Euler-Mahonian statistics

ALEXANDER BURSTEIN

We give a direct combinatorial proof of the equidistribution of two pairs of permutation statistics,  $(\mathbf{des}, \mathbf{aid})$  and  $(\mathbf{lec}, \mathbf{inv})$ , which have been previously shown to have the same joint distribution as  $(\mathbf{exc}, \mathbf{maj})$ , the major index and the number of excedances of a permutation. Moreover, the triple  $(\mathbf{pix}, \mathbf{lec}, \mathbf{inv})$  was shown to have the same distribution as  $(\mathbf{fix}, \mathbf{exc}, \mathbf{maj})$ , where  $\mathbf{fix}$  is the number of fixed points of a permutation. We define a new statistic  $\mathbf{aix}$  so that our bijection maps  $(\mathbf{pix}, \mathbf{lec}, \mathbf{inv})$  to  $(\mathbf{aix}, \mathbf{des}, \mathbf{aid})$ . We also find an Eulerian partner  $\mathbf{das}$  for a Mahonian statistic  $\mathbf{mix}$  defined using mesh patterns, so that  $(\mathbf{das}, \mathbf{mix})$  is equidistributed with  $(\mathbf{des}, \mathbf{inv})$ .

AMS 2000 SUBJECT CLASSIFICATIONS: Primary 05A05; secondary 05A15.

KEYWORDS AND PHRASES: Permutation statistic, Eulerian, Mahonian, admissible inversion, descent, hook factorization, pattern.

## 1. Introduction

A *combinatorial statistic* on a set  $S$  is a map  $\mathbf{f} : S \rightarrow \mathbb{N}^m$  for some integer  $m \geq 0$ . The *distribution* of  $\mathbf{f}$  is the map  $\mathbf{d}_{\mathbf{f}} : \mathbb{N}^m \rightarrow \mathbb{N}$  with  $\mathbf{d}_{\mathbf{f}}(\mathbf{i}) = |\mathbf{f}^{-1}(\mathbf{i})|$  for  $\mathbf{i} \in \mathbb{N}^m$ , where  $|\mathbf{f}^{-1}(\mathbf{i})|$  is the number of objects  $s \in S$  such that  $\mathbf{f}(s) = \mathbf{i}$ . We say that statistics  $\mathbf{f}$  and  $\mathbf{g}$  are *equidistributed* and write  $\mathbf{f} \sim \mathbf{g}$  if  $\mathbf{d}_{\mathbf{f}} = \mathbf{d}_{\mathbf{g}}$ .

Let  $\mathfrak{S}_n$  be the set of permutations of  $[n] = \{1, \dots, n\}$ . The four classic combinatorial statistics on  $\mathfrak{S}_n$ , the number of *descents* ( $\mathbf{des}$ ), the number of *excedances* ( $\mathbf{exc}$ ), the number of *inversions* ( $\mathbf{inv}$ ), and the *major index* ( $\mathbf{maj}$ ), are defined as follows:

$$\begin{aligned} \mathbf{Des} \pi &= \{i : \pi(i) > \pi(i+1)\}, & \mathbf{des} \pi &= |\mathbf{Des} \pi|, \\ \mathbf{Exc} \pi &= \{i : \pi(i) > i\}, & \mathbf{exc} \pi &= |\mathbf{Exc} \pi|, \\ \mathbf{Inv} \pi &= \{(i, j) : i < j \text{ and } \pi(i) > \pi(j)\}, & \mathbf{inv} \pi &= |\mathbf{Inv} \pi|, \\ & & \mathbf{maj} \pi &= \sum_{i \in \mathbf{Des} \pi} i. \end{aligned}$$

The set  $\text{Des } \pi$  is called the *descent set* of  $\pi$ , and its elements are called *descents*. If  $i$  is a descent of  $\pi$ , then  $\pi(i)$  and  $\pi(i+1)$  are called *descent top* and *descent bottom*, respectively. The terminology for the other two sets,  $\text{Inv } \pi$  and  $\text{Exc } \pi$ , is similar. When the context is unambiguous, we may refer to the pair  $\pi(i)\pi(i+1)$  as a descent or the pair  $\pi(i)\pi(j)$  as an inversion.

A statistic with the same distribution as  $\text{des}$  (such as  $\text{exc}$ ) is called *Eulerian*, and a statistic with the same distribution as  $\text{inv}$  (such as  $\text{maj}$  [8]) is called *Mahonian*. If  $\text{eul}$  is Eulerian and  $\text{mah}$  is Mahonian, then the pair  $(\text{eul}, \text{mah})$  is called an Euler-Mahonian statistic.

A problem frequently considered since [2] is as follows: given a known Euler-Mahonian statistic  $(\text{eul}_1, \text{mah}_1)$  and another Eulerian (resp. Mahonian) statistic  $\text{eul}_2$  (resp.  $\text{mah}_2$ ), to find its Mahonian (resp. Eulerian) partner  $\text{mah}_2$  (resp.  $\text{eul}_2$ ) so that  $(\text{eul}_1, \text{mah}_1) \sim (\text{eul}_2, \text{mah}_2)$ . In this paper, we will give two bijective proofs of equidistribution of two such pairs of bistatistics. In Section 2, we give a direct proof of a bijection between two statistics previously shown to have the same distribution as  $(\text{exc}, \text{maj})$ , and in Section 3 we find an Eulerian partner  $\text{das}$  for a statistic  $\text{mix}$  recently defined by Brändén and Claesson [1] using mesh patterns so that  $(\text{das}, \text{mix}) \sim (\text{des}, \text{inv})$ .

## 2. Equidistribution of $(\text{des}, \text{aid})$ and $(\text{lec}, \text{inv})$

Of the four pairs  $(\text{eul}_1, \text{mah}_1)$  involving  $\text{des}$  or  $\text{exc}$  and  $\text{inv}$  or  $\text{maj}$ , the last to be considered was the pair  $(\text{exc}, \text{maj})$ . First, Shareshian and Wachs [9] found a Mahonian statistic  $\text{aid}$  such that  $(\text{exc}, \text{maj}) \sim (\text{des}, \text{aid})$ , and soon afterwards Foata and Han [3] proved that  $(\text{exc}, \text{maj}) \sim (\text{lec}, \text{inv})$  for an Eulerian statistic  $\text{lec}$  defined earlier by Gessel [4] and related to the hook factorization of a permutation. In fact, Foata and Han proved a more refined result that  $(\text{fix}, \text{exc}, \text{maj}) \sim (\text{pix}, \text{lec}, \text{inv})$ , where  $\text{fix } \pi$  is the number of fixed points of  $\pi$  and  $\text{pix } \pi$  is another statistic related to hook factorization of  $\pi$ .

We will now define the statistics  $\text{aid}$ ,  $\text{lec}$  and  $\text{pix}$ .

**Definition 2.1.** An inversion  $(i, j) \in \text{Inv } \pi$  is *admissible* if either  $\pi(j) < \pi(j+1)$  or  $\pi(j) > \pi(k)$  for some  $i < k < j$ . Let  $\text{Ai } \pi$  be the set of admissible inversions of  $\pi$ , and let

$$\text{ai } \pi = |\text{Ai } \pi|, \quad \text{aid } \pi = \text{ai } \pi + \text{des } \pi.$$

**Definition 2.2.** A string  $w = w_1 w_2 \dots w_r$  ( $r \geq 2$ ), over a totally ordered alphabet is a *hook* if  $w_1 > w_2 \leq w_3 \leq \dots \leq w_r$ . Every string  $\pi$  over  $\mathbb{N}$  (and

hence any permutation  $\pi$  can be decomposed uniquely [4] as  $\pi = \pi_0\pi_1 \dots \pi_k$  ( $k \geq 0$ ), where  $\pi_0$  is a (possibly empty) nondecreasing string and each of  $\pi_i$ ,  $1 \leq i \leq k$ , is a hook. Then  $\pi_0\pi_1 \dots \pi_k$  is called the *hook factorization* of  $\pi$ .

It is easy to see that the hook factorization is unique for any  $\pi$ , since either  $\pi = \pi_0$  or we can recursively find the rightmost hook of  $\pi$ , which starts with the rightmost descent top of  $\pi$ . The statistics **lec** and **pix** are defined as follows:

$$\mathbf{lec} \pi = \sum_{i=1}^k \mathbf{inv} \pi_i, \quad \mathbf{pix} \pi = |\pi_0|,$$

where  $|\pi_0|$  is the length of  $\pi_0$ .

Shareshian and Wachs [9] gave a proof of  $(\mathbf{des}, \mathbf{aid}) \sim (\mathbf{exc}, \mathbf{maj})$  using tools from poset topology such as lexicographic shellability. Subsequently, Foata and Han [3] gave a two-step proof of  $(\mathbf{fix}, \mathbf{exc}, \mathbf{maj}) \sim (\mathbf{pix}, \mathbf{lec}, \mathbf{inv})$ . The first step of that proof was a bijection on  $\mathfrak{S}_n$  showing the equidistribution  $(\mathbf{fix}, \mathbf{exc}, \mathbf{maj}) \sim (\mathbf{pix}, \mathbf{lec}, \mathbf{imaj})$  (and, in fact, a more refined result that  $(\mathbf{fix}, \mathbf{exc}, \mathbf{des}, \mathbf{maj}) \sim (\mathbf{pix}, \mathbf{lec}, \mathbf{ides}, \mathbf{imaj})$ ), where  $\mathbf{imaj}(\pi) = \mathbf{maj}(\pi^{-1})$  and  $\mathbf{ides}(\pi) = \mathbf{des}(\pi^{-1})$ , using Lyndon words and the word analogs of Kim-Zeng [5] permutation decomposition and hook factorization. The second step was a bijection on  $\mathfrak{S}_n$  showing that  $(\mathbf{pix}, \mathbf{lec}, \mathbf{imaj}) \sim (\mathbf{pix}, \mathbf{lec}, \mathbf{inv})$ .

Somewhat surprisingly, a direct bijective proof of  $(\mathbf{des}, \mathbf{aid}) \sim (\mathbf{lec}, \mathbf{inv})$  is simpler than any of the bijections mentioned above. We give such a proof and, in fact, find a new statistic **aix** that is a **fix**-partner for  $(\mathbf{des}, \mathbf{aid})$ , i.e. such that  $(\mathbf{aix}, \mathbf{des}, \mathbf{aid}) \sim (\mathbf{pix}, \mathbf{lec}, \mathbf{inv}) \sim (\mathbf{fix}, \mathbf{exc}, \mathbf{maj})$ .

The statistic **aix** is defined as follows. Consider the set  $\mathbb{N}^*$  of all strings in  $\mathbb{N}$ . Given a string  $\pi \in \mathbb{N}^*$ , let  $m$  be the smallest letter in  $\pi$  and let  $\alpha$  be the maximal left prefix of  $\pi$  not containing  $m$ , so that  $\pi = \alpha m \beta$  for some string  $\beta$ . Then we recursively define  $\mathbf{aix} \emptyset = 0$  and, for  $\pi \neq \emptyset$ ,

$$\begin{aligned} (2.1a) \quad & 1 + \mathbf{aix} \beta, & \text{if } \alpha = \emptyset, \\ (2.1b) \quad & \mathbf{aix} \alpha, & \text{if } \alpha \neq \emptyset, \beta \neq \emptyset, \\ (2.1c) \quad & 0, & \text{if } \alpha \neq \emptyset, \beta = \emptyset. \end{aligned}$$

In particular, if  $\alpha = \beta = \emptyset$ , then  $\mathbf{aix} \pi = \mathbf{aix} m = 1 + \mathbf{aix} \emptyset = 1 + 0 = 1$ . Consider another example:  $\mathbf{aix}(2589637\underline{14}) = \mathbf{aix}(\underline{2}589637) = 1 + \mathbf{aix}(589637) = 1 + \mathbf{aix}(\underline{5}896) = 1 + 1 + \mathbf{aix}(89\underline{6}) = 1 + 1 + 0 = 2$  (the smallest letters at each step are underlined).

**Proposition 2.3.** *For any  $\pi \in \mathbb{N}^*$ , we have  $\mathbf{aix} \pi \leq 1 + \mathbf{pix} \pi$ .*

*Proof.* The value of  $\mathbf{aix} \pi$  is at most the length of  $\rho$ , the maximal nondecreasing left prefix of  $\pi$ . Since the leftmost hook of  $\pi$  starts either at the leftmost descent or at the second-leftmost descent (only if it immediately follows the leftmost descent), it follows that the length of  $\rho$  is either  $\mathbf{pix} \pi$  or  $1 + \mathbf{pix} \pi$ .  $\square$

We also note that computation of statistics  $\mathbf{inv}, \mathbf{lec}, \mathbf{pix}, \mathbf{aid}, \mathbf{des}, \mathbf{aix}$ , involves only comparisons of values of letters or values of positions, but not values of a letter and a position (as in the computation of  $\mathbf{exc}$ ), so that these statistics can be extended to any string of distinct letters.

### 2.1. The bijection

Let  $S$  be a set of distinct letters and  $k \notin S$  be such that  $S \cup \{k\}$  is totally ordered. Let  $\tau$  be a permutation of  $S$ . Let  $m$  be the smallest letter in  $S \cup \{k\}$ . Define the permutation  $f(k, \tau)$  of  $S \cup \{k\}$  recursively as follows:  $f(k, \emptyset) = k$  and

$$\begin{aligned}
 (2.2a) \quad & f(k, \alpha)m\beta, && \text{if } \tau = \alpha m \beta, k > m, \alpha \neq \emptyset, \beta \neq \emptyset, \\
 (2.2b) \quad & f(k, \beta)m, && \text{if } \tau = m \beta, k > m, \\
 (2.2c) \quad & km\alpha, && \text{if } \tau = \alpha m, k > m, \\
 (2.2d) \quad & k\tau, && \text{if } k = m.
 \end{aligned}$$

Note that both (2.2b) and (2.2c) yield  $f(k, m) = km$  when  $k > m$  and  $\alpha = \beta = \emptyset$ . Now, for  $\pi \in \mathfrak{S}_n$ , define  $\phi_0(\pi) = \emptyset$  and  $\phi_k(\pi) = f(\pi(n - k + 1), \phi_{k-1}(\pi))$ ,  $k = 1, \dots, n$ . Finally, let  $\phi(\pi) = \phi_n(\pi) \in \mathfrak{S}_n$ . It is easy to see that for any fixed  $k \notin S$ ,  $f(k, \cdot)$  is a bijection between permutations of  $S$  and permutations of  $S \cup \{k\}$  starting with  $k$ . Thus,  $\phi$  is also a bijection on  $\mathfrak{S}_n$ .

Let  $\mathbf{ini} \pi = \pi(1)$ . Then we have that

**Theorem 2.4.**  $(\mathbf{ini}, \mathbf{aix}, \mathbf{des}, \mathbf{aid}) \phi(\pi) = (\mathbf{ini}, \mathbf{pix}, \mathbf{lec}, \mathbf{inv}) \pi$ .

We will split the proof of the theorem into several parts.

**Lemma 2.5.**  $\mathbf{ini} \phi(\pi) = \mathbf{ini} \pi$ .

*Proof.* Note that  $f(k, \emptyset) = k$ , so by the definition of  $f$  and induction on the size of  $\tau$  we get that  $f(k, \tau)$  starts with  $k$ . Thus,  $\phi(\pi)$  starts with  $\pi(1)$ .  $\square$

Given a string  $\pi$  over a totally ordered alphabet define  $k$ -*suffix* of  $\pi$ ,  $s_k(\pi)$ , to be the block of  $k$  rightmost letters of  $\pi$ . Also, define  $\pi_{<k}$  (resp.  $\pi_{>k}$ ) to be the subsequence of  $\pi$  consisting of letters of  $\pi$  that are less (resp. greater) than  $k$ .

**Lemma 2.6.**  $\text{aid } f(k, \tau) = \text{aid } \tau + |\tau_{<k}|.$

*Proof.* We will prove this lemma by induction on the length of  $\tau$ . Clearly, the lemma is true for  $\tau = \emptyset$ . Assume that the lemma holds for all strings of distinct letters of length less than  $|\tau|$ . Let  $m = \min \tau$  and consider each case in the definition of  $f(k, \tau)$ .

*Case (a).* Suppose that  $\tau = \alpha m \beta$ ,  $k > m$ ,  $\alpha \neq \emptyset$ ,  $\beta \neq \emptyset$ . Then  $f(k, \tau) = f(k, \alpha) m \beta$ , so by Lemma 2.5,  $f(k, \alpha m \beta) = k \hat{\alpha} m \beta$  for some permutation  $\hat{\alpha}$  of  $\alpha$ . By induction (since  $|\alpha| < |\tau|$ ), we have

$$\text{aid } f(k, \alpha) = \text{aid } \alpha + |\alpha_{<k}|.$$

Consider the inversions  $ab$  in  $\tau$  that are from  $\alpha$  to  $m\beta$ , i.e. those where the inversion top is  $a \in \alpha$  and the inversion bottom is  $b \in m\beta$  (so  $a > b$ ). If  $b = m$ , then it is followed by an ascent, and hence any inversion with inversion bottom  $m$  is admissible (and the number of such (admissible) inversions in  $\tau$  is  $|\alpha|$ ). If  $b \in \beta$ , then  $m < b$  and  $m$  is between  $a$  and  $b$  in  $\tau$ , so the inversion  $ab$  is admissible. Thus, all inversions from  $\alpha$  to  $m\beta$  are admissible.

Since  $\hat{\alpha}$  is a permutation of  $\alpha$ , we likewise have that all inversions in  $f(k, \tau)$  from  $\hat{\alpha}$  to  $m\beta$  are admissible and, in fact, are the same inversions as the inversions from  $\alpha$  to  $m\beta$  in  $\tau$ . Moreover, since  $\alpha > m$  (i.e. every letter in  $\alpha$  is greater than  $m$ ) and  $f$  does not change the suffix  $m\beta$  of  $\tau$ , it follows that the number of admissible inversions in  $m\beta$  and the number of descents with descent bottoms in  $m\beta$  are the same in  $\tau$  and  $f(k, \tau)$ .

Thus, the only remaining pairs left to consider are inversions from  $k$  to  $m\beta$ . As above, we see that all inversions from  $k$  to  $m\beta$  are admissible, and the number of such inversions is exactly  $|m\beta_{<k}|$ . Therefore,

$$\text{aid } f(k, \tau) - \text{aid } \tau = |\alpha_{<k}| + |m\beta_{<k}| = |\alpha_{<k} m \beta_{<k}| = |\tau_{<k}|,$$

as desired.

*Case (b).* Suppose that  $\tau = m\beta$  and  $k > m$ . Then  $f(k, \tau) = f(k, \beta)m = k\hat{\beta}m$  for some permutation  $\hat{\beta}$  of  $\beta$ . As before, we have by induction that

$$\text{aid } f(k, \beta) = \text{aid } \beta + |\beta_{<k}|.$$

Since  $k\hat{\beta} > m$  and  $m$  is last in  $k\hat{\beta}m$ , it follows that no admissible inversion ends on  $m$ . Thus,  $\text{ai } f(k, \beta)m = \text{ai } f(k, \beta)$  and  $\text{des } f(k, \beta)m = \text{des } f(k, \beta) + 1$ , where 1 counts the last descent to  $m$ . Finally,  $\text{aid } \tau = \text{aid } m\beta = \text{aid } \beta$  since  $m < \beta$  and hence no inversion (or descent) of  $\tau$  begins with  $m$ . Therefore,

$$(2.3) \quad \begin{aligned} \mathbf{aid} f(k, \tau) &= \mathbf{aid} f(k, \beta) + 1 = \mathbf{aid} \beta + |\beta_{<k}| + 1 \\ &= \mathbf{aid} m\beta + |m\beta_{<k}| = \mathbf{aid} \tau + |\tau_{<k}|. \end{aligned}$$

*Case (c).* Suppose that  $\tau = \alpha m$ ,  $k > m$ . Then  $f(k, \tau) = km\alpha$ . Thus, the descents of  $f(k, \tau)$  are obtained from descents of  $\tau$  by replacing the descent from the right letter of  $\alpha$  to  $m$  with the descent  $km$ , so  $\mathbf{des} f(k, \tau) = \mathbf{des} \tau$ . As in Case (b), no admissible inversion of  $\tau$  ends on  $m$ , and, as in Cases (a) and (b), all inversions from  $k$  to  $m\alpha$  are admissible. Thus,

$$\mathbf{ai} f(k, \tau) = \mathbf{ai} km\alpha = \mathbf{ai} m\alpha + |m\alpha_{<k}| = \mathbf{ai} \alpha m + |\alpha_{<k}m| = \mathbf{ai} \tau + |\tau_{<k}|,$$

so

$$\mathbf{aid} f(k, \tau) = \mathbf{ai} f(k, \tau) + \mathbf{des} f(k, \tau) = \mathbf{ai} \tau + |\tau_{<k}| + \mathbf{des} \tau = \mathbf{aid} \tau + |\tau_{<k}|.$$

*Case (d).* If  $k < \tau$ , then no inversion (or descent) of  $f(k, \tau) = k\tau$  starts with  $k$ , and  $|\tau_{<k}| = 0$ , so  $\mathbf{aid} f(k, \tau) = \mathbf{aid} \tau = \mathbf{aid} \tau + |\tau_{<k}|$ . This ends the proof.  $\square$

**Lemma 2.7.**  $\mathbf{aid} \phi(\pi) = \mathbf{inv} \pi$ .

*Proof.* Applying Lemma 2.6 repeatedly, we obtain

$$\mathbf{aid} \phi(\pi) = \sum_{k=0}^{n-1} |\phi_k(\pi)_{<\pi(n-k)}|.$$

But each  $\phi_k(\pi)$  is a permutation of  $s_k(\pi)$ , so

$$\mathbf{aid} \phi(\pi) = \sum_{k=0}^{n-1} |s_k(\pi)_{<\pi(n-k)}|.$$

Each summand on the right is the number of inversions of  $\pi$  with inversion top  $\pi(n - k)$ . Summing over  $k = 0, 1, \dots, n - 1$ , we get  $\mathbf{aid} \phi(\pi) = \mathbf{inv}(\pi)$ , as desired.  $\square$

For a string  $\sigma$  and a letter  $l$ , write  $\sigma > l$  if every letter in  $\sigma$  is greater than  $l$ . Consider the descents of  $\tau$  and  $f(k, \tau)$  in each case of the definition of  $f$ . In case (2.2a), we have  $\alpha > m$  and  $f(k, \tau) = f(k, \alpha m\beta) = f(k, \alpha)m\beta$ , so the descent bottoms in the right prefix  $m\beta$  of both  $\tau$  and  $f(k, \tau)$  are the same, and hence

$$\mathbf{des} f(k, \tau) - \mathbf{des} \tau = \mathbf{des} f(k, \alpha) - \mathbf{des} \alpha.$$

Note that in this case  $\mathbf{aix} \tau = \mathbf{aix} \alpha$  and  $\mathbf{aix} f(k, \tau) = \mathbf{aix} f(k, \alpha)$ .

In case (2.2b),  $\text{des } \tau = \text{des } m\beta = \text{des } \beta$  since  $\beta = \emptyset$  or  $m < \beta$ . However,  $\text{des } f(k, \tau) = \text{des } f(k, \beta)m = \text{des } f(k, \beta) + 1$  since  $f(k, \beta) = k\hat{\beta}$  for some permutation  $\hat{\beta}$  of  $\beta$  and hence  $f(k, \beta) > m$ . Thus,

$$\text{des } f(k, \tau) - \text{des } \tau = \text{des } f(k, \beta) - \text{des } \beta + 1.$$

Note that in this case  $\text{aix } f(k, \tau) = 0$ , and  $\text{aix } \tau = 1 + \text{aix } \beta > 0$ .

In case (2.2c), let  $a$  be the last letter of  $\alpha$ . Then the descents of  $f(k, \tau) = km\alpha$ ,  $\alpha \neq \emptyset$  are obtained from the descents of  $\tau = \alpha m$  by replacing the descent  $am$  with the descent  $km$ . Thus,  $\text{des } f(k, \tau) = \text{des } \tau = \text{des } \alpha + 1$ , and hence

$$\text{des } f(k, \tau) - \text{des } \tau = 0.$$

Note that in this case  $\text{aix } \tau = 0$  and  $\text{aix } f(k, \tau) = \text{aix } k = 1 = 1 + \text{aix } \tau$ .

In case (2.2d),  $f(k, \tau) = k\tau$ , and  $k < \tau$ , so  $\text{des } f(k, \tau) = \text{des } \tau$ , and hence again

$$\text{des } f(k, \tau) - \text{des } \tau = 0.$$

Note that in this case  $\text{aix } f(k, \tau) = \text{aix } \tau + 1 > 0$ .

Finally,  $\text{des } f(k, \emptyset) - \text{des } \emptyset = 0 - 0 = 0$ . Thus, we can see by induction on the length of  $\tau$  that

$$\text{des } f(k, \tau) - \text{des } \tau \geq 0$$

for any string  $\tau$  of distinct letters, and the difference stays the same or increases by 1 with each application of rules (2.2a) or (2.2b), respectively.

**Lemma 2.8.** *We have  $\text{des } f(k, \tau) = \text{des } \tau$  if and only if  $\text{aix } f(k, \tau) = \text{aix } \tau + 1 > 0$ , and  $\text{des } f(k, \tau) > \text{des } \tau$  if and only if  $\text{aix } f(k, \tau) = 0$ .*

*Proof. Case 1.* Suppose that  $\text{des } f(k, \tau) = \text{des } \tau$ . Then it follows from the above argument that the computation of  $f(k, \tau)$  involves no application of (2.2b), i.e. a repeated application of (2.2a) (possibly zero times) followed by a single application of (2.2c) or (2.2d) or  $f(k, \emptyset) = k$ . The conditions in the case (2.2a) are the same as in the case (2.1b), so applying (2.2a) repeatedly, we obtain either

- a prefix  $\alpha'm'$  of  $\tau$  such that  $\alpha \neq \emptyset$ ,  $\alpha' > m'$ ,  $k > m'$ ,  $\text{aix } \tau = \text{aix } \alpha'm'$  and  $\text{aix } f(k, \tau) = \text{aix } f(k, \alpha'm')$ , or
- a prefix  $\alpha''$  of  $\tau$  such that  $k < \alpha''$ ,  $\text{aix } \tau = \text{aix } \alpha''$  and  $\text{aix } f(k, \tau) = \text{aix } f(k, \alpha'')$ .

In the former case, we have  $\text{aix } \tau = \text{aix } \alpha'm' = 0$  and  $\text{aix } f(k, \tau) = \text{aix } f(k, \alpha'm') = \text{aix } km'\alpha' = \text{aix } k = 1 = 1 + \text{aix } \tau$ . In the latter case,

we have  $\mathbf{aix} f(k, \alpha'') = \mathbf{aix} k\alpha'' = 1 + \mathbf{aix} \alpha'' = 1 + \mathbf{aix} \tau$ . Thus, in either case,  $\mathbf{des} f(k, \tau) = \mathbf{des} \tau$  implies  $\mathbf{aix} f(k, \tau) = \mathbf{aix} \tau + 1$ . The converse is proved similarly.

*Case 2.* Suppose that  $\mathbf{des} f(k, \tau) > \mathbf{des} \tau$ . Then the computation of  $f(k, \tau)$  starts with a repeated application of (2.2a) (possibly zero times) followed by an application of (2.2b) (after which the process may still continue). Thus, as before, after repeated application of (2.2a), we obtain a prefix  $m'\beta'$  of  $\tau$  such that  $k > m'$ ,  $m' < \beta'$  and  $\mathbf{aix} f(k, \tau) = \mathbf{aix} f(k, m'\beta') = \mathbf{aix} f(k, \beta')m'$ . But  $f(k, \beta') = k\hat{\beta}'$  for some permutation  $\hat{\beta}'$  of  $\beta'$ , so  $f(k, \beta') > m'$ , and hence  $\mathbf{aix} f(k, \beta')m' = 0$ , which in turn implies that  $\mathbf{aix} f(k, \tau) = 0$ , as desired. The converse is proved similarly.  $\square$

**Lemma 2.9.** *If  $\mathbf{aix} \tau = 0$ , then for any  $k$ , we have  $\mathbf{aix} f(k, \tau) = 1$  and  $\mathbf{des} f(k, \tau) = \mathbf{des} \tau$ .*

*Proof.* The lemma is obviously true for  $\tau = \emptyset$ . Suppose  $\tau \neq \emptyset$ . Since  $\mathbf{aix} \tau = 0$ , it follows that  $\tau = \alpha m_0 m_1 \beta_1 \dots m_r \beta_r$ , where  $\alpha \neq \emptyset$ ,  $\alpha > m_0$ , and if  $r \geq 1$ , then  $\beta_i \neq \emptyset$  and  $m_i < \beta_i$  for all  $i = 1, \dots, r$ , and  $m_0 > m_1 > \dots > m_r$ . If  $k > m_0$ , then applying (2.2a) repeatedly, followed by (2.2c), we obtain

$$\begin{aligned} f(k, \tau) &= f(k, \alpha m_0 m_1 \beta_1 \dots m_r \beta_r) \\ &= f(k, \alpha m_0) m_1 \beta_1 \dots m_r \beta_r = k m_0 \alpha m_1 \beta_1 \dots m_r \beta_r \end{aligned}$$

so that  $\mathbf{aix} f(k, \tau) = \mathbf{aix} k m_0 \alpha = \mathbf{aix} k = 1$ . Also, all descent bottoms of  $\tau$  and  $f(k, \tau)$  are the same (including  $m_0$ ), so  $\mathbf{des} f(k, \tau) = \mathbf{des} \tau$ .

Suppose that  $k < m_0$ , and let  $j$  be maximal such that  $k < m_j$ . Then  $k < \alpha m_0 m_1 \beta_1 \dots m_j \beta_j$ , so

$$\begin{aligned} f(k, \tau) &= f(k, \alpha m_0 m_1 \beta_1 \dots m_r \beta_r) \\ &= f(k, \alpha m_0 m_1 \beta_1 \dots m_j \beta_j) m_{j+1} \beta_{j+1} \dots m_r \beta_r \\ &= k \alpha m_0 m_1 \beta_1 \dots m_j \beta_j m_{j+1} \beta_{j+1} \dots m_r \beta_r \\ &= k \tau. \end{aligned}$$

Therefore,  $f(k, \tau) = k\tau$  starts with an ascent, so  $\mathbf{des} f(k, \tau) = \mathbf{des} k\tau = \mathbf{des} \tau$  and hence  $\mathbf{aix} f(k, \tau) = 1 + \mathbf{aix} \tau = 1$  by Lemma 2.8.  $\square$

**Lemma 2.10.** *Suppose that  $\mathbf{aix} f(k, \tau) = 0$  and  $\tau = f(l, \sigma)$  for some letter  $l$  and string  $\sigma$ . Then  $\mathbf{des} f(k, \tau) = 1 + \mathbf{des} f(k, \sigma)$ .*

*Proof.* By Lemma 2.9, note that  $\mathbf{aix} \tau \geq 1$ , since otherwise  $\mathbf{aix} f(k, \tau) = 1$ . In particular,  $\tau \neq \emptyset$ , so there is indeed a letter  $l$  and a string  $\sigma$  such that  $\tau = f(l, \sigma)$ .



Since  $\tau = f(l, \sigma)$ , it follows that  $\tau$  starts with  $l$ . Let  $l = m_0 > m_1 > \dots > m_r$  be the values of  $\tau$  at positions of the *left-to-right minima* of  $\tau$  (i.e. at positions  $i$  such that  $\tau(j) > \tau(i)$  for  $j < i$ ). Then  $\tau = m_0\tau_0m_1\tau_1\dots m_r\tau_r$  with  $\tau_i > m_i$  for all  $i = 0, 1, \dots, r$ . We also have that  $\tau_i \neq \emptyset$  for  $i \geq 1$  since otherwise  $\text{aix } \tau = 0$ . Therefore,

$$f(k, \tau) = f(k, m_0\tau_0)m_1\tau_1\dots m_r\tau_r = f(k, l\tau_0)m_1\tau_1\dots m_r\tau_r,$$

so  $\text{aix } f(k, \tau) = \text{aix } f(k, l\tau_0)$ . If  $k < l$ , then  $k < l\tau_0$ , so  $f(k, l\tau_0) = kl\tau_0$  and  $\text{aix } f(k, l\tau_0) = 1 + \text{aix } l\tau_0 > 0$ , which contradicts our assumption. Therefore,  $k > l$ .

Since  $\text{aix } f(l, \sigma) = \text{aix } \tau > 0$ , it follows that the recursive computation of  $f(l, \sigma)$  involves no application of (2.2b). Thus, we have two cases:

- $\sigma = \alpha l_1\beta_1\dots l_s\beta_s$ , where  $l > l_1 > \dots > l_s$ ,  $\alpha \neq \emptyset$ ,  $\alpha > l$ ,  $\beta_i \neq \emptyset$  and  $\beta_i > l_i$  for  $i = 1, \dots, s$ .
- $\sigma = \alpha l_0 l_1\beta_1\dots l_s\beta_s$ , where  $l > l_0 > l_1 > \dots > l_s$ ,  $\alpha \neq \emptyset$ ,  $\alpha > l$ ,  $\beta_i \neq \emptyset$  and  $\beta_i > l_i$  for  $i = 1, \dots, s$ .

Let  $\beta = l_1\beta_1\dots l_s\beta_s$ . In the first case, we have

$$\begin{aligned} \tau &= f(l, \sigma) = f(l, \alpha\beta) = f(l, \alpha)\beta = l\alpha\beta = l\sigma \\ f(k, \tau) &= f(k, l\alpha\beta) = f(k, l\alpha)\beta = f(k, \alpha)l\beta \\ f(k, \sigma) &= f(k, \alpha\beta) = f(k, \alpha)\beta. \end{aligned}$$

Note that  $\text{ini } \beta = l_1 < l$ . Also note that  $f(k, \alpha)l\beta = k\hat{\alpha}l\beta$  for some permutation  $\hat{\alpha}$  of  $\alpha$ . Since  $\alpha > l$ , it follows that  $\hat{\alpha} > l$ . Let  $a$  be the last letter of  $f(k, \alpha)$ . Then the descents of  $f(k, \alpha)l\beta$  are obtained from the descents of  $f(k, \alpha)\beta$  by replacing the descent  $al_1$  with the descents  $al$  and  $ll_1$ . Therefore, we have  $\text{des } f(k, \tau) = \text{des } f(k, \sigma) + 1$  as desired.

In the second case, we have

$$\begin{aligned} \tau &= f(l, \sigma) = f(l, \alpha l_0\beta) = f(l, \alpha l_0)\beta = ll_0\alpha\beta \\ f(k, \tau) &= f(k, ll_0\alpha\beta) = f(k, ll_0\alpha)\beta = f(k, l)l_0\alpha\beta = kll_0\alpha\beta \\ f(k, \sigma) &= f(k, \alpha l_0\beta) = f(k, \alpha l_0)\beta = kl_0\alpha\beta. \end{aligned}$$

Since  $k > l > l_0$ , it is easy to see that  $\text{des } f(k, \tau) = \text{des } f(k, \sigma) + 1$ . This ends the proof. □

**Lemma 2.11.**  $(\text{aix}, \text{des}) \phi(\pi) = (\text{pix}, \text{lec}) \pi$ .

*Proof.* The proof is by induction on the length of  $\pi$ . The result is obviously true for  $\pi = \emptyset$ . Define  $g(k, \tau) = k\tau$  for a string  $\tau$  of distinct elements and an element  $k$  not in the alphabet of  $\tau$ . Then it is easy to see that the results of Lemmas 2.8, 2.9 and 2.10 hold if we replace  $f$  with  $g$ , **aix** with **pix**, and **des** with **lec**. This implies the lemma and thus finishes the proof of Theorem 2.4.  $\square$

**Remark 2.12.** We note that a statistic **rix** similar to **aix** (up to an easy transformation) has been independently defined by Z. Lin [7].

It would be interesting to construct a direct bijection on permutations that maps **(aix, des, aid)** to **(fix, exc, maj)**.

**Remark 2.13.** *Rawlings major index* **rmaj** is a Mahonian statistic that interpolates between **maj** and **inv**, and is defined as follows:

$$\begin{aligned} \text{Des}_r(\pi) &= \{i \in \text{Des}(\pi) : \pi(i) - \pi(i + 1) \geq r\}, \\ \text{Inv}_r(\pi) &= \{(i, j) \in \text{Inv}(\pi) : \pi(i) - \pi(j) < r\}, \\ \text{rmaj}(\pi) &= \sum_{i \in \text{Des}_r(\pi)} i + |\text{Inv}_r(\pi)|. \end{aligned}$$

Note that on  $\mathfrak{S}_n$ , **lmaj** = **maj**, **nmaj** = **inv**, and  $|\text{Inv}_2(\pi)| = \text{ides}(\pi) = \text{des}(\pi^{-1})$ . It is known [10] that **(ides, 2maj)**  $\sim$  **(exc, maj)**. It would be interesting to find a **fix**-partner **2fix** for **(ides, 2maj)** so that **(fix, exc, maj)**  $\sim$  **(2fix, ides, 2maj)**. Continuing in the same vein, for  $3 \leq r \leq n - 1$ , it would be interesting to find the interpolating statistics **rfix** and **rexc** so that **(fix, exc, maj)**  $\sim$  **(rfix, rexc, rmaj)**  $\sim$  **(pix, lec, inv)**.

### 3. Equidistribution of **(das, mix)** and **(des, inv)**

A Mahonian statistic **mix** counting some inversions and some noninversions has been defined by P. Brändén, A. Claesson [1]. Even though it was originally defined using *mesh patterns*, it may be easily defined without using those. Define a *left-to-right maximum* of  $\pi$  to be a position  $i$  of  $\pi$  such that  $\pi(j) < \pi(i)$  for  $j < i$ . The statistic **mix** counts pairs defined on a permutation  $\pi$  as follows:

- inversions  $\pi(i)\pi(j)$  such that  $i$  is a left-to-right maximum of  $\pi$ , and
- non-inversions  $\pi(i)\pi(j)$  such that there is a left-to-right-maximum  $k < i$  with  $\pi(k) > \pi(j)$ .

Our definition of **mix** is the reversal of the **mix** as originally defined in [1]. However, we think that our definition is preferable, since for the

identity permutation  $\text{id}_n = 12\dots n$ , we have  $\text{mix}(\text{id}_n) = 0$ , rather than  $\text{mix}(\text{id}_n) = n - 1$  under the original definition.

There is also a direct bijection given in [1] that takes  $\text{inv}$  to  $\text{mix}$ . Making the necessary minor changes to account for the difference in definitions mentioned above, we describe it as follows.

Let  $M = \{m_1 < \dots < m_k\}$  be the set of values of left-to-right maxima of  $\pi$ , and let  $B_i$  be the set of entries of  $\pi$  that are smaller than and to the right of  $m_i$ . Also, for  $S \subseteq [n]$ , let  $\psi_S(\pi)$  be the result of reversing the subword of  $\pi$  that is a permutation on  $S$ . Then define

$$\psi = \psi_{B_1} \circ \psi_{B_2 \cap B_1} \circ \dots \circ \psi_{B_{k-1}} \circ \psi_{B_k \cap B_{k-1}} \circ \psi_{B_k}.$$

Then we have [1] that  $\psi$  is an involution and  $\text{mix} \psi(\pi) = \text{inv} \pi$  (and vice versa).

We observe that there is a natural Eulerian partner  $\text{das}$  (a mix of descents and ascents) for  $\text{mix}$  such that  $(\text{das}, \text{mix}) \sim (\text{des}, \text{inv})$ . Let  $\text{das} \pi$  be the number of positions  $i \in [n - 1]$  of  $\pi$  such that

- $\pi(i)\pi(i + 1)$  is a descent, and  $i$  is a left-to-right maximum of  $\pi$ , or
- $\pi(i)\pi(i + 1)$  is an ascent, and there is a left-to-right-maximum  $k < i$  with  $\pi(k) > \pi(i + 1)$ .

**Theorem 3.1.**  $(\text{das}, \text{mix}) \psi(\pi) = (\text{des}, \text{inv}) \pi$ .

*Proof.* The proof is easily constructed by induction on  $k$ , following along the lines of the proof of Theorem 10 in [1]. In fact, our extension of that proof is so routine that we leave it as an exercise for the reader. □

**Remark 3.2.** We also note that a restriction of the map  $\psi$  yields Krattenthaler’s bijection [6] between 321-avoiding and 312-avoiding permutations on  $\mathfrak{S}_n$  using Dyck paths (modified up to the suitable reversal and complementation symmetries).

### Acknowledgements

The author would like to thank the anonymous referees for their careful reading of the article as well as helpful comments and suggestions.

### References

[1] P. Brändén, A. Claesson, Mesh patterns and the expansion of permutation statistics as sums of permutation patterns, *Electron. J. Combin.* **18(2)** (2011–2012), #P5. [MR2795782](#)

- [2] D. Foata, Distributions eulériennes et mahoniennes sur le groupe des permutations, in *Higher Combinatorics*, M. Aigner, ed., vol. 19, D. Reidel Publishing Co., Dordrecht-Holland, 1977, 27–49. [MR0519777](#)
- [3] D. Foata, G. Han, Fix-Mahonian Calculus, III: a quadruple distribution, *Monatshefte für Mathematik* **154** (2008), 177–197. [MR2413301](#)
- [4] I. Gessel, A coloring problem, *Amer. Math. Monthly* **98** (1991), 530–533. [MR1109577](#)
- [5] D. Kim, J. Zeng, A new decomposition of derangements, *J. Combin. Theory Ser. A* **96** (2001), 192–198. [MR1855792](#)
- [6] C. Krattenthaler, Permutations with restricted patterns and Dyck paths, *Adv. Appl. Math.* **27** (2001), 510–530. [MR1868978](#)
- [7] Z. Lin, On some generalized  $q$ -Eulerian polynomials, *Electron. J. Combin.* **20(1)** (2013), #P55. [MR3040617](#)
- [8] P. A. MacMahon, *Combinatory Analysis*, 2 volumes, Cambridge University Press, London, 1915–1916. Reprinted by Chelsea, New York, 1960. [MR0141605](#)
- [9] J. Shareshian, M. Wachs,  $q$ -Eulerian polynomials: excedance number and major index, *Electron. Res. Announc. Amer. Math. Soc.* **13** (2007), 33–45. [MR2300004](#)
- [10] M. Wachs, Personal communication.

ALEXANDER BURSTEIN  
DEPARTMENT OF MATHEMATICS  
HOWARD UNIVERSITY  
WASHINGTON, DC 20059  
USA  
*E-mail address:* [aburstein@howard.edu](mailto:aburstein@howard.edu)

RECEIVED 15 FEBRUARY 2014