# Verification of infinite state systems 

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## In this part

We present basic results and techniques related to the decidability of MSO-theories of infinite transition systems.

- Automaton-based approaches
- decidability of the MSO-theory of a finite (discrete) line
- Büchi's Theorem (decidability of the MSO-theory of the semi-infinite line)
- Rabin's Theorem (decidability of the MSO-theory of the infinite binary tree)
- Transformational approaches
- interpretations, inverse mappings, markings
- unfoldings
- Caucal hierarchy


## Consider a finite line $\mathcal{L}_{n}=(\{1, \ldots, n\}, \delta)$



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We expand $\mathcal{L}_{n}$ by unary predicates $P_{1}, \ldots, P_{m} \subseteq\{1, \ldots, n\}$ and we obtain a colored line $\mathcal{L}_{n, \bar{P}}=\left(\{1, \ldots, n\}, \delta, P_{1}, \ldots, P_{m}\right)$

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We encode $\mathcal{L}_{n, \bar{P}}$ by a finite word $w_{n, \bar{P}}$ over $\mathbb{B}^{m}=\{0,1\}^{m}$ such that

$$
\begin{aligned}
& \left|w_{n, \bar{P}}\right|=n \\
& w_{n, \bar{P}}[i]=\left(b_{1}, \ldots, b_{m}\right) \quad \text { where } b_{j}= \begin{cases}1 & \text { if } i \in P_{j} \\
0 & \text { if } i \notin P_{j}\end{cases}
\end{aligned}
$$

## Example

The colored line $\mathcal{L}_{10, \bar{P}}=\left(\{1, \ldots, 10\}, \delta, P_{\text {even }}, P_{\text {prime }}\right)$ is encoded by $w_{10, \bar{P}}=\binom{0}{0}\binom{1}{1}\binom{0}{1}\binom{1}{0}\binom{0}{1}\binom{1}{0}\binom{0}{1}\binom{1}{0}\binom{0}{0}\binom{1}{0}$.
W.I.o.g. we can think of each FO-variable $x$ in an MSO-formula $\psi$ as an MSO-variable $X$ which stands for the singleton $\{x\}$.
$\Rightarrow$ we can get rid of FO-variables
(by taking $\delta\left(X_{i}, X_{j}\right)$, with $X_{i}, X_{j}$ singletons, and $X_{i} \subseteq X_{j}$ as atomic formulas)
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We evaluate an MSO-formula $\psi$ with free variables $X_{1}, \ldots, X_{m}$ over the finite line expanded by any tuple of unary predicates $P_{1}, \ldots, P_{m}$ :

$$
\mathcal{L}_{n, \bar{P}} \stackrel{?}{=} \psi\left[P_{1} / X_{1}, \ldots, P_{m} / X_{m}\right]
$$

The above problem can be reduced to the (decidable) acceptance problem for finite state automata.

## Theorem (Acceptance problem)

For any MSO-formula $\psi$ with free variables $X_{1}, \ldots, X_{m}$, one can compute a finite state automaton $\mathcal{A}_{\psi}$ over $\mathbb{B}^{m}$ such that, for every $n$-length colored line $\mathcal{L}_{n, \bar{P}}$

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\mathcal{L}_{n, \bar{P}} \vDash \psi\left[P_{1} / X_{1}, \ldots, P_{m} / X_{m}\right] \quad \text { iff } \quad w_{n, \bar{P}} \in \mathscr{L}\left(\mathcal{A}_{\psi}\right)
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Note: if $\psi$ contains no free variables $(m=0)$, then $\mathcal{A}_{\psi}$ is an input-free automaton.

## Corollary

The MSO-theory of a finite line $\mathcal{L}_{n}=(\{1, \ldots, n\}, \delta)$ is reducible to the (decidable) acceptance problem for finite state automata.

## Proof of the theorem

By induction on the structure of the formula $\psi$ :

- if $\psi=\delta\left(X_{1}, X_{2}\right)$, then $\mathcal{A}_{\psi}:=$



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- if $\psi=\exists X_{i} . \varphi\left(X_{1}, \ldots, X_{i}, \ldots, X_{m}\right)$, then $\mathcal{A}_{\psi}$ is obtained from $\mathcal{A}_{\varphi}$ by removing the $i$-th component of each input symbol


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What about the semi-infinite line $\mathcal{L}_{\omega}=(\mathbb{N}, \delta)$ ?

## Basic ingredients

- We need to use infinite words, rather than finite ones, to encode expansions of $\mathcal{L}_{\omega}$ by unary predicates
- We need to introduce a suitable class of automata working on infinite words: Büchi automata!


## Definition (Büchi automaton)

It is a non-deterministic finite state automaton that accepts an infinite word $w$ iff there is a run $\rho$ on $w$ such that $\operatorname{Inf}(\rho) \cap F \neq \emptyset$ (' $\rho$ contains at least one final state that occurs infinitely often').

## Example


is a Büchi automaton recognizing the language $\{0,1\}^{*} \cdot\{0\}^{\omega}$ (note: non-determinism is needed)

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## Lemma (Büchi '62)

Büchi automata are effectively closed under union, intersection, complementation, and projection.

## Theorem (Büchi '62)

For any MSO-formula $\psi$ with free variables $X_{1}, \ldots, X_{m}$, one can compute a Büchi automaton $\mathcal{A}_{\psi}$ over $\mathbb{B}^{m}$ such that, for every tuple of unary predicates $P_{1}, \ldots, P_{m} \subseteq \mathbb{N}$

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\mathcal{L}_{\omega, \bar{P}} \vDash \psi\left[P_{1} / X_{1}, \ldots, P_{m} / X_{m}\right] \quad \text { iff } \quad w_{\omega, \bar{P}} \in \mathscr{L}\left(\mathcal{A}_{\psi}\right)
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$$

Note: if $\psi$ contains no free variables $(m=0)$ then $\mathcal{A}_{\psi}$ is input free and it recognizes either the empty language or a singleton.

## Corollary

The MSO-theory of the semi-infinite line $\mathcal{L}=(\mathbb{N}, \delta)$ is reducible to the (decidable) emptiness problem for Büchi automata.

What about MSO-theories of branching structures, in particular, of the infinite binary tree $\mathcal{T}_{2}=\left(\mathbb{B}^{*}, \delta_{0}, \delta_{1}\right)$ ?


In analogy to the previous cases, we shall describe an automaton-based method to decide the MSO-theory of $\mathcal{T}_{2}$.

Now, expansions of the infinite binary tree $\mathcal{T}_{2}$ with unary predicates $P_{1}, \ldots, P_{m} \subseteq \mathbb{B}^{*}$ are encoded by $\mathbb{B}^{m}$-colored trees.

## Example

The expanded tree $\left(\mathbb{B}^{*}, \delta_{0}, \delta_{1}, P\right)$, where $P=\{$ left successors $\}$, is encoded by the colored tree $\mathcal{T}_{2, P}$


We need a suitable class of automata running on colored trees, rather than words: Rabin tree automata!

## Definition (Rabin tree automaton)

A Rabin tree automaton is a tuple
$\mathcal{A}=\left(Q, C, \Delta, q_{0},\left\{\left(G_{1}, F_{1}\right), \ldots,\left(G_{k}, F_{k}\right)\right\}\right)$, where:

- $Q$ is a finite set of states
- $C$ is a finite set of vertex colors (e.g., $\mathbb{B}^{m}$ )
- $\Delta \subseteq Q \times C \times Q \times Q$ is a transition relation
- $q_{0} \in Q$ is the initial state
- for all $1 \leq i \leq k,\left(G_{i}, F_{i}\right)$ is an accepting pair, with $G_{i}, F_{i} \subseteq Q$.

How does a Rabin tree automaton $\mathcal{A}$ accept a colored tree?

## Definition (Successful run)

A successful run of $\mathcal{A}$ on an infinite binary $C$-colored tree $\mathcal{T}$ is an infinite binary $Q$-colored tree $\mathcal{R}$ such that:

- $\mathcal{R}(\varepsilon)=q_{0}$
'the state at the root is the initial state of $\mathcal{A}$ '

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- for every vertex $v,(\mathcal{R}(v), \mathcal{T}(v), \mathcal{R}(v \cdot 0), \mathcal{R}(v \cdot 1)) \in \Delta$ 'if $\mathcal{A}$ lies at $v$ with color $c=\mathcal{T}(v)$ and state $q=\mathcal{R}(v), \mathcal{A}$ can associate the states $q^{\prime}=\mathcal{R}(v \cdot 0), q^{\prime \prime}=\mathcal{R}(v \cdot 1)$ with the two successors of $v$ iff $\left(q, c, q^{\prime}, q^{\prime \prime}\right)$ is a valid transition'

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- for every infinite path $\pi$, there is $1 \leq i \leq k$ such that $\operatorname{Inf}(\mathcal{R} \mid \pi) \cap G_{i} \neq \emptyset$ and $\operatorname{Inf}(\mathcal{R} \mid \pi) \cap F_{i}=\emptyset$ 'at least one state of $G_{i}$ occurs infinitely often in $\mathcal{R}$ along $\pi$ ' and 'all states of $F_{i}$ occur only finitely often in $\mathcal{R}$ along $\pi$ '


## Example

Consider the $\{$ red, blue $\}$-colored tree

and the Rabin tree automaton having

- two states, $r$ and $b$, that keep track of which color was seen last
- transitions ( $r$, red, $r, r$ ), ( $b$, red, $r, r$ ),

$$
(r, b l u e, b, b),(b, b l u e, b, b)
$$

- a single accepting pair $\left(G_{1}, F_{1}\right)$, with $G_{1}=\{b\}, F_{1}=\{r\}$


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- a single accepting pair $\left(G_{1}, F_{1}\right)$, with $G_{1}=\{b\}, F_{1}=\{r\}$
$\Rightarrow \mathcal{A}$ accepts those trees whose paths encompass only finitely many red-colored vertices


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\left(\mathbb{B}^{*}, \delta_{0}, \delta_{1}, \bar{P}\right) \vDash \psi\left[P_{1} / X_{1}, \ldots, P_{m} / X_{m}\right] \quad \text { iff } \quad \mathcal{T}_{2, \bar{P}} \in \mathscr{L}\left(\mathcal{A}_{\psi}\right)
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## Corollary

The MSO-theory of the infinite binary tree $\left(\mathbb{B}^{*}, \delta_{0}, \delta_{1}\right)$ is reducible to the (decidable) emptiness problem for Rabin tree automata.

Summing up, we have the following decidability results:

| MSO-theory | Model | Automata |
| :---: | :---: | :---: |
| S1S | finite line | finite state automata |
| S1S | semi-infinite line | Büchi automata |
| S2S | infinite tree | Rabin tree automata |

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What next?
to find infinite transition systems in between the infinite tree and the infinite grid that enjoy a decidable MSO-theory.

## Basic ingredients of the transformational approach:

(1) We start from a structure $\mathcal{T}$ that enjoys a decidable MSO-theory (e.g., the infinite binary tree)
(2) We apply to $\mathcal{T}$ a suitable transformation that preserves the decidability of MSO-theories (e.g., interpretation), thus obtaining a new (decidable) structure $\mathcal{T}^{\prime}$
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A noticeable class of transformations that preserve decidability of MSO-theories is the class of MSO-compatible transformations.

## Definition (MSO-compatible transformation)

A transformation $t$ for transition systems is said to be MSO-compatible if for any transition system $\mathcal{T}$ and any
MSO-sentence $\psi$ over $t(\mathcal{T})$, one can compute an
MSO-sentence $\overleftarrow{\psi}$ over $\mathcal{T}$ (which depends on $\psi$ only) such that

$$
t(\mathcal{T}) \vDash \psi \quad \text { iff } \quad \mathcal{T} \vDash \overleftarrow{\psi}
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Intuitively, MSO-compatibility allows one to map a property about $t(\mathcal{T})$ into a corresponding property about $\mathcal{T}$
$\Rightarrow$ If $\mathcal{T}$ has a decidable MSO-theory, then $t(\mathcal{T})$ has a decidable MSO-theory as well.

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The first transformation we consider is the MSO-interpretation.

## Example (MSO-interpretation)

Consider the infinite binary tree $\mathcal{T}_{2}$.


We describe the infinite ternary tree $\mathcal{T}_{3}\left(=t\left(\mathcal{T}_{2}\right)\right)$ inside $\mathcal{T}_{2}$ :

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\psi_{\mathrm{dom}}(x):=\left(\delta_{0} \cup\left(\delta_{1} \circ \delta_{0}\right) \cup\left(\delta_{1} \circ \delta_{1}\right)\right)^{*}(\varepsilon, x)
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- we then define the successor relations $\delta_{0}^{\prime}, \delta_{1}^{\prime}, \delta_{2}^{\prime}$ of $\mathcal{T}_{3}$

$$
\psi_{\delta_{0}^{\prime}}(x, y):=\delta_{0}(x, y) \quad \psi_{\delta_{i+1}^{\prime}}(x, y):=\left(\delta_{1} \circ \delta_{i}\right)(x, y)
$$

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Consider the infinite binary tree $\mathcal{T}_{2}$.


Any MSO-formula $\phi$ over $\mathcal{T}_{3}$ can be mapped into a corresponding formula $\overleftarrow{\phi}$ over $\mathcal{T}_{2}$.

For instance, the formula $\phi=\forall x . \exists y . \delta_{2}(x, y)$ becomes $\overleftarrow{\phi}=\forall x \cdot\left(\psi_{\text {dom }}(x) \rightarrow \exists y \cdot\left(\psi_{\text {dom }}(y) \wedge \psi_{\delta_{2}^{\prime}}(x, y)\right)\right)$

## Definition (MSO-interpretation)

An MSO-interpretation is a tuple of MSO-formulas


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- if $\psi_{d_{j}}(x)$ holds in $\mathcal{T}$ by interpreting $x$ as $v$, then $v$ is a $d_{j}$-colored vertex of $\mathcal{T}^{\prime}$

Theorem
MSO-interpretations are MSO-compatible.

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## Proof (sketch)

Rewrite a given MSO-sentence $\underset{\leftarrow}{\psi}$ over $\mathcal{T}^{\prime}$ into a corresponding MSO-sentence $\bar{\psi}$ over $\mathcal{T}$ :

- if $\psi=\delta_{b_{i}}^{\prime}(x, y)$, then $\overleftarrow{\psi}:=\psi_{b_{i}}(x, y)$
- if $\psi=P_{d_{i}}(x)$, then $\overleftarrow{\psi}:=\psi_{d_{i}}(x)$
- if $\psi=\exists x . \varphi(x)$, then $\overleftarrow{\psi}:=\exists x .\left(\psi_{\text {dom }}(x) \wedge \overleftarrow{\varphi}(x)\right)$
- ...


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## Corollary

The infinite ternary tree $\mathcal{T}_{3}$ has a decidable MSO-theory.

Most MSO-formulas with two free variables can be conveniently written as regular (path) expressions:

- let $A$ and $C$ be disjoint sets of edge labels and vertex colors
- for each label $a \in A$, we introduce an inverse label $\bar{a}$ denoting a-labeled edges traversed in backward direction
- we describe paths traversing edges in both directions by words over the alphabet $A \cup \bar{A} \cup C$


## Example

The set of paths on an $A$-labeled $C$-colored transition system that

- start from a vertex with color $c$
- traverse a sequence of edges labeled with a
- reach a vertex colored with $c^{\prime}$
- and finally traverse a edge labeled with $a^{\prime}$ in backward direction
is described by the regular expression $c \cdot a^{*} \cdot c^{\prime} \cdot \bar{a}^{\prime}$


## Fact

Regular path expressions are shorthands of (a subset of) MSO-formulas with two free variables.

For instance:

- the expression a abbreviates $\psi(x, y):=\delta_{a}(x, y)$
- the expression $a \cdot a^{\prime}$ abbreviates $\psi(x, y):=\exists z . \delta_{a}(x, z) \wedge \delta_{a^{\prime}}(z, y)$
- the expression $a+\bar{a}^{\prime}$ abbreviates $\psi(x, y):=\delta_{a}(x, y) \vee \delta_{a^{\prime}}(y, x)$
- the expression $a^{*}$ abbreviates $\psi(x, y):=\delta_{a}^{*}(x, y)$


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- the expression $a^{*}$ abbreviates $\psi(x, y):=\delta_{a}^{*}(x, y)$

Note: the converse is not true in the general case (e.g., $\left.\psi(x, y):=\nexists z .\left(\delta_{0}(z, x) \vee \delta_{1}(z, y)\right) \wedge \nexists z .\left(\delta_{0}(y, z) \vee \delta_{1}(y, z)\right)\right)$.

However, regular path expressions suffice for most cases.

For the usual MSO-interpretations, we can replace every edge formula $\psi_{b}(x, y)$ with a regular path expression, namely, a regular language over $A \cup \bar{A} \cup C$.

## Definition (Inverse rational mapping)

A rational mapping is a function $h: B \rightarrow \mathscr{P}\left((A \cup \bar{A} \cup C)^{*}\right)$ such that $\forall b \in B, h(b)$ is a regular language over $A \cup \bar{A} \cup C$.

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The 'inverse' $h^{-1}$ of $h$ (inverse rational mapping) can be applied to an $A$-labeled transition system $\mathcal{T}$ to produce the $B$-labeled transition system $h^{-1}(\mathcal{T})$ such that:

- $h^{-1}(\mathcal{T})$ has the same vertices of $\mathcal{T}$
- $(u, v)$ is a $b$-labeled edge of $h^{-1}(\mathcal{T})$ iff $\mathcal{T}$ contains a $w$-marked path from $u$ to $v$, for some $w \in h(b)$.


## Example (Inverse rational mapping)

Colored semi-infinite line $\mathcal{L}_{\omega}=\left(\mathbb{N}, \delta_{a}, P_{\text {even }}, P_{\text {odd }}, P_{0}\right)$


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$$
\Rightarrow \quad h(b)=\text { blue } \cdot a \cdot a+\mathrm{red} \cdot \bar{a} \cdot \bar{a}+0 \cdot a \cdot a+\bar{a} \cdot 0
$$

Similarly, color formulas can be replaced with rational markings:

## Definition (Rational marking)

A rational marking is a function $k: D \rightarrow \mathscr{P}\left((A \cup \bar{A} \cup C)^{*}\right)$ such that $\forall d \in D, k(d)$ is a regular language over $A \cup \bar{A} \cup C$.

It induces a recoloring of the rooted transition system $\mathcal{T}$ as follows:

- for each $d \in D$, the color $d$ is assigned to all vertices $v$ of $\mathcal{T}$ such that there is a $w$-marked path from the root to $v$, for some $w \in k(d)$.

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## Example (Rational marking)

The $\{p o s, n e g, 0\}$-coloring of the bi-infinite line is encoded in the rooted semi-infinite line $\mathcal{L}_{\omega}=\left(\mathbb{N}, \delta_{a}, P_{0}\right)$ via the rational marking $k$ such that

$$
k(\text { pos })=0 \cdot a \cdot a \cdot(a \cdot a)^{*} \quad k(n e g)=0 \cdot a \cdot(a \cdot a)^{*} \quad k(0)=0
$$

Finally, domain formulas can be replaced with rational restrictions:

Definition (Rational restriction)
A rational restriction is specified by a regular language $L$ over $A \cup \bar{A} \cup C$.

It induces a restriction $\left.\mathcal{T}\right|_{L}$ of the rooted transition system $\mathcal{T}$ as follows:

- for each vertex $v$ of $\mathcal{T}, v$ belongs to $\left.\mathcal{T}\right|_{L}$ iff there is a $w$-marked path from the root to $v$, for some $w \in L$.

Another useful transformation is the unfolding:

## Definition (Unfolding)

The unfolding of a rooted transition system $\mathcal{T}$ is the tree $\operatorname{Unf}(\mathcal{T})$ such that:

- the vertices of $\operatorname{Unf}(\mathcal{T})$ are all and only the finite paths in $\mathcal{T}$ originating from the root
- the edges of $\mathcal{U n f}(\mathcal{T})$ are given by the path-extension relation, namely, if $\pi$ is path in $\mathcal{T}$ from the root and $\pi^{\prime}$ is the extension of $\pi$ with an a-labeled edge, then $\left(\pi, \pi^{\prime}\right)$ is an a-labeled edge $\operatorname{in} \operatorname{Unf}(\mathcal{T})$
- the color of a vertex $\operatorname{in} \operatorname{Unf}(\mathcal{T})$ is the color of the target vertex of the corresponding path in $\mathcal{T}$


## Example (unfoldings)



## Example (unfoldings)



## Example (unfoldings)




0


## Example (unfoldings)





## Example (unfoldings)







## Example (unfoldings)




Theorem (Semenov-Muchnik '84 - proved by Walukiewicz '96)
The unfolding operation is MSO-compatible.
$\Rightarrow$ Since finite transition systems enjoy decidable MSO-theories, Muchnik's Theorem subsumes Büchi's and Rabin's theorems (in fact, the proof is strongly based on Rabin's Theorem...)

We know that MSO-interpretation and unfolding preserve the decidability of MSO-theories of transition systems.

We can start from finite (hence decidable) graphs and iterate MSO-interpretation and unfolding:

$$
\begin{aligned}
\text { Graph }_{0}:= & \{\text { finite rooted graphs }\} \\
\operatorname{Tree}_{n+1}:= & \left\{\text { trees obtained by unfolding graphs in } \text { Graph }_{n}\right\} \\
\text { Graph }_{n}:= & \{\text { rooted graphs obtained via } \\
& \text { interpretation from trees in } \left.\text { Tree }_{n}\right\}
\end{aligned}
$$

(e.g., Tree $_{1}=\{$ regular trees $\}$ )
$\Rightarrow$ a hierarchy of graphs with decidable MSO-theories arises (this is commonly known as Caucal's hierarchy)

## Example

## We start from the finite graph



## Example

We unfold it, obtaining the infinite binary tree ...


## Example

... we apply the rational marking

$$
k(A)=0^{*}, k(B)=0^{*} 1
$$



## Example

... the inverse rational mapping

$$
h(a)=0, h(b)=\overline{1} \overline{0} 1, h(c)=1, h(d)=\overline{1}
$$



## Example

... and finally the rational restriction $L=0^{*}+0^{*} 1$, obtaining the following transition system (do you remember it?)


