



Fundamentos lógicos de bases de datos

(Logical foundations of databases)

Diego Figueira

Gabriele Puppis

CNRS

LaBRI



0-1 Law

A different perspective: a coarser view on expressiveness...



0-1 Law

A different perspective: a coarser view on expressiveness...

How do FO properties distribute among ALL structures?

Or equally, what percentage of graphs verify a given FO sentence?

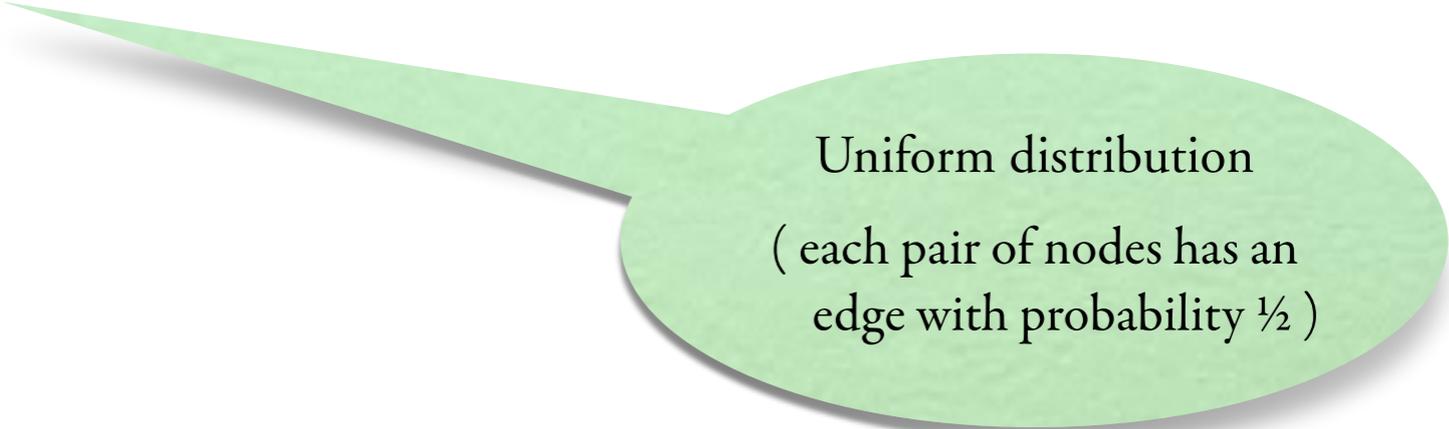


0-1 Law

$\mu_n(\mathbf{P})$ = “the probability that a graph with n nodes satisfies property \mathbf{P} ”

0-1 Law

$\mu_n(\mathbf{P})$ = “the probability that a graph with n nodes satisfies property \mathbf{P} ”



Uniform distribution
(each pair of nodes has an
edge with probability $\frac{1}{2}$)

0-1 Law

$\mu_n(\mathbf{P})$ = “the probability that a graph with n nodes satisfies property \mathbf{P} ”

$\mathbf{C}_n = \{ \text{graphs with } n \text{ nodes} \}$

$$\mu_n(\mathbf{P}) = \frac{|\{G \in \mathbf{C}_n \mid G \models \mathbf{P}\}|}{|\mathbf{C}_n|} = 2^{-n^2}$$

Uniform distribution
(each pair of nodes has an
edge with probability $\frac{1}{2}$)

0-1 Law

$\mu_n(\mathbf{P})$ = “the probability that a graph with n nodes satisfies property \mathbf{P} ”

$\mathbf{C}_n = \{ \text{graphs with } n \text{ nodes} \}$

$$\mu_n(\mathbf{P}) = \frac{|\{G \in \mathbf{C}_n \mid G \models \mathbf{P}\}|}{|\mathbf{C}_n| = 2^{n^2}}$$

Uniform distribution
(each pair of nodes has an
edge with probability $\frac{1}{2}$)

E.g. for $\mathbf{P} =$ “the graph is complete”

$$\mu_3(\mathbf{P}) = \frac{1}{|\mathbf{C}_3|} = \frac{1}{2^{3^2}}$$

0-1 Law

$\mu_n(\mathbf{P})$ = “the probability that a graph with n nodes satisfies property \mathbf{P} ”

$\mathbf{C}_n = \{ \text{graphs with } n \text{ nodes} \}$

$$\mu_n(\mathbf{P}) = \frac{|\{G \in \mathbf{C}_n \mid G \models \mathbf{P}\}|}{|\mathbf{C}_n|} = \frac{|\{G \in \mathbf{C}_n \mid G \models \mathbf{P}\}|}{2^{n^2}}$$

Uniform distribution
(each pair of nodes has an edge with probability $\frac{1}{2}$)

E.g. for $\mathbf{P} = \text{“the graph is complete”}$

$$\mu_3(\mathbf{P}) = \frac{1}{|\mathbf{C}_3|} = \frac{1}{2^{3^2}}$$

$$\mu_\infty(\mathbf{P}) = \lim_{n \rightarrow \infty} \mu_n(\mathbf{P})$$

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Examples:

- $\phi =$ “there is a triangle”

$$\mu_3(\phi) = 1/|C_3| \quad \mu_{3n}(\phi) \geq 1 - (1 - 1/|C_3|)^n \rightarrow 1$$

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Examples:

- $\phi =$ “there is a triangle”
- $\phi =$ “there no 5-clique”

$$\mu_3(\phi) = 1/|C_3| \quad \mu_{3n}(\phi) \geq 1 - (1 - 1/|C_3|)^n \rightarrow 1$$

$$\mu_\infty(\phi) = 0$$

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Examples:

- $\phi =$ “there is a triangle”

$$\mu_3(\phi) = 1/|C_3| \quad \mu_{3n}(\phi) \geq 1 - (1 - 1/|C_3|)^n \rightarrow 1$$

- $\phi =$ “there no 5-clique”

$$\mu_\infty(\phi) = 0$$

- $\phi_H =$ “there is an occurrence of H as induced sub-graph”

$$\mu_\infty(\phi_H) = 1$$

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Examples:

- $\phi =$ “there is a triangle”

$$\mu_3(\phi) = 1/|C_3| \quad \mu_{3n}(\phi) \geq 1 - (1 - 1/|C_3|)^n \rightarrow 1$$

- $\phi =$ “there no 5-clique”

$$\mu_\infty(\phi) = 0$$

- $\phi_H =$ “there is an occurrence of *H as induced sub-graph*”

$$\mu_\infty(\phi_H) = 1$$

- $\phi =$ “even number of edges”

- $\phi =$ “even number of nodes”

Your turn!

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Examples:

- $\phi =$ “there is a triangle”

$$\mu_3(\phi) = 1/|C_3| \quad \mu_{3n}(\phi) \geq 1 - (1 - 1/|C_3|)^n \rightarrow 1$$

- $\phi =$ “there no 5-clique”

$$\mu_\infty(\phi) = 0$$

- $\phi_H =$ “there is an occurrence of *H as induced sub-graph*”

$$\mu_\infty(\phi_H) = 1$$

- $\phi =$ “even number of edges”

$$\mu_\infty(\phi) = 1/2$$

- $\phi =$ “even number of nodes”

Your turn!

$\mu_\infty(\phi)$ not even defined

0-1 Law

Theorem.

[Glebskii et al. '69, Fagin '76]

For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Examples:

- $\phi =$ “there is a triangle”

$$\mu_3(\phi) = 1/|C_3| \quad \mu_{3n}(\phi) \geq 1 - (1 - 1/|C_3|)^n \rightarrow 1$$

- $\phi =$ “there no 5-clique”

$$\mu_\infty(\phi) = 0$$

- $\phi_H =$ “there is an occurrence of *H as induced sub-graph*”

$$\mu_\infty(\phi_H) = 1$$

- $\phi =$ “even number of edges”

$$\mu_\infty(\phi) = 1/2$$

- $\phi =$ “even number of nodes”

$\mu_\infty(\phi)$ not even defined

- $\phi =$ “more edges than nodes”

$\mu_\infty(\phi) = 1$
(yet not FO-definable!)

Your turn!

0-1 Law

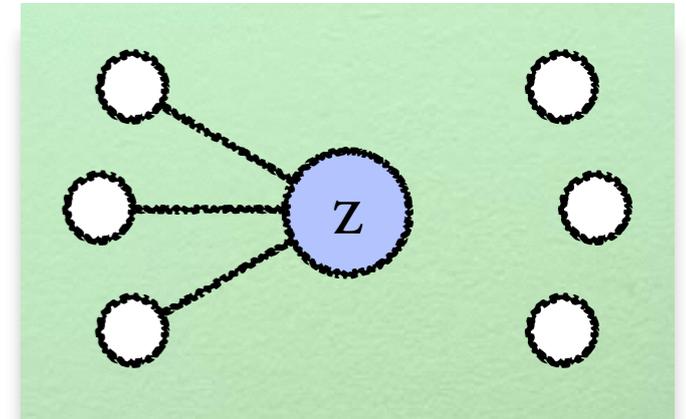


For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Let $k =$ quantifier rank of ϕ

$$\delta_k = \forall x_1, \dots, x_k \forall y_1, \dots, y_k \exists z \bigwedge_{i,j} x_i \neq y_j \wedge E(x_i, z) \wedge \neg E(y_j, z)$$

(Extension Axiom)



0-1 Law

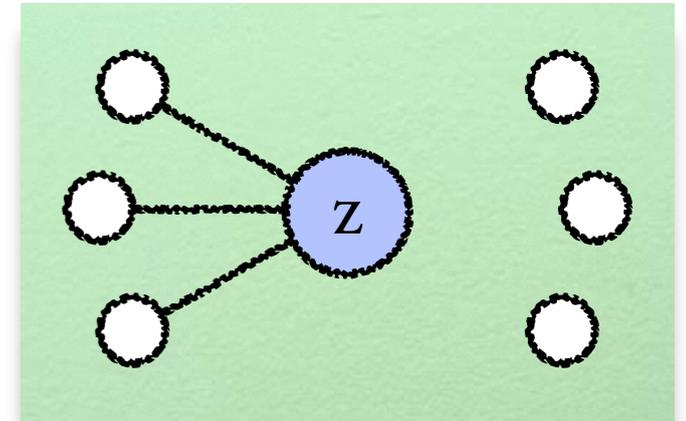


For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Let k = quantifier rank of ϕ

$$\delta_k = \forall x_1, \dots, x_k \forall y_1, \dots, y_k \exists z \bigwedge_{i,j} x_i \neq y_j \wedge E(x_i, z) \wedge \neg E(y_j, z)$$

(Extension Axiom)



Fact 1: If $G \models \delta_k \wedge H \models \delta_k$ then
Duplicator survives k rounds on G, H

0-1 Law

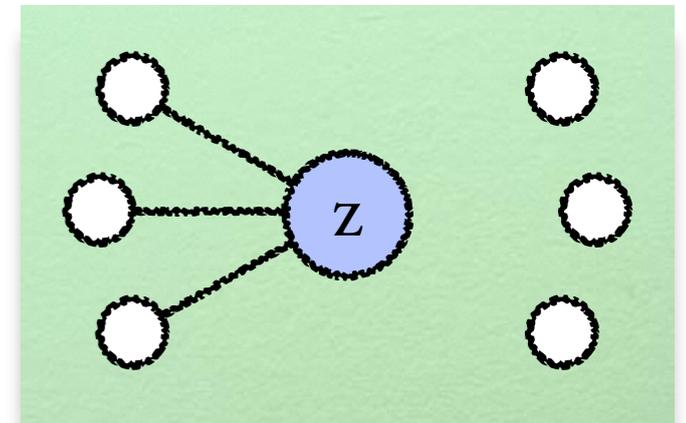


For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Let k = quantifier rank of ϕ

$$\delta_k = \forall x_1, \dots, x_k \forall y_1, \dots, y_k \exists z \bigwedge_{i,j} x_i \neq y_j \wedge E(x_i, z) \wedge \neg E(y_j, z)$$

(Extension Axiom)



Fact 1: If $G \models \delta_k \wedge H \models \delta_k$ then
Duplicator survives k rounds on G, H

Fact 2: $\mu_\infty(\delta_k) = 1$
(δ_k is almost surely true)

0-1 Law

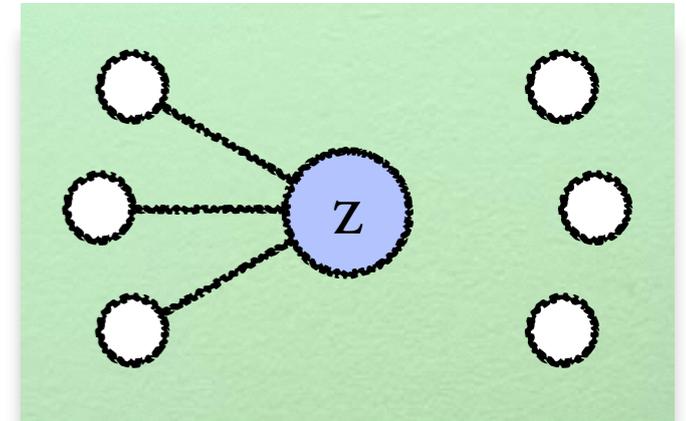


For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Let $k =$ quantifier rank of ϕ

$$\delta_k = \forall x_1, \dots, x_k \forall y_1, \dots, y_k \exists z \bigwedge_{i,j} x_i \neq y_j \wedge E(x_i, z) \wedge \neg E(y_j, z)$$

(Extension Axiom)



Fact 1: If $G \models \delta_k \wedge H \models \delta_k$ then
Duplicator survives k rounds on G, H

Fact 2: $\mu_\infty(\delta_k) = 1$
(δ_k is almost surely true)

2 cases

a) There is G $G \models \delta_k \wedge \phi \Rightarrow$ (by Fact 1) $\forall H$: If $H \models \delta_k$ then $H \models \phi$

Thus, $\mu_\infty(\delta_k) \leq \mu_\infty(\phi)$

\Rightarrow (by Fact 2) $\mu_\infty(\delta_k) = 1$, hence $\mu_\infty(\phi) = 1$

0-1 Law

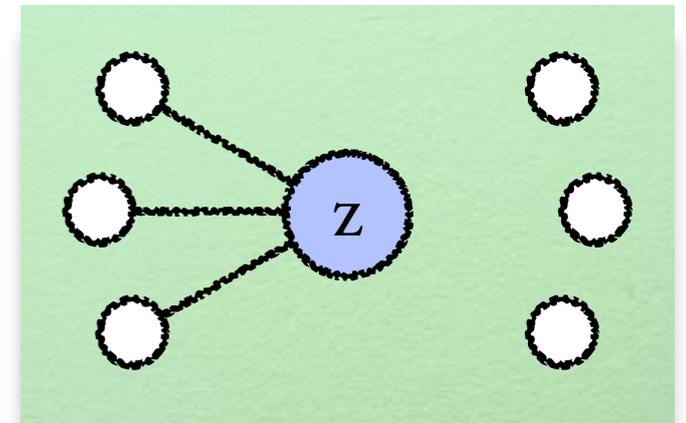


For every *FO sentence* ϕ , $\mu_\infty(\phi)$ is either 0 or 1.

Let k = quantifier rank of ϕ

$$\delta_k = \forall x_1, \dots, x_k \forall y_1, \dots, y_k \exists z \bigwedge_{i,j} x_i \neq y_j \wedge E(x_i, z) \wedge \neg E(y_j, z)$$

(Extension Axiom)



Fact 1: If $G \models \delta_k \wedge H \models \delta_k$ then
Duplicator survives k rounds on G, H

Fact 2: $\mu_\infty(\delta_k) = 1$
(δ_k is almost surely true)

2 cases

a) There is G $G \models \delta_k \wedge \phi \Rightarrow$ (by Fact 1) $\forall H$: If $H \models \delta_k$ then $H \models \phi$

Thus, $\mu_\infty(\delta_k) \leq \mu_\infty(\phi)$

\Rightarrow (by Fact 2) $\mu_\infty(\delta_k) = 1$, hence $\mu_\infty(\phi) = 1$

b) There is no $G \models \delta_k \wedge \phi \Rightarrow$ (by Fact 2) there is $G \models \delta_k$,

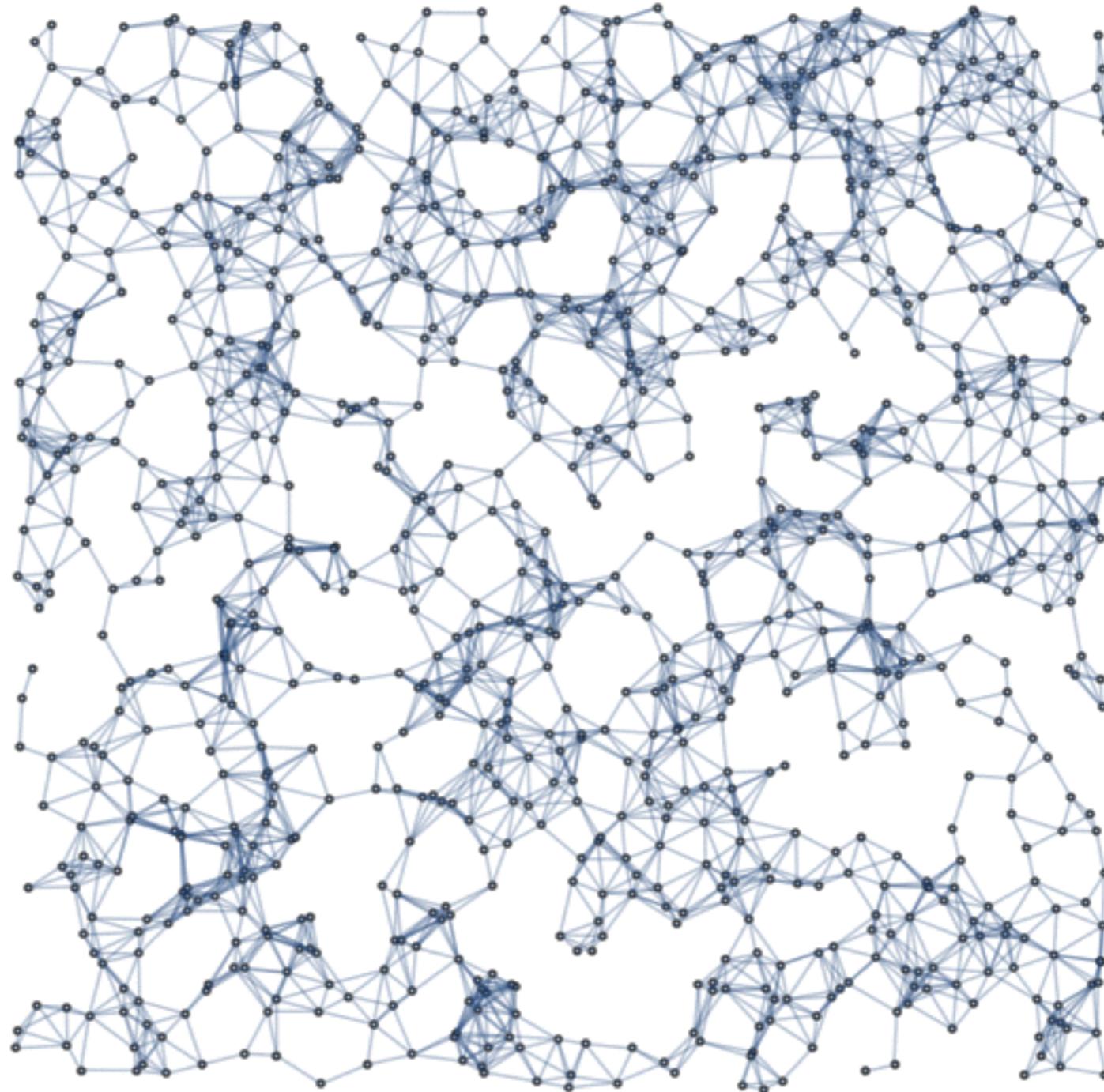
$\Rightarrow G \models \delta_k \wedge \neg\phi \Rightarrow$ (by case a) $\mu_\infty(\neg\phi) = 1$

0-1 Law



For every *FO* sentence ϕ , $\mu_\infty(\phi)$ is either 0 or 1, and this depends on whether $\text{RADO} \models \phi$

RADO =



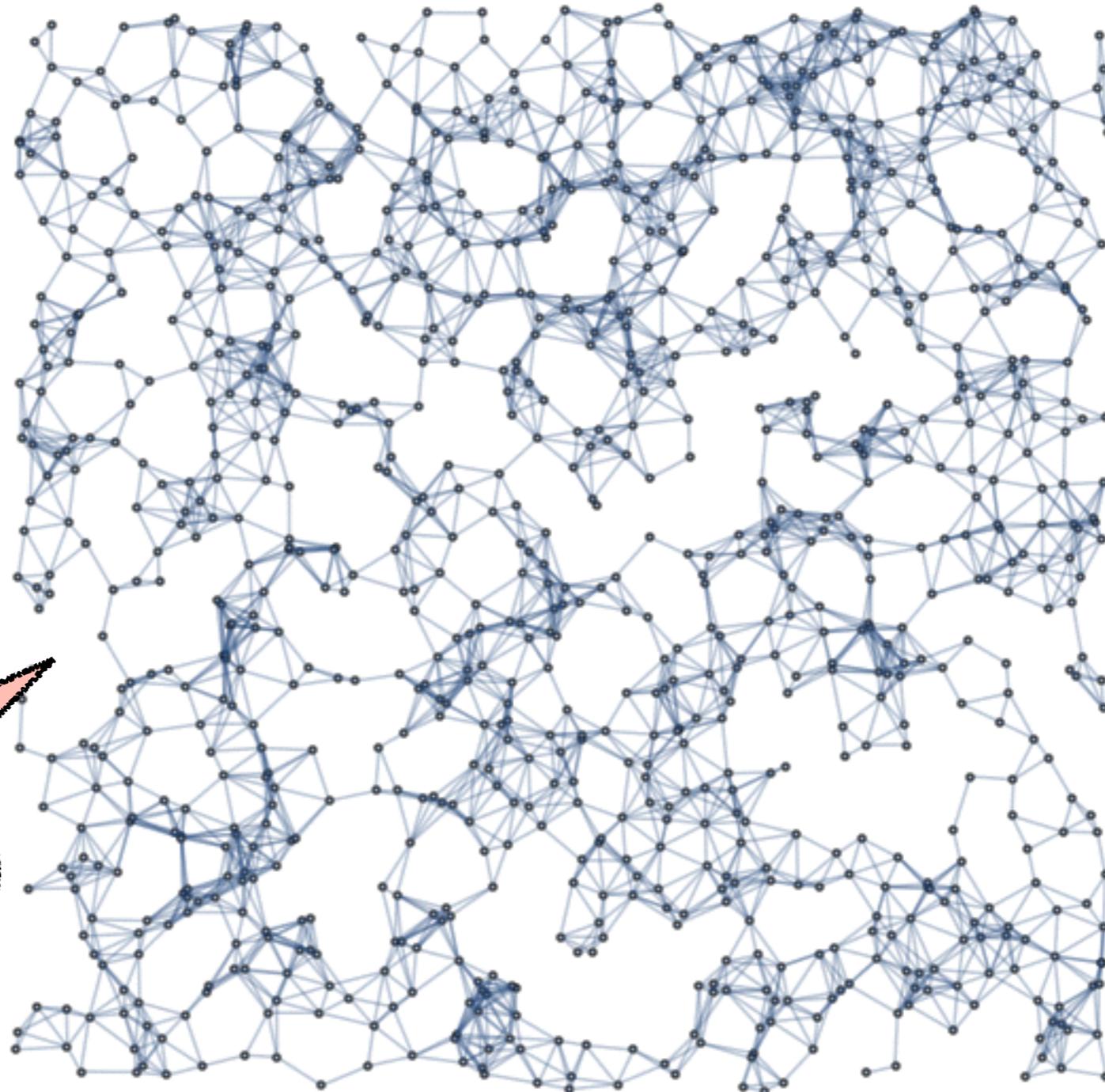
0-1 Law



For every *FO* sentence ϕ , $\mu_\infty(\phi)$ is either 0 or 1, and this depends on whether $\text{RADO} \models \phi$

RADO =

each pair of nodes i, j
is connected with
probability $1/2$



0-1 Law

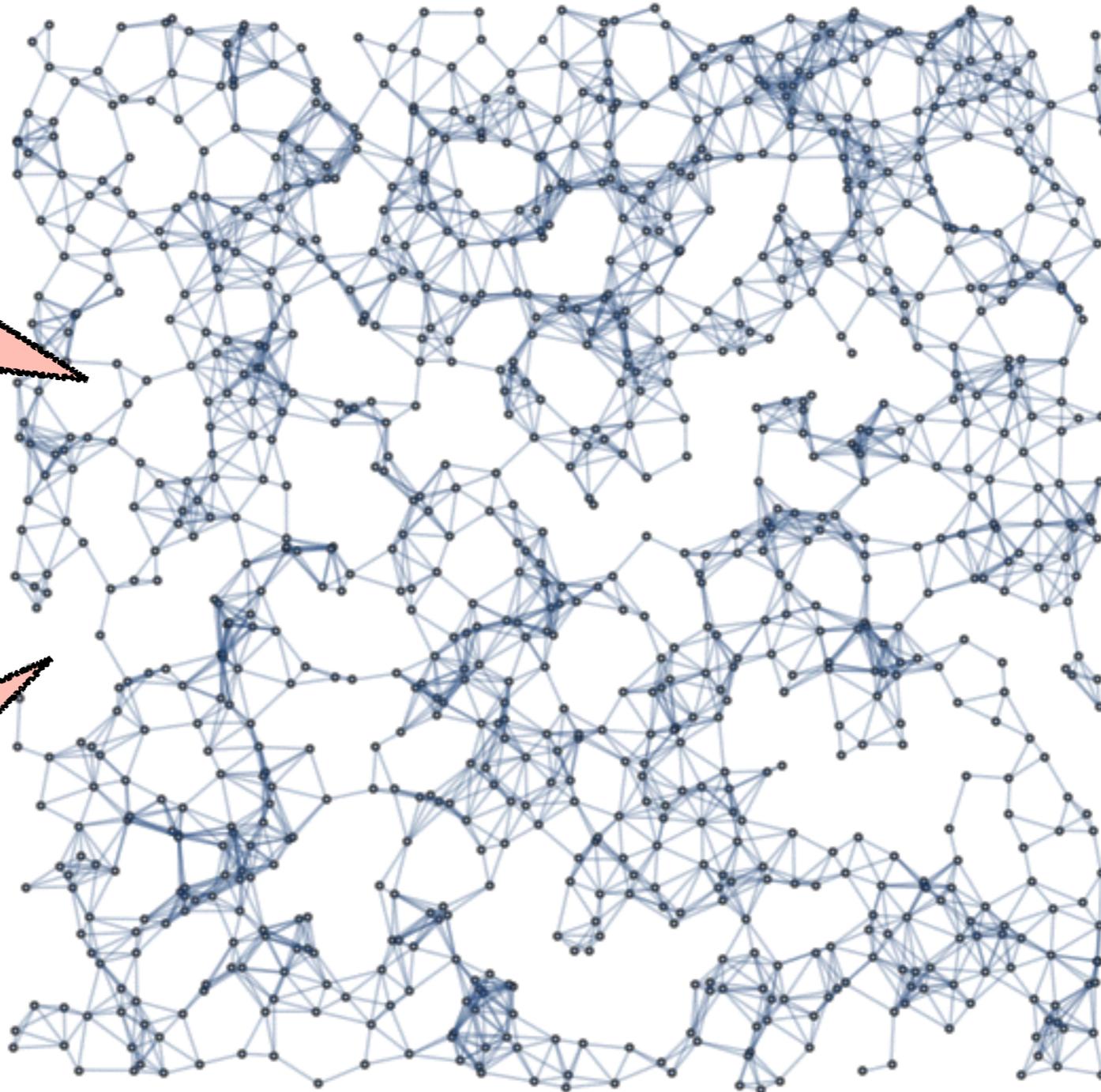


For every *FO* sentence ϕ , $\mu_\infty(\phi)$ is either 0 or 1, and this depends on whether $\text{RADO} \models \phi$

each pair of nodes i, j
is connected if
 i -th bit of j is 1

RADO =

each pair of nodes i, j
is connected with
probability $1/2$



0-1 Law

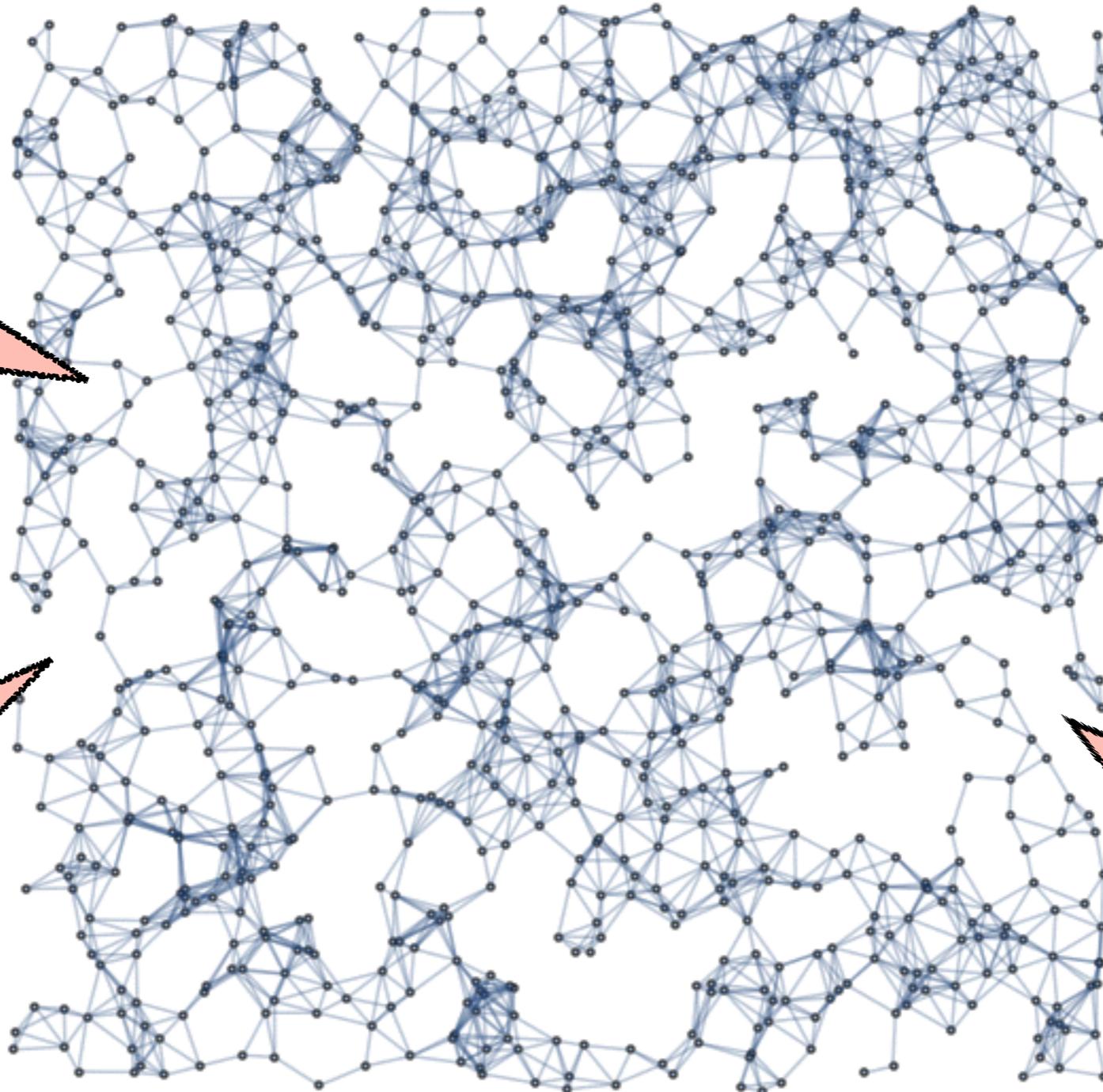


For every *FO* sentence ϕ , $\mu_\infty(\phi)$ is either 0 or 1, and this depends on whether $\text{RADO} \models \phi$

each pair of nodes i, j
is connected if
 i -th bit of j is 1

RADO =

each pair of nodes i, j
is connected with
probability $1/2$



the unique
graph that
satisfies
 δ_k for all k

0-1 Law

Theorem. The problem of deciding whether an FO sentence is *almost surely true* ($\mu_\infty = 1$) is PSPACE-complete. [Grandjean '83]

0-1 Law

Theorem. The problem of deciding whether an FO sentence is *almost surely true* ($\mu_\infty = 1$) is PSPACE-complete.

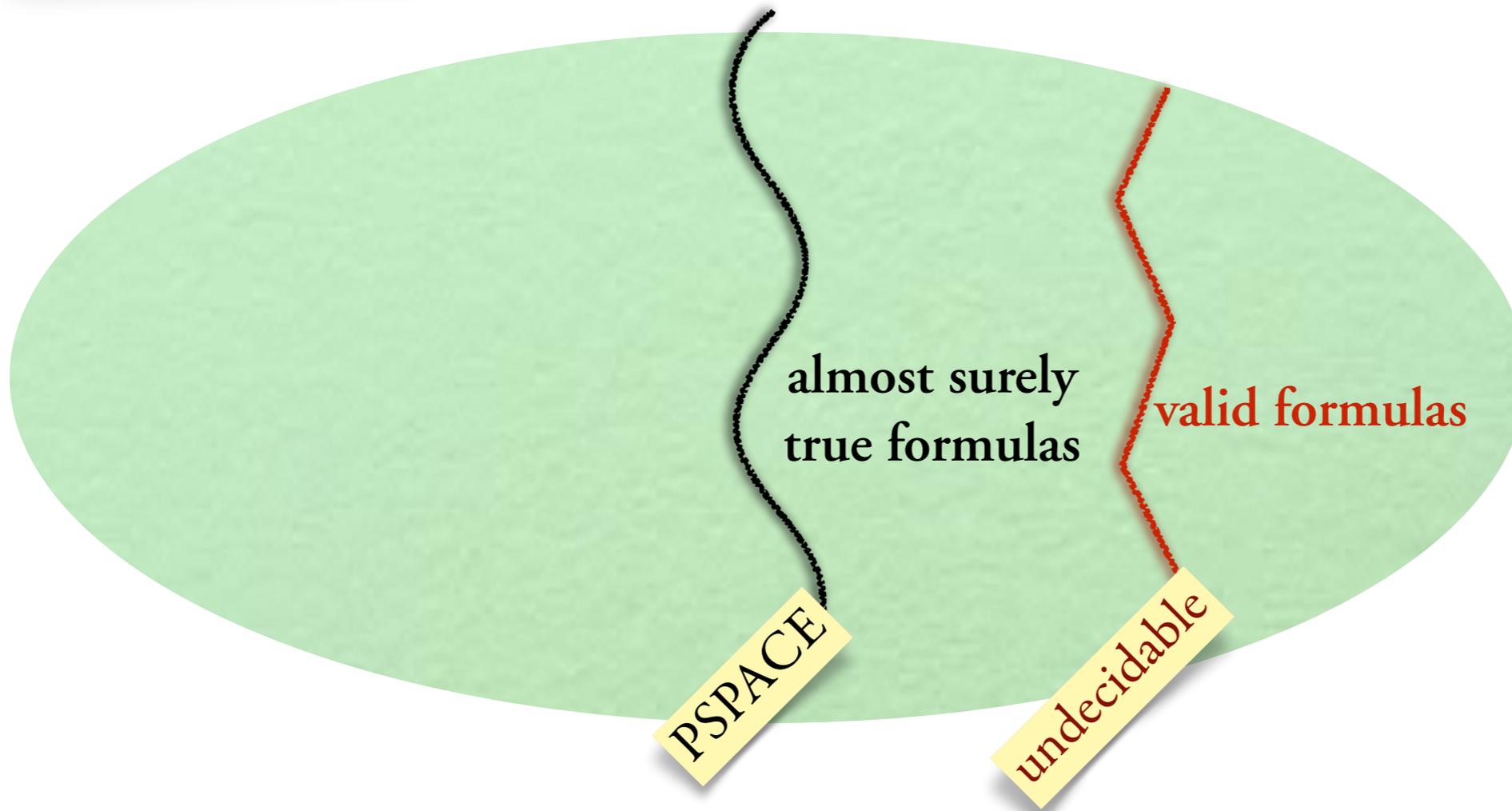
[Grandjean '83]



0-1 Law

Theorem. The problem of deciding whether an FO sentence is *almost surely true* ($\mu_\infty = 1$) is PSPACE-complete.

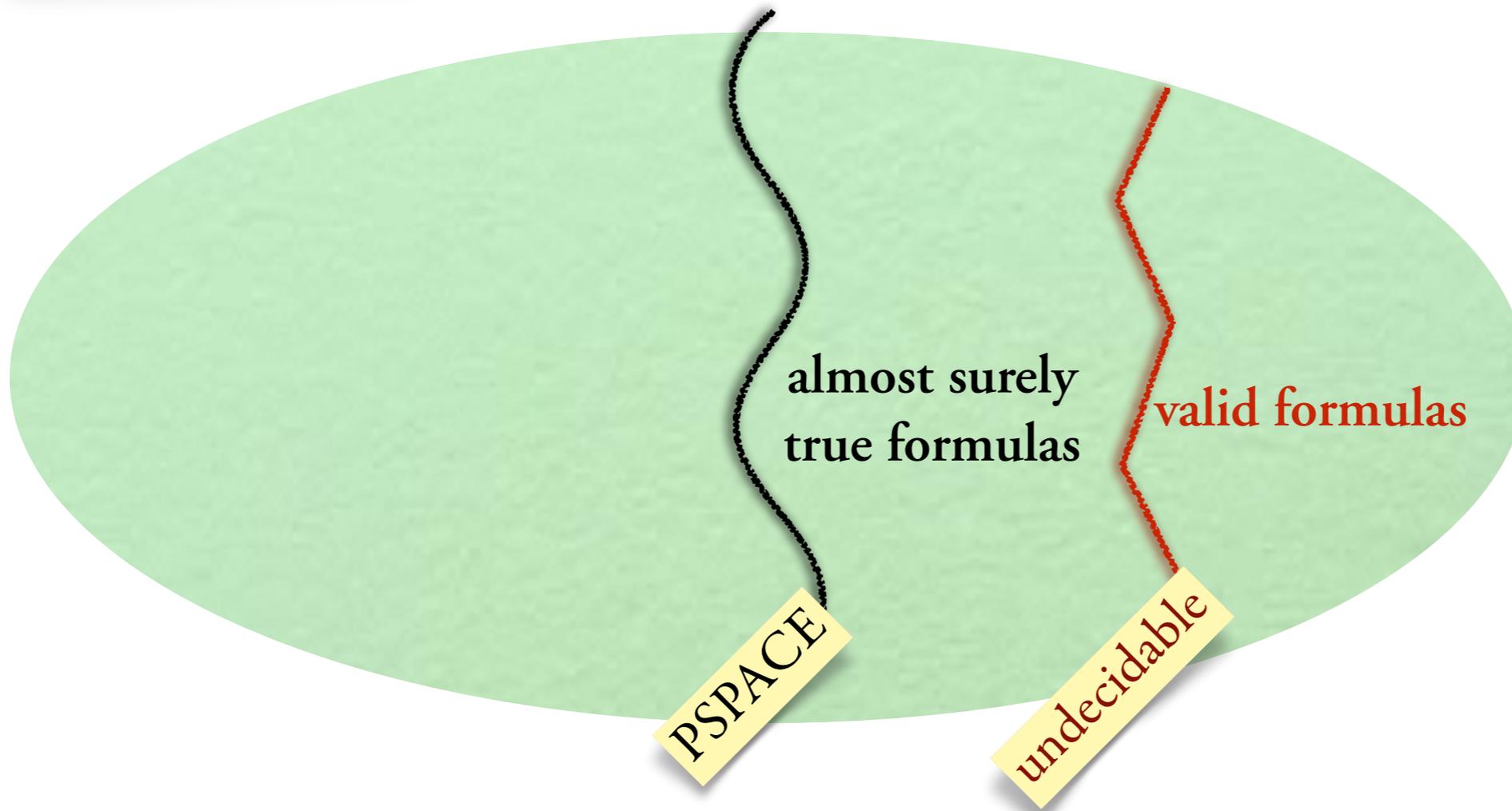
[Grandjean '83]



0-1 Law

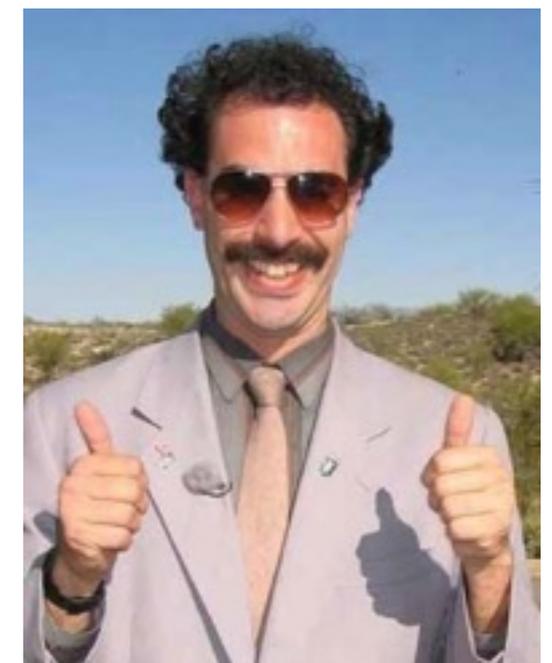
Theorem. The problem of deciding whether an FO sentence is *almost surely true* ($\mu_\infty = 1$) is PSPACE-complete.

[Grandjean '83]



Query evaluation on large databases:

Don't bother evaluating an FO query,
it's either true or false with high probability!



0-1 Law

Does the 0-1 Law apply to real-life databases?

Not quite: database *constraints* easily spoil Extension Axiom.

0-1 Law

Does the 0-1 Law apply to real-life databases?

Not quite: database *constraints* easily spoil Extension Axiom.

Consider:

- functional constraint $\forall x, x', y, y' \left(E(x, y) \wedge E(x, y') \Rightarrow y = y' \right) \wedge$
 $\left(E(x, y) \wedge E(x', y) \Rightarrow x = x' \right)$ (E is a permutation)
- FO query $\phi = \neg \exists x E(x, x)$

0-1 Law

Does the 0-1 Law apply to real-life databases?

Not quite: database *constraints* easily spoil Extension Axiom.

Consider:

- functional constraint $\forall x, x', y, y' \left(E(x, y) \wedge E(x, y') \Rightarrow y = y' \right) \wedge$
 $\left(E(x, y) \wedge E(x', y) \Rightarrow x = x' \right)$ (E is a permutation)
- FO query $\phi = \neg \exists x E(x, x)$

Probability that a permutation E satisfies $\phi = \frac{!n}{n!} \rightarrow e^{-1} = 0.3679\dots$

0-1 Law

Does the 0-1 Law apply to real-life databases?

Not quite: database *constraints* easily spoil Extension Axiom.

Consider:

- functional constraint $\forall x, x', y, y' \left(E(x, y) \wedge E(x, y') \Rightarrow y = y' \right) \wedge$
 $\left(E(x, y) \wedge E(x', y) \Rightarrow x = x' \right)$ (E is a permutation)
- FO query $\phi = \neg \exists x E(x, x)$

Probability that a permutation E satisfies $\phi = \frac{!n}{n!} \rightarrow e^{-1} = 0.3679\dots$

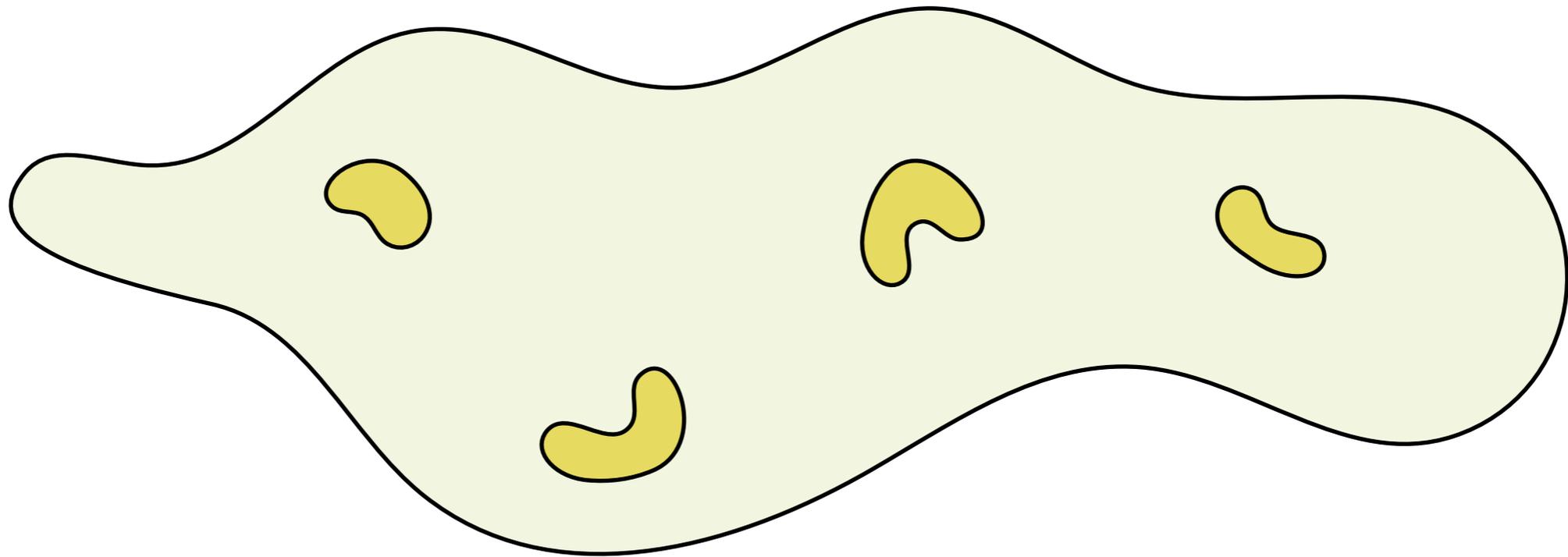
The 0-1 Law is a tool for proving expressiveness results,
not a statement on the real-life probability of queries being non-empty.

Idea: First order logic can only express “local” properties

Locality

Idea: First order logic can only express “local” properties

Local = properties of nodes which are close to one another



Hanf locality

Definition. The **Gaifman graph** of a structure $S = (V, R_1, \dots, R_m)$ is the **undirected** graph

$$G(S) = (V, E) \text{ where } E = \{ (u, v) \mid \exists (\dots, u, \dots, v, \dots) \in R_i \text{ for some } i \}$$

Hanf locality

Definition. The **Gaifman graph** of a structure $S = (V, R_1, \dots, R_m)$ is the **undirected** graph

$$G(S) = (V, E) \text{ where } E = \{ (u, v) \mid \exists (\dots, u, \dots, v, \dots) \in R_i \text{ for some } i \}$$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
Mercedes	Germany
BMW	Germany

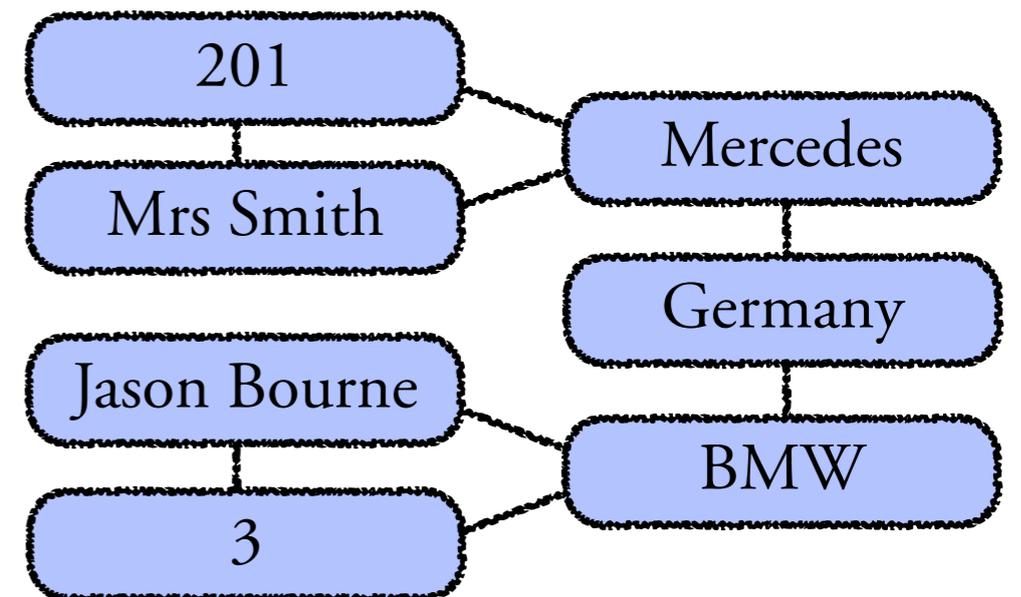
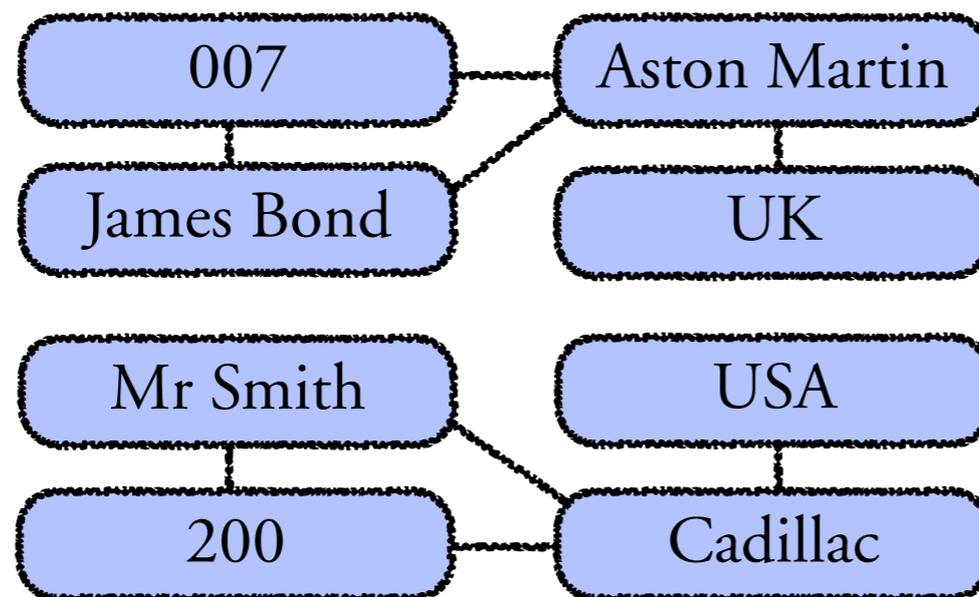
Hanf locality

Definition. The **Gaifman graph** of a structure $S = (V, R_1, \dots, R_m)$ is the **undirected graph**

$$G(S) = (V, E) \text{ where } E = \{ (u, v) \mid \exists (\dots, u, \dots, v, \dots) \in R_i \text{ for some } i \}$$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
Mercedes	Germany
BMW	Germany



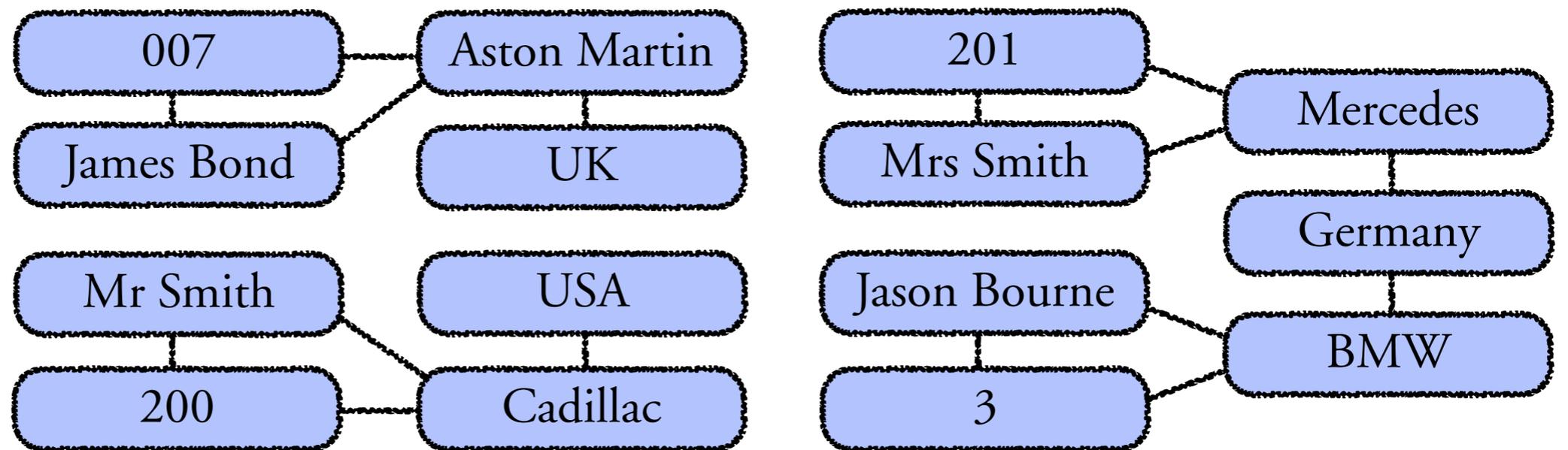
Hanf locality

Definition. The **Gaifman graph** of a structure $S = (V, R_1, \dots, R_m)$ is the **undirected graph**

$$G(S) = (V, E) \text{ where } E = \{ (u, v) \mid \exists (\dots, u, \dots, v, \dots) \in R_i \text{ for some } i \}$$

Agent	Name	Drives	Car	Country
007	James Bond	Aston		UK
200	Mr Smith	Cadill		USA
201	Mrs Smith	Mercedes	Mercedes	Germany
3	Jason Bourne	BMW	BMW	Germany

The Gaifman graph of a graph G is the underlying undirected graph.

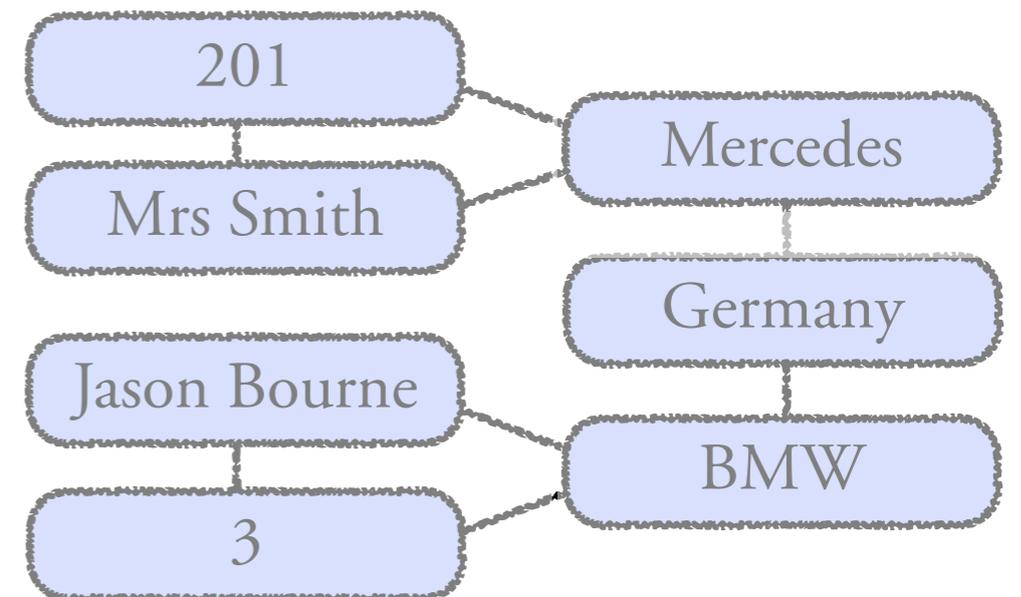
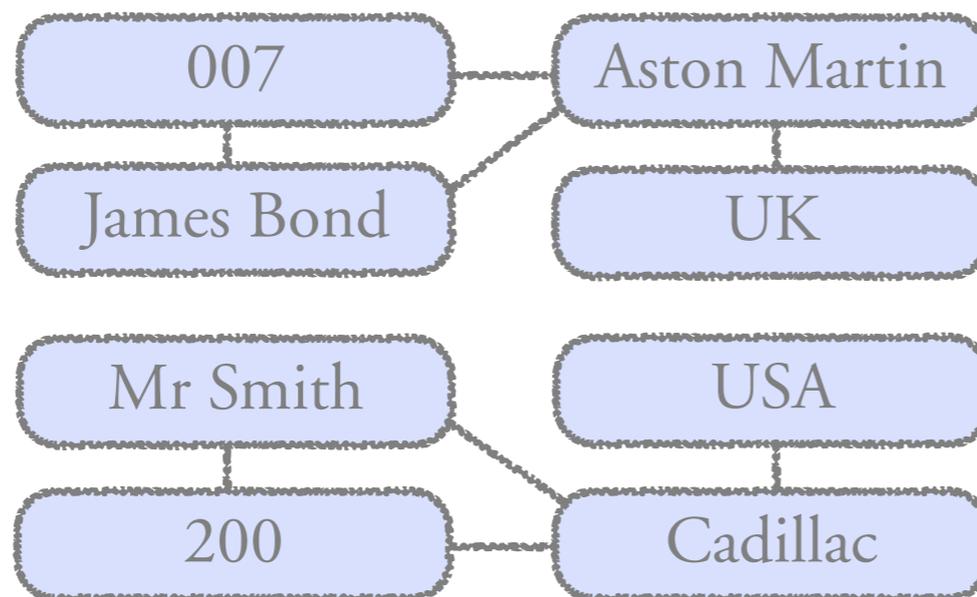


Hanf locality

- $\text{dist}(u, v)$ = *distance* between u and v in the Gaifman graph
- $S[u, r]$ = *ball* around u of radius r = sub-structure induced by $\{v \mid \text{dist}(u, v) \leq r\}$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
Mercedes	Germany
BMW	Germany

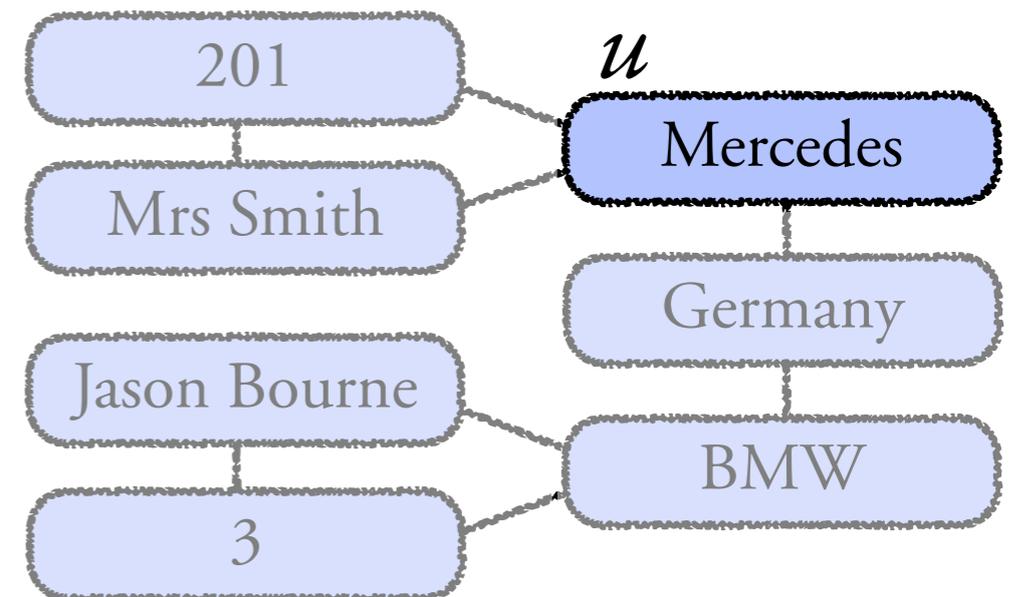
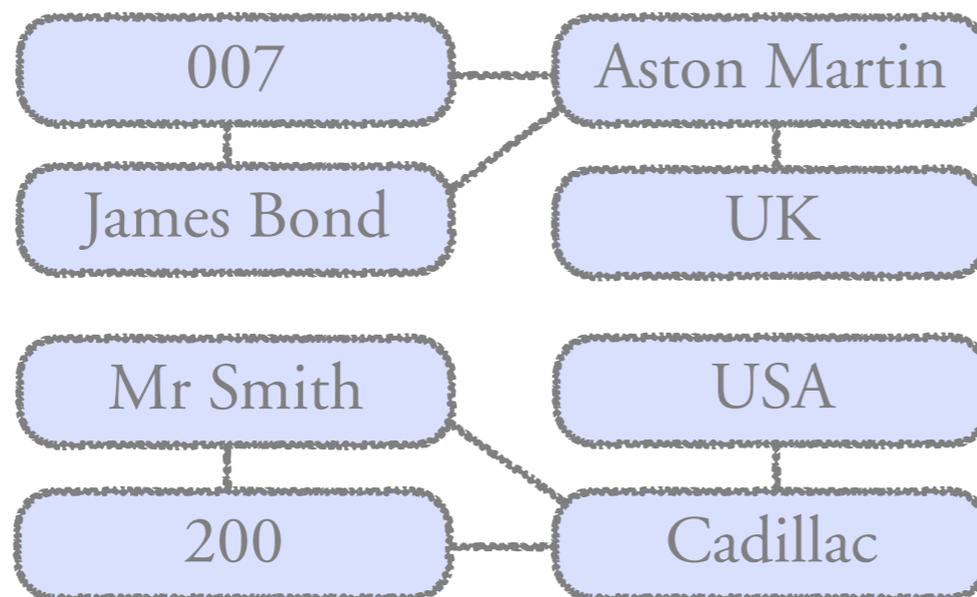


Hanf locality

- $\text{dist}(u, v)$ = *distance* between u and v in the Gaifman graph
- $S[u, r]$ = *ball* around u of radius r = sub-structure induced by $\{v \mid \text{dist}(u, v) \leq r\}$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes \mathcal{U}
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
\mathcal{U} Mercedes	Germany
BMW	Germany

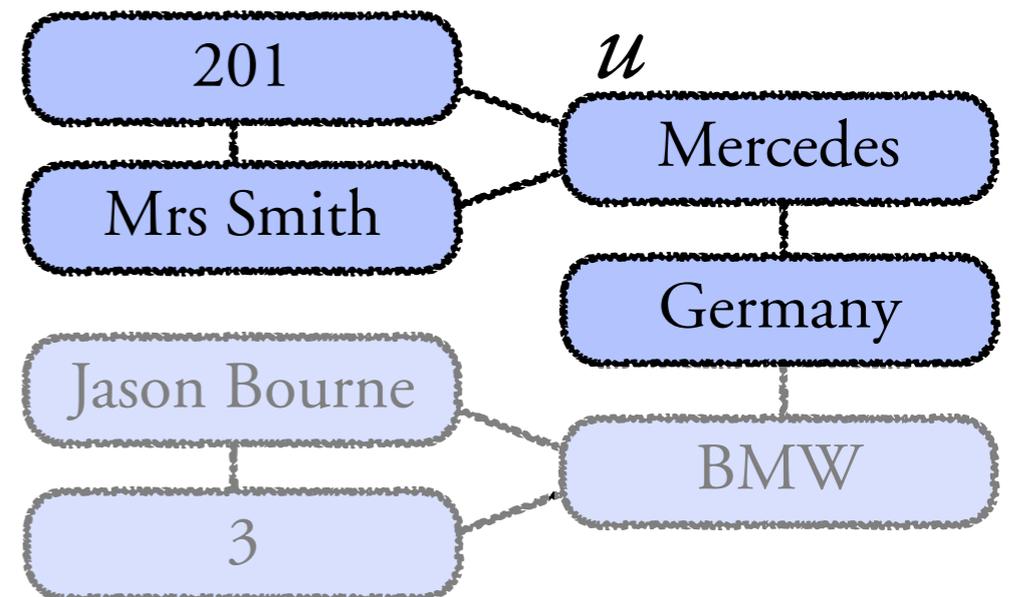
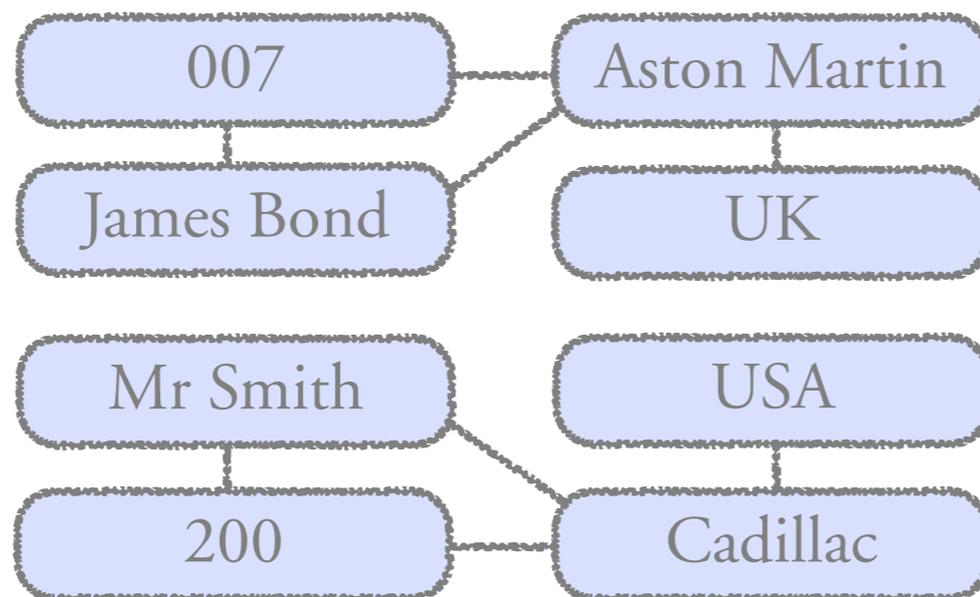


Hanf locality

- $\text{dist}(u, v)$ = distance between u and v in the Gaifman graph
- $S[u, r]$ = ball around u of radius r = sub-structure induced by $\{v \mid \text{dist}(u, v) \leq r\}$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes u
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
u Mercedes	Germany
BMW	Germany

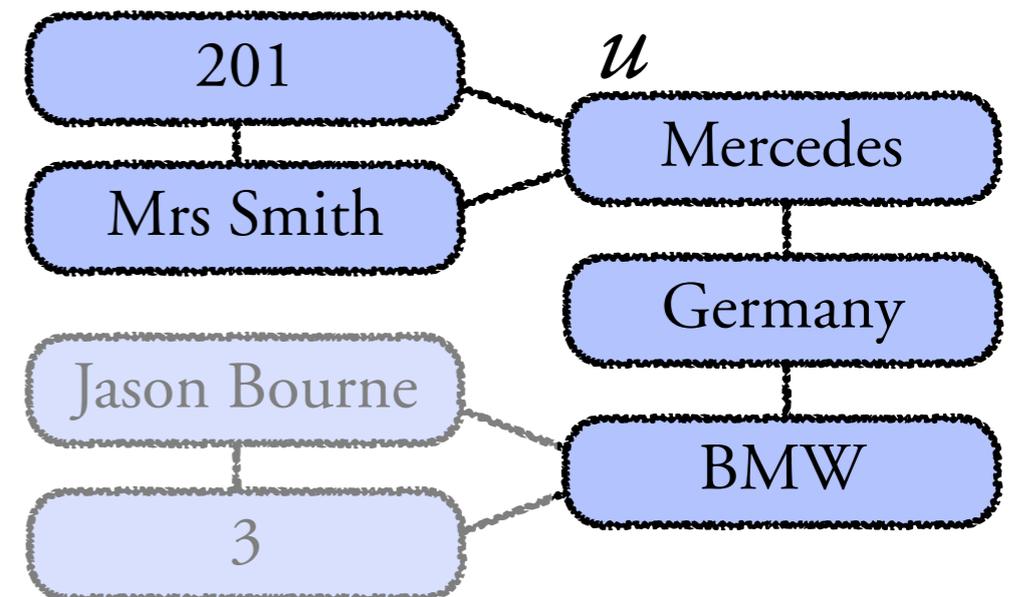
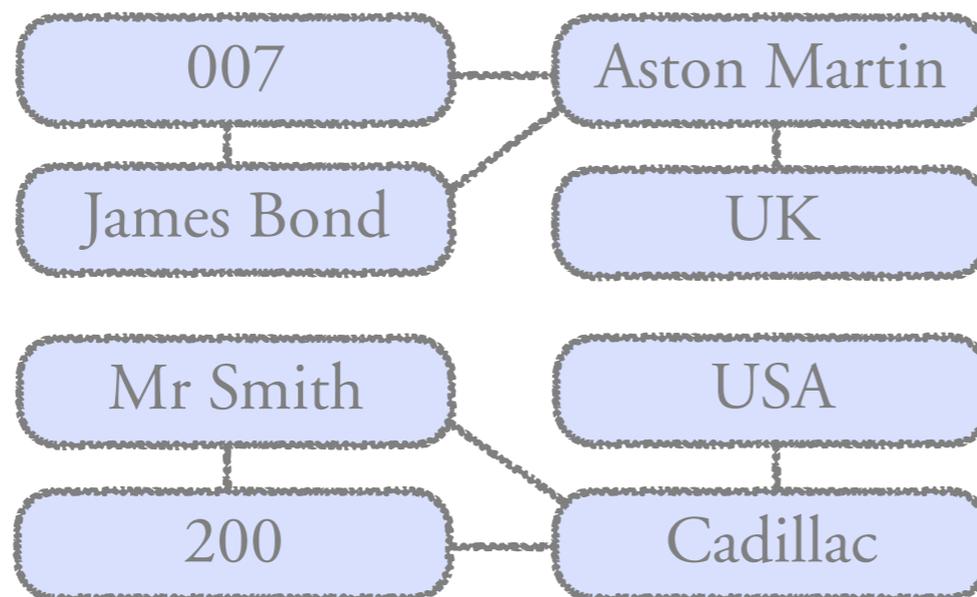


Hanf locality

- $\text{dist}(u, v)$ = distance between u and v in the Gaifman graph
- $S[u, r]$ = ball around u of radius r = sub-structure induced by $\{v \mid \text{dist}(u, v) \leq r\}$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes u
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
u Mercedes	Germany
BMW	Germany

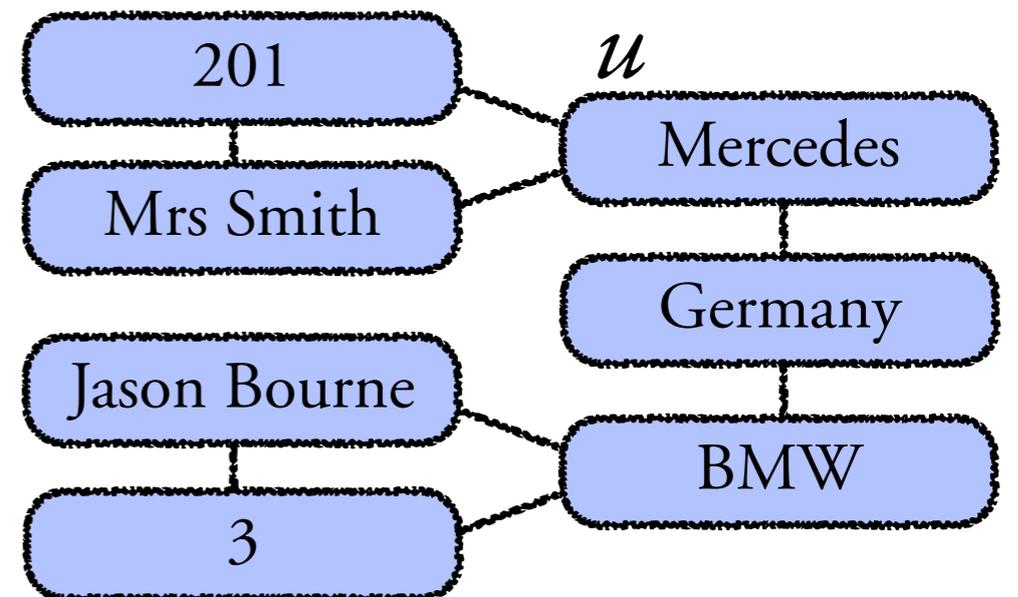
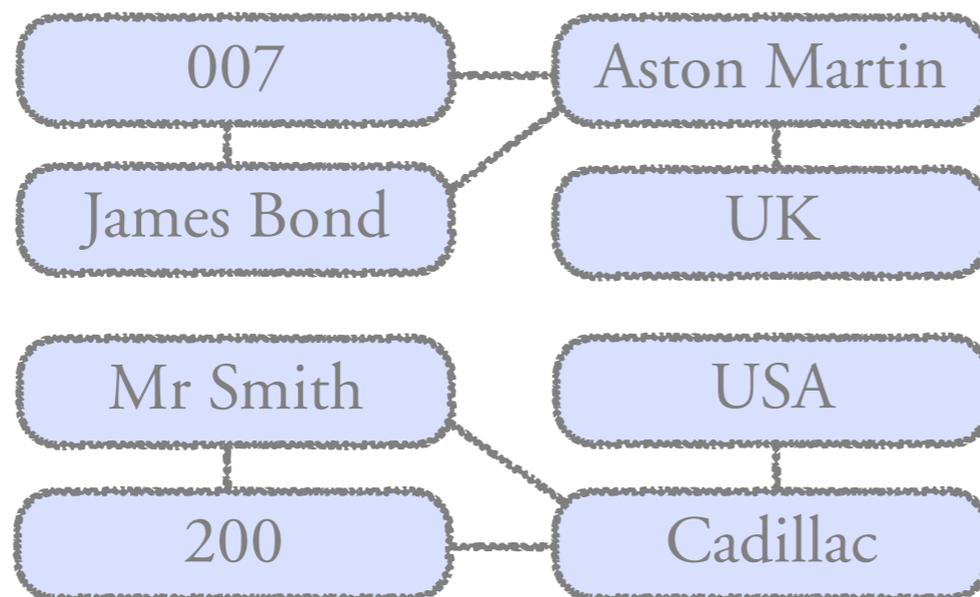


Hanf locality

- $\text{dist}(u, v)$ = distance between u and v in the Gaifman graph
- $S[u, r]$ = ball around u of radius r = sub-structure induced by $\{v \mid \text{dist}(u, v) \leq r\}$

Agent	Name	Drives
007	James Bond	Aston Martin
200	Mr Smith	Cadillac
201	Mrs Smith	Mercedes \mathcal{U}
3	Jason Bourne	BMW

Car	Country
Aston Martin	UK
Cadillac	USA
\mathcal{U} Mercedes	Germany
BMW	Germany



Hanf locality

Definition. Two structures S_1 and S_2 are **Hanf(r, t) - equivalent**

iff for each structure B , the two numbers

$$\#u \text{ s.t. } S_1[u, r] \cong B \quad \#v \text{ s.t. } S_2[v, r] \cong B$$

are *either the same* or *both* $\geq t$.

Hanf locality

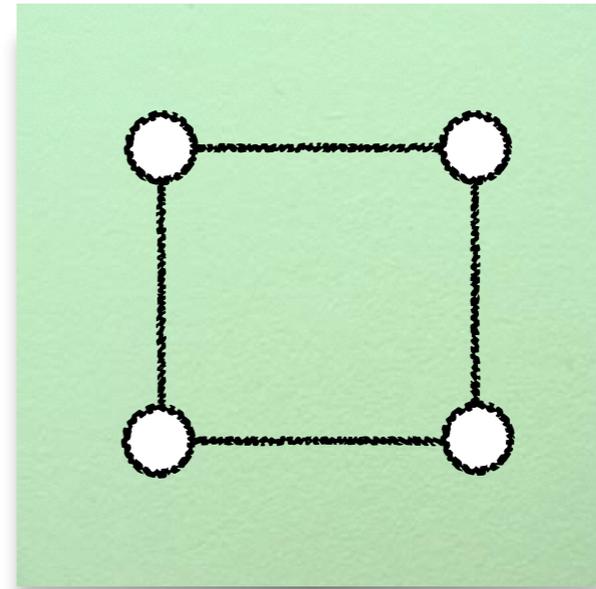
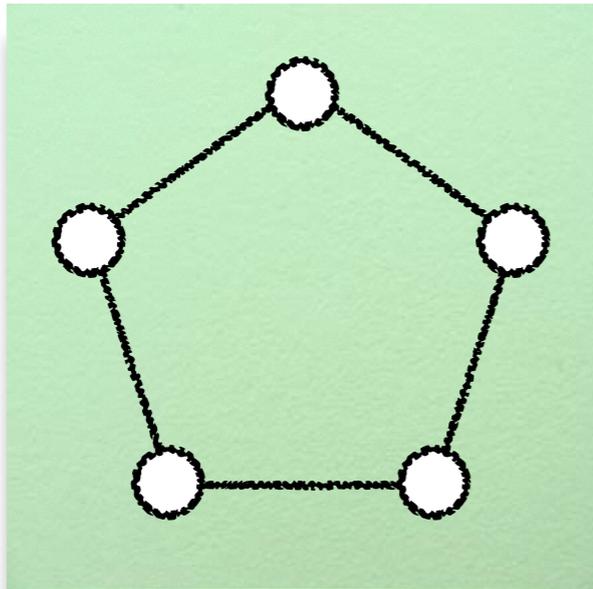
Definition. Two structures S_1 and S_2 are **Hanf(r, t) - equivalent**

iff for each structure B , the two numbers

$$\#u \text{ s.t. } S_1[u, r] \cong B \quad \#v \text{ s.t. } S_2[v, r] \cong B$$

are *either the same* or *both $\geq t$* .

Example. S_1, S_2 are Hanf(1, 1) - equivalent iff they have the *same balls* of radius 1



Hanf locality

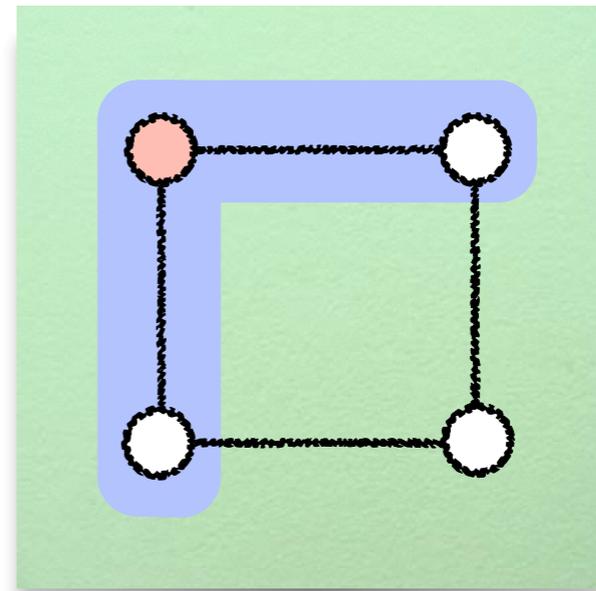
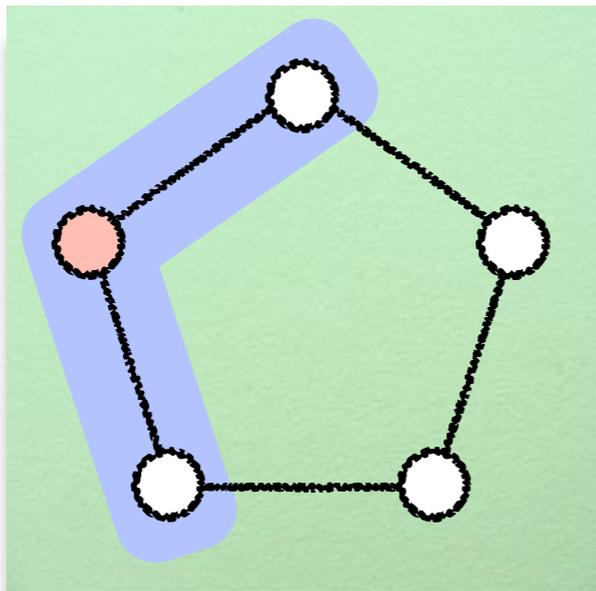
Definition. Two structures S_1 and S_2 are **Hanf(r, t) - equivalent**

iff for each structure B , the two numbers

$$\#u \text{ s.t. } S_1[u, r] \cong B \quad \#v \text{ s.t. } S_2[v, r] \cong B$$

are *either the same* or *both $\geq t$* .

Example. S_1, S_2 are Hanf(1, 1) - equivalent iff they have the *same balls* of radius 1



Hanf locality

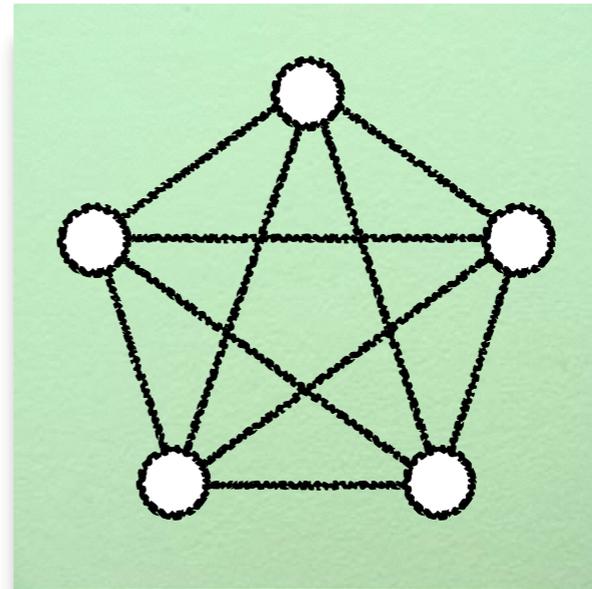
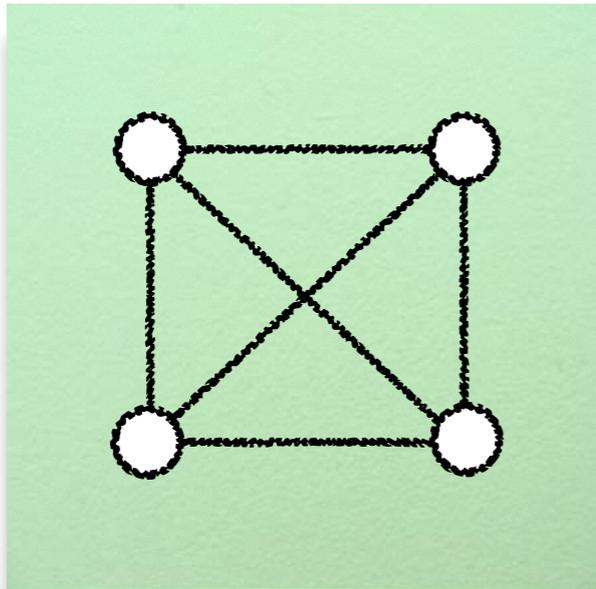
Definition. Two structures S_1 and S_2 are **Hanf(r, t) - equivalent**

iff for each structure B , the two numbers

$$\#u \text{ s.t. } S_1[u, r] \cong B \quad \#v \text{ s.t. } S_2[v, r] \cong B$$

are *either the same* or *both $\geq t$* .

Example. K_n, K_{n+1} are **not** Hanf(1, 1) - equivalent



Hanf locality

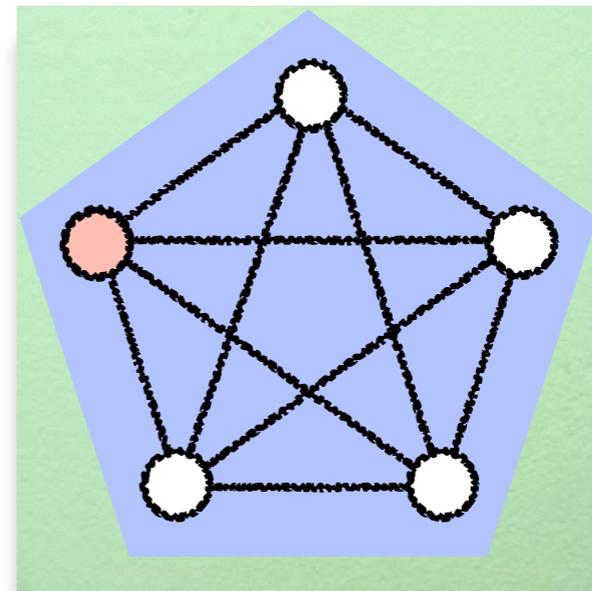
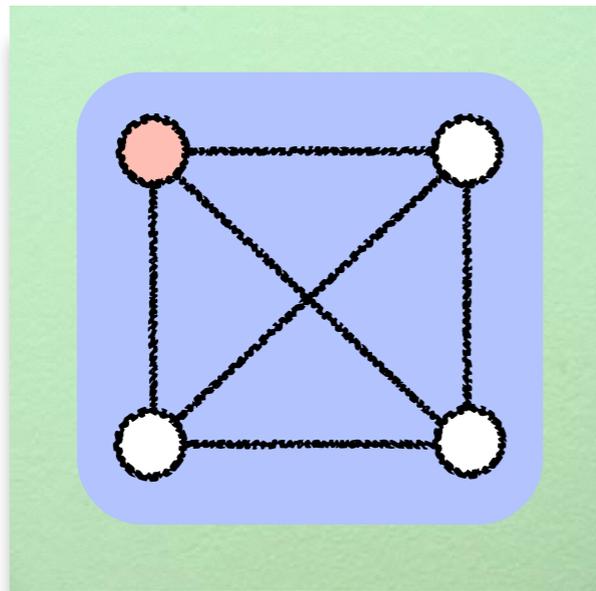
Definition. Two structures S_1 and S_2 are **Hanf(r, t) - equivalent**

iff for each structure B , the two numbers

$$\#u \text{ s.t. } S_1[u, r] \cong B \quad \#v \text{ s.t. } S_2[v, r] \cong B$$

are *either the same* or *both $\geq t$* .

Example. K_n, K_{n+1} are **not** Hanf(1, 1) - equivalent



Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

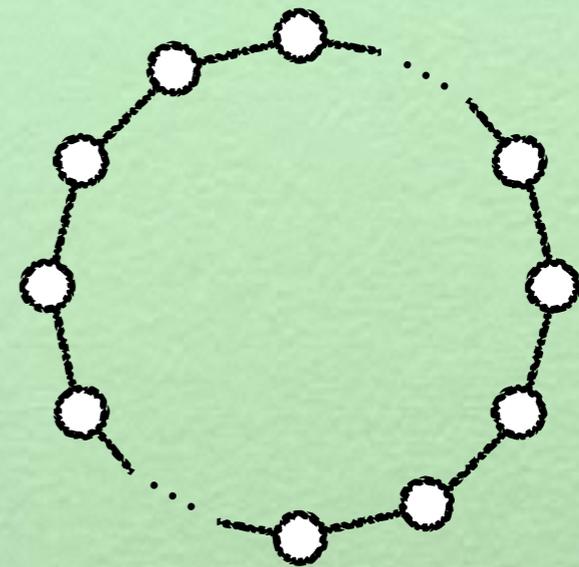
Exercise: prove that *acyclicity* is not FO-definable (even on finite structures)

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are $\text{Hanf}(r, t)$ -equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Exercise: prove that *acyclicity* is not FO-definable (even on finite structures)

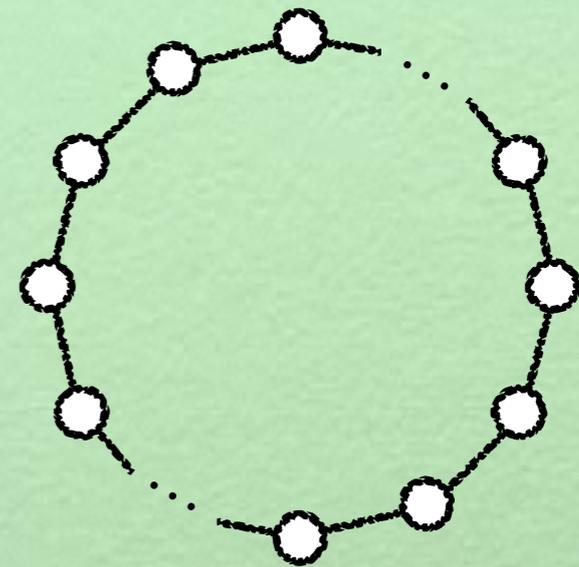


Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are $\text{Hanf}(r, t)$ -equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Exercise: prove that *acyclicity* is not FO-definable (even on finite structures)

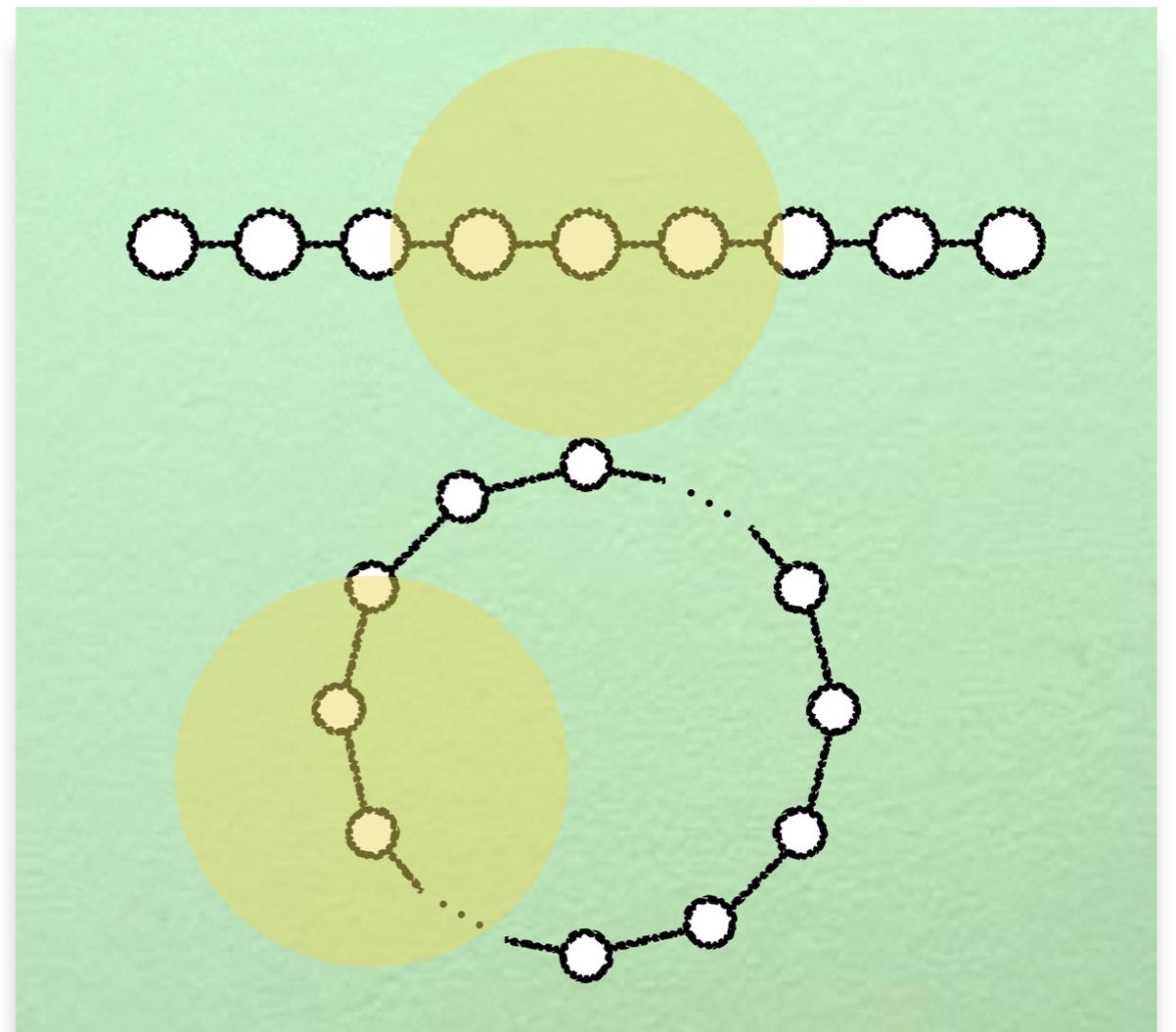
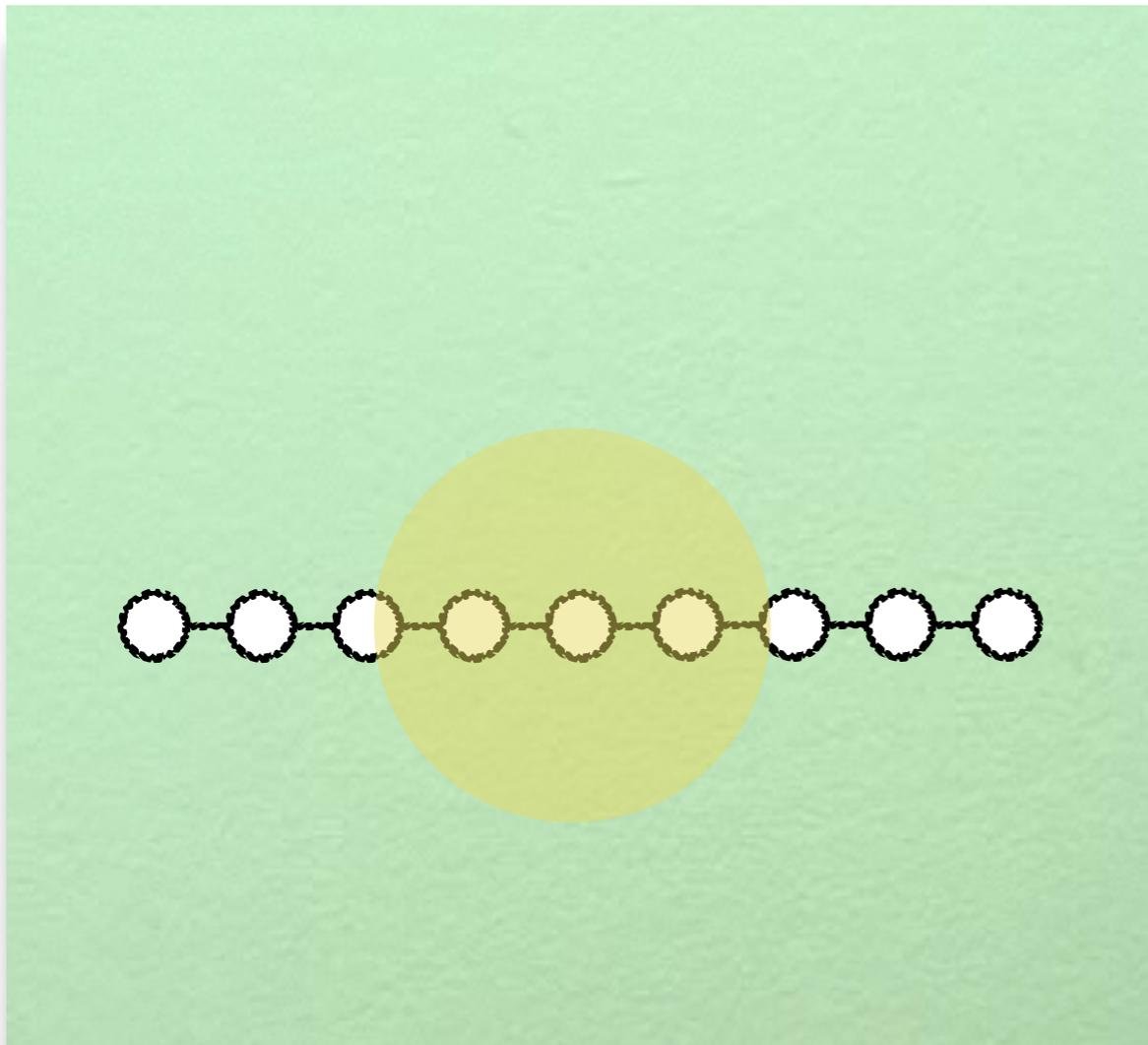


Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are $\text{Hanf}(r, t)$ -equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Exercise: prove that *acyclicity* is not FO-definable (even on finite structures)

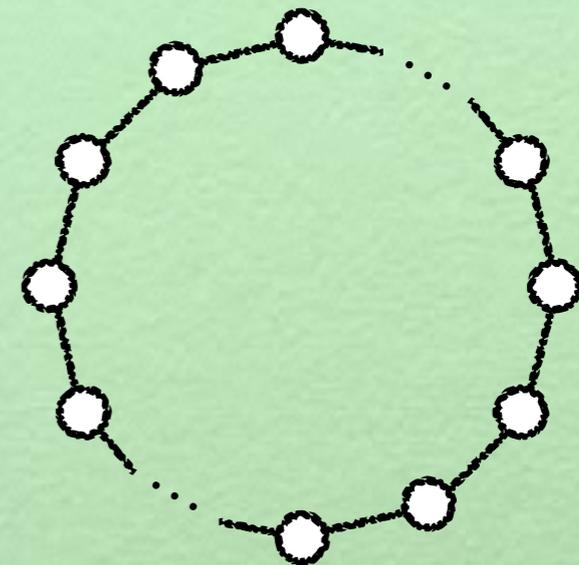


Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are $\text{Hanf}(r, t)$ -equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Exercise: prove that *acyclicity* is not FO-definable (even on finite structures)



Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

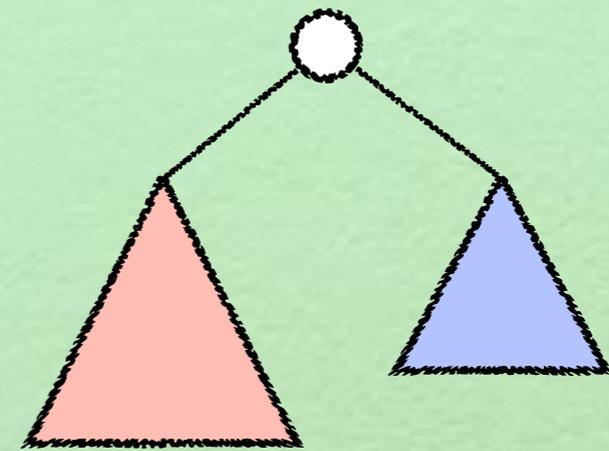
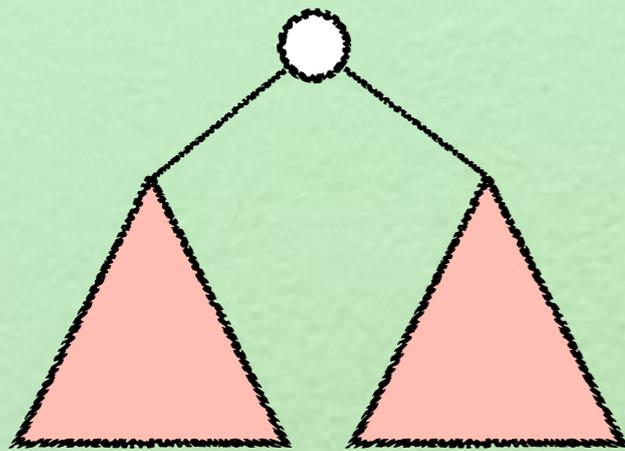
Exercise: prove that testing whether a binary tree is *complete* is not FO-definable

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Exercise: prove that testing whether a binary tree is *complete* is not FO-definable



Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Why so **BIG**?

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Why so **BIG**?

Remember $\mathbf{d}_k(x,y) =$ “there is a path of length 2^k from x to y ”

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are Hanf(r, t)-equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Why so **BIG**?

Remember $\mathbf{d}_k(x, y) =$ “there is a path of length 2^k from x to y ”

$$\mathbf{d}_0(x, y) = E(x, y), \text{ and}$$

$$\mathbf{d}_k(x, y) = \exists z (\mathbf{d}_{k-1}(x, z) \wedge \mathbf{d}_{k-1}(z, y))$$

$$\text{qr}(\mathbf{d}_k) = k$$

Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are $\text{Hanf}(r, t)$ -equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

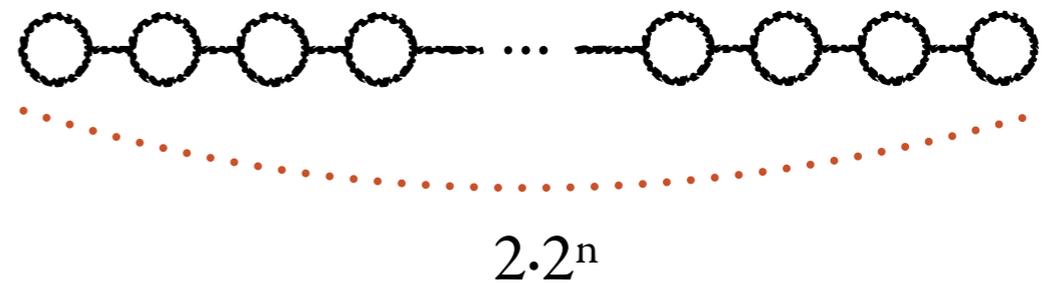
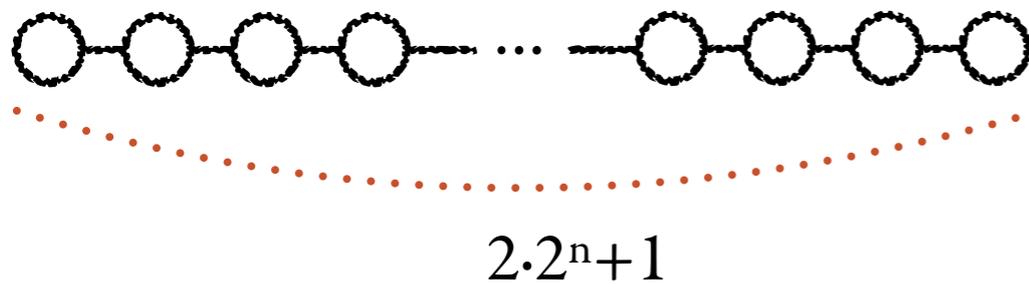
Why so **BIG**?

Remember $\mathbf{d}_k(x, y)$ = “there is a path of length 2^k from x to y ”

$$\mathbf{d}_0(x, y) = E(x, y), \text{ and}$$

$$\mathbf{d}_k(x, y) = \exists z (\mathbf{d}_{k-1}(x, z) \wedge \mathbf{d}_{k-1}(z, y))$$

$$\text{qr}(\mathbf{d}_k) = k$$



Hanf locality

Theorem. S_1, S_2 are n -equivalent (they satisfy the same sentences with quantifier rank n)
whenever S_1, S_2 are $\text{Hanf}(r, t)$ -equivalent, with $r = 3^n$ and $t = n$.

[Hanf '60]

Why so **BIG**?

Remember $\mathbf{d}_k(x, y)$ = “there is a path of length 2^k from x to y ”

$$\mathbf{d}_0(x, y) = E(x, y), \text{ and}$$

$$\mathbf{d}_k(x, y) = \exists z (\mathbf{d}_{k-1}(x, z) \wedge \mathbf{d}_{k-1}(z, y))$$

$$\text{qr}(\mathbf{d}_k) = k$$



$$2 \cdot 2^n + 1$$



$$2 \cdot 2^n$$

Not $(n+2)$ -equivalent yet they have the same 2^{n-1} balls.

Gaifman locality

What about queries?

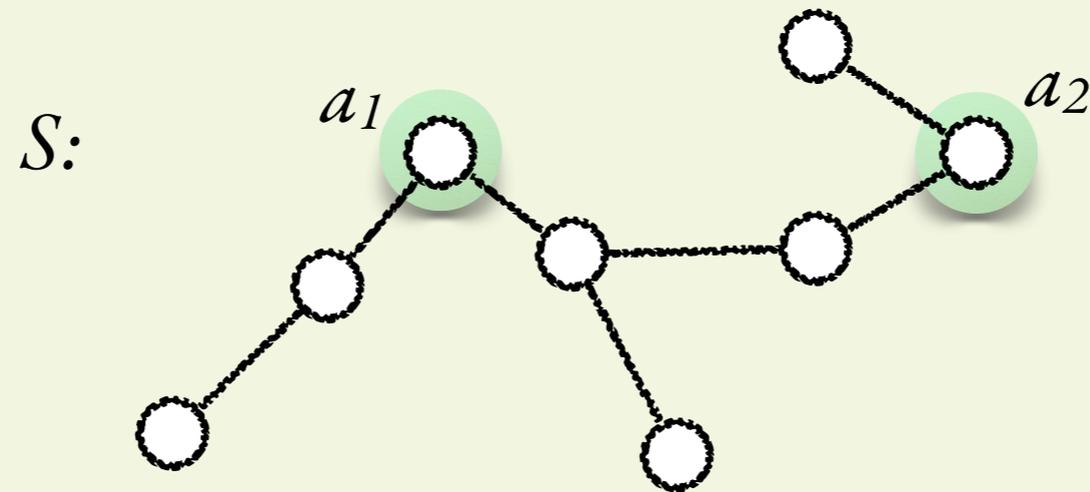
Eg: Is reachability expressible in FO?

What about equivalence on the same structure?

When are two points indistinguishable?

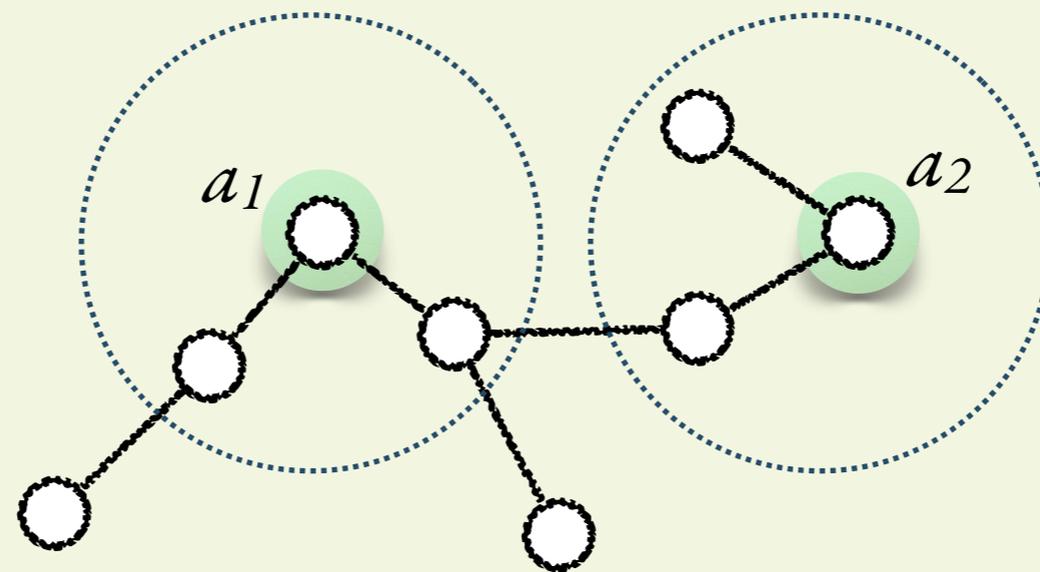
Gaifman locality

$S[(a_1, \dots, a_n), r]$ = induced substructure of S
of elements at distance $\leq r$ of some a_i in the Gaifman graph.



Gaifman locality

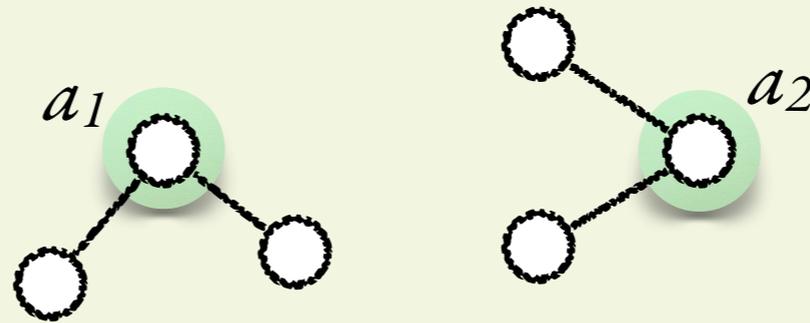
$S[(a_1, \dots, a_n), r]$ = induced substructure of S
of elements at distance $\leq r$ of some a_i in the Gaifman graph.



$S[(a_1, a_2), 1]$

Gaifman locality

$S[(a_1, \dots, a_n), r]$ = induced substructure of S
of elements at distance $\leq r$ of some a_i in the Gaifman graph.



$S[(a_1, a_2), 1]$

Gaifman locality

$S[(a_1, \dots, a_n), r]$ = induced substructure of S
of elements at distance $\leq r$ of some a_i in the Gaifman graph.

Gaifman locality

For any $\phi \in \text{FO}$ of quantifier rank k and structure S ,

$$S[(a_1, \dots, a_n), r] \cong S[(b_1, \dots, b_n), r] \text{ for } r = 3^{k+1}$$

implies

$$(a_1, \dots, a_n) \in \phi(S) \text{ iff } (b_1, \dots, b_n) \in \phi(S)$$

Idea: If the neighbourhoods of two tuples are the same,
the formula cannot distinguish them.

Gaifman locality vs Hanf locality

Difference between Hanf- and Gaifman-locality:

Hanf-locality relates **two different structures,**

Gaifman-locality talks about definability in **one structure**

Gaifman locality vs Hanf locality

Difference between Hanf- and Gaifman-locality:

Hanf-locality relates **two different structures,**

Gaifman-locality talks about definability in **one structure**

Inside S ,

3^{k+1} -balls of $(a_1, \dots, a_n) = 3^{k+1}$ -balls of (b_1, \dots, b_n)



(a_1, \dots, a_n) indistinguishable from (b_1, \dots, b_n)
through **formulas of $qr \leq k$**

Gaifman locality vs Hanf locality

Difference between Hanf- and Gaifman-locality:

Hanf-locality relates **two different structures,**

S_1 and S_2 have the same # of balls of radius 3^k , **up to threshold k**



They verify the same sentences of $qr \leq k$

Gaifman-locality talks about definability in **one structure**

Inside S ,
 3^{k+1} -balls of $(a_1, \dots, a_n) = 3^{k+1}$ -balls of (b_1, \dots, b_n)



(a_1, \dots, a_n) indistinguishable from (b_1, \dots, b_n) through formulas of $qr \leq k$

Gaifman locality

Schema to show non-expressibility results is, as usual:

A query $Q(x_1, \dots, x_n)$ is not FO-definable if:

for every k there is a structure S_k and $(a_1, \dots, a_n), (b_1, \dots, b_n)$ such that

- $S_k [(a_1, \dots, a_n), 3^{k+1}] \cong S_k [(b_1, \dots, b_n), 3^{k+1}]$
- $(a_1, \dots, a_n) \in Q(S_k), (b_1, \dots, b_n) \notin Q(S_k)$

Proof: If Q were expressible with a formula of quantifier rank k ,

then $(a_1, \dots, a_n) \in Q(S_k)$ iff $(b_1, \dots, b_n) \in Q(S_k)$. Absurd!

Gaifman locality

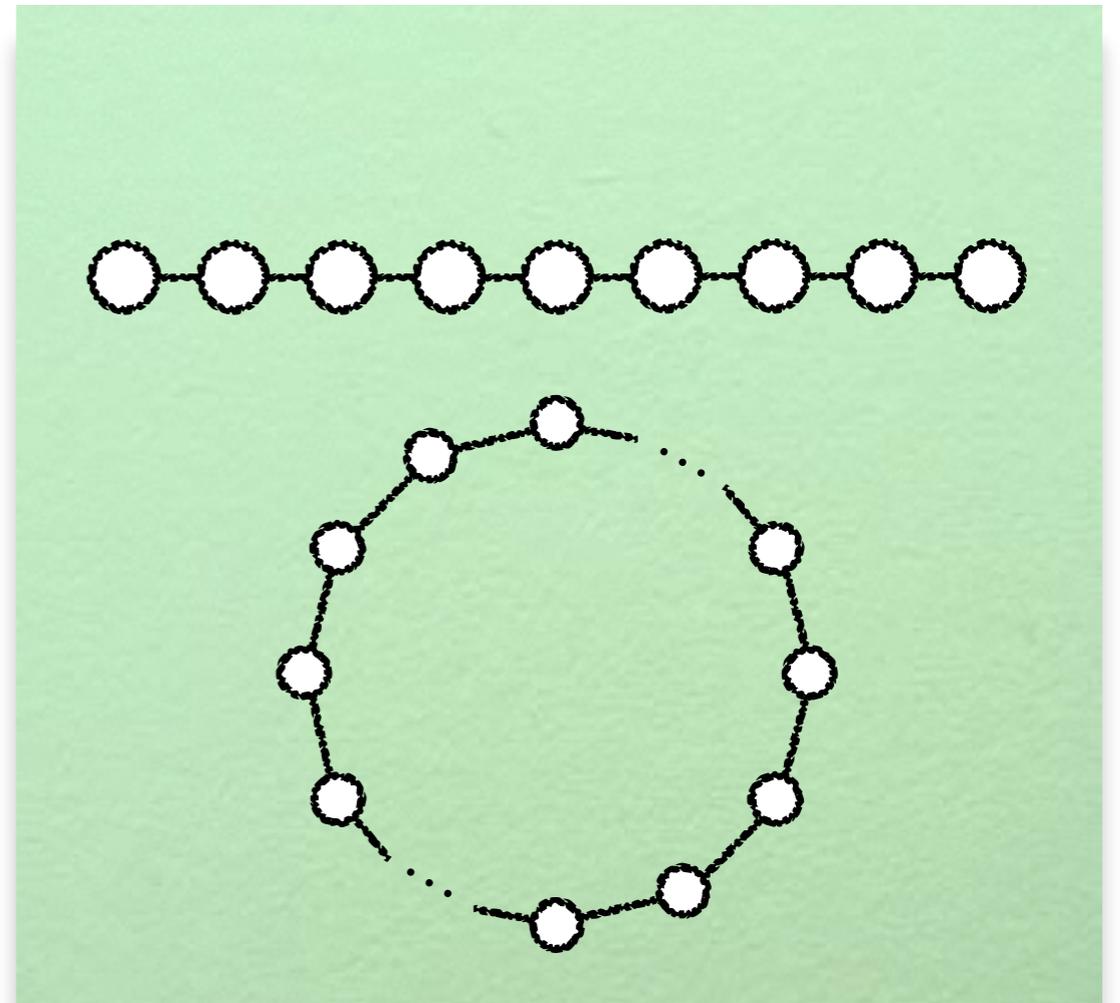
Reachability is not FO definable.

For every k , we build S_k :

Gaifman locality

Reachability is not FO definable.

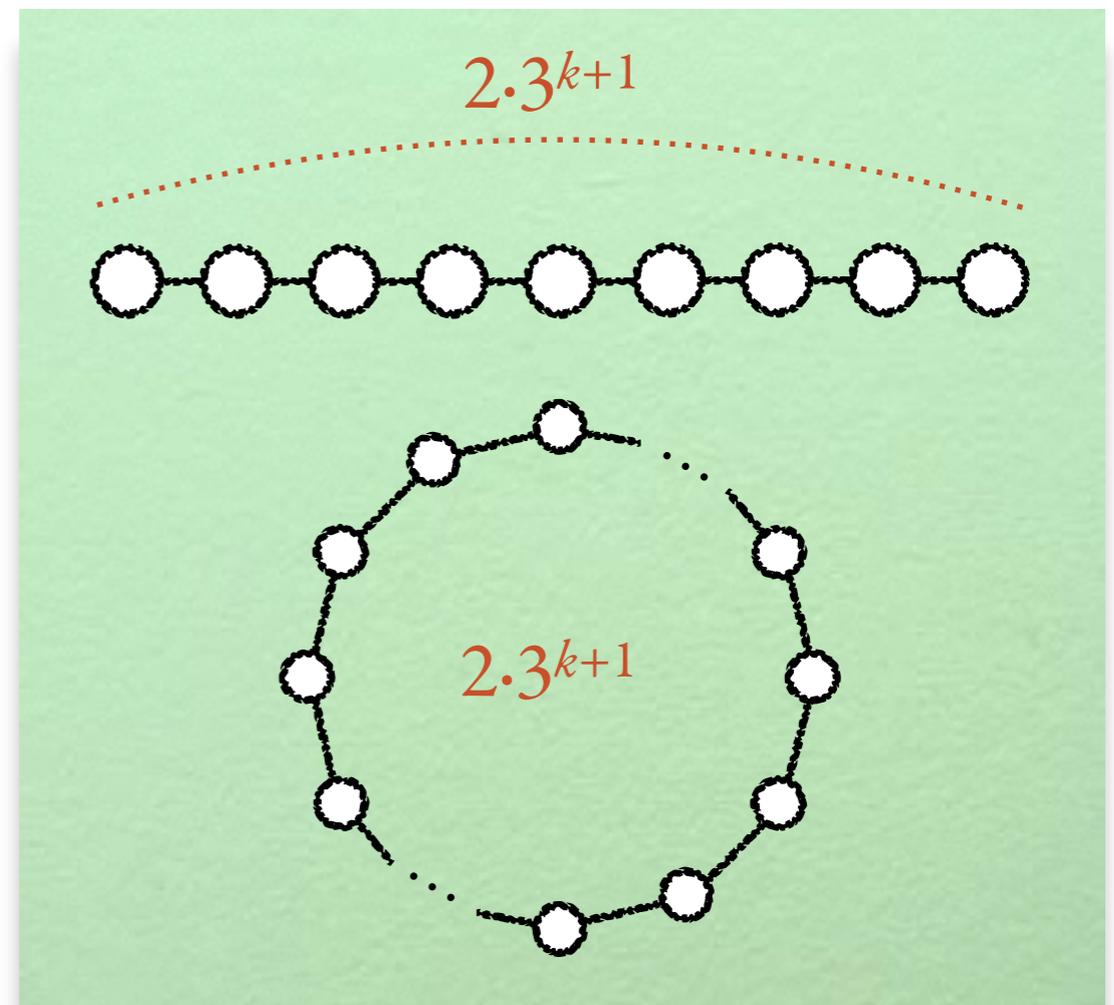
For every k , we build S_k :



Gaifman locality

Reachability is not FO definable.

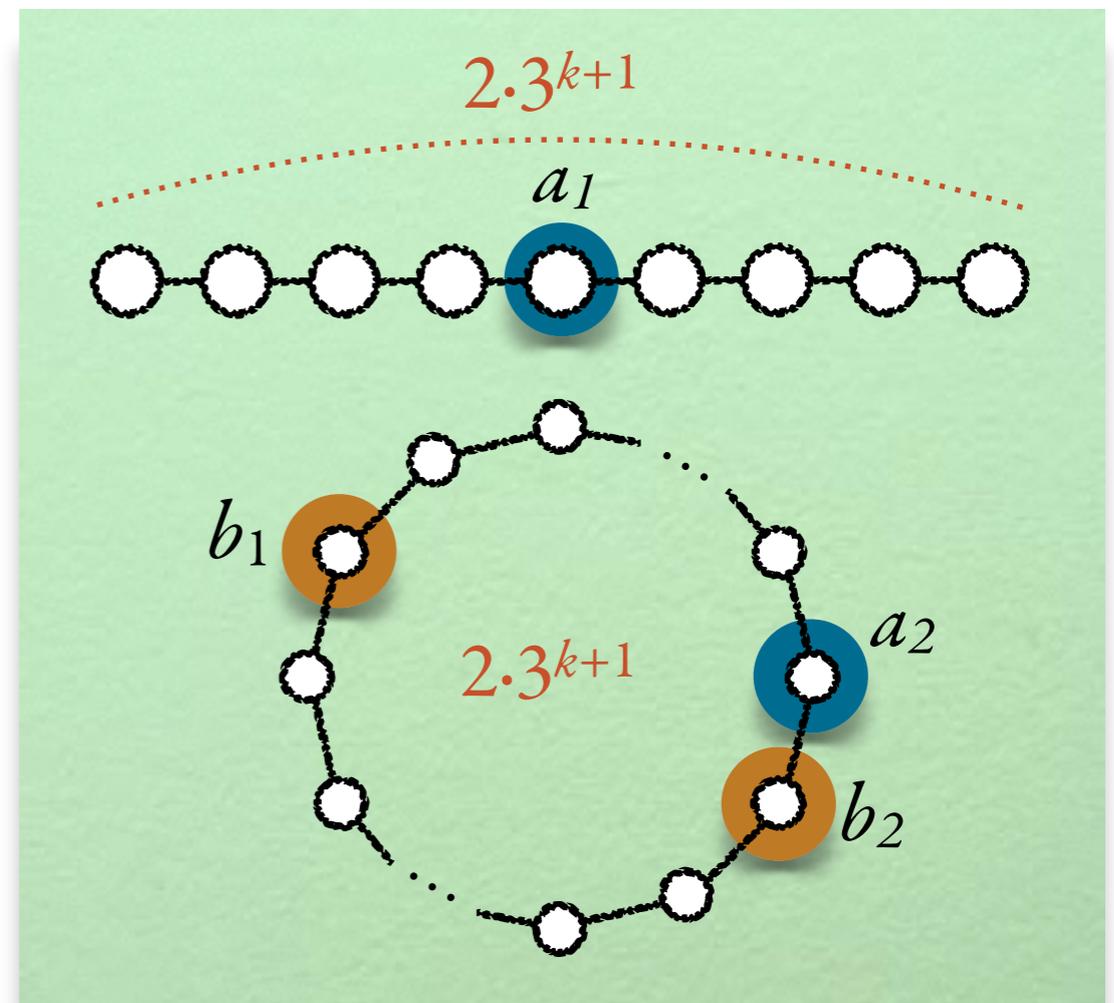
For every k , we build S_k :



Gaifman locality

Reachability is not FO definable.

For every k , we build S_k :

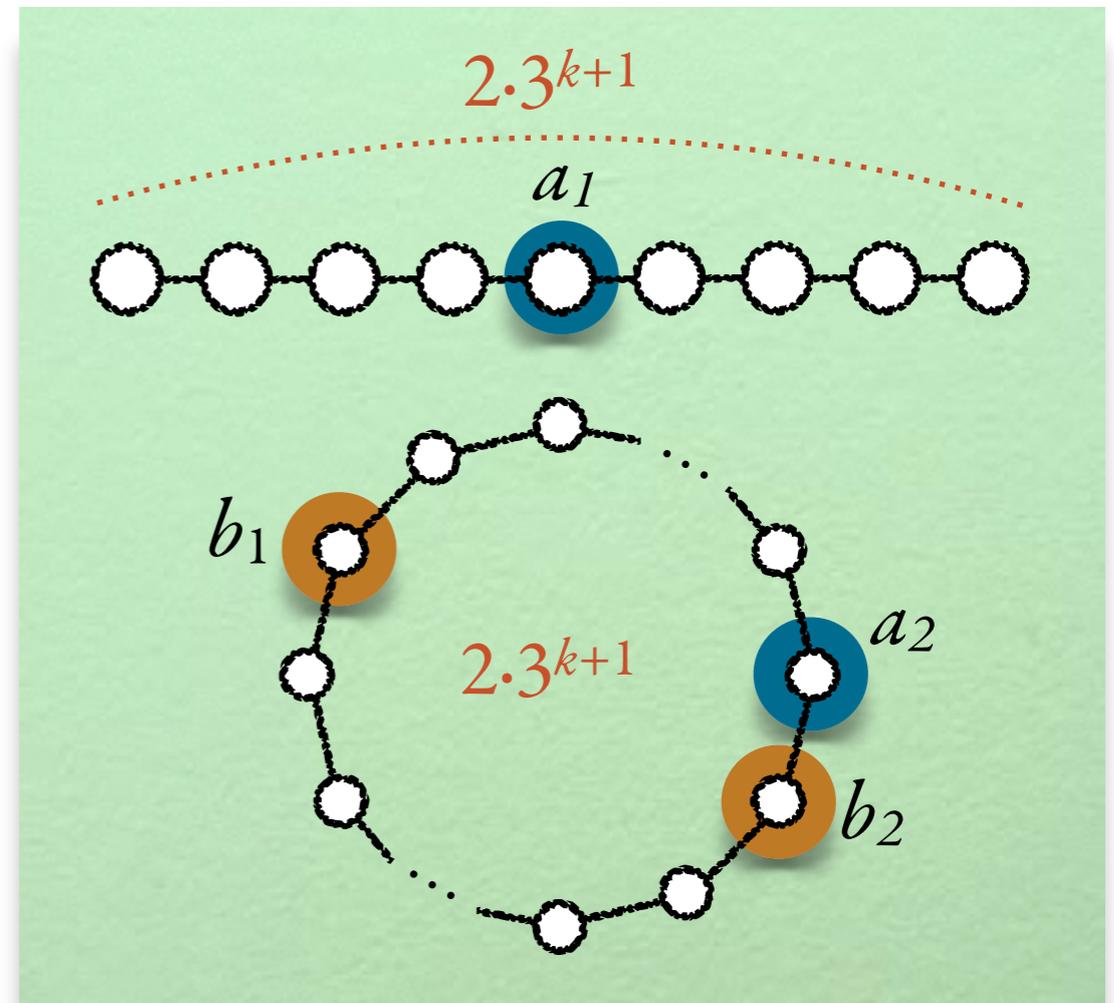


Gaifman locality

Reachability is not FO definable.

For every k , we build S_k :

And $S_k [(a_1, a_2), 3^{k+1}] \cong S_k [(b_1, b_2), 3^{k+1}]$



Gaifman locality

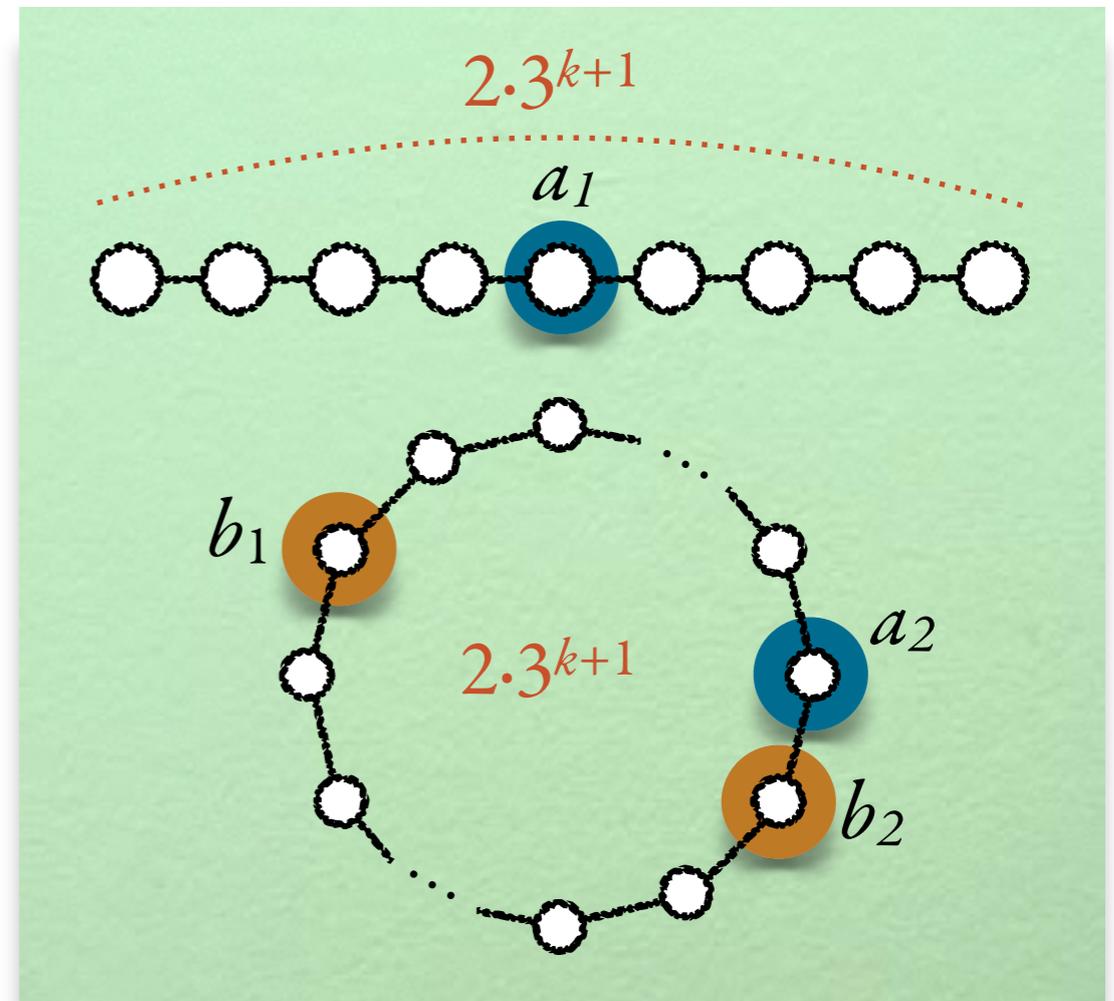
Reachability is not FO definable.

For every k , we build S_k :

And $S_k [(a_1, a_2), 3^{k+1}] \cong S_k [(b_1, b_2), 3^{k+1}]$

However,

- b_2 is reachable from b_1 ,
- a_2 is **not** reachable from a_1 .



Gaifman locality

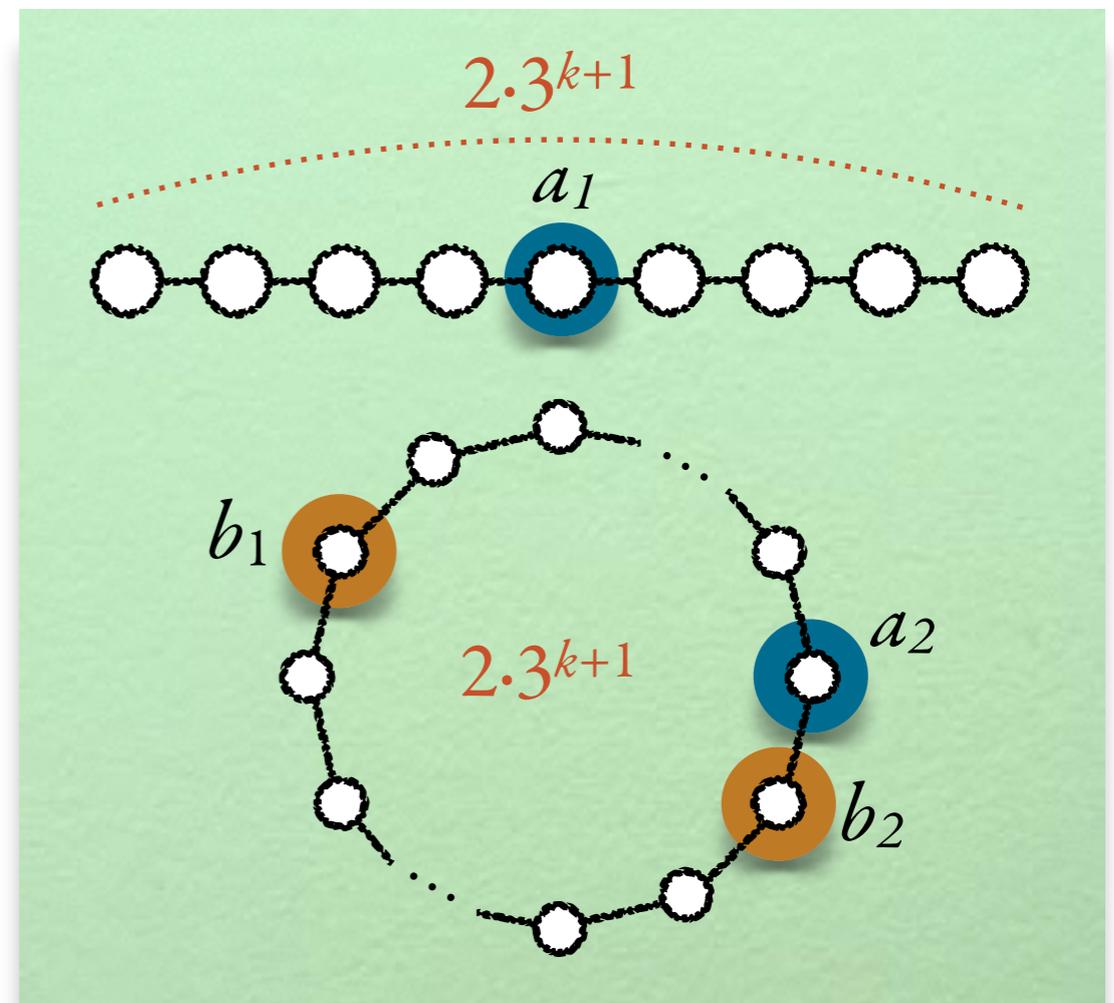
Reachability is not FO definable.

For every k , we build S_k :

And $S_k [(a_1, a_2), 3^{k+1}] \cong S_k [(b_1, b_2), 3^{k+1}]$

However,

- b_2 is reachable from b_1 ,
- a_2 is **not** reachable from a_1 .

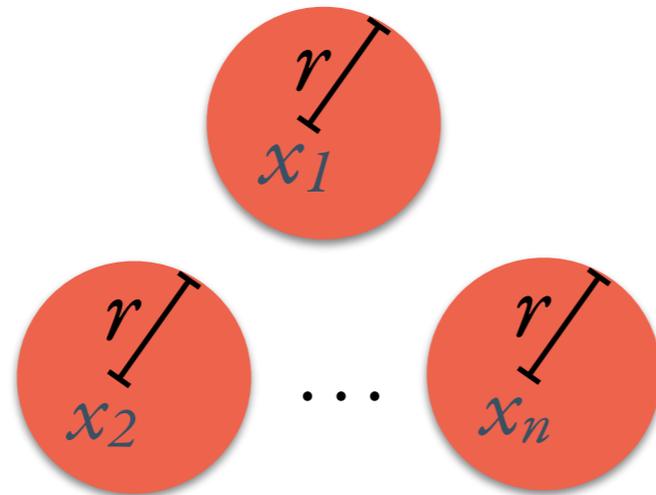


Your turn! $Q(x) = "x \text{ is a vertex separator}"$

Gaifman Theorem

Basic local sentence:

$\exists x_1, \dots, x_n$

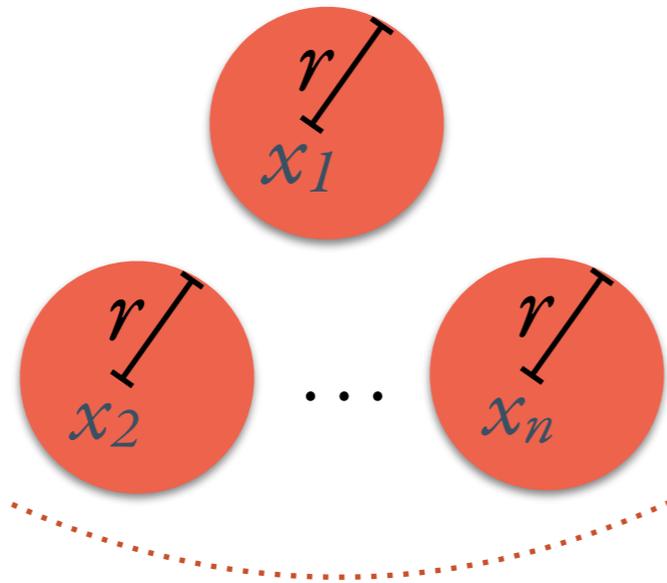


$\wedge \psi_1(x_1) \wedge \dots \wedge \psi_n(x_n)$

Gaifman Theorem

Basic local sentence:

$\exists x_1, \dots, x_n$



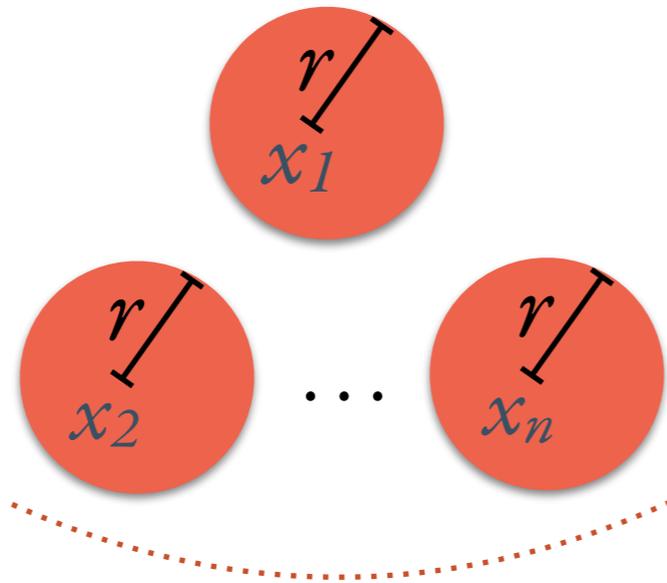
disjoint r -balls around x_1, \dots, x_n

$\wedge \psi_1(x_1) \wedge \dots \wedge \psi_n(x_n)$

Gaifman Theorem

Basic local sentence:

$\exists x_1, \dots, x_n$



disjoint r -balls around x_1, \dots, x_n

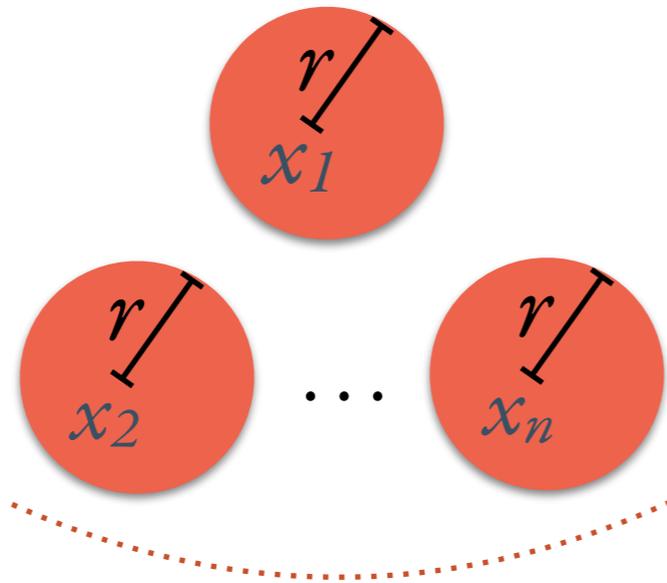
$\wedge \psi_1(x_1) \wedge \dots \wedge \psi_n(x_n)$

r -local formulas

Gaifman Theorem

Basic local sentence:

$\exists x_1, \dots, x_n$



disjoint r -balls around x_1, \dots, x_n

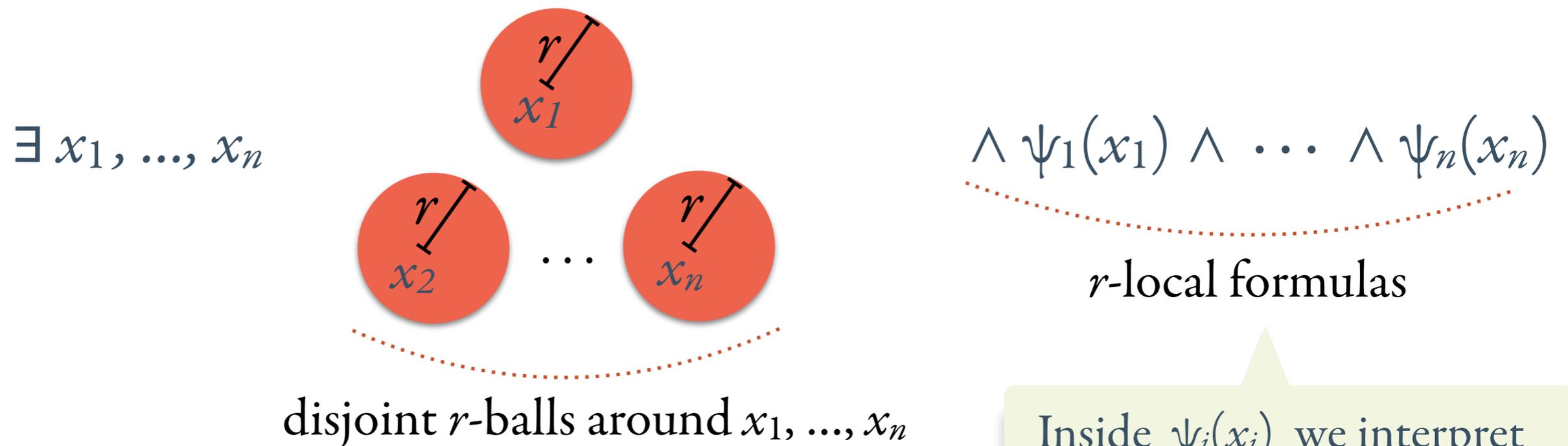
$\wedge \psi_1(x_1) \wedge \dots \wedge \psi_n(x_n)$

r -local formulas

Inside $\psi_i(x_i)$ we interpret
 $\exists y . \phi$ as $\exists y . d(x_i, y) \leq r \wedge \phi$

Gaifman Theorem

Basic local sentence:



Inside $\psi_i(x_i)$ we interpret
 $\exists y . \phi$ as $\exists y . d(x_i, y) \leq r \wedge \phi$

Gaifman Theorem: Every FO sentence is equivalent to
a boolean combination of **basic local sentences**.

Recap

Recap

EF games

FO sentences with quantifier rank n
=
winning strategies for Spoiler in the n -round EF game

Recap

EF games

FO sentences with quantifier rank n
=
winning strategies for Spoiler in the n -round EF game

0-1 Law

FO sentences are almost always true or almost always false

Recap

EF games

FO sentences with quantifier rank n
=
winning strategies for Spoiler in the n -round EF game

0-1 Law

FO sentences are almost always true or almost always false

Hanf locality

FO sentences with quantifier rank n
=
counting 3^n sized balls up to n

Recap

EF games

FO sentences with quantifier rank n
=
winning strategies for Spoiler in the n -round EF game

0-1 Law

FO sentences are almost always true or almost always false

Hanf locality

FO sentences with quantifier rank n
=
counting 3^n sized balls up to n

Gaifman locality

Queries of quantifier rank n output tuples closed under 3^{n+1} balls.

Recap

EF games

FO sentences with quantifier rank n
=
winning strategies for Spoiler in the n -round EF game

0-1 Law

FO sentences are almost always true or almost always false

Hanf locality

FO sentences with quantifier rank n
=
counting 3^n sized balls up to n

Gaifman locality

Queries of quantifier rank n output tuples closed under 3^{n+1} balls.

Gaifman Theorem

An FO sentence can only say
“there are some points at distance $\geq 2r$
whose r -balls are isomorphic to certain structures”
or a boolean combination of that.

Some Bibliography

- Libkin, “Elements of Finite Model Theory”, Springer, 2004.
- Otto, “Finite Model Theory”, Springer, 2005
(freely available at www.mathematik.tu-darmstadt.de/~otto/LEHRE/FMT0809.ps)
- Väänänen, “A Short course on Finite Model Theory”, 1994.
(available at www.math.helsinki.fi/logic/people/jouko.vaananen/shortcourse.pdf)