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SOME SUMMATION IDENTITIES USING GENERALIZED Q-MATRICES

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1. INTRODUCTION

In a belated acknowledgment, Hoggatt [3] states:

The first use of the *Q*-matrix to generate the Fibonacci numbers appears in an abstract of a paper by Professor J. L. Brenner by the title "Lucas' Matrix." This abstract appeared in the March 1951 *American Mathematical Monthly* on pages 221 and 222. The basic exploitation of the *Q*-matrix appeared in 1960 in the San Jose State College Master's thesis of Charles H. King with the title "Some Further Properties of the Fibonacci Numbers." Further utilization of the *Q*-matrix appears in the *Fibonacci Primer* sequence parts I-V.

For a comprehensive history of the Q-matrix, see Gould [2]. Numerous analogs of the Q-matrix relating to third-order recurrences have been used. See, for instance, Waddill and Sacks [13], Shannon and Horadam [10], and Waddill [11]. Mahon [8] has made extensive use of matrices to study his third-order diagonal functions of the Pell polynomials. Recently, Waddill [12] considered a general Q-matrix. He defined and used the $k \times k$ matrix

$$R = \begin{pmatrix} r_0 & r_1 & \cdots & r_{k-1} \\ 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \cdots 1 & 0 \end{pmatrix}$$

in relation to a k-order linear recursive sequence $\{V_n\}$, where

$$V_n = \sum_{i=0}^{k-1} r_i V_{n-1-i}, \quad n \ge k$$
.

The matrix R generalized the matrix Q_r of Ivie [5].

In the notation of Horadam [4], write

$$W_n = W_n(a, b; p, q) \tag{1.1}$$

so that

$$W_n = pW_{n-1} - qW_{n-2}, W_0 = a, W_1 = b, n \ge 2.$$
 (1.2)

With this notation, define

$$\begin{cases}
U_n = W_n(0, 1; p, q), \\
V_n = W_n(2, p; p, q).
\end{cases}$$
(1.3)

Indeed, $\{U_n\}$ and $\{V_n\}$ are the fundamental and primordial sequences generated by (1.2). They have been studied extensively, particularly by Lucas [7]. Further information can be found in [1], [4], and [6].

The most commonly used matrix in relation to the recurrence relation (1.2) is

$$M = \begin{pmatrix} p & -q \\ 1 & 0 \end{pmatrix}, \tag{1.4}$$

which, for p = -q = 1, reduces to the ordinary Q-matrix. In this paper we define a more general matrix $M_{k, m}$ parametrized by k and m and reducing to M for k = m = 1. We use $M_{k, m}$ to develop various summation identities involving terms from the sequences $\{U_n\}$ and $\{V_n\}$.

Our work is a generalization of the work of Mahon and Horadam [9] who used several pairs of 2×2 matrices to generate summation identities involving terms from the Pell polynomial sequences

$$\begin{cases} P_n = W_n(0, 1; 2x, -1), \\ Q_n = W_n(2, 2x; 2x, -1). \end{cases}$$
 (1.5)

We generalize their work in two ways. First, we consider sequences generated by a more general recurrence relation. Second, our parametrization of the matrix $M_{k,m}$ includes all the matrices considered by Mahon and Horadam as special cases.

2. THE MATRIX $M_{k,m}$

Before proceeding, we state some results which are used subsequently. None of these is new and each can be proved using Binet forms. If

$$\Delta = p^2 - 4q, \tag{2.1}$$

then

$$U_{n+1} - qU_{n-1} = V_n, (2.2)$$

$$V_{n+1} - qV_{n-1} = \Delta U_n, (2.3)$$

$$V_{2k} - 2q^k = \Delta U_k^2, \tag{2.4}$$

$$U_{k+m} - q^m U_{k-m} = U_m V_k, (2.5)$$

$$V_{k+m} - q^m V_{k-m} = \Delta U_k U_m \tag{2.6}$$

$$U_{k+m}U_{k-m} - U_k^2 = -q^{k-m}U_m^2, (2.7)$$

$$V_{k+m}V_{k-m} - V_k^2 = \Delta q^{k-m}U_m^2, (2.8)$$

$$U_{n+m}U_{n+m} - q^m U_n U_{n} = U_m U_{n+n+m}. (2.9)$$

By induction it can be proved that, for the matrix M in (1.4),

$$M^{n} = \begin{pmatrix} U_{n+1} & -qU_{n} \\ U_{n} & -qU_{n-1} \end{pmatrix}, \tag{2.10}$$

where n is an integer.

We now give a generalization of the matrix M. Associated with the recurrence (1.2) and with $\{U_n\}$ as in (1.3), define

$$M_{k,m} = \begin{pmatrix} U_{k+m} & -q^m U_k \\ U_k & -q^m U_{k-m} \end{pmatrix}, \tag{2.11}$$

where k and m are integers. By induction and making use of (2.9), it can be shown that, for all integral n,

$$M_{k,m}^{n} = U_{m}^{n-1} \begin{pmatrix} U_{nk+m} & -q^{m}U_{nk} \\ U_{nk} & -q^{m}U_{nk-m} \end{pmatrix}.$$
 (2.12)

When k = m = 1, we see that $M_{k,m}$ reduces to M and $M_{k,m}^n$ reduces to M^n .

3. SUMMATION IDENTITIES

We now use the matrix $M_{k,m}$ to produce summation identities involving terms from $\{U_n\}$ and $\{V_n\}$. Using (2.5) and (2.7), we find that the characteristic equation of $M_{k,m}$ is

$$\lambda^2 - U_m V_k \lambda + q^k U_m^2 = 0 (3.1)$$

and, by the Cayley-Hamilton theorem,

$$M_{k_m}^2 - U_m V_k M_{k_m} + q^k U_m^2 I = 0, (3.2)$$

where I is the 2×2 unit matrix. From (3.2), we have

$$(U_{m}V_{k}M_{k,m} - q^{k}U_{m}^{2}I)^{n}M_{k,m}^{j} = M_{k,m}^{2n+j},$$
(3.3)

and expanding yields

$$\sum_{i=0}^{n} {n \choose i} (-1)^{n-i} q^{k(n-i)} U_m^{2n-i} V_k^i M_{k,m}^{i+j} = M_{k,m}^{2n+j}.$$
 (3.4)

Using (2.12) to equate upper left entries gives

$$\sum_{i=0}^{n} {n \choose i} (-1)^{n-i} q^{k(n-i)} V_k^i U_{(i+j)k+m} = U_{(2n+j)k+m}.$$
(3.5)

Again from (3.2),

$$(M_{k,m}^2 + q^k U_m^2 I)^n = U_m^n V_k^n M_{k,m}^n, (3.6)$$

and expanding we have

$$\sum_{i=0}^{n} {n \choose i} q^{k(n-i)} U_m^{2(n-i)} M_{k,m}^{2i} = U_m^n V_k^n M_{k,m}^n.$$
(3.7)

Using (2.12) to equate upper left entries gives

$$\sum_{i=0}^{n} {n \choose i} q^{k(n-i)} U_{2ik+m} = V_k^n U_{nk+m}. \tag{3.8}$$

Once again, from (3.2),

$$(M_{2k,m} - q^k U_m I)^2 = U_m (V_{2k} - 2q^k) M_{2k,m} = \Delta U_m U_k^2 M_{2k,m}, \tag{3.9}$$

and expanding, after taking n^{th} powers, we have

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i q^{k(2n-i)} U_m^{2n-i} M_{2k,m}^i = \Delta^n U_m^n U_k^{2n} M_{2k,m}^n.$$
 (3.10)

Equating upper left entries yields

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i q^{k(2n-i)} U_{2ik+m} = \Delta^n U_k^{2n} U_{2nk+m}.$$
 (3.11)

From (3.9),

$$(M_{2k,m} - q^k U_m I)^{2n+1} = \Delta^n U_m^n U_k^{2n} (M_{2k,m}^{n+1} - q^k U_m M_{2k,m}^n).$$
(3.12)

Equating upper left entries yields, after simplifying,

$$\sum_{i=0}^{2n+1} {2n+1 \choose i} (-1)^{i+1} q^{k(2n+1-i)} U_{2ik+m} = \Delta^n U_k^{2n} (U_{2(n+1)k+m} - q^k U_{2nk+m}), \tag{3.13}$$

and using (2.5) to simplify the right side gives

$$\sum_{i=0}^{2n+1} {2n+1 \choose i} (-1)^{i+1} q^{k(2n+1-i)} U_{2ik+m} = \Delta^n U_k^{2n+1} V_{(2n+1)k+m}.$$
 (3.14)

This should be compared to (3.11).

Manipulating the characteristic equation (3.1), we have $(2\lambda - U_m V_k)^2 = \Delta U_m^2 V_k^2$, so that

$$(2M_{k_m} - U_m V_k I)^{2n} = \Delta^n U_m^{2n} U_k^{2n} I. (3.15)$$

Expanding gives

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i 2^i U_m^{2n-i} V_k^{2n-i} M_{k,m}^i = \Delta^n U_m^{2n} U_k^{2n} I.$$
 (3.16)

Equating upper left entries and also lower left entries yields, respectively,

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i 2^i V_k^{2n-i} U_{ik+m} = \Delta^n U_k^{2n} U_m, \tag{3.17}$$

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i 2^i V_k^{2n-i} U_{ik} = 0.$$
 (3.18)

We note that (3.17) reduces to (3.18) when m = 0.

Multiplying both sides of (3.15) by $(2M_{k,m} - U_m V_k I)$ and expanding gives

$$\sum_{i=0}^{2n+1} {2n+1 \choose i} (-1)^{i+1} 2^i U_m^{2n+1-i} V_k^{2n+1-i} M_{k,m}^i = \Delta^n U_m^{2n} U_k^{2n} (2M_{k,m} - U_m V_k I).$$
 (3.19)

Equating upper left entries yields

$$\sum_{i=0}^{2n+1} {2n+1 \choose i} (-1)^{i+1} 2^i V_k^{2n+1-i} U_{ik+m} = \Delta^n U_k^{2n+1} V_m, \tag{3.20}$$

which should be compared to (3.17).

Now, using (3.5), we have

$$\sum_{i=0}^{n} {n \choose i} (-1)^{n-i} q^{k(n-i)} V_k^i (U_{(i+j)k+m+1} - q U_{(i+j)k+m-1}) = U_{(2n+j)k+m+1} - q U_{(2n+j)k+m-1},$$

and (2.2) shows that this simplifies to

$$\sum_{i=0}^{n} {n \choose i} (-1)^{n-i} q^{k(n-i)} V_k^i V_{(i+j)k+m} = V_{(2n+j)k+m}.$$
(3.21)

Making use of (2.2) and (2.3) and working in the same manner with identities (3.8), (3.11), (3.14), (3.17), and (3.20) yields, respectively,

$$\sum_{i=0}^{n} {n \choose i} q^{k(n-i)} V_{2ik+m} = V_k^n V_{nk+m}, \tag{3.22}$$

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i q^{k(2n-i)} V_{2ik+m} = \Delta^n U_k^{2n} V_{2nk+m}, \tag{3.23}$$

$$\sum_{i=0}^{2n+1} {2n+1 \choose i} (-1)^{i+1} q^{k(2n+1-i)} V_{2ik+m} = \Delta^{n+1} U_k^{2n+1} U_{(2n+1)k+m},$$
 (3.24)

$$\sum_{i=0}^{2n} {2n \choose i} (-1)^i 2^i V_k^{2n-i} V_{ik+m} = \Delta^n U_k^{2n} V_m,$$
 (3.25)

$$\sum_{i=0}^{2n+1} {2n+1 \choose i} (-1)^{i+1} 2^i V_k^{2n+1-i} V_{ik+m} = \Delta^{n+1} U_k^{2n+1} U_m.$$
 (3.26)

In what follows, we make use of the following result:

$$M_{k,m}^{n}M_{k_{1},m}^{n_{1}} = U_{m}^{n+n_{1}-1} \begin{pmatrix} U_{nk+n_{1}k_{1}+m} & -q^{m}U_{nk+n_{1}k_{1}} \\ U_{nk+n_{1}k_{1}} & -q^{m}U_{nk+n_{1}k_{1}-m} \end{pmatrix}.$$
(3.27)

This is proved by multiplying the matrices on the left and using (2.9).

Consider now the special case of (3.2), where k = m. Then, using (2.5),

$$M_{k,k}^2 = U_{2k} M_{k,k} - q^k U_k^2 I. (3.28)$$

Using (3.28) and (2.9), we can show by induction that, for $n \ge 2$,

$$M_{k,k}^{n} = U_{k}^{n-2}(U_{nk}M_{k,k} - q^{k}U_{k}U_{(n-1)k}I).$$
(3.29)

The binomial theorem applied to (3.29) gives

$$U_k^{(n-2)s} \sum_{i=0}^{s} {s \choose i} (-1)^{s-i} q^{k(s-i)} U_k^{s-i} U_{(n-1)k}^{s-i} U_{nk}^{i} M_{k,k}^{i+j} = M_{k,k}^{ns+j}$$
(3.30)

Equating lower left entries of the relevant matrices then yields

$$\sum_{i=0}^{s} {s \choose i} (-1)^{s-i} q^{k(s-i)} U_{(n-1)k}^{s-i} U_{nk}^{i} U_{(i+j)k} = U_{k}^{s} U_{(ns+j)k}.$$
(3.31)

Multiplying both sides of (3.30) by $M_{k_1,k}$ and using (3.27) to equate lower left entries gives

$$\sum_{i=0}^{s} {s \choose i} (-1)^{s-i} q^{k(s-i)} U_{(n-1)k}^{s-i} U_{nk}^{i} U_{(i+j)k+k_1} = U_k^s U_{(ns+j)k+k_1}, \tag{3.32}$$

which generalizes (3.31).

Again from (3.29), after transposing terms and raising to a power s, we obtain

$$\sum_{i=0}^{s} {s \choose i} q^{k(s-i)} U_k^{(n-1)(s-i)} U_{(n-1)k}^{s-i} M_{k,k}^{ni} = U_k^{(n-2)s} U_{nk}^s M_{k,k}^s,$$
(3.33)

which yields

$$\sum_{i=0}^{s} {s \choose i} q^{k(s-i)} U_k^i U_{(n-1)k}^{s-i} U_{nik} = U_{nk}^s U_{sk}.$$
 (3.34)

Multiplying both sides of (3.33) by $M_{k_1,k}$ and using (3.27) to equate lower left entries gives

$$\sum_{i=0}^{s} {s \choose i} q^{k(s-i)} U_k^i U_{(n-1)k}^{s-i} U_{nik+k_1} = U_{nk}^s U_{sk+k_1}, \tag{3.35}$$

which generalizes (3.34).

Continuing in this manner after yet again transposing terms in (3.29) and raising to a power s, we obtain

$$\sum_{i=0}^{s} {s \choose i} (-1)^{i} U_{k}^{(n-2)(s-i)} U_{nk}^{s-i} M_{k,k}^{(n-1)i+s} = q^{ks} U_{k}^{(n-1)s} U_{(n-1)k}^{s} I.$$
(3.36)

Equating upper left entries and lower left entries yields, respectively,

$$\sum_{i=0}^{s} {s \choose i} (-1)^{i} U_{k}^{i} U_{nk}^{s-i} U_{((n-1)i+s+1)k} = q^{ks} U_{(n-1)k}^{s} U_{k},$$
(3.37)

$$\sum_{i=0}^{s} {s \choose i} (-1)^{i} U_{k}^{i} U_{nk}^{s-i} U_{((n-1)i+s)k} = 0.$$
 (3.38)

Multiplying (3.36) by $M_{k_1,k}$ and equating lower left entries yields

$$\sum_{i=0}^{s} {s \choose i} (-1)^{i} U_{k}^{i} U_{nk}^{s-i} U_{((n-1)i+s)k+k_{1}} = q^{ks} U_{(n-1)k}^{s} U_{k_{1}}.$$
(3.39)

We note that, when $k_1 = k$, (3.39) reduces to (3.37) and when $k_1 = 0$, (3.39) reduces to (3.38).

Now, manipulating (3.32), (3.35), and (3.39) in the same way that (3.5) was manipulated to yield (3.21), we obtain, respectively,

$$\sum_{i=0}^{s} {s \choose i} (-1)^{s-i} q^{k(s-i)} U_{(n-1)k}^{s-i} U_{nk}^{i} V_{(i+j)k+k_1} = U_k^s V_{(ns+j)k+k_1}, \tag{3.40}$$

$$\sum_{i=0}^{s} {s \choose i} q^{k(s-i)} U_k^i U_{(n-1)k}^{s-i} V_{nik+k_1} = U_{nk}^s V_{sk+k_1}, \tag{3.41}$$

$$\sum_{i=0}^{s} {s \choose i} (-1)^{i} U_{k}^{i} U_{nk}^{s-i} V_{((n-1)i+s)k+k_{1}} = q^{ks} U_{(n-1)k}^{s} V_{k_{1}}.$$
(3.42)

4. THE MATRIX X_k

We have found a matrix having the property of generating terms from $\{U_n\}$ and $\{V_n\}$ simultaneously. It is a generalization of the matrix W introduced by Mahon and Horadam [9]. Define

$$X_k = \begin{pmatrix} V_k & U_k \\ \Delta U_k & V_k \end{pmatrix}, k \text{ an integer.}$$
 (4.1)

Then by induction we have, for integral n,

$$X_{k}^{n} = 2^{n-1} \begin{pmatrix} V_{nk} & U_{nk} \\ \Delta U_{nk} & V_{nk} \end{pmatrix}. \tag{4.2}$$

Noting that $X_1^{m+n} = X_1^m \cdot X_1^n$ produces the well-known identities

$$2V_{m+n} = V_m V_n + \Delta U_m U_n, \tag{4.3}$$

$$2U_{m+n} = V_m U_n + U_m V_n. (4.4)$$

The characteristic equation for X_k is

$$\lambda^2 - 2V_k \lambda + 4q^k = 0 \tag{4.5}$$

and so, by the Cayley-Hamilton theorem

$$X_k^2 - 2V_k X_k + 4q^k I = 0. (4.6)$$

Using (4.3) and (4.4), we see that

$$X_k^n X_{k_1} = 2^n \begin{pmatrix} V_{nk+k_1} & U_{nk+k_1} \\ \Delta U_{nk+k_1} & V_{nk+k_1} \end{pmatrix}. \tag{4.7}$$

Considering the case k = 1, we can show by induction, with the aid of (4.6), that

$$X_1^n = 2^{n-1}(U_n X_1 - 2q U_{n-1} I), \quad n \ge 2, \tag{4.8}$$

which is analogous to (3.29).

It is interesting to note that the methods applied to $M_{k,m}$ when applied to X_k produce most of the summation identities that we have obtained so far. The exceptions are the identities that arose by using (3.29). The analogous procedure for X_k is to use (4.8), but the identities that arise are less general. For example, (4.8) produces

$$\sum_{i=0}^{s} {s \choose i} (-1)^{s-i} q^{s-i} U_{n-1}^{s-i} U_n^i U_{i+j+k_1} = U_{ns+j+k_1}, \tag{4.9}$$

which is a special case of (3.32).

5. THE MATRIX $N_{k,m}$

We have found yet another matrix defined in a similar manner to $M_{k,m}$ whose powers also generate terms of the sequences $\{U_n\}$ and $\{V_n\}$. Define

$$N_{k,m} = \begin{pmatrix} V_{k+m} & -q^m V_k \\ V_k & -q^m V_{k-m} \end{pmatrix}. \tag{5.1}$$

Then for all integral n,

$$N_{k,m}^{2n} = U_m^{2n-1} \Delta^n \begin{pmatrix} U_{2nk+m} & -q^m U_{2nk} \\ U_{2nk} & -q^m U_{2nk-m} \end{pmatrix},$$
 (5.2)

$$N_{k,m}^{2n-1} = U_m^{2n-2} \Delta^{n-1} \begin{pmatrix} V_{(2n-1)k+m} & -q^m V_{(2n-1)k} \\ V_{(2n-1)k} & -q^m V_{(2n-1)k-m} \end{pmatrix}.$$
 (5.3)

The characteristic equation of $N_{k,m}$ is

$$\lambda^2 - \Delta U_{\nu} U_{m} \lambda - \Delta q^{k} U_{m}^2 = 0, \tag{5.4}$$

and so

$$N_{k,m}^2 - \Delta U_k U_m N_{k,m} - \Delta q^k U_m^2 I = 0.$$
 (5.5)

Using the previous techniques and due to the manner in which powers of $N_{k,m}$ are defined, we have found some interesting summation identities. We note, however, that some of the methods applied to $M_{k,m}$ do not apply to $N_{k,m}$. For example, we could find no succinct counterpart to (3.29). We state only the essential details and omit summation identities that we have obtained previously.

Manipulating (5.5), we can write

$$\Delta U_m (U_k N_{k,m} + q^k U_m I) = N_{k,m}^2$$
 (5.6)

and

$$(2N_{k,m} - \Delta U_k U_m I)^2 = \Delta U_m^2 V_k^2 I. (5.7)$$

From (5.6) and (5.7), we have

$$\Delta^{n}U_{m}^{n}(U_{k}N_{k,m}+q^{k}U_{m}I)^{n}=N_{k,m}^{2n},$$
(5.8)

$$(2N_{k,m} - \Delta U_k U_m I)^{2n} = \Delta^n U_m^{2n} V_k^{2n} I,$$
(5.9)

$$(2N_{k,m} - \Delta U_k U_m I)^{2n+1} = \Delta^n U_m^{2n} V_k^{2n} (2N_{k,m} - \Delta U_k U_m I).$$
 (5.10)

Now expanding each of (5.8)-(5.10) and equating upper left entries of the relevant matrices leads, respectively, to

$$\sum_{\substack{i=0\\i \text{ even}}}^{n} \binom{n}{i} q^{k(n-i)} \Delta^{\frac{i}{2}} U_k^i U_{ik+m} + \sum_{\substack{i=1\\i \text{ odd}}}^{n} \binom{n}{i} q^{k(n-i)} \Delta^{\frac{i-1}{2}} U_k^i V_{ik+m} = U_{2nk+m}, \tag{5.11}$$

$$\sum_{i=0}^{2n} {2n \choose i} 2^i \Delta^{\frac{2n-i}{2}} U_k^{2n-i} U_{ik+m} - \sum_{i=1}^{2n-1} {2n \choose i} 2^i \Delta^{\frac{2n-1-i}{2}} U_k^{2n-i} V_{ik+m} = V_k^{2n} U_m, \tag{5.12}$$

$$\sum_{i=1}^{2n+1} {2n+1 \choose i} 2^i \Delta^{\frac{2n+1-i}{2}} U_k^{2n+1-i} V_{ik+m} - \sum_{i=0}^{2n} {2n+1 \choose i} 2^i \Delta^{\frac{2n+2-i}{2}} U_k^{2n+1-i} U_{ik+m} = V_k^{2n+1} V_m.$$
 (5.13)

Finally, making use of (2.2) and (2.3) and applying to (5.11)-(5.13) the same technique used to obtain (3.21), we have

$$\sum_{\substack{i=0\\i \text{ even}}}^{n} \binom{n}{i} q^{k(n-i)} \Delta^{\frac{i}{2}} U_k^i V_{ik+m} + \sum_{\substack{i=1\\i \text{ odd}}}^{n} \binom{n}{i} q^{k(n-i)} \Delta^{\frac{i+1}{2}} U_k^i U_{ik+m} = V_{2nk+m}, \tag{5.14}$$

$$\sum_{i=0}^{2n} {2n \choose i} 2^i \Delta^{\frac{2n-i}{2}} U_k^{2n-i} V_{ik+m} - \sum_{i=1}^{2n-1} {2n \choose i} 2^i \Delta^{\frac{2n+1-i}{2}} U_k^{2n-i} U_{ik+m} = V_k^{2n} V_m, \tag{5.15}$$

$$\sum_{\substack{i=1\\i \text{ odd}}}^{2n+1} {2^{i} \Delta^{\frac{2n+3-i}{2}} U_{k}^{2n+1-i} U_{ik+m}} - \sum_{\substack{i=0\\i \text{ even}}}^{2n} {2^{n+1} \choose i} 2^{i} \Delta^{\frac{2n+2-i}{2}} U_{k}^{2n+1-i} V_{ik+m} = \Delta V_{k}^{2n+1} U_{m}.$$
 (5.16)

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