## Verification of infinite state systems

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| Introd  | luction |
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| 1111100 | luction |

Basic results and techniques for MSO

Context-free and prefix-recognizable graphs

Go

#### In this part

We present basic results and techniques related to the decidability of *MSO-theories* of infinite transition systems.

- Automaton-based approaches
  - decidability of the MSO-theory of a finite (discrete) line
  - Büchi's Theorem

(decidability of the MSO-theory of the semi-infinite line)

• Rabin's Theorem

(decidability of the MSO-theory of the infinite binary tree)

- Transformational approaches
  - interpretations, inverse mappings, markings
  - unfoldings
  - Caucal hierarchy

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The automaton-based approach - The finite line

## Consider a finite line $\mathcal{L}_n = (\{1, ..., n\}, \delta)$



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We expand  $\mathcal{L}_n$  by **unary predicates**  $P_1, ..., P_m \subseteq \{1, ..., n\}$ and we obtain a colored line  $\mathcal{L}_{n\bar{P}} = (\{1, ..., n\}, \delta, P_1, ..., P_m)$  Basic results and techniques for MSO

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We encode  $\mathcal{L}_{n,\bar{P}}$  by a **finite word**  $w_{n,\bar{P}}$  over  $\mathbb{B}^m = \{0,1\}^m$  such that

$$|w_{n,\bar{P}}| = n$$
$$w_{n,\bar{P}}[i] = (b_1, ..., b_m) \quad \text{where } b_j = \begin{cases} 1 & \text{if } i \in P_j \\ 0 & \text{if } i \notin P_j \end{cases}$$

#### Example

The colored line  $\mathcal{L}_{10,\bar{P}} = (\{1, ..., 10\}, \delta, P_{even}, P_{prime})$ is encoded by  $w_{10,\bar{P}} = \binom{0}{0}\binom{1}{1}\binom{0}{1}\binom{1}{0}\binom{0}{1}\binom{1}{0}\binom{0}{1}\binom{1}{0}\binom{0}{0}\binom{1}{0}$ .

W.l.o.g. we can think of each *FO-variable* x in an MSO-formula  $\psi$  as an *MSO-variable* X which stands for the singleton  $\{x\}$ .

⇒ we can get rid of FO-variables (by taking  $\delta(X_i, X_j)$ , with  $X_i, X_j$  singletons, and  $X_i \subseteq X_i$  as atomic formulas)

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We evaluate an MSO-formula  $\psi$  with free variables  $X_1, ..., X_m$ over the finite line expanded by any tuple of unary predicates  $P_1, ..., P_m$ :  $\mathcal{L}_{n \,\overline{P}} \stackrel{?}{\vDash} \psi[P_1/X_1, ..., P_m/X_m]$ 

The above problem can be reduced to the (decidable) acceptance problem for finite state automata.

#### Theorem (Acceptance problem)

For any MSO-formula  $\psi$  with free variables  $X_1, ..., X_m$ , one can compute a **finite state automaton**  $\mathcal{A}_{\psi}$  over  $\mathbb{B}^m$ such that, for every n-length colored line  $\mathcal{L}_{n,\bar{P}}$ 

 $\mathcal{L}_{n,\bar{P}} \vDash \psi[P_1/X_1, ..., P_m/X_m] \quad iff \quad w_{n,\bar{P}} \in \mathscr{L}(\mathcal{A}_{\psi})$ 

Intuitively: the words accepted by the automaton  $\mathcal{A}_{\psi}$  are all and only the encodings of the linear models of the formula  $\psi$ .

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Note: if  $\psi$  contains no free variables (m = 0), then  $A_{\psi}$  is an **input-free** automaton.

#### Corollary

The MSO-theory of a finite line  $\mathcal{L}_n = (\{1, ..., n\}, \delta)$  is reducible to the (decidable) acceptance problem for finite state automata.

#### Proof of the theorem

By *induction on the structure* of the formula  $\psi$ :

• if 
$$\psi = \delta(X_1, X_2)$$
, then  $\mathcal{A}_{\psi} := \xrightarrow{\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}} \circ \xrightarrow{\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}} \circ \xrightarrow{\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}} \circ \xrightarrow{\begin{pmatrix} 0 \\ 0 \end{pmatrix}} \circ \xrightarrow{\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}} \circ \xrightarrow{\begin{pmatrix} 0 \\ 0 \end{pmatrix}} \circ \xrightarrow{$ 

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The automaton-based approach - The finite line

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• if 
$$\psi = \varphi_1 \lor \varphi_2$$
, then  $\mathcal{A}_{\psi} := \mathcal{A}_{\varphi_1} \cup \mathcal{A}_{\varphi_2}$ 

• if 
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, then  $\mathcal{A}_\psi$  is the complement automaton of  $\mathcal{A}_arphi$ 

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if ψ = ∃ X<sub>i</sub>. φ(X<sub>1</sub>, ..., X<sub>i</sub>, ..., X<sub>m</sub>), then A<sub>ψ</sub> is obtained from A<sub>φ</sub> by removing the *i*-th component of each input symbol

 $\begin{pmatrix} 0\\1\\-1\\0 \end{pmatrix}$   $\begin{pmatrix} 1\\0\\-0\\0 \end{pmatrix}$ 

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What about the **semi-infinite line**  $\mathcal{L}_{\omega} = (\mathbb{N}, \delta)$  ?

## Basic ingredients

- We need to use infinite words, rather than finite ones, to encode expansions of L<sub>ω</sub> by unary predicates
- We need to introduce a suitable class of automata working on infinite words: **Büchi automata**!

#### Definition (Büchi automaton)

It is a non-deterministic finite state automaton that accepts an infinite word w iff there is a run  $\rho$  on w such that  $\mathcal{I}nf(\rho) \cap F \neq \emptyset$  (' $\rho$  contains at least one final state that occurs infinitely often').



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#### Lemma (Büchi '62)

Büchi automata are effectively closed under union, intersection, complementation, and projection.

#### Theorem (Büchi '62)

For any MSO-formula  $\psi$  with free variables  $X_1, ..., X_m$ , one can compute a Büchi automaton  $\mathcal{A}_{\psi}$  over  $\mathbb{B}^m$  such that, for every tuple of unary predicates  $P_1, ..., P_m \subseteq \mathbb{N}$ 

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Note: if  $\psi$  contains no free variables (m = 0)then  $\mathcal{A}_{\psi}$  is input free and it recognizes either the empty language or a singleton.

#### Corollary

The MSO-theory of the semi-infinite line  $\mathcal{L} = (\mathbb{N}, \delta)$  is reducible to the (decidable) emptiness problem for Büchi automata.

What about MSO-theories of branching structures, in particular, of the **infinite binary tree**  $\mathcal{T}_2 = (\mathbb{B}^*, \delta_0, \delta_1)$ ?



In analogy to the previous cases, we shall describe an automaton-based method to decide the MSO-theory of  $T_2$ .

Now, expansions of the infinite binary tree  $\mathcal{T}_2$  with unary predicates  $P_1, ..., P_m \subseteq \mathbb{B}^*$  are encoded by  $\mathbb{B}^m$ -colored trees.

#### Example

The expanded tree  $(\mathbb{B}^*, \delta_0, \delta_1, P)$ , where  $P = \{ \text{left successors} \}$ , is encoded by the colored tree  $\mathcal{T}_{2,P}$ 



We need a suitable class of automata running on *colored trees*, rather than words: **Rabin tree automata**!

#### Definition (Rabin tree automaton)

## A Rabin tree automaton is a tuple

$$\mathcal{A} = ig(Q, C, \Delta, q_0, \{(G_1, F_1), ..., (G_k, F_k)\}ig)$$
, where:

- Q is a finite set of states
- C is a finite set of vertex colors (e.g.,  $\mathbb{B}^m$ )
- $\Delta \subseteq Q \times C \times Q \times Q$  is a transition relation
- $q_0 \in Q$  is the initial state
- for all  $1 \le i \le k$ ,  $(G_i, F_i)$  is an accepting pair, with  $G_i, F_i \subseteq Q$ .

How does a Rabin tree automaton  $\mathcal A$  accept a colored tree?

### Definition (Successful run)

A successful run of A on an infinite binary C-colored tree T is an infinite binary Q-colored tree R such that:

•  $\mathcal{R}(\varepsilon) = q_0$ 

'the state at the root is the initial state of  $\mathcal{A}$ '

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for every vertex v, (R(v), T(v), R(v ⋅ 0), R(v ⋅ 1)) ∈ Δ
'if A lies at v with color c = T(v) and state q = R(v), A can associate the states q' = R(v ⋅ 0), q'' = R(v ⋅ 1) with the two successors of v iff (q, c, q', q'') is a valid transition'

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   the two successors of v iff (q, c, q', q'') is a valid transition'
- for every infinite path π, there is 1 ≤ i ≤ k such that *Inf*(*R*|π) ∩ *G<sub>i</sub>* ≠ Ø and *Inf*(*R*|π) ∩ *F<sub>i</sub>* = Ø 'at least one state of *G<sub>i</sub>* occurs infinitely often in *R* along π' and 'all states of *F<sub>i</sub>* occur only finitely often in *R* along π'

#### Example



- two states, r and b, that keep track of which color was seen last
- transitions (*r*, *red*, *r*, *r*), (*b*, *red*, *r*, *r*), (*r*, *blue*, *b*, *b*), (*b*, *blue*, *b*, *b*)
- a single accepting pair  $(G_1, F_1)$ , with  $G_1 = \{b\}$ ,  $F_1 = \{r\}$

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- a single accepting pair  $(G_1, F_1)$ , with  $G_1 = \{b\}$ ,  $F_1 = \{r\}$
- ⇒ A accepts those trees whose paths encompass only finitely many red-colored vertices

#### Lemma (Rabin '69)

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#### Corollary

The MSO-theory of the infinite binary tree  $(\mathbb{B}^*, \delta_0, \delta_1)$  is reducible to the (decidable) emptiness problem for Rabin tree automata.
The automaton-based approach – The infinite tree

#### Summing up, we have the following decidability results:

| MSO-theory | Model              | Automata              |
|------------|--------------------|-----------------------|
| S1S        | finite line        | finite state automata |
| S1S        | semi-infinite line | Büchi automata        |
| S2S        | infinite tree      | Rabin tree automata   |

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What next?

to find infinite transition systems *in between* the infinite tree and the infinite grid that enjoy a decidable MSO-theory.

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- We start from a structure T that enjoys a decidable MSO-theory (e.g., the infinite binary tree)
- We iterate the above construction to generate more and more structures

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A noticeable class of transformations that preserve decidability of MSO-theories is the class of **MSO-compatible transformations**.

## Definition (MSO-compatible transformation)

A transformation t for transition systems is said to be **MSO-compatible** if for any transition system  $\mathcal{T}$  and any MSO-sentence  $\psi$  over  $t(\mathcal{T})$ , one can compute an MSO-sentence  $\psi$  over  $\mathcal{T}$  (which depends on  $\psi$  only) such that

$$t(\mathcal{T}) \vDash \psi$$
 iff  $\mathcal{T} \vDash \overline{\psi}$ 

Intuitively, MSO-compatibility allows one to map a property about t(T) into a corresponding property about T

 $\Rightarrow \text{ If } \mathcal{T} \text{ has a decidable MSO-theory,} \\ \text{ then } t(\mathcal{T}) \text{ has a decidable MSO-theory as well.}$ 

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The first transformation we consider is the MSO-interpretation.



We describe the **infinite ternary tree**  $T_3$  (=  $t(T_2)$ ) inside  $T_2$ :



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The transformational approach - MSO-interpretation



We describe the **infinite ternary tree**  $T_3$  (=  $t(T_2)$ ) inside  $T_2$ :

• we select some vertices of  $\mathcal{T}_2$  (black-colored ones)

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 $\psi_{dom}(x) := \left(\delta_0 \cup (\delta_1 \circ \delta_0) \cup (\delta_1 \circ \delta_1)\right)^* (\varepsilon, x)$ 







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• we then define the successor relations  $\delta'_0, \delta'_1, \delta'_2$  of  $\mathcal{T}_3$  $\psi_{\delta'_0}(x, y) := \delta_0(x, y) \qquad \psi_{\delta'_{i+1}}(x, y) := (\delta_1 \circ \delta_i)(x, y)$ 



Any MSO-formula  $\phi$  over  $\mathcal{T}_3$  can be mapped into a corresponding formula  $\overleftarrow{\phi}$  over  $\mathcal{T}_2$ .

For instance, the formula  $\phi = \forall x. \exists y. \delta_2(x, y)$  becomes  $\overleftarrow{\phi} = \forall x. (\psi_{dom}(x) \rightarrow \exists y. (\psi_{dom}(y) \land \psi_{\delta'_2}(x, y)))$  C

The transformational approach – MSO-interpretation

# Definition (MSO-interpretation) An **MSO-interpretation** is a tuple of MSO-formulas

$$\underbrace{\psi_{dom}(x)}_{\text{lomain formula}} \qquad \underbrace{\psi_{b_1}(x, y) \dots \psi_{b_k}(x, y)}_{\text{edge formulas}} \qquad \underbrace{\psi_{d_1}(x) \dots \psi_{d_m}(x)}_{\text{color formulas}}$$

It defines a *B*-labeled *D*-colored structure T'*inside* an *A*-labeled *C*-colored structure T as follows:

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 if ψ<sub>dj</sub>(x) holds in T by interpreting x as v, then v is a d<sub>j</sub>-colored vertex of T'

#### Theorem

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## Proof (sketch)

Rewrite a given MSO-sentence  $\psi$  over  $\mathcal{T}'$  into a corresponding MSO-sentence  $\psi$  over  $\mathcal{T}$ :

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MSO-interpretations are MSO-compatible.

## Proof (sketch)

Rewrite a given MSO-sentence  $\psi$  over  $\mathcal{T}'$  into a corresponding MSO-sentence  $\psi$  over  $\mathcal{T}$ :

• if 
$$\psi = \delta'_{b_i}(x, y)$$
, then  $\overleftarrow{\psi} := \psi_{b_i}(x, y)$   
• if  $\psi = P_{d_i}(x)$ , then  $\overleftarrow{\psi} := \psi_{d_i}(x)$   
• if  $\psi = \exists x. \varphi(x)$ , then  $\overleftarrow{\psi} := \exists x. (\psi_{dom}(x) \land \overleftarrow{\varphi}(x))$   
• ...

#### Corollary

The infinite ternary tree  $T_3$  has a decidable MSO-theory.

Most MSO-formulas *with two free variables* can be conveniently written as **regular** (path) **expressions**:

- let A and C be disjoint sets of edge labels and vertex colors
- for each label a ∈ A, we introduce an inverse label ā denoting a-labeled edges traversed in backward direction
- we describe paths traversing edges in both directions by words over the alphabet  $A \cup \overline{A} \cup C$

#### Example

The set of paths on an A-labeled C-colored transition system that

- start from a vertex with color c
- traverse a sequence of edges labeled with a
- reach a vertex colored with c'
- and finally traverse a edge labeled with a' in backward direction

is described by the regular expression  $c \cdot a^* \cdot c' \cdot \overline{a}'$ 

#### Fact

Regular path expressions are **shorthands** of (a subset of) MSO-formulas with two free variables.

For instance:

- the expression a abbreviates ψ(x, y) := δ<sub>a</sub>(x, y)
- the expression a · a' abbreviates ψ(x, y) := ∃ z. δ<sub>a</sub>(x, z) ∧ δ<sub>a'</sub>(z, y)
- the expression  $a + \overline{a}'$ abbreviates  $\psi(x, y) := \delta_a(x, y) \lor \delta_{a'}(y, x)$
- the expression a<sup>\*</sup>
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Note: the converse is not true in the general case (e.g.,  $\psi(x,y) := \nexists z. (\delta_0(z,x) \lor \delta_1(z,y)) \land \nexists z. (\delta_0(y,z) \lor \delta_1(y,z))).$ 

However, regular path expressions suffice for most cases.

For the usual MSO-interpretations, we can replace every edge formula  $\psi_b(x, y)$  with a regular path expression, namely, a regular language over  $A \cup \overline{A} \cup C$ .

Definition (Inverse rational mapping)

A rational mapping is a function  $h: B \to \mathscr{P}((A \cup \overline{A} \cup C)^*)$ such that  $\forall b \in B$ , h(b) is a regular language over  $A \cup \overline{A} \cup C$ .

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The 'inverse'  $h^{-1}$  of h (inverse rational mapping) can be applied to an A-labeled transition system  $\mathcal{T}$  to produce the B-labeled transition system  $h^{-1}(\mathcal{T})$  such that:

- $h^{-1}(\mathcal{T})$  has the same vertices of  $\mathcal{T}$
- (u, v) is a *b*-labeled edge of  $h^{-1}(\mathcal{T})$  iff  $\mathcal{T}$  contains a *w*-marked path from *u* to *v*, for some  $w \in h(b)$ .

Basic results and techniques for MSO

Context-free and prefix-recognizable graphs

The transformational approach - Inverse rational mappings

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**Colored** semi-infinite line  $\mathcal{L}_{\omega} = (\mathbb{N}, \delta_{a}, P_{even}, P_{odd}, P_{0})$ 

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The transformational approach - Inverse rational mappings

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$$\Rightarrow \quad h(b) = blue \cdot a \cdot a + red \cdot \overline{a} \cdot \overline{a} + 0 \cdot a \cdot a + \overline{a} \cdot 0$$

The transformational approach – Rational markings

Similarly, color formulas can be replaced with rational markings:

### Definition (Rational marking)

A rational marking is a function  $k : D \to \mathscr{P}((A \cup \overline{A} \cup C)^*)$ such that  $\forall d \in D, k(d)$  is a regular language over  $A \cup \overline{A} \cup C$ .

It induces a recoloring of the rooted transition system  $\mathcal T$  as follows:

 for each d ∈ D, the color d is assigned to all vertices v of T such that there is a w-marked path from the root to v, for some w ∈ k(d). The transformational approach – Rational markings

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#### Example (Rational marking)

The {pos, neg, 0}-coloring of the bi-infinite line is encoded in the rooted semi-infinite line  $\mathcal{L}_{\omega} = (\mathbb{N}, \delta_a, P_0)$  via the rational marking k such that

 $k(pos) = 0 \cdot a \cdot a \cdot (a \cdot a)^*$   $k(neg) = 0 \cdot a \cdot (a \cdot a)^*$  k(0) = 0

The transformational approach – Rational markings

Finally, domain formulas can be replaced with rational restrictions:

Definition (Rational restriction)

A rational restriction is specified by a regular language *L* over  $A \cup \overline{A} \cup C$ .

It induces a **restriction**  $\mathcal{T}|_L$  of the **rooted** transition system  $\mathcal{T}$  as follows:

• for each vertex v of  $\mathcal{T}$ , v belongs to  $\mathcal{T}|_L$  iff there is a *w*-marked path from the root to v, for some  $w \in L$ . The transformational approach – Unfoldings

Another useful transformation is the unfolding:

# Definition (Unfolding)

The **unfolding** of a rooted transition system  $\mathcal{T}$  is the tree  $\mathcal{U}nf(\mathcal{T})$  such that:

- the vertices of Unf(T) are all and only the finite paths in T originating from the root
- the edges of Unf(T) are given by the path-extension relation, namely, if π is path in T from the root and π' is the extension of π with an a-labeled edge, then (π, π') is an a-labeled edge in Unf(T)
- the color of a vertex in Unf(T) is the color of the target vertex of the corresponding path in T

The transformational approach – Unfoldings

# Example (unfoldings)











The transformational approach – Unfoldings



⇒ Since finite transition systems enjoy decidable MSO-theories, Muchnik's Theorem subsumes Büchi's and Rabin's theorems (in fact, the proof is strongly based on Rabin's Theorem...) The transformational approach - Caucal hierarchy

We know that MSO-interpretation and unfolding preserve the decidability of MSO-theories of transition systems.

We can start from finite (hence decidable) graphs and iterate MSO-interpretation and unfolding:

 $Graph_0 := \{ \text{finite rooted graphs} \}$   $Tree_{n+1} := \{ \text{trees obtained by unfolding graphs in } Graph_n \}$   $Graph_n := \{ \text{rooted graphs obtained via}$ interpretation from trees in  $Tree_n \}$ 

(e.g.,  $Tree_1 = \{regular trees\}$ )

⇒ a hierarchy of graphs with decidable MSO-theories arises (this is commonly known as Caucal's hierarchy) The transformational approach – Caucal hierarchy

### Example

We start from the finite graph





Context-free and prefix-recognizable graphs

The transformational approach – Caucal hierarchy

### Example

### We unfold it, obtaining the infinite binary tree ...



#### The transformational approach - Caucal hierarchy

### Example



Context-free and prefix-recognizable graphs

The transformational approach - Caucal hierarchy

### Example



The transformational approach – Caucal hierarchy

#### Example





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