

Advanced model checking for verification and safety assessment

Alessandro Cimatti

Fondazione Bruno Kessler (FBK) Invited Lectures on Advanced Verification Part 1

> Lectures prepared in collaboration with Stefano Tonetta and Marco Gario Slides on IC3 borrowed from Alberto Griggio (VTSA'15)

Outline

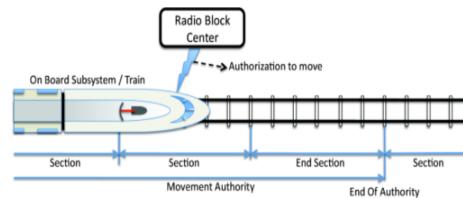
- Motivation
- Finite-State Model Checking
 - Invariant Checking
 - IC3
 - LTL Checking
- Infinite-State Model Checking
- Wrap-up

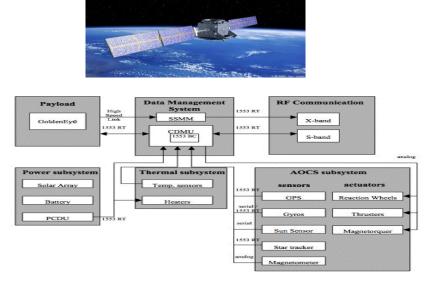
Motivation

A. Cimatti - Invited Lectures on Advanced Verification

Embedded Safety-Critical Systems

- Embedded with software to deliver intelligent:
 - Transportation
 - Communication
 - Automation
- Across domains:
 - Railways
 - Avionics
 - Automotive
 - Space
 - Health
- Key properties and challenges:
 - Interaction of components
 - Decomposition of services
 - Safety requirements





Model-based system engineering

- Models used for system requirements, architectural design, analysis, validation and verification
- Different system-level analysis (safety, reliability, performance, ...)
- Formal methods as back-end
 - Formal specification to assign models a rigorous mathematical semantics
 - Formal verification to prove the properties on the models.
- Design models translated into input for verification engine
- Requirements formalized into properties
- Model checking appealing because integrated as pushbutton

AIR6110 Wheel Braking System

- Joint scientific study with Boeing
- Aerospace Information Report 6110:
 - Traditional Aircraft/System Development Process Example
- Wheel Brake System of a fictional dual-engine aircraft
- Objectives:
 - Analyze the system safety through formal techniques
 - Demonstrate the usefulness and suitability of formal techniques for improving the overall traditional development and supporting aircraft certification

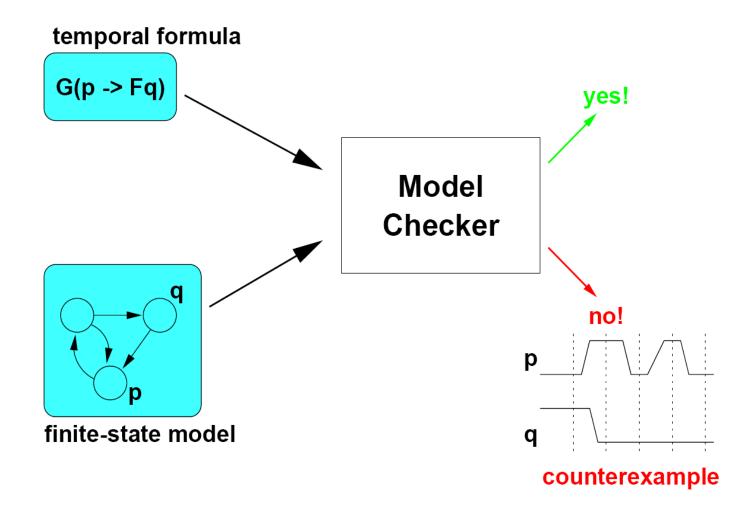
NASA NextGen Air Traffic Control

- Joint project with NASA Ames and Langley
- Allocation of tasks between Aircraft and Ground
 - Model and Study a design space with more than 1600 configurations
- Objectives:
 - Apply Formal Methods to study the quality and Safety of many design proposals
 - Highlight Implicit assumptions

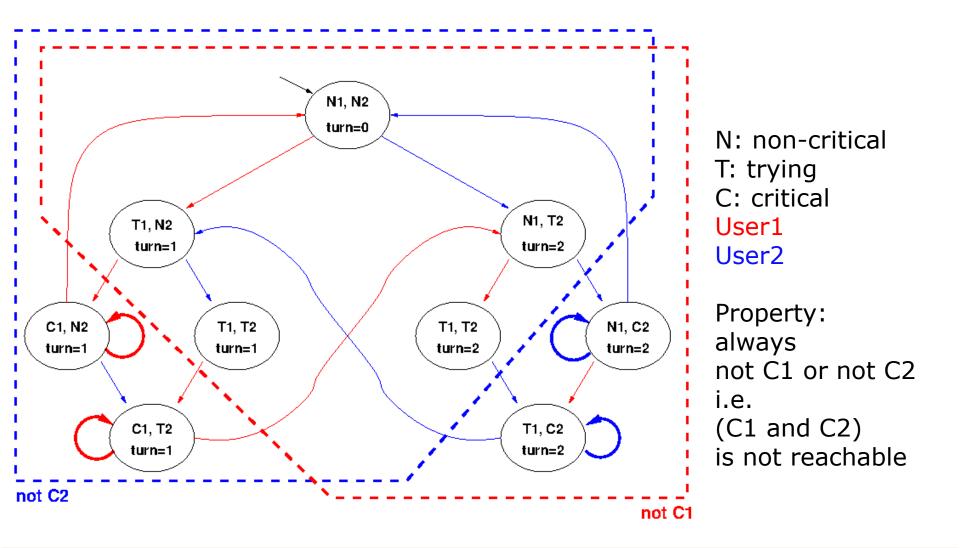
Finite-State Model Checking

Invariant Checking

Model checking

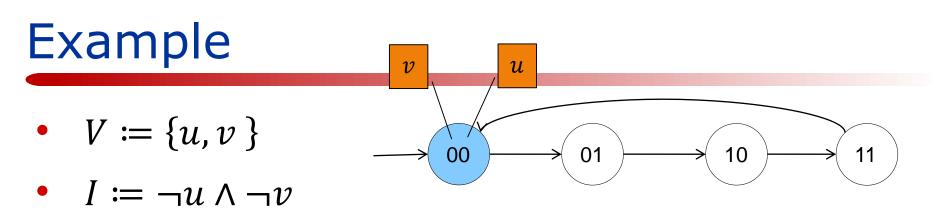


Mutual exclusion example



Symbolic representation

- Symbolic Boolean variables $V = \{v_1, ..., v_n\}$ to represent the state space
- A state is an assignment to the variables
- Symbolic formulas used to represent:
 - Set of states: $\phi(V) \equiv \{s \mid s \models \phi\}$
 - Abuse of notation $s \in \phi$ iff $s \models \phi$
 - Set of transitions: $\phi(V, V') \equiv \{ \langle s, s' \rangle \mid \langle s, s' \rangle \vDash \phi \}$
 - Where the variables $V' = \{v'_1, ..., v'_n\}$ represent next state variables
- A transition system is a tuple (*V*, *I*, *T*) where:
 - *V* is the set of variables
 - The set of initial states represented by the formula I(V)
 - The transition relation represented by the formula T(V, V')



• $T \coloneqq u' \leftrightarrow \neg u \land v' \leftrightarrow (u \ xor \ v)$

Invariant properties

- A path of the system S is a sequence $s_0, s_1, ..., s_k$ of states such that $s_0 \models I$ and for all $i, 0 \le i < k$, $s_i, s_{i+1} \models T$
- A state *s* is reachable iff there exists a path $s_0, s_1, ..., s_k$ such that $s = s_k$
- A formula P(V) is an invariant iff for all paths s_0, s_1, \dots, s_k , for all $i, s_i \models P$
- Equivalent to say that no state in $\neg P$ is reachable

Forward reachability checking

- Forward image computation:
 - Compute all states reachable from Q in one transition: $FwdImg(Q) \coloneqq \exists V(Q(V) \land T(V,V'))[V/V']$
- Prove that a set of states *Bad* is not reachable:
 - Start from initial states: $R \coloneqq I$
 - Apply FwdImg iteratively: $oldR \coloneqq R$; $R \coloneqq FwdImg(R) \cup R$
 - until fixpoint oldR = R

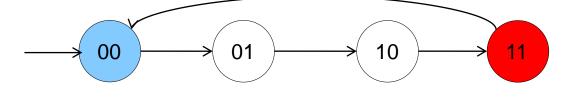
$$R(X) := I(X)$$
 Bad(X)

Bounded Model Checking

- Reachability encoded into a satisfiability problem $I(V_0) \wedge T(V_0, V_1) \wedge T(V_1, V_2) \wedge \cdots \wedge T(V_{k-1}, V_k) \wedge Bad(V_k)$
- The formula is sat iff there exists a path of length k that reaches Bad
- Checked for increasing values of k
- Exploited incrementality of SAT solvers
- Finite-state space ⇒ a completeness threshold
 K exists
 - If unsat for all $k \leq K$ then *Bad* is not reachable
 - *K* is typically very large \Rightarrow unfeasible to reach in practice

Example

- $V \coloneqq \{u, v\}$
- $I \coloneqq \neg u \land \neg v$



- $T \coloneqq u' \leftrightarrow u \wedge v' \leftrightarrow (u \ xor \ v)$
- $Bad \coloneqq u \wedge v$
- BMC:
 - $(\neg u_0 \land \neg v_0) \land (u_0 \land v_0)$ UNSAT
 - $(\neg u_0 \land \neg v_0) \land (u_1 \leftrightarrow u_0 \land v_1 \leftrightarrow (u_0 \text{ xor } v_0)) \land (u_1 \land v_1)$ UNSAT
 - ...

•
$$(\neg u_0 \land \neg v_0) \land (u_1 \leftrightarrow u_0 \land v_1 \leftrightarrow (u_0 \ xor \ v_0))$$

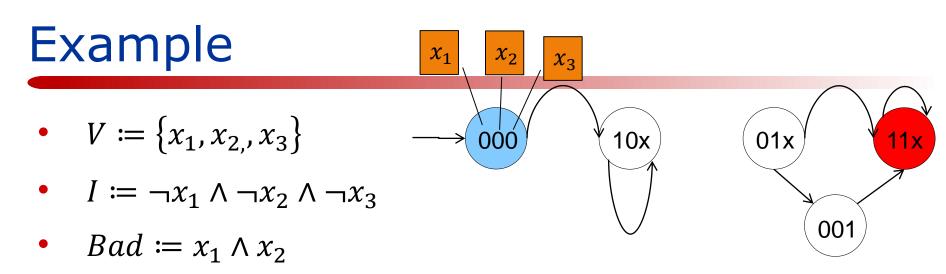
 $(u_2 \leftrightarrow u_1 \land v_2 \leftrightarrow (u_1 \ xor \ v_1)) \land$
 $(u_3 \leftrightarrow u_2 \land v_3 \leftrightarrow (u_2 \ xor \ v_2)) \land (u_3 \land v_3)$
SAT

Induction and K-induction

- Induction
 - Base case: check if the initial state satisfies P (invariant)
 - Inductive case: check if the transitions preserve the invariant $P(V) \land T(V,V') \models P(V')$
 - We say *P* is inductive invariant
- K-induction
 - Base case: check if all initial path satisfies P (invariant) up to k steps
 - Inductive case: check if every path of k + 1 steps preserve the invariant

 $P(V_0) \wedge T(V_0, V_1) \wedge P(V_1) \wedge T(V_1, V_2) \wedge \cdots \wedge P(V_{k-1}) \wedge T(V_{k-1}, V_k) \vDash P(V_k)$

- Strengthened with simple path condition to avoid repeating states
- We say *P* is *k*-inductive invariant
- Typically however *P* is not (*k*-)inductive
 - \Rightarrow find *Inv* such that *Inv* is inductive invariant and *Inv* \models *P*

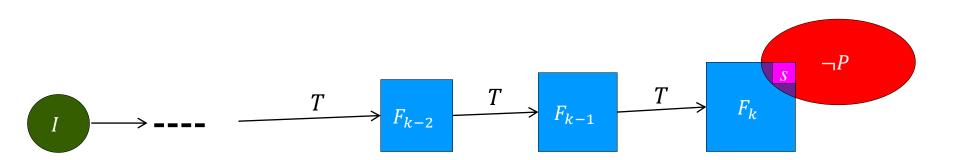


- $P \coloneqq \neg x_1 \lor \neg x_2$
- Inductive?
 - No
- k-inductive?
 - Yes for k=3
- Inductive invariant?

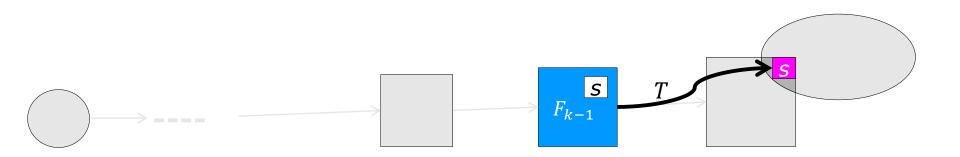
Finite State Model-Checking

IC3

- Very successful SAT-based model checking algorithm
- Based on induction
 - Given a symbolic transition system and invariant property P, build an inductive invariant F s.t. $F \models P$
- Inductive invariant built incrementally
 - Trace of formulas $F_0 \equiv I, F_1, \dots, F_k$ s.t:
 - for i > 0, F_i is a set of clauses, overapproximation of states reachable in up to i steps
 - $F_{i+1} \subseteq F_i$ (so $F_i \models F_{i+1}$)
 - $F_i \wedge T \models F'_{i+1}$
 - For all $i < k, F_i \models P$
- Strengthen formulas until $F_k = F_{k+1}$
- Exploiting efficient SAT solvers



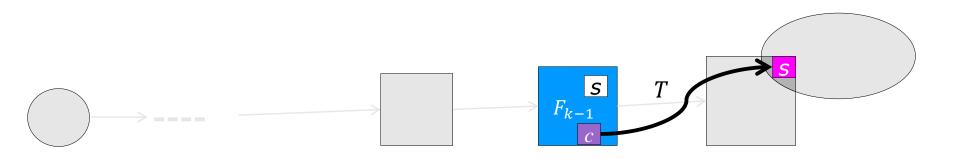
- Blocking phase: incrementally strengthen trace until $F_k \models P$
 - Get bad cube s



- Blocking phase: incrementally strengthen trace until $F_k \models P$
 - Get bad cube s
 - Call SAT solver on $F_{k-1} \land \neg s \land T \land s'$

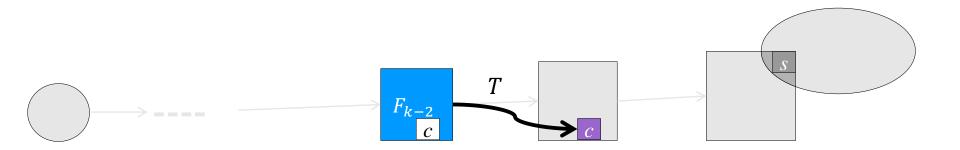
(i.e., check if $F_{k-1} \land \neg s \land T \vDash \neg s'$)

Check if $\neg s$ is inductive relative to F_{k-1}

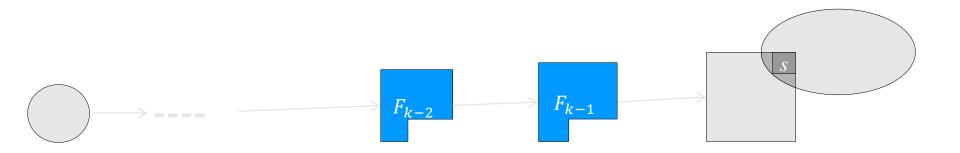


- Blocking phase: incrementally strengthen trace until $F_k \models P$
 - Get bad cube s
 - Call SAT solver on $F_{k-1} \land \neg s \land T \land s'$
 - SAT: *s* is reachable from F_{k-1} in 1 step
 - Get a cube *c* in the preimage of *s* and try (recursively) to prove it unreachable from F_{k-2} , ...
 - *c* is a counterexample to induction (CTI)

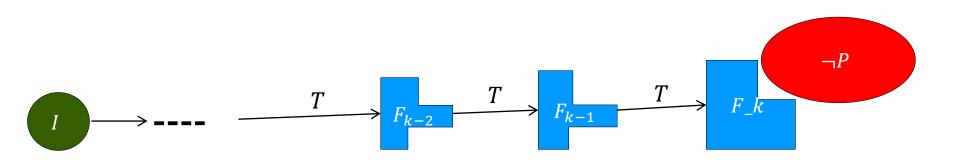
If *I* is reached, a counterexample to *P* is found



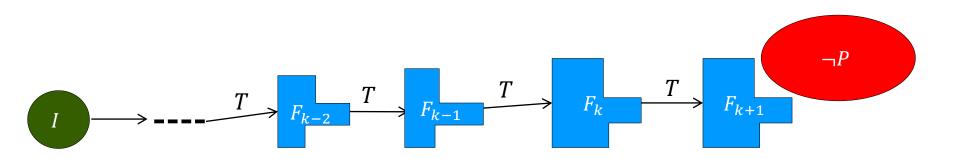
- Blocking phase: incrementally strengthen trace until $F_k \models P$
 - Get bad cube s
 - Call SAT solver on $F_{k-1} \land \neg s \land T \land s'$
 - UNSAT: $\neg s$ is inductive relative to F_{k-2}
 - Generalize c to g and block by adding $\neg g$ to $F_{i-1}, F_{i-2}, \dots, F_1$



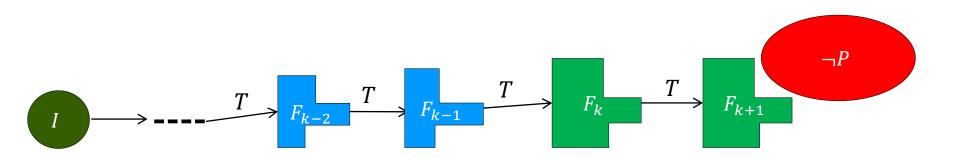
- Blocking phase: incrementally strengthen trace until $F_k \models P$
 - Get bad cube s
 - Call SAT solver on $F_{k-1} \land \neg s \land T \land s'$
 - UNSAT: $\neg s$ is inductive relative to F_{k-2}
 - Generalize c to g and block by adding $\neg g$ to $F_{i-1}, F_{i-2}, \dots, F_1$



- Propagation: extend trace to F_{k+1} and push forward clauses
 - For each *i* and each clause $c \in F_i$:
 - Call SAT solver on $F_i \wedge T \wedge \neg c'$
 - If UNSAT, add c to F_{i+1}



- Propagation: extend trace to F_{k+1} and push forward clauses
 - For each i and each clause $c \in F_i$:
 - Call SAT solver on $F_i \wedge T \wedge \neg c'$
 - If UNSAT, add c to F_{i+1}



- Propagation: extend trace to F_{k+1} and push forward clauses
 - For each i and each clause $c \in F_i$:
 - Call SAT solver on $F_i \wedge T \wedge \neg c'$
 - If UNSAT, add c to F_{i+1}
- If $F_i \equiv F_{i+1}$, P is proved,
 - otherwise start another round of blocking and propagation

Inductive Clause Generalization

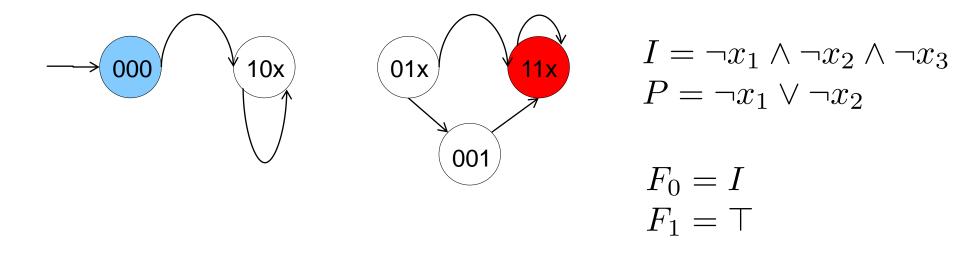
- Crucial step of IC3
- Given a relatively inductive clause $c \stackrel{\text{def}}{=} \{l_1, \ldots, l_n\}$
- compute a generalization $g \subseteq c$ that is still inductive

$$F_{i-1} \wedge T \wedge g \models g' \tag{1}$$

- Drop literals from c and check that (1) still holds
 - Accelerate with unsat cores returned by the SAT solver
 - Using SAT under assumptions
- However, make sure the base case still holds
 - If $I \not\models c \setminus \{l_j\}$, then l_j cannot be dropped



No counterexamples of length 0

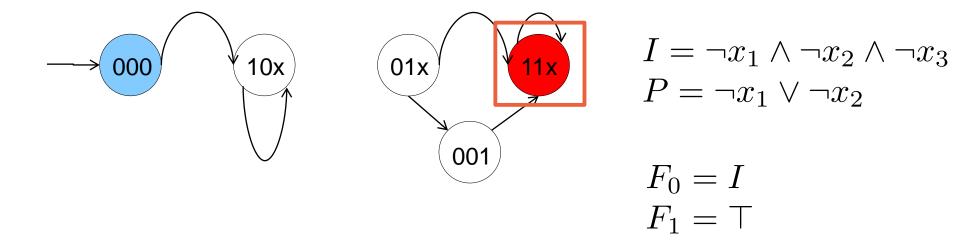


[borrowed and adapted from F. Somenzi]

A. Cimatti - Invited Lectures on Advanced Verification

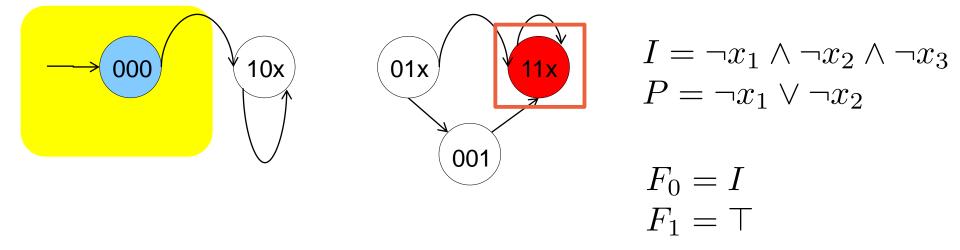


Get bad cube $c = x_1 \wedge x_2$ in $F_1 \wedge \neg P$

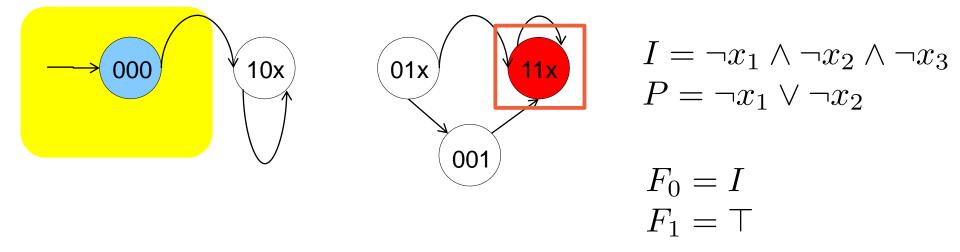




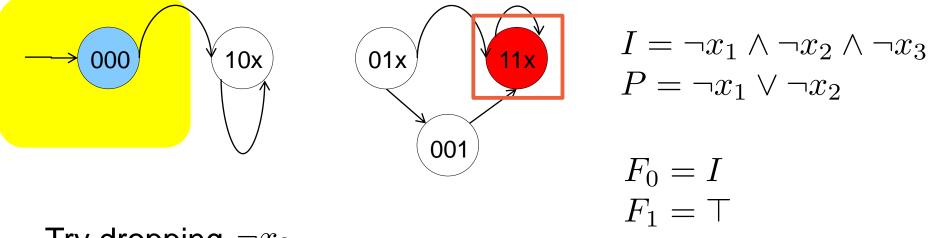
Is $\neg c$ inductive relative to F_0 ? $F_0 \land T \land \neg c \models \neg c'$







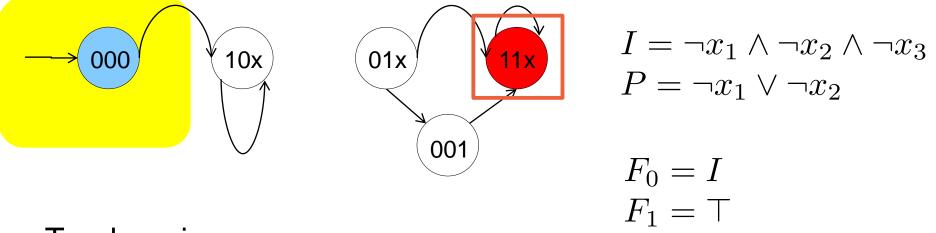




Try dropping $\neg x_2$

 $F_0 \wedge T \wedge \neg x_1 \not\models \neg x_1'$

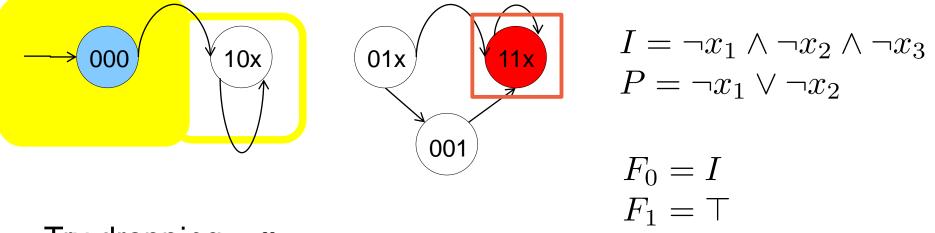




Try dropping $\neg x_1$

$$F_0 \wedge T \wedge \neg x_2 \models \neg x'_2$$



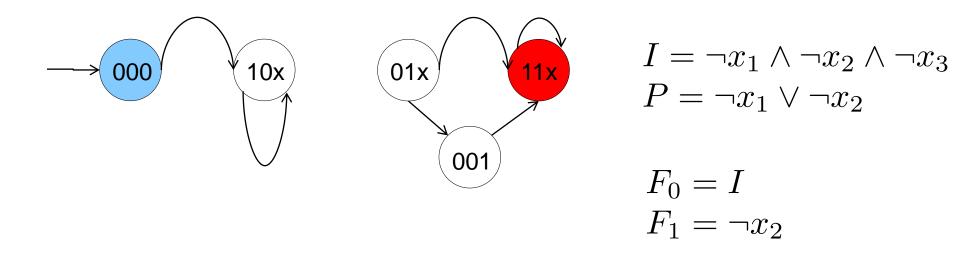


Try dropping $\neg x_1$

$$F_0 \wedge T \wedge \neg x_2 \models \neg x'_2$$

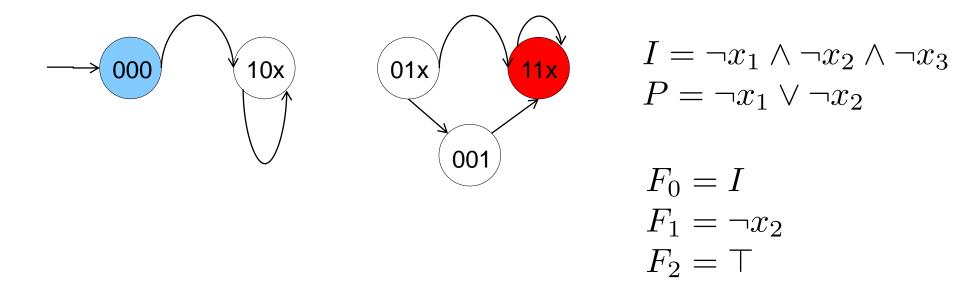


Update F_1



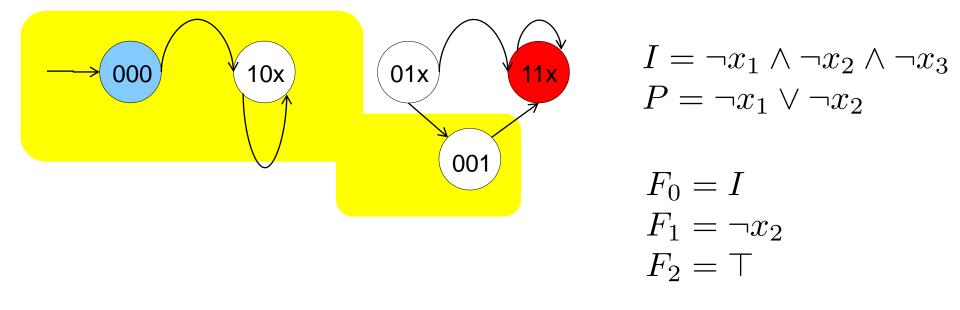


Blocking done for F_1 . Add F_2 and propagate forward



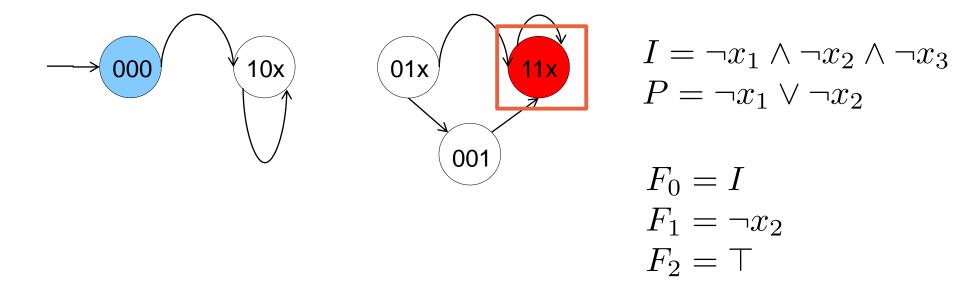


No clause propagates from F_1 to F_2



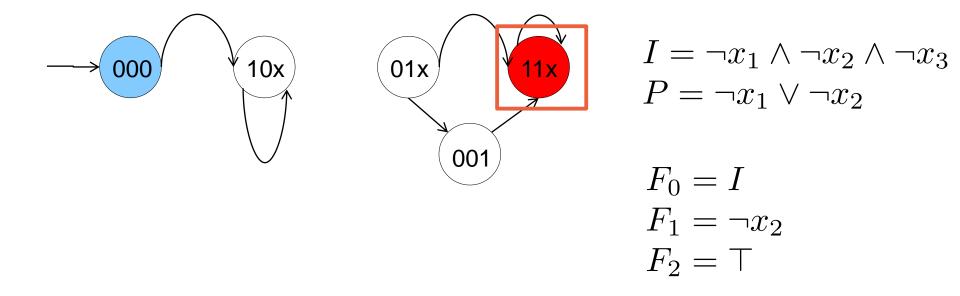


Get bad cube $c = x_1 \wedge x_2$ in $F_2 \wedge \neg P$



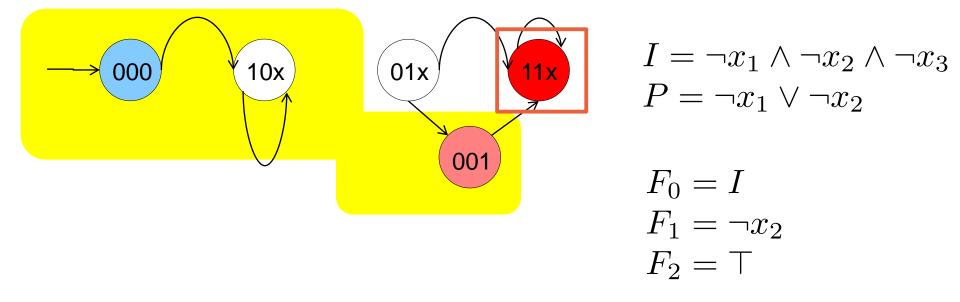
Example

Is $\neg c$ inductive relative to F_1 ? $F_1 \land T \land \neg c \models \neg c'$



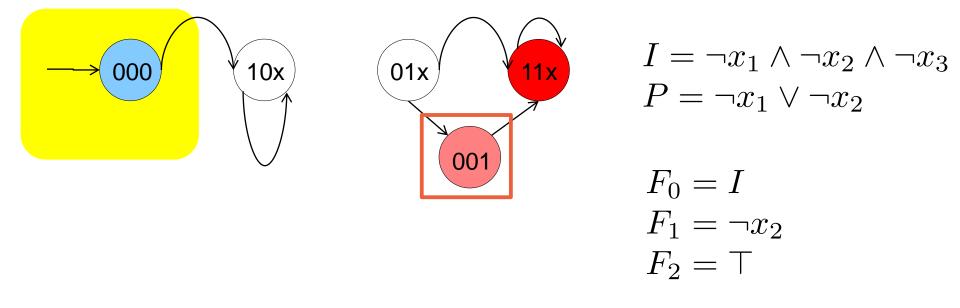


No, found CTI $s = \neg x_1 \land \neg x_2 \land x_3$



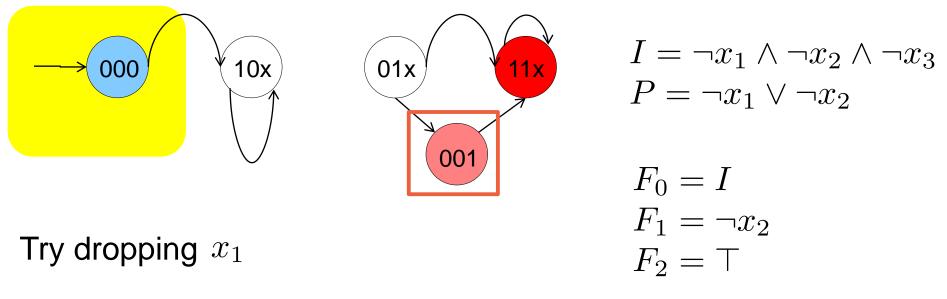


Try blocking $\neg s$ at level 0: $F_0 \land T \land \neg s \models \neg s'$





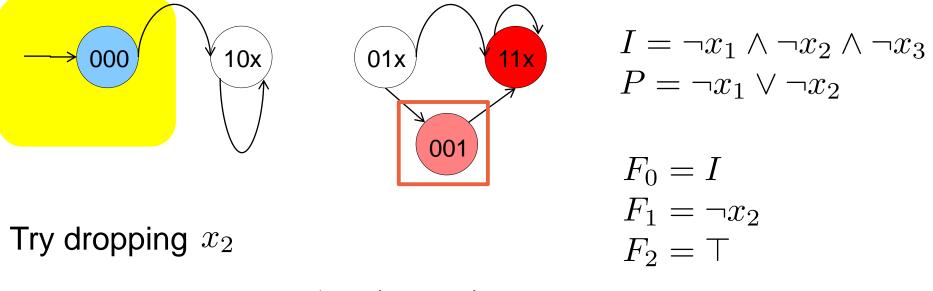
Yes, generalize $\neg s = x_1 \lor x_2 \lor \neg x_3$



$$F_0 \wedge T \wedge x_2 \vee \neg x_3 \not\models x'_2 \vee \neg x'_3$$



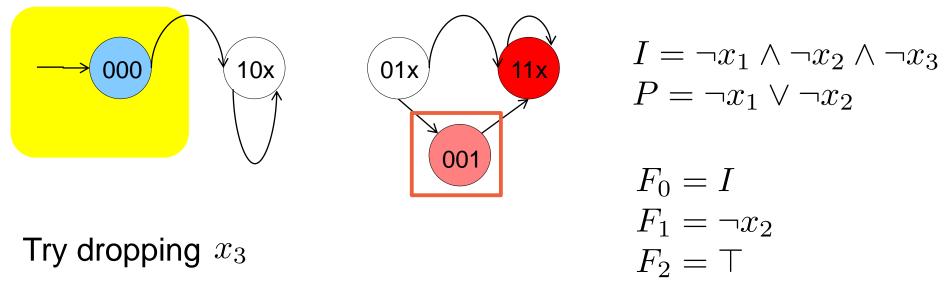
Yes, generalize $\neg s = x_1 \lor x_2 \lor \neg x_3$



$$F_0 \wedge T \wedge x_1 \vee \neg x_3 \models x_1' \vee \neg x_3'$$



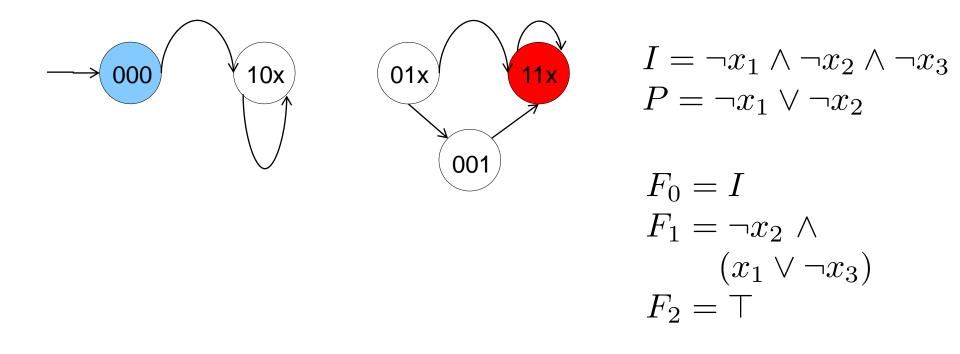
Yes, generalize $\neg s = x_1 \lor x_2 \lor \neg x_3$



$$I \not\models x_1$$

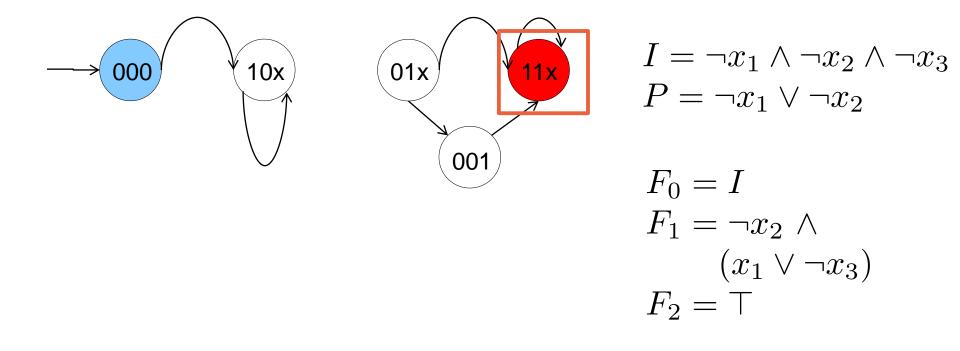
Example

Update F_1



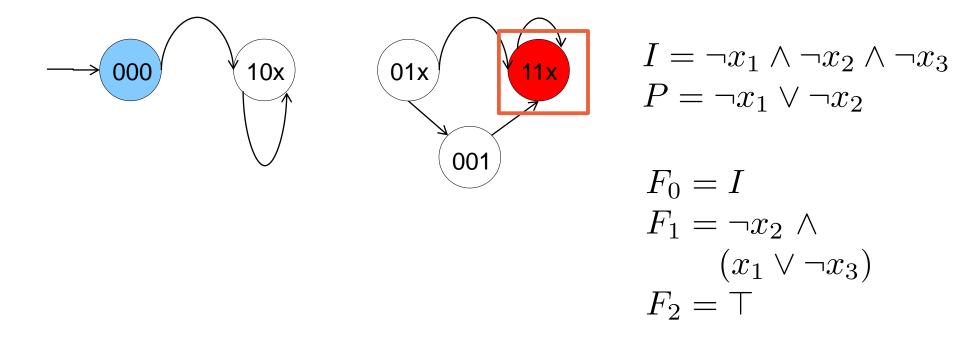


Return to the original bad cube *c*



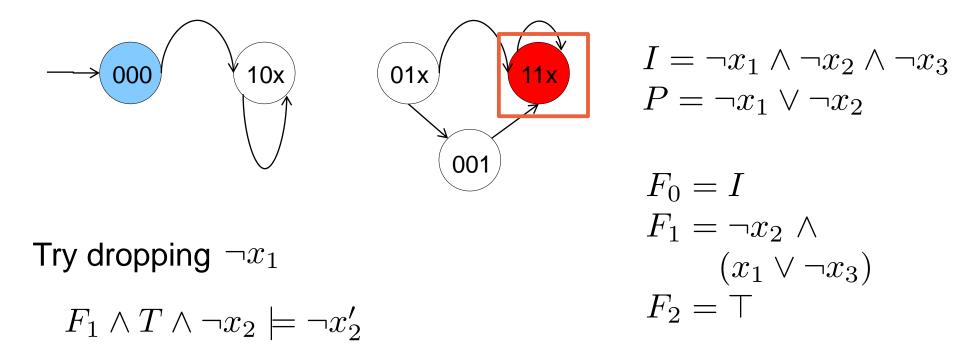
Example

Is $\neg c$ inductive relative to F_1 ? $F_1 \land T \land \neg c \models \neg c'$



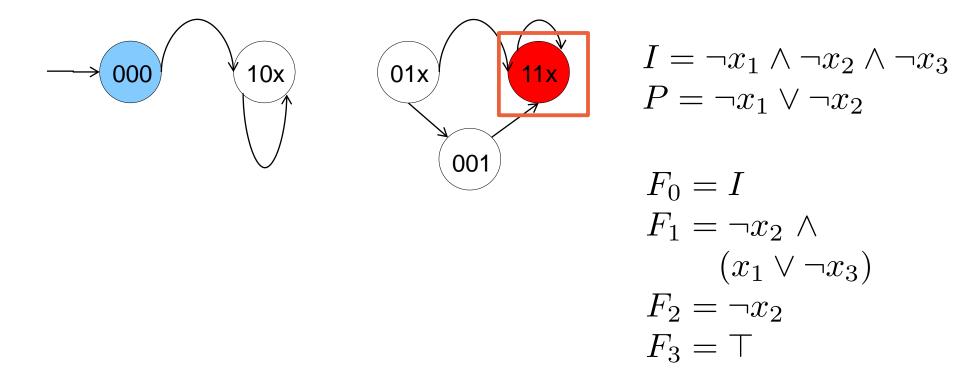


Yes, generalize $\neg c = \neg x_1 \lor \neg x_2$



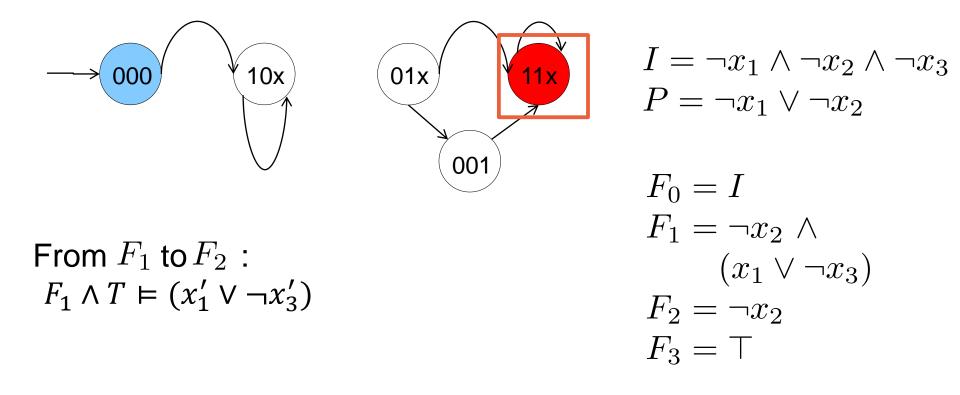
Example

Update F_2 and add new frame F_3



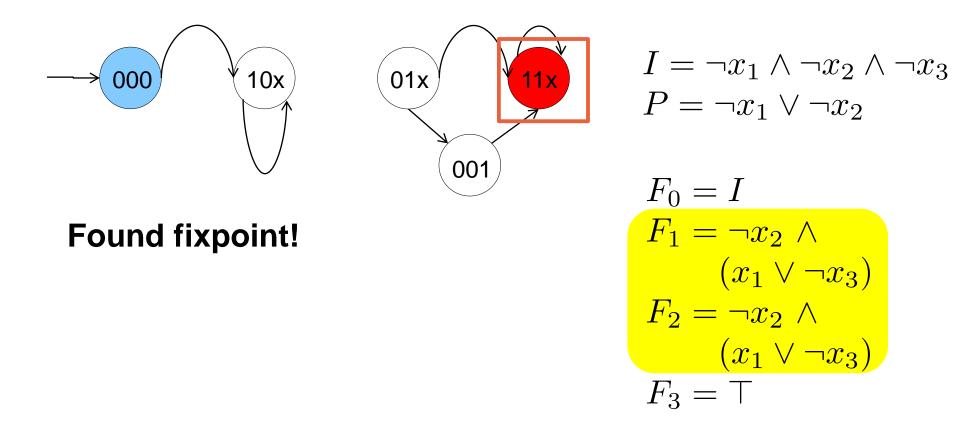


Perform forward propagation



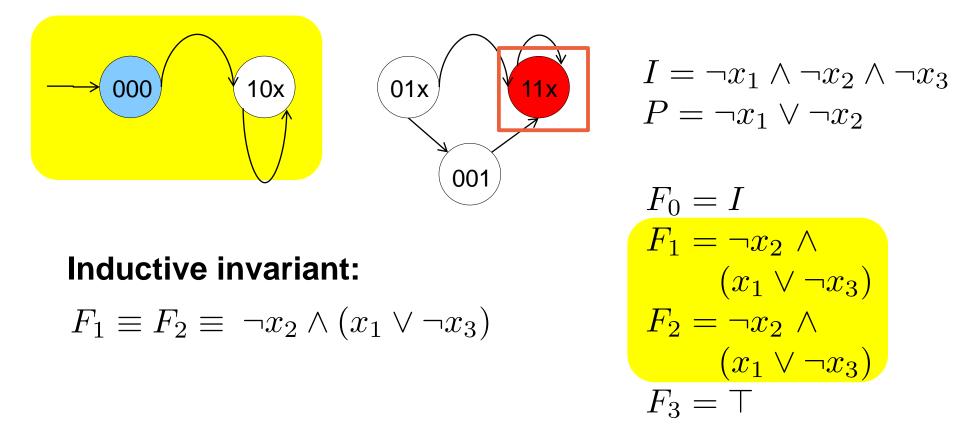


Perform forward propagation





Perform forward propagation



Finite State Model-Checking

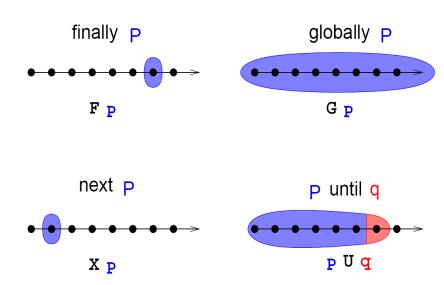
Liveness Checking

Linear Temporal Logic

- Linear models: state sequences (traces)
- Built over set of atomic propositions *AP*
- LTL is the smallest set of formulas such that:
 - any atomic proposition $p \in AP$ is an LTL formula
 - if ϕ_1 and ϕ_2 are LTL formulas, then $\neg \phi_1, \phi_1 \land \phi_2$ and $\phi_1 \lor \phi_2$ are LTL formulas
 - if ϕ_1 and ϕ_2 are LTL formulas, then $X\phi_1, F\phi_1, G\phi_1$ and $\phi_1U\phi_2$ are LTL formulas

LTL semantics

- Semantics defined for every trace, for every $i \in \mathbb{N}$.
- Given an infinite trace $\pi = s_0, s_1, ...$
 - $\pi, i \models p \text{ iff } s_i \models p$
 - Standard definition for \neg , \land , \lor
 - $\pi, i \models X\phi$ iff $s_{i+1}, s_{i+2}, ... \models \phi$
 - $\pi, i \vDash \phi_1 U \phi_2$ iff there exists $j \ge i$, $\pi, j \vDash \phi_2$ and for all $k, i \le k < j$, $\pi, k \vDash \phi_1$
 - $\pi, i \vDash F\phi$ iff there exists $j \ge i$, $\pi, j \vDash \phi$
 - $\pi, i \models G\phi$ iff for all $j \ge i$, $\pi, j \models \phi$
 - $M \vDash \phi$ iff $M, \pi, 0 \vDash \phi$ for every trace π of M.



LTL examples

- *Gp* "always p" like invariant (if we assume deadlock freedom)
- $G(p \rightarrow Fq)$ "p is always followed by q" reaction
- $G(p \rightarrow Xq)$ "whenever p holds, q is set to true" immediate reaction
- *GFp* "infinitely many times p" fairness
- FGp "eventually permanently p"
- $G(speed_above_limit \rightarrow (brake U \neg speed_above_limit))$

LTL verification

- Given an LTL property ϕ , build a transition system $M_{\neg \phi}$ with a fairness condition $f_{\neg \phi}$, such that $M \times M_{\neg \phi} \models FG \neg f_{\neg \phi}$
- *FG* requires a doubly-nested fixpoint
- SAT-based approaches typically reduce the problem to safety

Liveness2safety

- Based on the existence of a lasso-shaped counterexample, with $f_{\neg\phi}$ at least once in the loop
- liveness to safety transformation: absence of lasso-shaped counterexamples as an invariant property
 - Duplicate the state variables $V_{copy} \coloneqq \{v_c | v \in V\}$
 - Non-deterministically save the current state
 - Remember when $f_{\neg \phi}$ in extra state var *triggered*
 - Invariant: $G \neg (V = V_{copy} \land triggered)$

K-liveness

- Simple but effective technique for LTL verification of finite-state systems
- Key insight: $M \times M_{\neg \phi} \models FG \neg f_{\neg \phi}$ iff there exists k such that $f_{\neg \phi}$ is visited at most k times
 - Again, a safety property
- K-liveness: increase k incrementally
 - Liveness checking as a sequence of safety checks
- Using IC3 as safety checker
 - Exploits the highly incremental nature of IC3

Wrapping up...

- Motivations
- Finite-State Model Checking
 - From BDD-based to SAT-based
- Invariant Checking
 - IC3
- LTL Checking
 - BMC: traces as models, found with SAT checks
 - Liveness to safety
 - Proving limit for violations to fairness

Infinite State Model-Checking

Infinite State Transition System

- Same definition as before: $\langle V, I, T \rangle$
- First-order instead of propositional formulas:
 - Signature: set Σ of constant, functional, and relational symbols
 - Structure: a domain D and interpretation \mathcal{I} of the symbols in the signature
 - Theory: set \mathcal{T} of axioms (a model of \mathcal{T} is a structure that satisfy \mathcal{T})
- Some constant symbols are used as the variables of the transition system
 - They have a flexible interpretation that varies along time
 - The other symbols are rigid
- In the following ⊨ implicitly means ⊨_T, i.e. is restricted to the models of a given theory

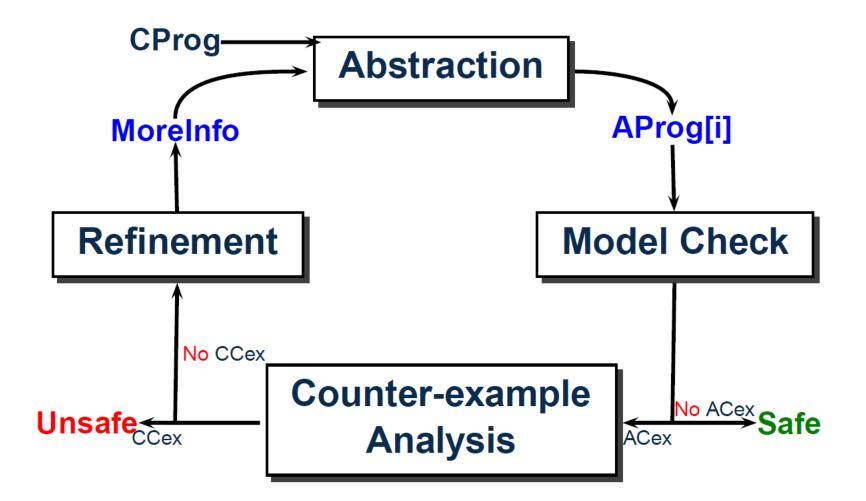
Example

- $V \coloneqq \{x, y\}$
- $I \coloneqq y \le x$
- $T \coloneqq (x' = x + 1) \land (y' \le y)$
- $\Sigma \coloneqq \{x, y, 0, 1, +, \le, ...\}$
- $\mathcal{T} \coloneqq$ theory of reals
- $y \le x \land T \vDash_{\mathcal{T}} y' \le x'$

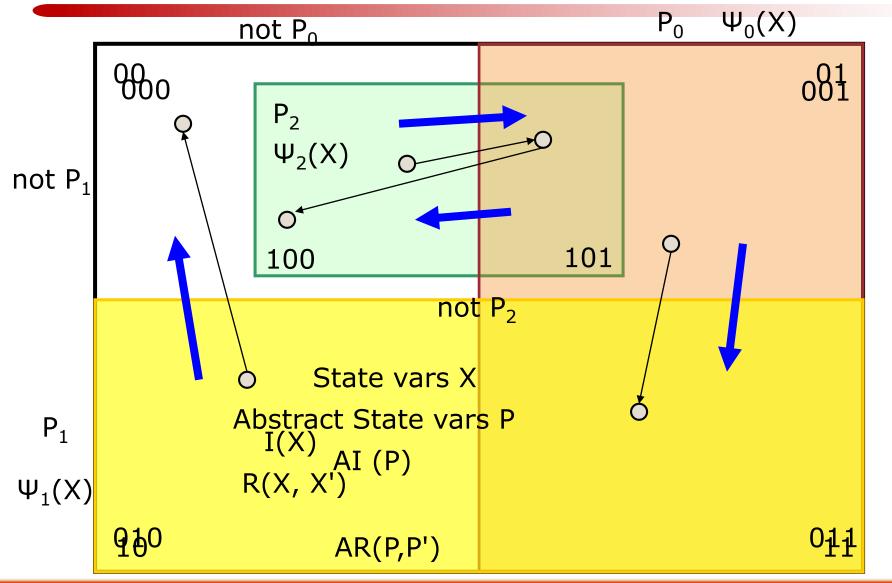
From SAT to SMT

- Previous algorithms assume to have a solver for the satisfiability of formulas
- First developed for finite-state systems with the support of SAT solvers
- SAT solvers substituted by Satisfiability Modulo Theory (SMT) solvers:
 - Satisfiability for decidable fragments of first-order logic
 - SAT solver used to enumerate Boolean models
 - Integrated with decision procedure for specific theories, e.g., theory of real linear arithmetic
- Search algorithms applied to infinite-state systems (although in general undecidable)
- Lift to SMT straightforward for BMC and k-induction
- Not for IC3:
 - Requires alternative effective generalization

Counter-Example Guided Abstraction-Refinement (CEGAR)

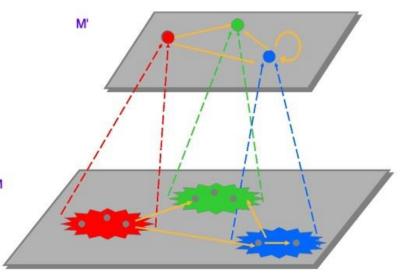


Predicate abstraction



Predicate Abstraction

- Reduction to finite-state MC
- Predicates P over concrete
 variables to define the abstraction
- Abstract state space given by Boolean variables, one for each predicate $\widehat{\mathbb{V}} = \{v_p \mid p \in \mathbb{P}\}$
- Abstract state $\alpha(s) = \{v_p | s(p) = T\}$



- Abstract transition iff there exists a concrete transition between two corresponding concrete states $\hat{T} = \{\langle \hat{s}, \hat{s}' \rangle | \exists s, s', \alpha(s) = \hat{s}, \alpha(s') = \hat{s}', T(s, s') \}$
- Transitions computed with ALLSMT:

$$\hat{T}(\hat{V},\hat{V}') = \exists V, V'(T(V,V') \land \bigwedge_{p \in \mathbb{P}} v_p \leftrightarrow p(V) \land \bigwedge_{p \in \mathbb{P}} v'_p \leftrightarrow p(V'))$$

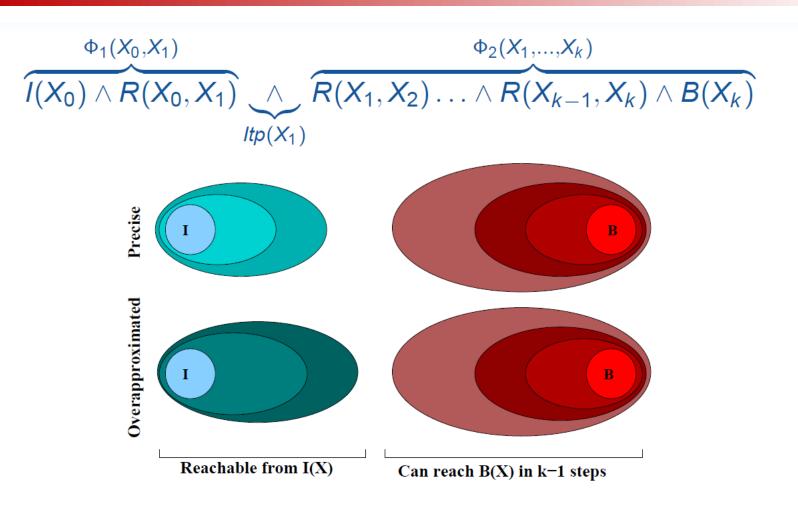
An interpolant for an unsatisfiable formula

$\Phi_1(X, Y) \land \Phi_2(Y, Z)$

is a formula Itp(Y) such that:

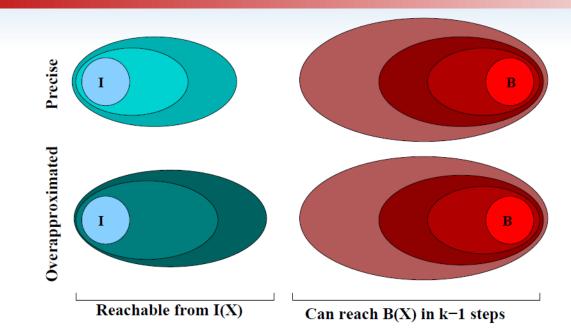
• $\Phi_1(X, Y) \rightarrow Itp(Y)$ • $Itp(Y) \land \Phi_2(Y, Z)$ is unsatisfiable

Interpolation-based model checking



 $Itp(X_1) = Itp(R, I(X_0), k)$

Interpolation-based model checking



- Precise reachability
 - $\mathcal{R}_0 = I$
 - $\mathcal{R}_i = Img(\mathcal{R}, \mathcal{R}_{i-1}) \cup \mathcal{R}_{i-1}$
- Interpolation based reachability
 - $Itp_0 = I(X_1)$
 - $Itp_i = Itp(R, Itp_{i-1}, k) \cup Itp_{i-1}$

Abstraction Refinement

- Abstract traces are overapproximations
 - Spurious counterexamples can be generated
- Standard abstraction refinement techniques based on interpolation
 - Sequence of abstract states $\hat{s}_0, \hat{s}_1, \dots, \hat{s}_k$
 - SMT check on

 $\hat{s}_0(V_0) \wedge T(V_0, V_1) \wedge \hat{s}_1(V_1) \wedge T(V_1, V_2) \wedge \cdots \wedge T(V_{k-1}, V_k) \wedge \hat{s}_k(V_k)$

If unsat, compute sequence of interpolants for

 $[\hat{s}_0(V_0) \wedge T(V_0, V_1) \wedge \cdots \wedge T(V_{i-1}, V_i)]$

 $[\hat{s}_i(V_i) \wedge T(V_0, V_1) \wedge \cdots \wedge T(V_{k-1}, V_k) \wedge \hat{s}_k(V_k)]$

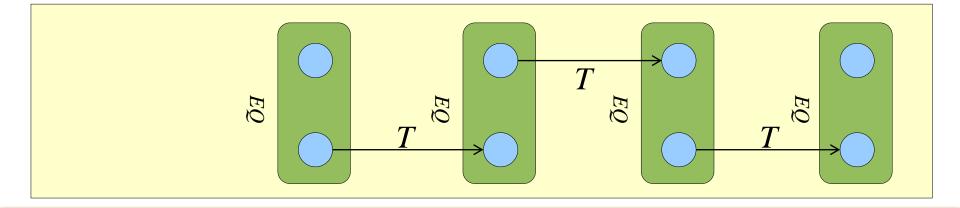
using the same UNSAT proof (called sequence interpolants)

- Add all the predicates in the interpolants to $\ensuremath{\mathbb{P}}$

Implicit Predicate Abstraction

- Abstract version of BMC and k-induction, avoiding explicit computation of the abstract transition relation
 - By embedding the abstraction in the SMT encoding
 - $EQ(V_1, V_2) \coloneqq \bigwedge_{p \in \mathbb{P}} p(V_1) \leftrightarrow p(V_2)$
- The abstract unrolling is

 $T\left(V_0, \overline{V}_1\right) \wedge EQ\left(\overline{V}_1, V_1\right) \wedge T\left(V_1, \overline{V}_2\right) \wedge EQ\left(\overline{V}_2, V_2\right) \wedge T(V_2, V_3) \wedge \cdots$



Infinite State Model-Checking

IC3 with Implicit Abstraction

IC3 with Implicit Abstraction

- Integrate the idea of Implicit Abstraction within IC3
- Use abstract transition relation
- Learn clauses only over predicates
- Use abstract relative induction check:

AbsRelInd(F, T, c, \mathbb{P})

$$\coloneqq F(V) \land c(V) \land T(V, \overline{V}) \land \bigwedge_{p \in \mathbb{P}} \left(p(V') \leftrightarrow p(\overline{V}) \right) \land \neg c(V')$$

- If UNSAT ⇒ inductive strengthening as in the Boolean case
- No theory-specific technique needed

IC3 with Implicit Abstraction

- Integrate the idea of Implicit Abstraction within IC3
- Use abstract transition relation
- Learn clauses only over predicates
- Use abstract relative induction check:

AbsRelInd(F, T, c, \mathbb{P})

$$\coloneqq F(V) \wedge c(V) \wedge T(V, \overline{V}) \wedge \bigwedge_{p \in \mathbb{P}} \left(p(V') \leftrightarrow p(\overline{V}) \right) \wedge \neg c(V')$$

- If SAT ⇒ abstract predecessor from the SMT model
- No preimage needed

- $T \coloneqq (2x_1' 3x_1 \le 4x_2' + 2x_2 + 3) \land (3x_1 2x_2' = 0)$
- $\mathbb{P} \coloneqq \{(x_1 x_2 \ge 4), (x_1 < 3)\}$
- $s \coloneqq \neg (x_1 x_2 \ge 4) \land (x_1 < 3)$
- AbsRelInd($\emptyset, T, \neg s, \mathbb{P}$) = $T(V, \overline{V}') \land \neg s(V) \land s(V') \land$ $(x_1 - x_2 \ge 4) \leftrightarrow (\overline{x}_1 - \overline{x}_2 \ge 4) \land (x_1 < 3) \leftrightarrow (\overline{x}_1 < 3)$
- AbsRelInd(Ø,T,s, ℙ) is SAT
- Compute a predecessor from SMT model: $\mu \stackrel{\text{def}}{=} \{x_1 \mapsto 0, x_2 \mapsto 1\}$ $\neg (x_1 - x_2 \ge 4) \land (x_1 < 3)$

Abstraction refinement

- Abstract counterexample check can use incremental SMT
- Abstraction refinement is *fully incremental*
- No restart from scratch
- Can keep all the clauses of F_1, \ldots, F_k
- Refinements monotonically strengthen T

$$T_{new} \coloneqq T_{old} \wedge \bigwedge_{p \in new \mathbb{P}} \left(p(V) \leftrightarrow p(\overline{V}) \right) \wedge \left(p(V') \leftrightarrow p\left(\overline{V}'\right) \right)$$

- All IC3 invariants on F_1, \ldots, F_k are preserved
 - $F_{i+1} \subseteq F_i \text{ (so } F_i \vDash F_{i+1})$
 - $F_i \wedge T \models F'_{i+1}$
 - For all $i < k, F_i \models P$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Check base case: Init \models Property

• Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Get bad cube
 - SMT check $F_1 \land \neg P$
 - SAT with model $\mu \coloneqq \{c = 0, d = 3\}$
 - Evaluate predicates wrt. μ
 - Return

$$s \coloneqq \{\neg (d = 1), \neg (c \ge d), (d > 2), \neg (c > d)\}$$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Rec. block s
 - Check AbsRelInd(F₀, T, $\neg s$, \mathbb{P}) \coloneqq Init $\land (\overline{c} = c + d) \land (\overline{d} = d + 1)$ $\land (d' = 1 \leftrightarrow \overline{d} = 1) \land (c' \ge d' \leftrightarrow \overline{c} \ge \overline{d})$ $\land (d' > 2 \leftrightarrow \overline{d} > 2) \land (c' > d' \leftrightarrow \overline{c} > \overline{d}) \land \neg s \land s'$
- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Rec. block s
 - Check $AbsRelInd(F_0, T, \neg s, \mathbb{P})$: UNSAT
 - Generalize: $\{\neg (d > 2)\}$
 - Update $F_1 \coloneqq F_1 \land \neg(d > 2)$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Forward propagation

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg(d > 2)$
 - $F_2 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Get bad cube at 2

•
$$s \coloneqq \{\neg (d = 1), \neg (c \ge d), (d > 2), \neg (c > d)\}$$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg(d > 2)$
 - $F_2 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Recursively block s
 - ...
 - Update $F_1 \coloneqq F_1 \land (c \ge d)$
 - ...
 - Update $F_2 \coloneqq F_2 \land (c \ge d) \lor \neg (d > 2)$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2)$
 - $F_2 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Forward propagation

- Predicates \mathbb{P} $(d = 1), (c \ge d),$
 - (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2) \land$ $(c \ge d) \land F_2$
 - $F_2 \coloneqq (c > d) \lor \neg (d > 2)$
 - $F_3 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Get cube at 3

•
$$s \coloneqq \{\neg (d = 1), \neg (c \ge d), (d > 2), \neg (c > d)\}$$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2) \land$ $(c \ge d) \land F_2$
 - $F_2 \coloneqq (c > d) \lor \neg (d > 2)$
 - $F_3 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Recursively block s
 - AbsRelInd is sat
 - SMT model:

$$\mu \coloneqq \{c = 0, d = 2, c' = 0, d = 3, \overline{c} = 2, \overline{d} = 3\}$$

• Abstract predecessor: $\{\neg(d > 2), \neg(c > d), \neg(d = 1), \neg(c \ge d)\}$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$
 - (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2) \land$ $(c \ge d) \land F_2$
 - $F_2 \coloneqq (c > d) \lor \neg (d > 2)$
 - $F_3 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Recursively block c

• ...

• Reached level 0, abstract cex: $s_0 \coloneqq \neg (d > 2), \neg (c > d), (d = 1), (c \ge d)$ $s_1 \coloneqq \neg (d > 2), \neg (c > d), \neg (d = 1), (c \ge d)$ $s_2 \coloneqq \neg (d > 2), \neg (c > d), \neg (d = 1), \neg (c \ge d)$ $s \coloneqq \neg (d = 1), \neg (c \ge d), (d > 2), \neg (c > d)$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2) \land$ $(c \ge d) \land F_2$
 - $F_2 \coloneqq (c > d) \lor \neg (d > 2)$
 - $F_3 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Check abstract counterexample $s_0(V_0) \wedge T(V_0, V_1) \wedge s_1(V_1) \wedge T(V_1, V_2) \wedge s_2(V_2)$ $\wedge T(V_2, V_3) \wedge s(V_3)$

UNSAT

- Predicates \mathbb{P} $(d = 1), (c \ge d),$
 - (d > 2), (c > d)
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2) \land$ $(c \ge d) \land F_2$
 - $F_2 \coloneqq (c > d) \lor \neg (d > 2)$
 - $F_3 \coloneqq \mathsf{T}$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Check abstract counterexample
- Extract new predicates from sequence interpolants: d ≥ 2, d ≥ 3
- Update ℙ

- Predicates \mathbb{P}
 - $(d=1), (c \ge d),$
 - (d > 2), (c > d),
 - $(d \ge 2), (d \ge 3)$
- Trace
 - $F_0 \coloneqq Init$
 - $F_1 \coloneqq \neg (d > 2) \land$ $(c \ge d) \land F_2$
 - $F_2 \coloneqq (c > d) \lor \neg (d > 2)$
 - $F_3 \coloneqq \top$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Update abstract Trans
- Resume IC3 from level 3

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d), $(d \ge 2), (d \ge 3)$
- Trace
 - \square $F_0 \coloneqq Init$
 - $\Box F_1 \coloneqq \neg (d > 2) \land (c \ge d) \land F_2$
 - $\Box F_2 \coloneqq (c > d) \lor \neg (d > 2) \land F_3$
 - $\Box F_3 \coloneqq (d = 1) \lor (d \ge 2) \land \neg (c \ge d) \land F_4$

$$\square F_4 \coloneqq (c > d) \lor \neg (d > 2)$$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Update abstract Trans
- Resume IC3 from level 3
- • •
- Forward propagation $F_2 \wedge \hat{T}_{\mathbb{P}} \vDash (c' \ge d') \lor \neg (d' \ge 2)$

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d), $(d \ge 2), (d \ge 3)$
- Trace
 - \Box $F_0 \coloneqq Init$
 - $\Box \ F_1 \coloneqq \neg (d > 2) \land (c \ge d) \land F_2$
 - $\Box F_2 \coloneqq (c > d) \lor \neg (d > 2) \land F_3$
 - $\Box F_3 \coloneqq (d = 1) \lor (d \ge 2) \land \\ \neg (c \ge d) \land F_4$

$$\square F_4 \coloneqq (c > d) \lor \neg (d > 2)$$

- System with 2 state vars c and d
 - Init: $(d = 1) \land (c \ge d)$
 - Trans: $(c' = c + d) \land (d' = d + 1)$
 - Property: $(d > 2) \rightarrow (c > d)$
- Update abstract Trans
- Resume IC3 from level 3
- ...
- Forward propagation $F_2 \wedge \hat{T}_{\mathbb{P}} \vDash (c' \ge d') \lor \neg (d' \ge 2)$
- Fixpoint \Rightarrow Property is true

- Predicates \mathbb{P} $(d = 1), (c \ge d),$ (d > 2), (c > d), $(d \ge 2), (d \ge 3)$
- Trace
 - \Box $F_0 \coloneqq Init$
 - $\Box \ F_1 \coloneqq \neg (d > 2) \land (c \ge d) \land F_2$
 - $F_2 \coloneqq F_3 \coloneqq (c \ge d) \lor$ $\neg (d \ge 2) \land (d = 1) \lor$ $(d \ge 2) \land \neg (c \ge d) \land F_4$
 - $\Box F_4 \coloneqq (c > d) \lor \neg (d > 2)$

Infinite State Model-Checking

Liveness Checking

LTL from Finite to Infinite

- Use first-order predicates instead of propositions:
 - $G(x \ge a \land x \le b)$
 - $GF(x = a) \wedge GF(x = b)$
- Predicates interpreted according to specific theory
- "next" variables to express changes/transitions:
 - G(x'=x+1)
 - $G(a'-a \le b)$
- BMC
 - Add encoding of lasso-shape and fairness
 - Sound for finding traces, but not complete
 - The only counterexaple may be not lasso-shape
- K-liveness
 - No change
 - Sound to prove properties, but not complete
 - Property may hold, but fairness can be visited an unbounded number of times

Liveness to Safety for Infinite States

- Unsound for infinite-state systems
 - Not all counterexamples are lasso-shaped

 $I(S) \stackrel{\text{\tiny def}}{=} (x = 0) \qquad T(S) \stackrel{\text{\tiny def}}{=} (x' = x + 1) \qquad \varphi \stackrel{\text{\tiny def}}{=} \mathbf{FG}(x < 5)$

- Liveness to safety with Implicit Abstraction
 - Apply the l2s transformation to the abstract system
 - Save the values of the predicates instead of the concrete state
 - Do it on-the-fly, tightly integrating l2s with IC3
 - Sound but incomplete
 - When abstract loop found, simulate in the concrete and refine
 - Might still diverge during refinement
 - Intrinsic limitation of state predicate abstraction

Wrap-up

A. Cimatti - Invited Lectures on Advanced Verification

Lecture Summary

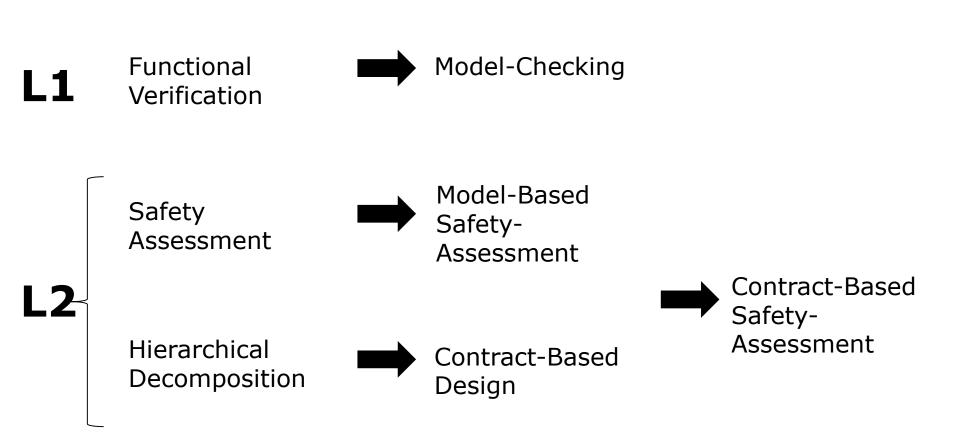
- Overview of SAT-based model checking techniques
- Details on IC3, as currently the prominent algorithm
- Liveness reduced to safety
- Lifting SAT-based MC to SMT
 - For invariant checking
 - Easy for BMC and k-induction
 - Predicate abstraction to reduce to finite-state MC
 - Implicit abstraction to avoid explicit computation of abstract state space
 - Implicit abstraction to lift IC3 to SMT
 - For liveness
 - BMC and K-liveness sound but not complete
 - Liveness2safety on abstract state space

Not covered

- Other MC approaches: BDD-Based, Interpolation, ...
- Other Properties: CTL, PSL, termination, epistemic, ...
- Other kind of systems
 - Continuous-time/hybrid systems
 - Probabilistic Systems
 - Software (control-flow graphs)

•

Next lecture



A list of suggested readings on the topics of the course. The list is not meant to be complete.

- Model checking:
 - Edmund M. Clarke, Orna Grumberg, Doron A. Peled: Model Checking. The MIT Press, 1999
 - Kenneth L. McMillan: Symbolic Model Checking. Kluwer, 1993
 - Christel Baier, Joost-Pieter Katoen: Principles of Model Checking. The MIT Press, 2008
- Bounded Model Checking:
 - Armin Biere, Alessandro Cimatti, Edmund M. Clarke, Ofer Strichman, Yunshan Zhu: Bounded model checking. Advances in Computers 58: 117-148 (2003)

- K-induction:
 - Mary Sheeran, Satnam Singh, Gunnar Stålmarck: Checking Safety Properties Using Induction and a SAT-Solver. FMCAD 2000: 108-125
 - Niklas Eén, Niklas Sörensson: Temporal induction by incremental SAT solving. Electr. Notes Theor. Comput. Sci. 89(4): 543-560 (2003)
- IC3 for Finite-State Transition Systems:
 - Aaron R. Bradley: SAT-Based Model Checking without Unrolling. VMCAI 2011: 70-87
 - Fabio Somenzi, Aaron R. Bradley: IC3: where monolithic and incremental meet. FMCAD 2011: 3-8
 - Aaron R. Bradley: Understanding IC3. SAT 2012: 1-14
 - Krystof Hoder, Nikolaj Bjørner: Generalized Property Directed Reachability. SAT 2012: 157-171

- LTL Model Checking:
 - Amir Pnueli: The Temporal Logic of Programs. FOCS 1977: 46-57
 - Moshe Y. Vardi: An Automata-Theoretic Approach to Linear Temporal Logic. Banff Higher Order Workshop 1995: 238-266
 - Edmund M. Clarke, Orna Grumberg, Kiyoharu Hamaguchi: Another Look at LTL Model Checking. Formal Methods in System Design 10(1): 47-71 (1997)
- Liveness to safety:
 - Armin Biere, Cyrille Artho, Viktor Schuppan: Liveness Checking as Safety Checking. Electr. Notes Theor. Comput. Sci. 66(2): 160-177 (2002)
 - Yi Fang, Kenneth L. McMillan, Amir Pnueli, Lenore D. Zuck: Liveness by Invisible Invariants. FORTE 2006: 356-371
 - Koen Claessen, Niklas Sörensson: A liveness checking algorithm that counts. FMCAD 2012: 52-59

- K-Induction for Infinite-State Systems:
 - Leonardo Mendonça de Moura, Harald Rueß, Maria Sorea: Bounded Model Checking and Induction: From Refutation to Verification (Extended Abstract, Category A). CAV 2003: 14-26
 - Temesghen Kahsai, Cesare Tinelli: PKind: A parallel k-induction based model checker. PDMC 2011: 55-62
 - Alessandro Cimatti, Sergio Mover, Alessandro Cimatti: SMT-based scenario verification for hybrid systems. Formal Methods in System Design 42(1): 46-66 (2013)
 - Jonathan Laurent, Alwyn Goodloe, Lee Pike: Assuring the Guardians. RV 2015: 87-101

- Interpolation-based Model Checking:
 - Kenneth L. McMillan: Interpolation and SAT-Based Model Checking. CAV 2003: 1-13
 - Kenneth L. McMillan: Applications of Craig Interpolants in Model Checking. TACAS 2005: 1-12
 - Kenneth L. McMillan: Lazy Abstraction with Interpolants. CAV 2006: 123-136
- Liveness to Safety for Infinite-State Systems:
 - Viktor Schuppan, Armin Biere: Liveness Checking as Safety Checking for Infinite State Spaces. Electr. Notes Theor. Comput. Sci. 149(1): 79-96 (2006)
 - Andreas Podelski, Andrey Rybalchenko: Transition predicate abstraction and fair termination. ACM Trans. Program. Lang. Syst. 29(3) (2007)
 - Alessandro Cimatti, Alberto Griggio, Sergio Mover, Alessandro Cimatti: Verifying LTL Properties of Hybrid Systems with K-Liveness. CAV 2014: 424-440

- Implicit Abstraction:
 - Stefano Tonetta: Abstract Model Checking without Computing the Abstraction. FM 2009: 89-105
- IC3 for Infinite-State Systems:
 - Alessandro Cimatti, Alberto Griggio: Software Model Checking via IC3. CAV 2012: 277-293
 - Alessandro Cimatti, Alberto Griggio, Sergio Mover, Alessandro Cimatti: IC3 Modulo Theories via Implicit Predicate Abstraction. TACAS 2014: 46-61
 - Johannes Birgmeier, Aaron R. Bradley, Georg Weissenbacher: Counterexample to Induction-Guided Abstraction-Refinement (CTIGAR). CAV 2014: 831-848
 - Yakir Vizel, Arie Gurfinkel: Interpolating Property Directed Reachability. CAV 2014: 260-276
 - Nikolaj Bjørner, Arie Gurfinkel: Property Directed Polyhedral Abstraction. VMCAI 2015: 263-281