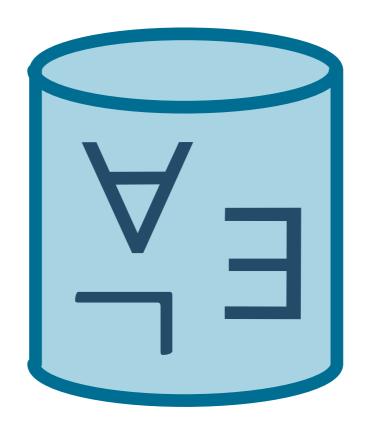
20/7/2015



Fundamentos lógicos de bases de datos (Logical foundations of databases)

Diego Figueira

Gabriele Puppis

CNRS LaBRI



About the speakers...



Gabriele Puppis
PhD from Udine (Italy)
post-docs in Oxford
Works in LaBRI, Bordeaux
CNRS researcher



Diego Figueira
PhD from ENS Cachan (France),
post-docs in Warsaw, Edinburgh
Works in LaBRI, Bordeaux
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Alma Mater: UBA!

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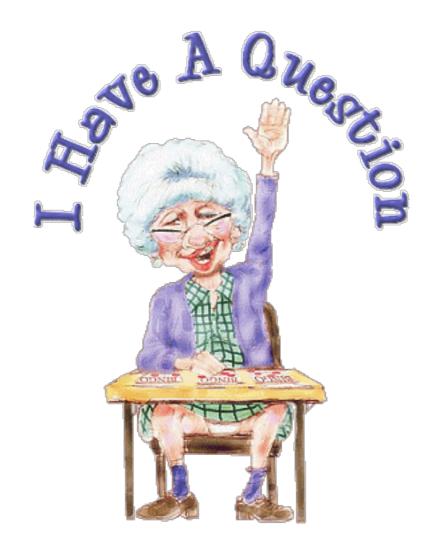


!!!



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First and foremost...



interrupt!

ask! (in any language)

Organization

Format: 45 min slots / 20 min breaks: 45' + 20' + 45' + 20' + 45'

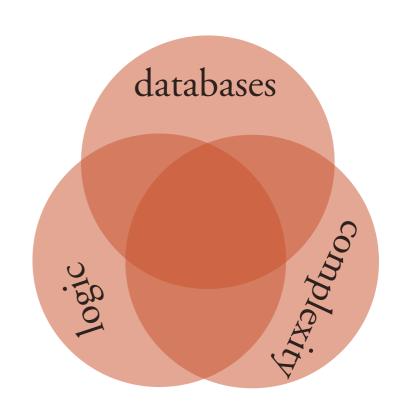
Schedule:

Relational Algebra

First-Order logic

EF games, 0-1 law, Locality

Conjunctive Queries



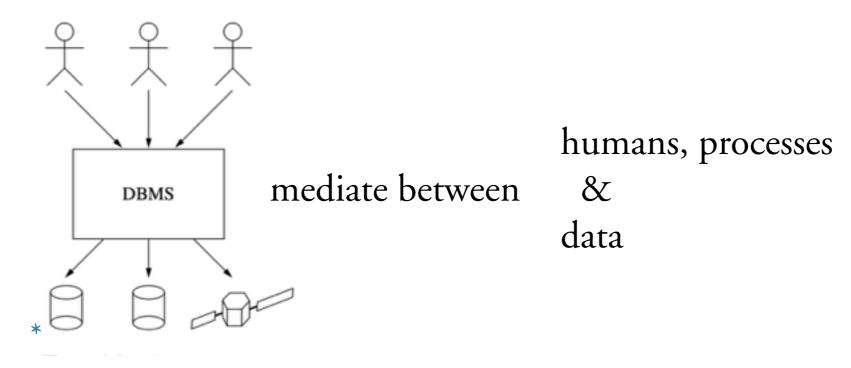
Evaluation: A short test on Saturday

Slides available at

http://www.labri.fr/perso/dfigueir/ECI15/

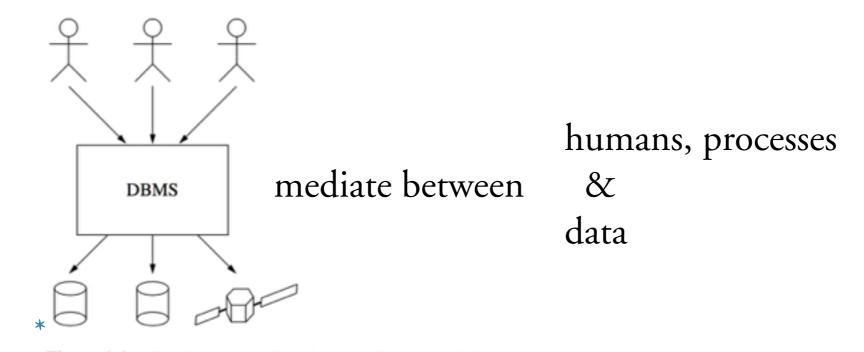
Databases

database = a collection of data, structured in some way + a way of defining, querying, updating the data inside



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database = a collection of data, structured in some way + a way of defining, querying, updating the data inside



Data model

- how the data is logically organized
- mathematical abstraction for representing data
- independent from physical organisation

DBMS also implement: transactions, concurrency, access control, resiliency...

Relational data model = data logically organised into relations ("tables").

What's a relation?

- a (finite) subset of the cartesian product of sets
- a "table" with rows and columns

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like:

$$\{(1,a,2), (2,b,6), (2,a,1)\} \subseteq \mathbb{N} \times \{a,b\} \times \mathbb{N}$$

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 $() \longrightarrow 0$ -tuple

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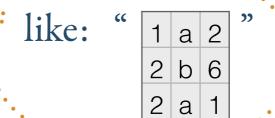
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▶ a "tuple" (a "3-tuple")

() **→** 0-tuple



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DB = A schema: names of tables and attributes

An instance: data conforming to the schema

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Films (Title:string, Director:string, Actor:string)

Schedule (Theatre:string, Title:string)

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DB = A schema: names of tables and attributes

Films (Title:string, Director:string, Actor:string)

Schedule (Theatre:string, Title:string)

An instance: data conforming to the schema

Films

Title Director Actor 8 1/2 Fellini Mastroianni Shining Kubrick Nicholson Dr. Strangelove Kubrick Sellers 8 femmes Ozon Ardant

Schedule

Theatre	Title
Utopia	Dr. Strangelove
Utopia	8 1/2
UGC	Dr. Strangelove
UGC	8 femmes

Relational data model = data logically organised into relations ("tables").



```
\dots What is a query q?
```

A mapping that takes a database instance D returns a relation $q(D) \subseteq U^r$ of fixed arity r

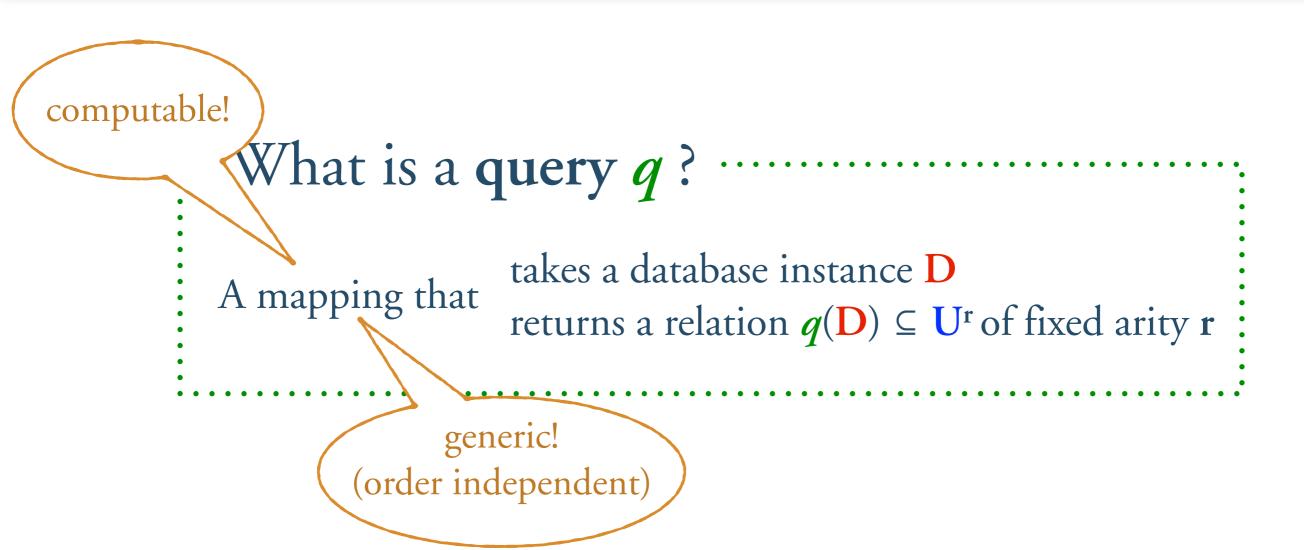
```
computable!
```

What is a query q?

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takes a database instance D

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```
computable!
             What is a query q?
                                 takes a database instance D
            A mapping that
                                 returns a relation q(\mathbf{D}) \subseteq \mathbf{U}^r of fixed arity \mathbf{r}
                            generic!
                                                              Boolean query: r=0
                      (order independent)
                                                         Either "yes" { () } or "no" { }
```

$\cdot \cdot$ What is a query q?

A mapping that

takes a database instance D

returns a relation $q(\mathbf{D}) \subseteq \mathbf{U}^r$ of fixed arity \mathbf{r}

·· What do we care about queries? ·



expressive power



evaluation



static analysis

The fundamental questions:

How to query the relational data model?

How efficient/expressive is it?

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How to query the relational data model?

How efficient/expressive is it?



Query languages

Query Language

Syntax



Semantics

Expressions for querying the db, governed by syntactic rules

"Select X from Y"

"y :- $\forall x (x \leq y)$ "

Interpretation of symbols in terms of some structure

Retrieves all strings in column X of table Y

Returns the maximum element of the set.

Syntax:
$$E := R,S,... \mid E \cup E \mid E \setminus E \mid E \times E \mid \pi_M(E) \mid \sigma_{\Theta}(E)$$

where
$$M \subseteq \mathbb{N}$$

 $\Theta \subseteq \mathbb{N} \times \{=, \neq\} \times \mathbb{N}$

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- R₁ \ R₂ : Set difference

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•
$$\sigma_{\{i_1=j_1,...,i_n=j_n\}}^{\sharp}(R) \coloneqq \{(x_1, ..., x_m) \in R \mid (x_{i_1}^{\sharp} = x_{j_1}) \land \cdots \land (x_{i_n}^{\sharp} = x_{j_n})\} : Selection$$

•
$$\pi_{\{i_1,...,i_n\}}(R) := \{(x_{i_1},...,x_{i_n}) \mid (x_1,...,x_m) \in R\}$$
: Projection

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$$\sigma_{\{1=3,1\neq2\}}(\{(1,2,1),(2,2,2)\})=\{(1,2,1)\}$$

$$\pi_{\{1,3\}}(\{(1,2,1),(2,2,2)\}) = \{(1,1),(2,2)\}$$

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Relational Algebra (RA)

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Question 1: What is the RA expression for $\{(v_1,v_2) \mid \text{ there are } w_1 \neq w_2 \text{ so that } (v_1,w_1) \in R_1 \text{ and } (v_2,w_2) \in R_2 \}$?

Question 2: $\pi_2(\sigma_{1=3}(\pi_2(\sigma_{1=3}(R_1 \times R_2)) \times R_2)) = ?$

1()		
а	3	
b	2	
С	4	
b	3	
а	2	

Relational Algebra (RA)

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Answer: $\pi_{\{1,3\}}(\sigma_{1\neq 3}(R_1 \times R_2))$

а	b
b	а
С	а
С	b

Question 2:
$$\pi_2(\sigma_{1=3}(\pi_2(\sigma_{1=3}(R_1 \times R_2)) \times R_2)) = ?$$

 R_1

а	3
b	2
С	4
b	3
а	2

 R_2

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а	b
b	а
С	а
С	b

Question 2: $\pi_2(\sigma_{1=3}(\pi_2(\sigma_{1=3}(R_1 \times R_2)) \times R_2)) = ?$

Answer (only one element):

b

 R_1

а	3
b	2
С	4
b	3
а	2

 R_2

RA = Basic SQL

no domain-specific features, aggregation, etc

```
Select X From R_1,...,R_n \iff \pi_X \left( \sigma_Z(R_1 \times \cdots \times R_n) \right) Where Z ... or ... \iff union ... not in (...) \iff difference
```

no domain-specific features, aggregation, etc

```
Select X From R<sub>1</sub>,..., R<sub>n</sub> \iff \pi_X ( \sigma_Z( R_1 \times \cdots \times R_n ) ) Where Z
```

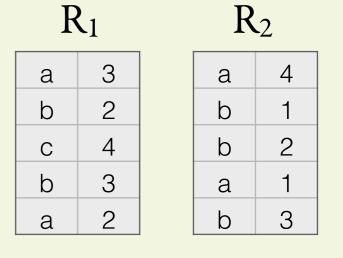
$$\pi_2 (\sigma_{1\neq 3}(R_1 \times R_2)) \rightarrow$$

R_1		R_2		
а	3	а	4	
b	2	b	1	
С	4	b	2	
b	3	а	1	
а	2	b	3	

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```
Select X From R<sub>1</sub>,...,R<sub>n</sub> \iff \pi_{X} ( \sigma_{Z}( R_{1} \times \cdots \times R_{n})) Where Z
```

$$\pi_2\left(\sigma_{1\neq 3}(R_1\times R_2)\right) \rightsquigarrow \begin{array}{l} \text{Select } R_1.2 \text{ as } \underline{\text{foo}} \\ \text{From } R_1\text{, } R_2 \\ \text{Where } R_1.1 \neq R_2.1 \end{array}$$



no domain-specific features, aggregation, etc

```
Select X From R_1,...,R_n \iff \pi_X \left( \sigma_Z (\ R_1 \times \cdots \times R_n \ \right) \right) Where Z
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$$\pi_2(\sigma_{1\neq 3}(R_1\times R_2)) \Rightarrow \begin{array}{l} \text{Select } R_1.2 \text{ as } \underline{\text{foo}} \\ \text{From } R_1, R_2 \\ \text{Where } R_1.1 \neq R_2.1 \\ \end{array}$$

$$\pi_2(\sigma_{1=3}(* \times R_2)) \rightarrow$$

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b	2	b	1	
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b	3	а	1	
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Select X From R₁,..., R_n
$$\iff$$
 π_{X} (σ_{Z} ($R_{1} \times \cdots \times R_{n}$)) Where Z

Select
$$R_1.2$$
 as \underline{foo} $\pi_2(\sigma_{1\neq 3}(R_1\times R_2)) \Rightarrow From R_1, R_2$ Where $R_1.1 \neq R_2.1$

$$\pi_2(\sigma_{1=3}(* \times R_2)) \rightarrow$$

no domain-specific features, aggregation, etc

Select X From R₁,..., R_n
$$\iff$$
 π_{X} (σ_{Z} ($R_{1} \times \cdots \times R_{n}$)) Where Z

$$\pi_2\left(\sigma_{1\neq 3}(R_1\times R_2)\right) \Rightarrow \begin{array}{c} \text{Select } R_1.2 \text{ as } \underline{\text{foo}} \\ \text{From } R_1, R_2 \\ \text{Where } R_1.1 \neq R_2.1 \end{array}$$

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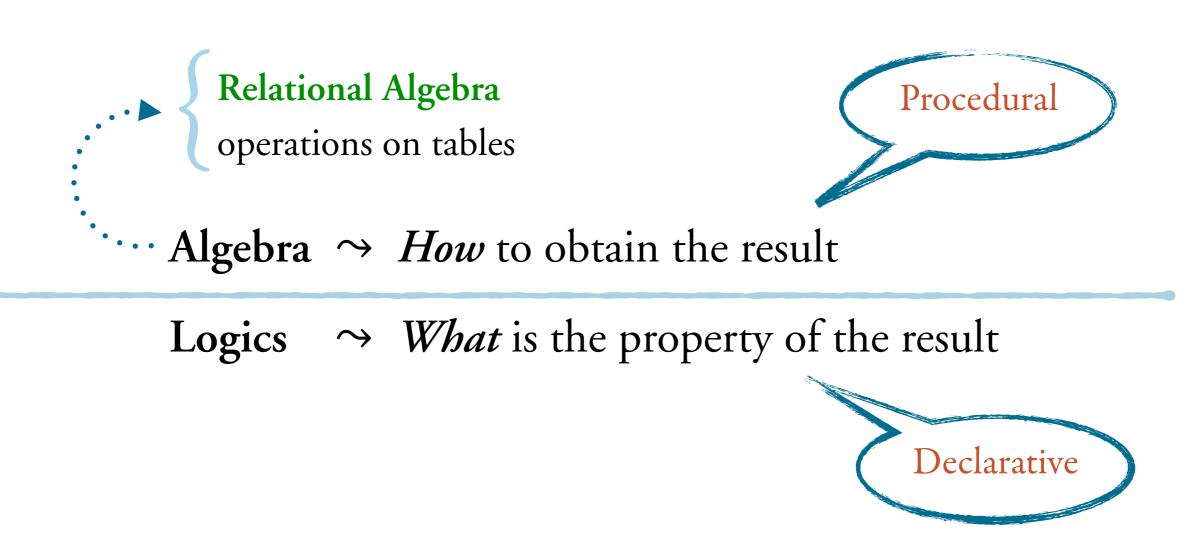
Select $\underline{\text{foo}}$ From \bigstar , R_2 Where foo = $R_2.2$

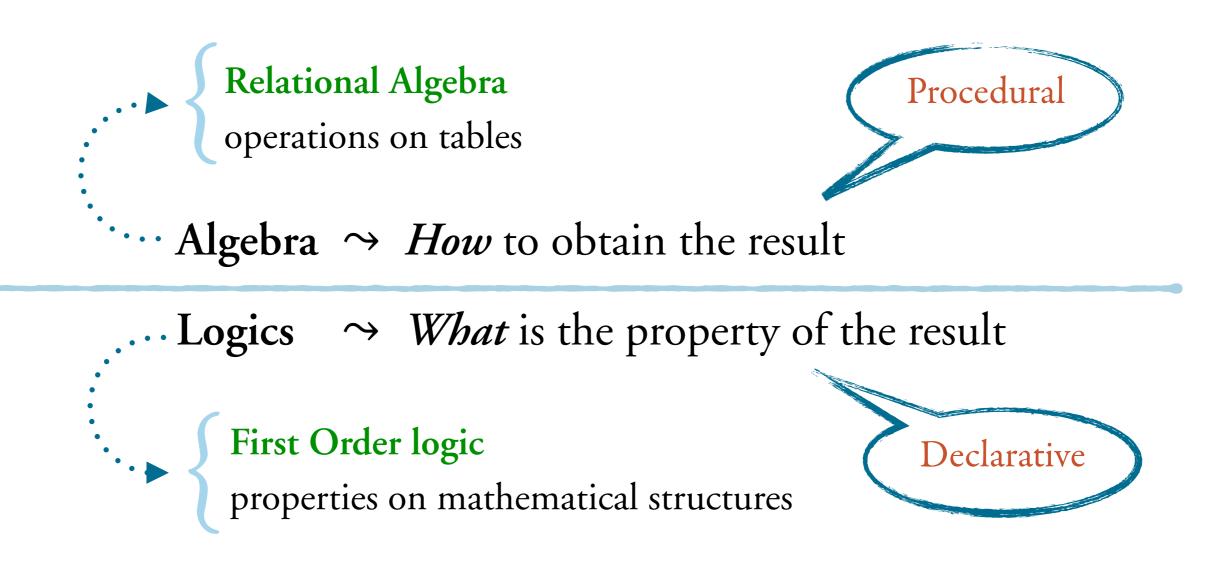


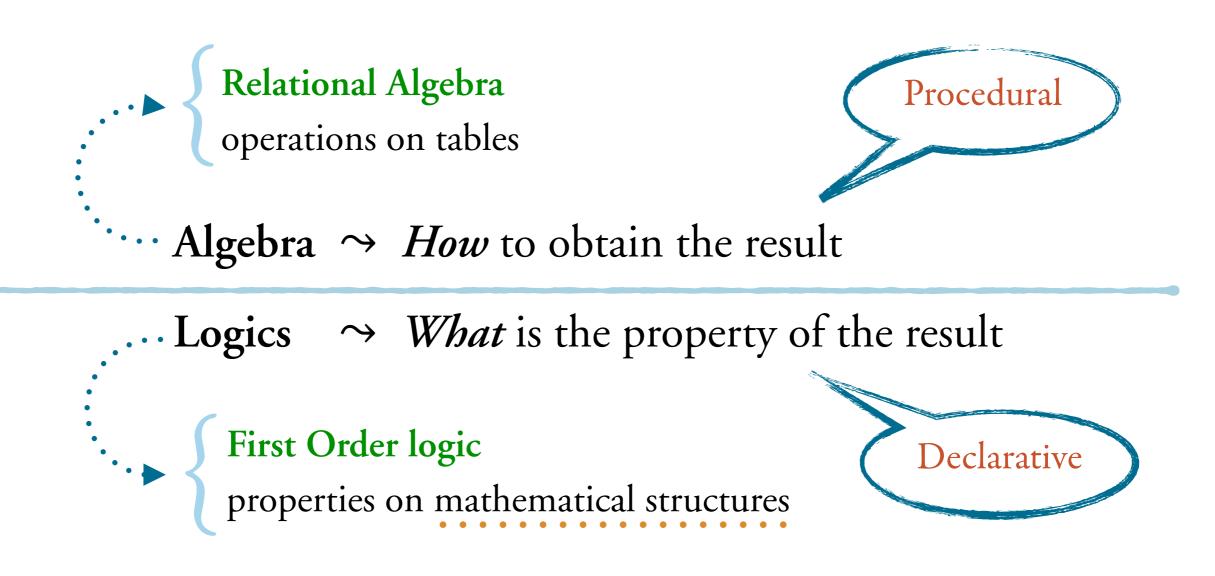
Algebra \(\rightarrow \) How to obtain the result

Logics \rightarrow *What* is the property of the result

Declarative







FO = First-Order logic



A structure is:

$$A = (D, R_1, ..., R_n, f_1, ..., f_n)$$

D is a non-empty set, the domain

 R_i is an *m*-ary relation for some m (ie, $R_i \subseteq D^m$)

 f_i is an *n*-ary function for some n (ie, $f_i: D^n \to D$)

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A graph G = (V,E)

- V: nodes
- $E \subseteq V^2$: edges (binary relation)
- (no functions)

A group, like $(\mathbb{N},+)$

- N: natural numbers
- (no relations)
- +: $\mathbb{N}^2 \longrightarrow \mathbb{N}$ addition (binary function)

```
Pariables x, y, z, ...

quantifiers: \exists, \forall

Boolean connectives: \neg, \land, \lor
```

A language to talk about **structures**Variables range over the **domain**Atomic formulas: $R(x_1, ..., x_m)$, x=y

Pariables x, y, z, ...quantifiers: \exists, \forall Boolean connectives: \neg, \land, \lor

A language to talk about **structures**Variables range over the **domain**Atomic formulas: $R(x_1, ..., x_m)$, x=y

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Language to talk about **graphs**Variables range over **nodes**Atomic formulas: E(x,y), x = y

Pariables
$$x, y, z, ...$$

quantifiers: \exists, \forall

Boolean connectives: \neg, \land, \lor

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- E \subseteq V²: edges (binary relation)
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Language to talk about **graphs**Variables range over **nodes**Atomic formulas: E(x,y), x = y

Formulas: Atomic formulas + connectives + quantifiers

"The node x has at least two neighbours" $\exists y \; \exists z \; (\neg(y=z) \land E(x,y) \land E(x,z))$

"The node x has at least two neighbours"

$$\varphi(x) = \exists y \exists z (\neg(y=z) \land E(x,y) \land E(x,z))$$
free

x is free = not quantified
(a property of a <u>node</u> in the <u>graph</u>)

"The node x has at least two neighbours" $\varphi(x) = \exists y \; \exists z \; (\neg(y=z) \; \land \; E(x,y) \; \land \; E(x,z))$

x is free = not quantified
(a property of a <u>node</u> in the <u>graph</u>)

"Each node has at least two neighbours" $\forall x \; \exists y \; \exists z \; (\neg(y=z) \; \land \; E(x,y) \; \land \; E(x,z))$

"The node x has at least two neighbours" $\phi(x) = \exists y \; \exists z \; (\neg(y=z) \land E(x,y) \land E(x,z))$ free

x is free = not quantified
(a property of a <u>node</u> in the <u>graph</u>)

"Each node has at least two neighbours" $\psi = \forall x \exists y \exists z (\neg(y=z) \land E(x,y) \land E(x,z))$

the formula is a sentence = no free variables (a property of the graph) "The node x has at least two neighbours" $\phi(x) = \exists y \; \exists z \; (\neg(y=z) \land E(x,y) \land E(x,z))$ free

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the formula is a sentence
= no free variables
(a property of the graph)

Question: • How to express in FO "Every two neighbours have a common neighbour"?

• Does it have free variables? Is it a sentence?

"The node x has at least two neighbours"
$$\phi(x) = \exists y \; \exists z \; (\neg(y=z) \land E(x,y) \land E(x,z))$$
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"Each node has at least two neighbours" $\psi = \forall x \exists y \exists z (\neg(y=z) \land E(x,y) \land E(x,z))$

the formula is a sentence = no free variables (a property of the graph)

Question: • How to express in FO "Every two neighbours have a common neighbour"?

• Does it have free variables? Is it a sentence?

Answer: $\forall x \forall y \left(\neg E(x,y) \lor \exists z \left(\left(E(x,z) \lor E(z,x) \right) \land \left(E(y,z) \lor E(z,y) \right) \right) \right)$

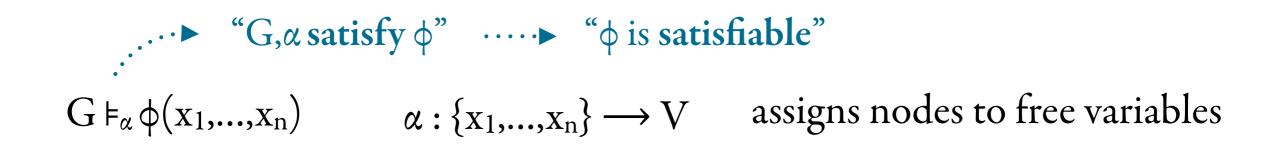
To evaluate a formula ϕ we need a graph G=(V,E) and a binding α that maps free variables of ϕ to nodes of G.

$$G \models_{\alpha} \varphi(x_1,...,x_n)$$

$$G \models_{\alpha} \varphi(x_1,...,x_n)$$
 $\alpha : \{x_1,...,x_n\} \longrightarrow V$

assigns nodes to free variables

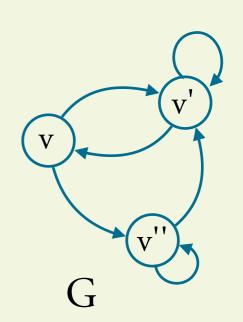
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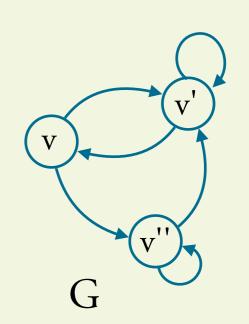
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"G,
$$\alpha$$
 satisfy ϕ " " ϕ is satisfiable"
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"Every node has at least two neighbours" $\psi = \forall x \; \exists y \; \exists z \; (\neg(y=z) \land E(x,y) \land E(x,z))$ $G \models_{\varnothing} \psi$



Formal Semantics of FO

 $G \models_{\alpha} \exists x \varphi$ iff for some $v \in V$ and $\alpha' = \alpha \cup \{x \mapsto v\}$ we have $G \models_{\alpha'} \varphi$

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 $\phi(x_1, ..., x_n)$ evaluated on G=(V,E) yields all the bindings that satisfy ϕ :

$$\phi(G) = \{ (\alpha(x_1),...,\alpha(x_n)) \mid G \models_{\alpha} \phi, \alpha : \{x_1,...,x_n\} \longrightarrow V \}$$

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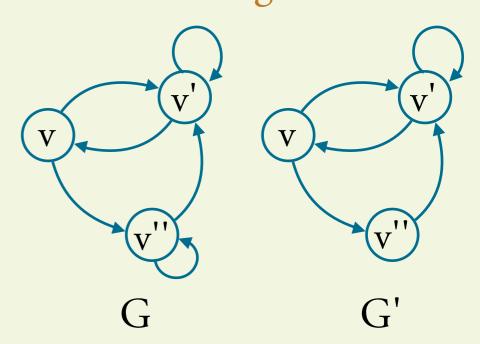
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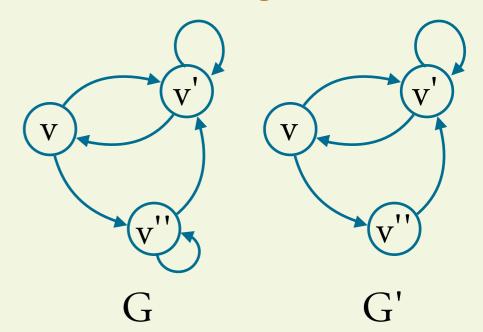
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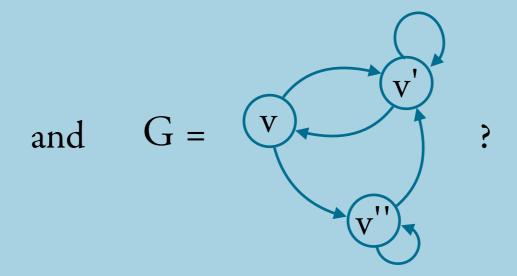
$$\psi = \forall x \exists y \exists z (\neg(y=z) \land E(x,y) \land E(x,z))$$

$$\psi(G) = \{()\}$$
 \rightarrow set with one element: the 0-tuple

$$\psi(G') = \{\} \rightarrow \text{empty set}$$

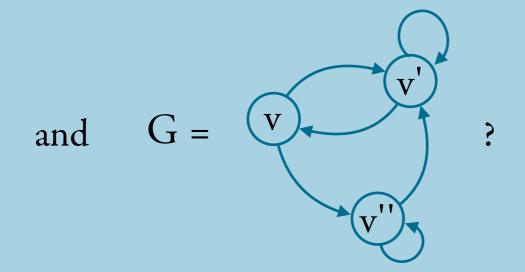
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Answer: $\bullet \alpha = \{ x \mapsto v, y \mapsto v' \},$

$$\bullet \alpha = \{ x \mapsto v, y \mapsto v \},\$$

•
$$\alpha = \{ x \mapsto v', y \mapsto v' \},$$

• ... and all the rest

$$\phi(G) = \{v,v',v''\} \times \{v,v',v''\}$$

FO can serve as a **declarative** query language on relational databases : we express the properties of the answer

Tables = Relations

Queries = Formulas

Rows = Tuples

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- No functions
- Finite structure
- Quantification over active domain

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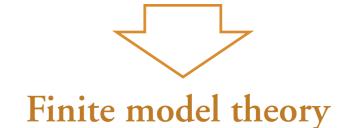
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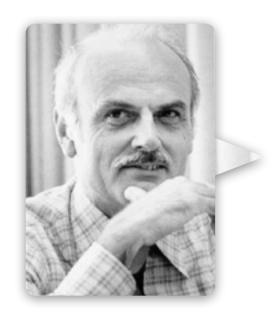
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$$RA = *FO$$

$$How = What$$



RA and FO logic have roughly* the same expressive power!

[E.F. Codd 1972]

*FO without functions, with equality, on finite domains, ...

$$RA \subseteq FO$$

•
$$R_1 \times R_2$$
 \longrightarrow $R_1(x_1, ..., x_n) \wedge R_2(x_{n+1}, ..., x_m)$

•
$$R_1 \cup R_2$$
 \rightarrow $R_1(x_1, ..., x_n) \vee R_2(x_1, ..., x_n)$

$$\bullet \; \sigma_{\{i_1=j_1,...,i_n=j_n\}}(R) \; \leadsto \; \; R(x_1,...,x_m) \; \land \; (x_{i_1}=x_{j_1}) \land \cdots \; \land \; (x_{i_n}=x_{j_n})$$

•
$$\pi_{\{i_1,...,i_n\}}(R)$$
 $\longrightarrow \exists (\{x_1,...,x_m\} \setminus \{x_{i_1},...,x_{i_n}\}). R(x_1,...,x_m)$

•
$$R_1 \setminus R_2$$
 \longrightarrow $R_1(x_1, ..., x_n) \land \neg R_2(x_1, ..., x_n)$

• ...

FO ⊆ RA does not hold in general!

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"the complement of R"
$$\notin RA$$

 $\in FO: \neg R(x)$

FO ⊈ RA

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www We restrict variables to range over active domain

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→ elements in the relations
→ We restrict variables to range over active domain

=

FO restricted to active domain

"the complement of R"
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 $\in FO: \neg R(x)$

→ We restrict variables to range over active domain

=

FO restricted to active domain

$$\phi_{1}(x,y) = \neg E(x,y)
\phi_{1}(G) = \{(v_{1},v_{1}),(v_{3},v_{1})\}
\phi_{2}(x) = \forall y E(y,x)
\phi_{2}(G) = \{v_{2}\}$$

$$G = v_{1}$$

$$v_{2}$$

$$v_{3}$$

First-order logic restricted to active domain

Formal Semantics of FOact

 $G \models_{\alpha} \exists x \varphi$ iff for some $v \in ACT(G)$ and $\alpha' = \alpha \cup \{x \mapsto v\}$ we have $G \models_{\alpha'} \varphi$ $G \models_{\alpha} \forall x \varphi$ iff for every $v \in ACT(G)$ and $\alpha' = \alpha \cup \{x \mapsto v\}$ we have $G \models_{\alpha'} \varphi$ $G \models_{\alpha} \varphi \land \psi$ iff $G \models_{\alpha} \varphi$ and $G \models_{\alpha} \psi$

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$$G \models_{\alpha} x = y$$
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 $ACT(G) = \{v \mid \text{for some } v': (v,v') \in E \text{ or } (v',v) \in E\}$

Assume:

- 1. ϕ has variables $x_1,...,x_n$,
- 2. ϕ in normal form: $(\exists^* (\neg \exists)^*)^* + \text{quantifier-free } \psi(x_1,...,x_n)$

$$\exists x_1, x_2 \neg \exists x_3 \exists x_4 . (E(x_1, x_3) \land \neg E(x_4, x_2)) \lor (x_1 = x_3)$$

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Adom = RA expression for active domain = " $\pi_1(E) \cup \pi_2(E)$ "

•
$$(\exists x_i \varphi(x_{i_1},...,x_{i_n})) \rightarrow \pi_{\{i_1,...,i_n\}\setminus\{i\}}(\varphi)$$

•
$$(\exists x_i \varphi(x_{i_1},...,x_{i_n})) + \rightarrow \pi_{\{i_1,...,i_n\} \setminus \{i\}}(\varphi^+)$$

• $(x_i = x_j) + \rightarrow \sigma_{\{i=j\}}(Adom \times ... \times Adom)$
• $(\psi_1(x_1,...,x_n) \wedge \psi_2(x_1,...,x_n)) + \rightarrow \psi_1 + \cap \psi_2 + \cdots$
• $(\neg \varphi(x_{i_1},...,x_{i_n})) + \rightarrow Adom \times ... \times Adom \setminus \varphi^+$

•
$$(\psi_1(x_1,...,x_n) \land \psi_2(x_1,...,x_n))$$
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$$A \cap B = (A \cup B) \setminus A \setminus B$$

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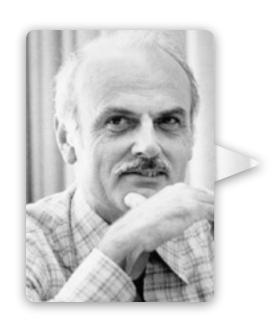
$$\bullet (\exists x_i \, \varphi(x_{i_1}, ..., x_{i_n})) \, \uparrow \, \neg \, \pi_{\{i_1, ..., i_n\} \setminus \{i\}}(\varphi \, \uparrow)$$

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Corollary



FOact is equivalent to RA

Question 1: How is $\pi_2(\sigma_{1=3}(\pi_2(\sigma_{1=3}(R_1 \times R_2)) \times R_2))$ expressed in FO?

Remember: R_1, R_2 are binary

Question 2: How is $\exists y,z$. $(R_1(x,y) \land R_1(y,z) \land x \neq z)$ expressed in RA? Remember: The signature is the same as before $(R_1,R_2 \text{ binary})$

- \bullet R₁ \cup R₂
- \bullet R₁ × R₂
- \bullet R₁ \ R₂
- $\sigma_{\{i_1=j_1,...,i_n=j_n\}}^{\neq}(R) := \{(x_1,...,x_m) \in R \mid (x_{i_1}=x_{j_1}) \land \cdots \land (x_{i_n}=x_{j_n})\}$
- $\pi_{\{i_1,...,i_n\}}(R) \coloneqq \{(x_{i_1},...,x_{i_n}) \mid (x_1,...,x_m) \in R\}$

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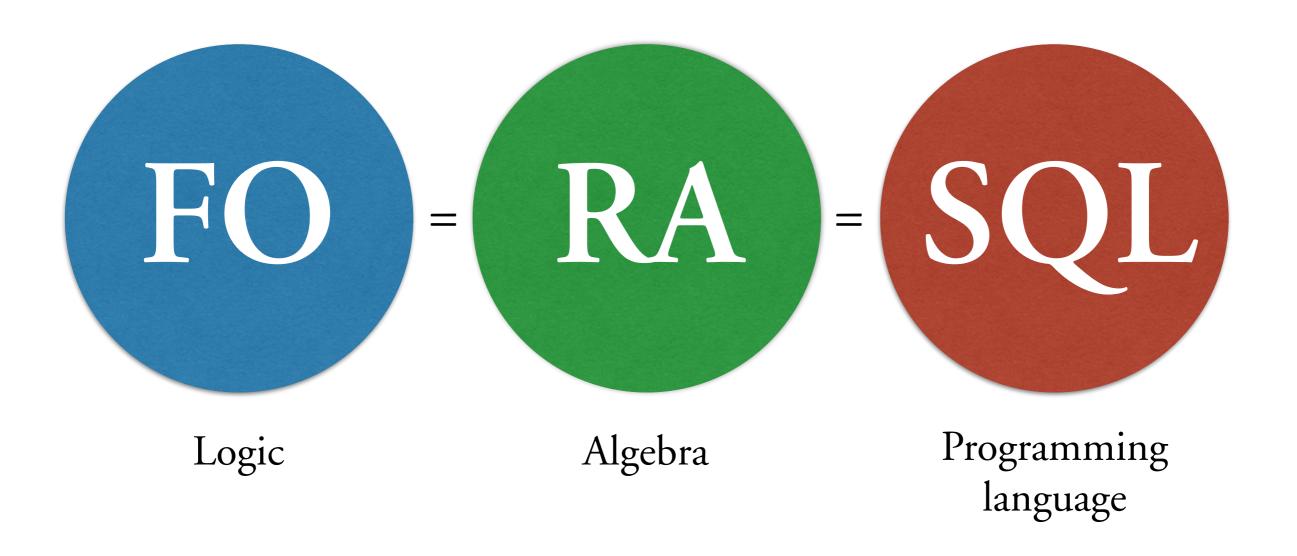
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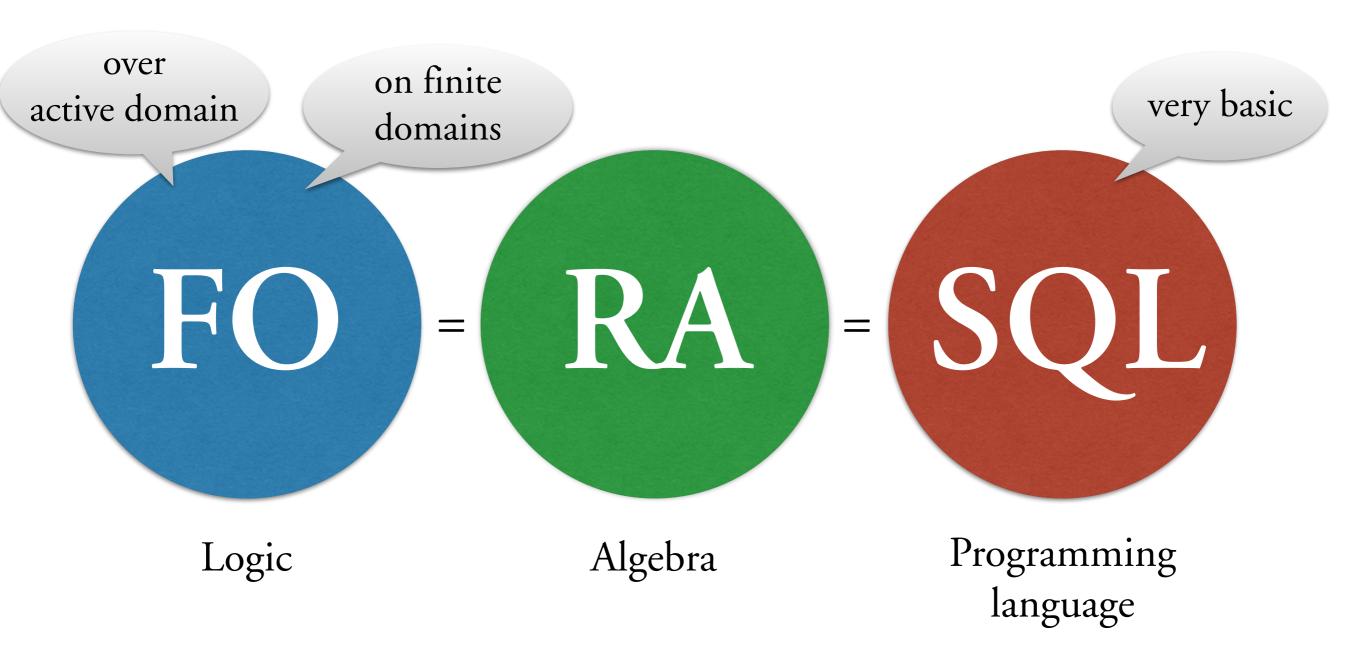
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- $R_1 \cup R_2$
- \bullet R₁ × R₂
- $R_1 \setminus R_2$
- $\sigma_{\{i_1=j_1,...,i_n=j_n\}}^{\neq}(R) \coloneqq \{(x_1,...,x_m) \in R \mid (x_{i_1}=x_{j_1}) \land \cdots \land (x_{i_n}=x_{j_n})\}$
- $\pi_{\{i_1,...,i_n\}}(R) \coloneqq \{(x_{i_1},...,x_{i_n}) \mid (x_1,...,x_m) \in R\}$

Answer: $\pi_1(\sigma_{\{2=3,1\neq 4\}}(R_1 \times R_1))$





Algorithmic problems for query languages

Evaluation problem: Given a query Q, a database instance db, and a tuple t, is $t \in Q(db)$?

** How hard is it to retrieve data?

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→ Does Q make sense? Is it a contradiction? (Query optimization)

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Equivalence problem: Given queries Q_1 , Q_2 , is $Q_1(db) = Q_2(db)$ for all database instances db?

→ Can we safely replace a query with another? (Query optimization)

What can be mechanized? \rightarrow decidable/undecidable

How hard is it to mechanise? → complexity classes

Domino H's 10th PCP

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- ·.... usage of resources: time
 - memory

H's 10th Domino

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Algorithm Alg is TIME-bounded

by a function $f: \mathbb{N} \to \mathbb{N}$ if

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H's 10th Domino

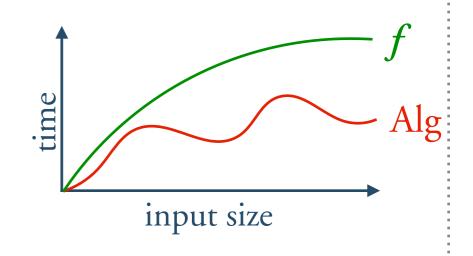
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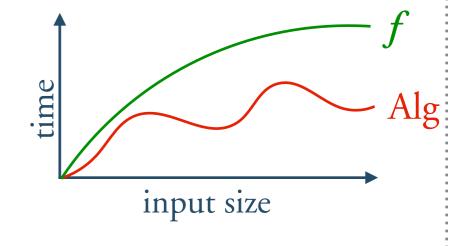
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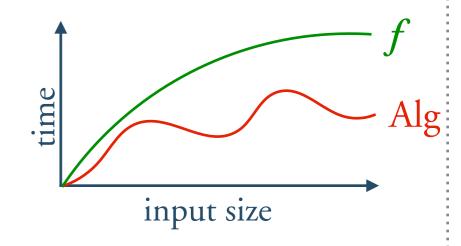
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LOGSPACE ⊊ PTIME ⊆ PSPACE ⊆ EXPTIME ⊆ · · ·

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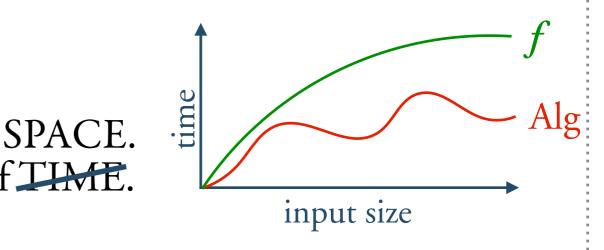
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TIME-bounded by a polynomial

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➤ SPACE-bounded by a polynomial

SPACE-bounded by log(n)

Algorithmic problems for FO

Evaluation problem: Given a FO formula $\phi(x_1, ..., x_n)$, a graph G, and a binding α , does $G \models_{\alpha} \phi$?

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DECIDABLE --- foundations of the database industry

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• UNDECIDABLE - by reduction to the satisfiability problem

Satisfiability problem: Given a FO formula φ , is there a graph G and binding α , such that $G \models_{\alpha} \varphi$?

UNDECIDABLE → both for \(\) and \(\) \(\) [Trakhtenbrot '50]

Satisfiability problem: Given a FO formula ϕ , is there a graph G and binding α , such that $G \models_{\alpha} \phi$?

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Proof: By reduction from the Domino (aka Tiling) problem.

Satisfiability problem: Given a FO formula ϕ , is there a graph G and binding α , such that $G \models_{\alpha} \phi$?

UNDECIDABLE → both for \(\) and \(\) \(\) [Trakhtenbrot '50]

Proof: By reduction from the Domino (aka Tiling) problem.

Reduction from P to P': Algorithm that solves P using a O(1) procedure "P'(x)"

that returns the truth value of P'(x).

Domino

Input: 4-sided dominos:







Domino

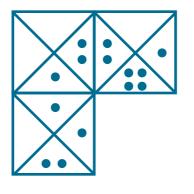
Input: 4-sided dominos:



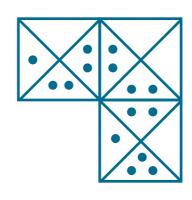




Output: Is it possible to form a white-bordered rectangle? (of any size)



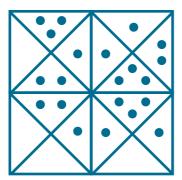




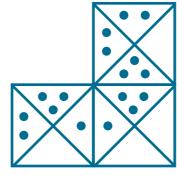
•







. . .



Domino

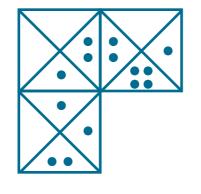
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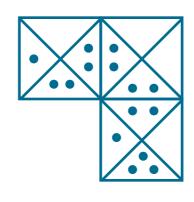




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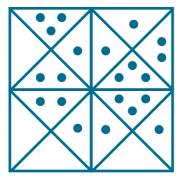




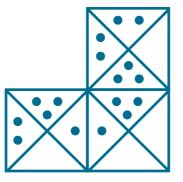
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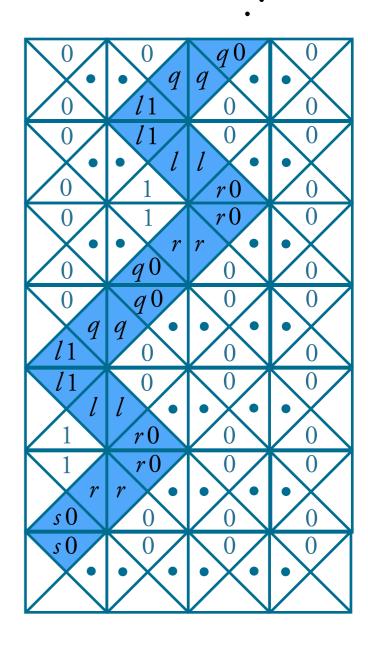




Rules: sides must match, you can't rotate the dominos, but you can 'clone' them.

Domino - Why is it undecidable?

It can easily encode *halting* computations of Turing machines:



Domino - Why is it undecidable?

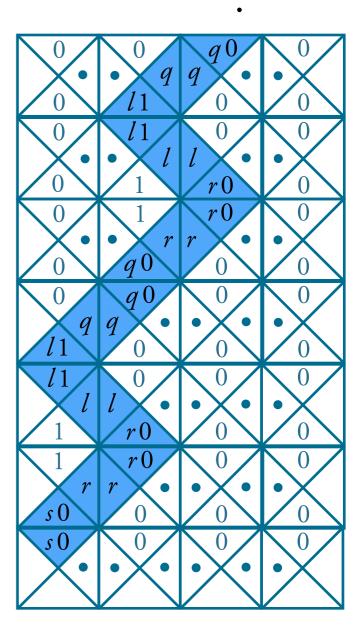
It can easily encode *halting* computations of Turing machines:







(head is elsewhere, symbol is not modified)



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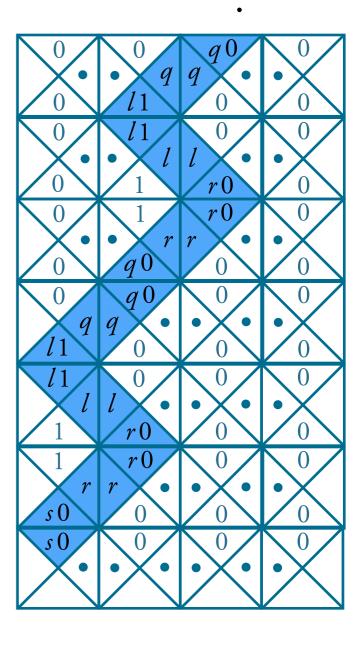


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(head is here, symbol is rewritten, head moves right)



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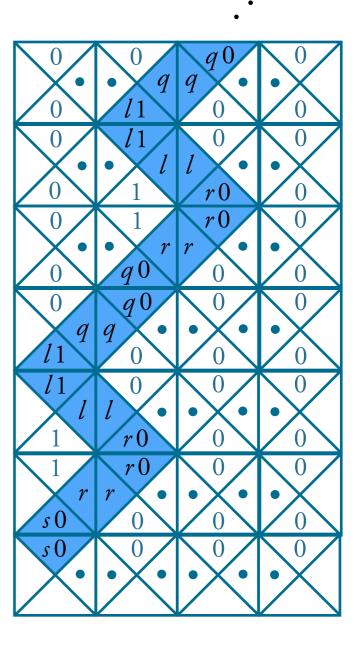


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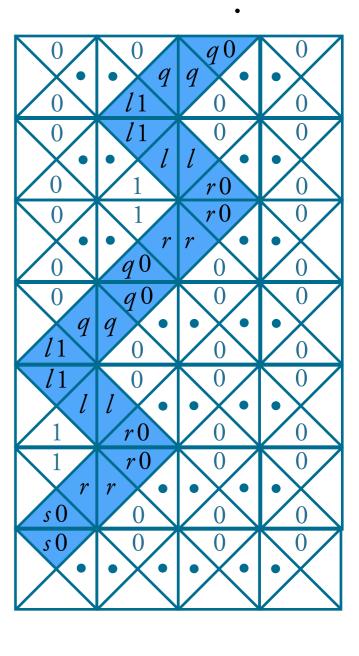
(head is here, symbol is rewritten, head moves left)







(initial configuration)



Domino - Why is it undecidable?

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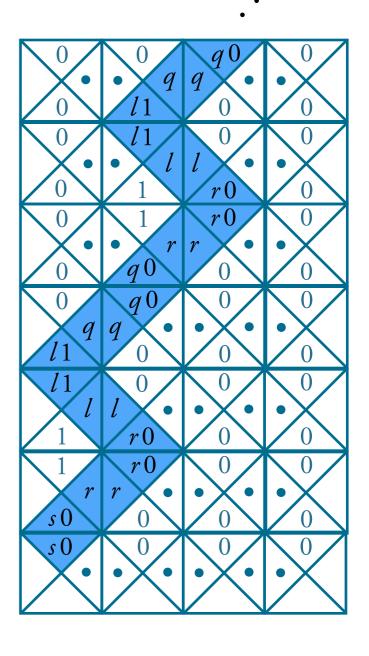
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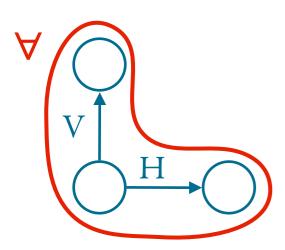


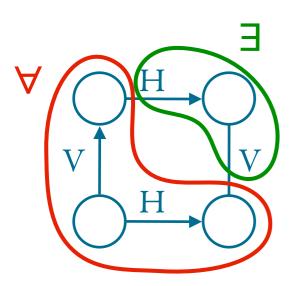


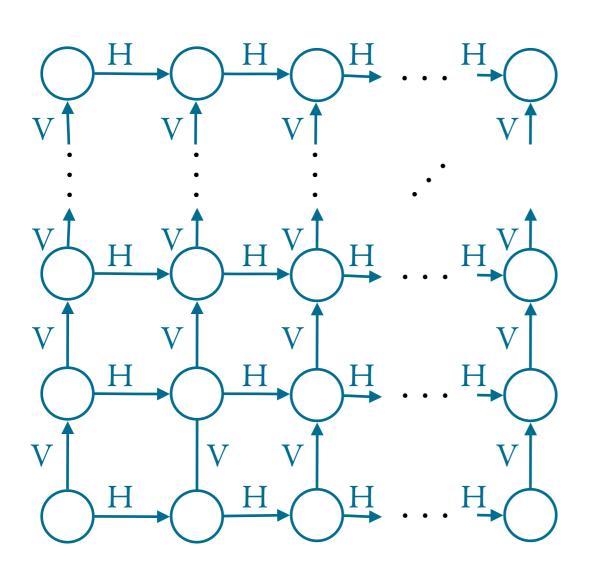
(halting configuration)



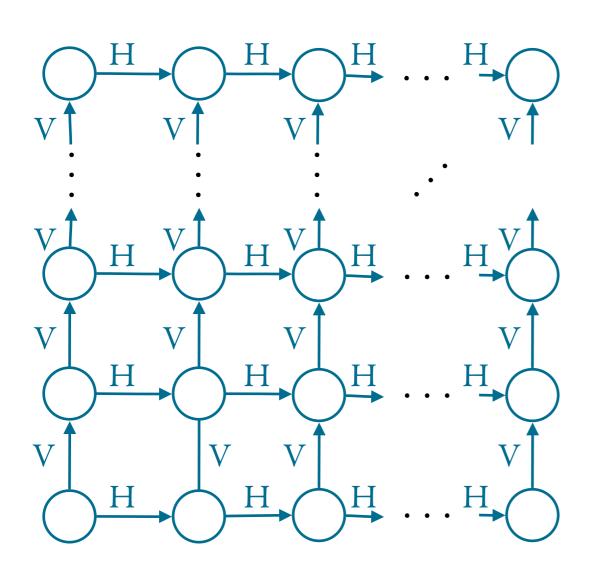
• • •







1. There is a grid: H(,) and V(,) are relations representing bijections such that...

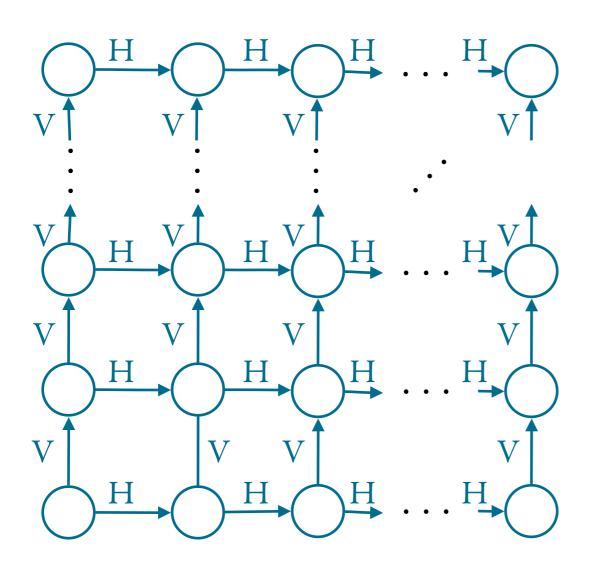


2. Assign one domino to each node: a unary relation

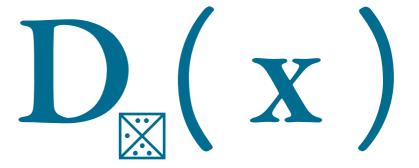


for each domino

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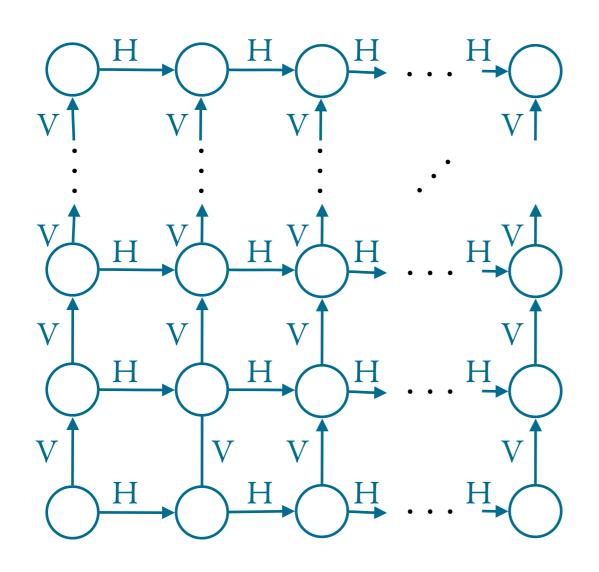
for each domino

3. Match the sides $\forall x,y$ if H(x,y), then $D_a(x) \wedge D_b(y)$

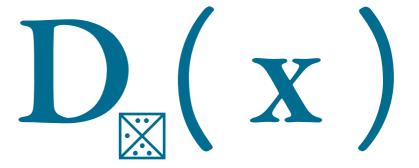
for some dominos a,b that 'match' horizontally (Idem vertically)

Domino ^{γγ} Sat-FO (domino has a solution iff φ satisfiable)

1. There is a grid: H(,) and V(,) are relations representing bijections such that...



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for each domino

3. Match the sides $\forall x,y$ if H(x,y), then $D_a(x) \land D_b(y)$ for some dominos a,b that 'match' horizontally (Idem vertically)

4. Borders are white.

Evaluation problem: Given a FO formula $\phi(x_1, ..., x_n)$, a graph G, and a binding α , does $G \models_{\alpha} \phi$?

DECIDABLE --- foundations of the database industry

Satisfiability problem: Given a FO formula ϕ , is there a graph G and binding α , such that $G \models_{\alpha} \phi$?

UNDECIDABLE → both for \= and \= finite

Equivalence problem: Given FO formulae ϕ, ψ , is $G \models_{\alpha} \phi$ iff $G \models_{\alpha} \psi$ for all graphs G and bindings α ?

• UNDECIDABLE - by reduction to the satisfiability problem

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 ϕ is satisfiable iff ϕ is not equivalent to \bot

Satisfiability problem undecidable --> Equivalence problem undecidable

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 ϕ is satisfiable iff ϕ is not equivalent to \bot

Satisfiability problem undecidable --> Equivalence problem undecidable

Actually, there are reductions in both senses:

 $\phi(x_1,...,x_n)$ and $\psi(y_1,...,y_m)$ are equivalent iff

- n=m
- $(x_1=y_1) \land \cdots \land (x_n=y_n) \land \varphi(x_1,...,x_n) \land \neg \psi(y_1,...,y_n)$ is unsatisfiable
- $(x_1=y_1) \land \dots \land (x_n=y_n) \land \psi(x_1,\dots,x_n) \land \neg \varphi(y_1,\dots,y_n)$ is unsatisfiable

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Input:
$$\begin{pmatrix} \varphi(x_1,...,x_n) \\ G = (V,E) \\ \alpha = \{x_1,...,x_n\} \longrightarrow V$$
 Output: $G \models_{\alpha} \varphi$?

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Encoding of G = (V, E)

- each node is coded with a bit string of size log(|V|),
- edge set is encoded by its tuples, e.g. (100,101), (010, 010), ...

Cost of coding: $||G|| = |E| \cdot 2 \cdot \log(|V|) \approx |V| \pmod{a \text{ polynomial}}$

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Encoding of
$$\alpha = \{x_1,...,x_n\} \longrightarrow V$$

each node is coded with a bit string of size log(|V|),

Cost of coding:
$$||\alpha|| = n \cdot \log(|V|)$$

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$$\begin{cases} \phi(x_1,...,x_n) \\ G = (V,E) \\ \alpha = \{x_1,...,x_n\} \longrightarrow V \end{cases}$$

Output: $G \models_{\alpha} \varphi$?

- If $\phi(x_1,...,x_n) = E(x_i,x_j)$: answer YES iff $(\alpha(x_i),\alpha(x_j)) \in E$
- If $\phi(x_1,...,x_n) = \psi(x_1,...,x_n) \wedge \psi'(x_1,...,x_n)$: answer YES iff $G \models_{\alpha} \psi$ and $G \models_{\alpha} \psi'$
- If $\phi(x_1,...,x_n) = \neg \psi(x_1,...,x_n)$: answer NO iff $G \models_{\alpha} \psi$
- If $\phi(x_1,...,x_n)=\exists y. \psi(x_1,...,x_n,y)$: answer YES iff for some $v\in V$ and $\alpha'=\alpha\cup\{y\mapsto v\}$ we have $G\models_{\alpha'}\psi$.

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Question:

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use 4 pointers → LOGSPACE

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use 4 pointers - LOGSPACE

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use 4 pointers - LOGSPACE

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 $\Rightarrow 2 \cdot \log(|G|) + SPACE(G \models_{\alpha'} \psi)$

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 $\rightsquigarrow 2 \cdot \log(|G|) + SPACE(G \models_{\alpha'} \psi)$

Question:

How much space does it take?

$$2 \cdot \log(|G|) + \dots + 2 \cdot \log(|G|) + k \cdot \log(|\alpha| + |G|) \text{ space}$$

 $\leq |\phi|$ times

Evaluation problem for FO in PSPACE

Input:
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Problem: Usual scenario in database

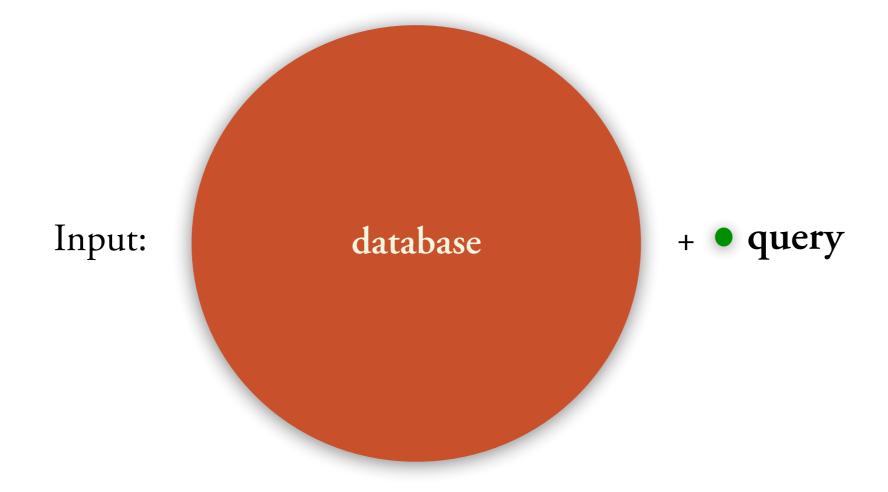
A database of size 10⁶

A query of size 100

Problem: Usual scenario in database

A database of size 10⁶

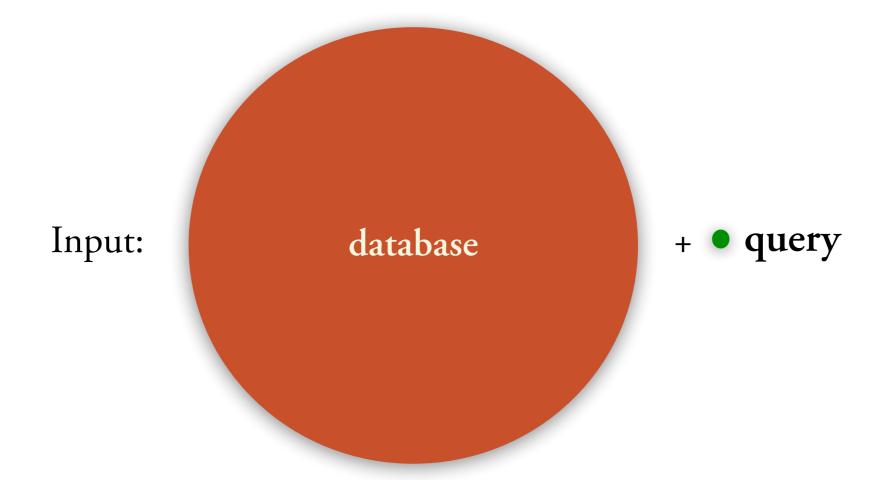
A query of size 100



Problem: Usual scenario in database

A database of size 10⁶

A query of size 100



But we don't distinguish this in the analysis:

$$TIME(2^{|query|} + |data|)$$
=
 $TIME(|query| + 2^{|data|})$



Query and data play very different roles.

Separation of concerns: How the resources grow with respect to

- the size of the data
- the query size

Combined complexity: input size is |query| + |data|

Query complexity (|data| fixed): input size is |query|

Data complexity (|query| fixed): input size is |data|

Combined complexity: input size is |query| + |data|

Query complexity (|data| fixed): input size is |query|

Data complexity (|query| fixed): input size is |data|

 $O(2^{|query|} + |data|)$ is

exponential in **combined** complexity exponential in **query** complexity linear in **data** complexity

 $O(|query| + 2^{|data|})$ is

exponential in combined complexity linear in query complexity exponential in data complexity

Question

What is the data, query and combined complexity for the evaluation problem for FO?

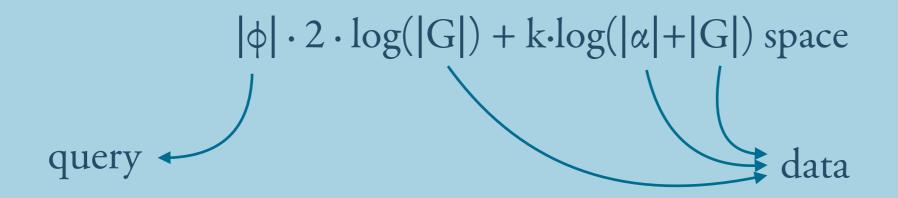
Remember: data complexity, input size: |data|
query complexity, input size: |query|
combined complexity, input size: |data| + |query|

 $|\phi| \cdot 2 \cdot \log(|G|) + k \cdot \log(|\alpha| + |G|)$ space

Question

What is the data, query and combined complexity for the evaluation problem for FO?

Remember: data complexity, input size: |data|
query complexity, input size: |query|
combined complexity, input size: |data| + |query|



O(log(|data|)·|query|) space

PSPACE combined and query complexity LOGSPACE data complexity

(combined complexity)

PSPACE-complete problem: QBF

(satisfaction of Quantified Boolean Formulas)

QBF = a boolean formula with quantification over the truth values (T,F)

(combined complexity)

PSPACE-complete problem: QBF

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QBF = a boolean formula with quantification over the truth values (T,F)

 $\exists p \ \forall q \ . \ (p \ \lor \neg q)$ where p,q range over $\{T,F\}$

(combined complexity)

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Theorem: Evaluation for FO is PSPACE-complete (combined c.)

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Theorem: Evaluation for FO is PSPACE-complete (combined c.)

Polynomial reduction QBF \rightarrow FO:

- 1. Given $\psi \in QBF$, let $\psi'(x)$ be the replacement of each 'p' with 'p=x' in ψ .
- 2. Note: $\exists x \ \psi'$ holds in a 2-element graph iff ψ is QBF-satisfiable
- 3. Test if $G \models_\emptyset \psi'$ for $G = (\{v,v'\},\{\})$

PSPACE-complete problem: QBF

(satisfaction of Quantified Boolean Formulas)

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Polynomial reduction QBF \rightarrow FO:

$$\psi'(x) = \exists p \ \forall q . ((p=x) \lor \neg(q=x))$$

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PSPACE-complete problem: QBF

(satisfaction of Quantified Boolean Formulas)

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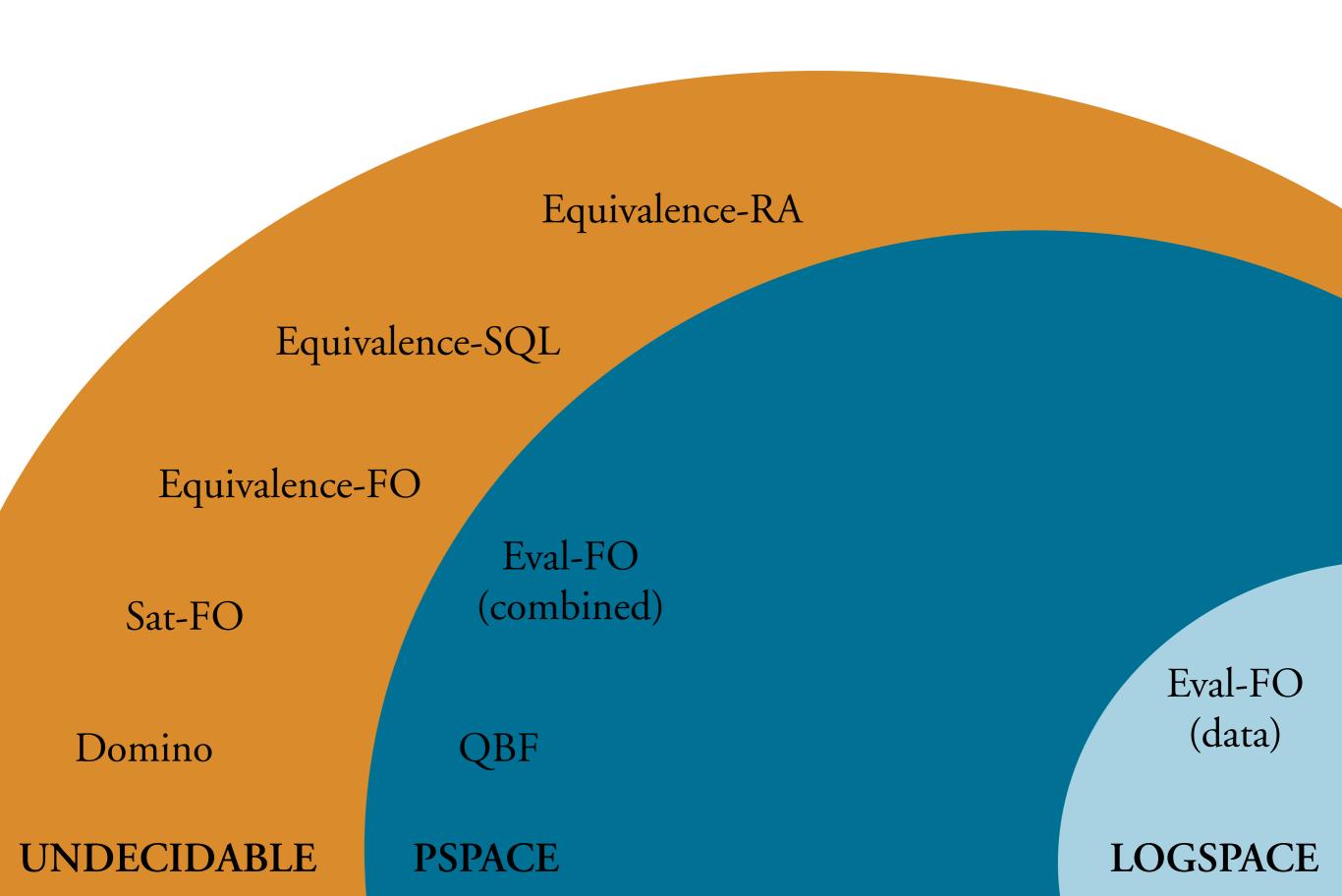
$$\psi'(x) = \exists p \ \forall q . ((p=x) \lor \neg(q=x))$$

$$\exists x \ \exists p \ \forall q \ . \ (\ (p{=}x) \lor \neg (q{=}x) \)$$

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$$\exists x \ \psi'$$
 holds in a 2-element graph iff ψ is QBF-satisfiable

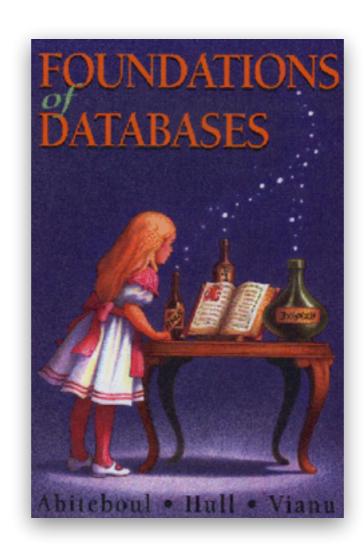
3. Test if
$$G \models_{\varnothing} \psi'$$
 for $G = (\{v,v'\},\{\})$



Bibliography

Abiteboul, Hull, Vianu, "Foundations of Databases", Addison-Wesley, 1995.

(freely available at http://webdam.inria.fr/Alice/)



Chapters 1, 2, 3