

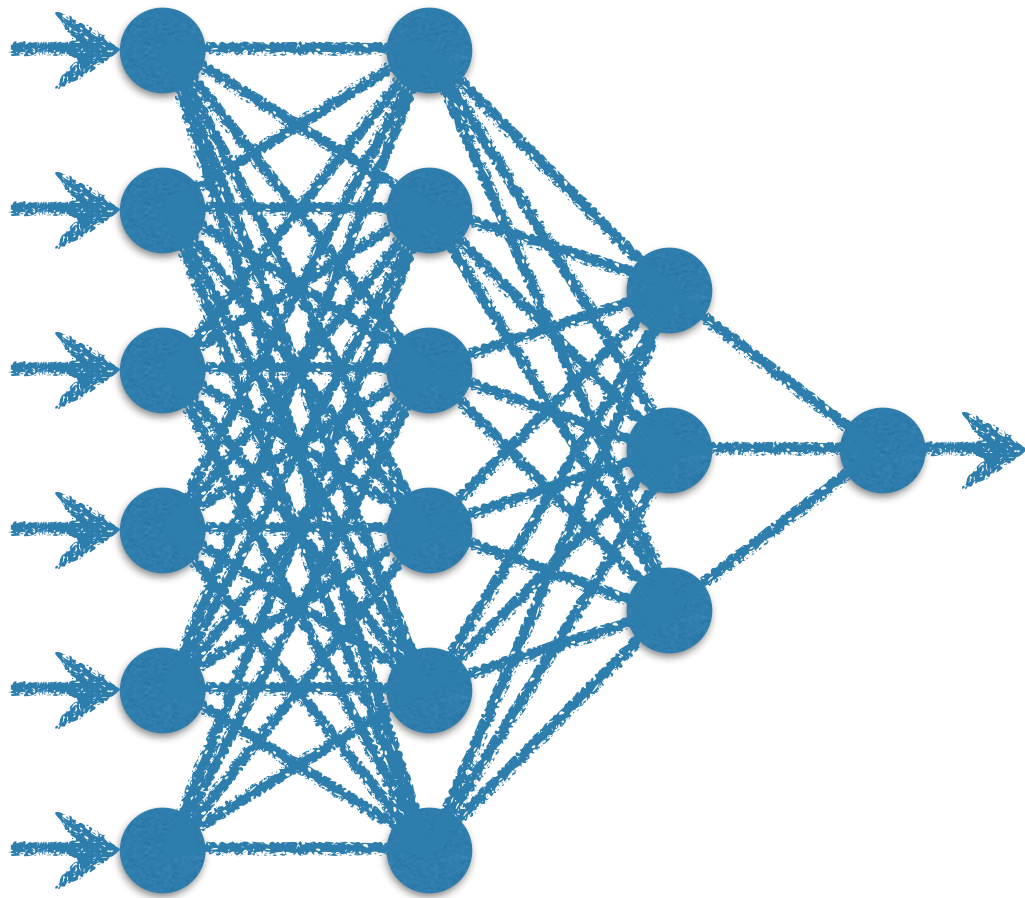
Learning automata

Learning automata ...and generalisations!

Learning scenarios

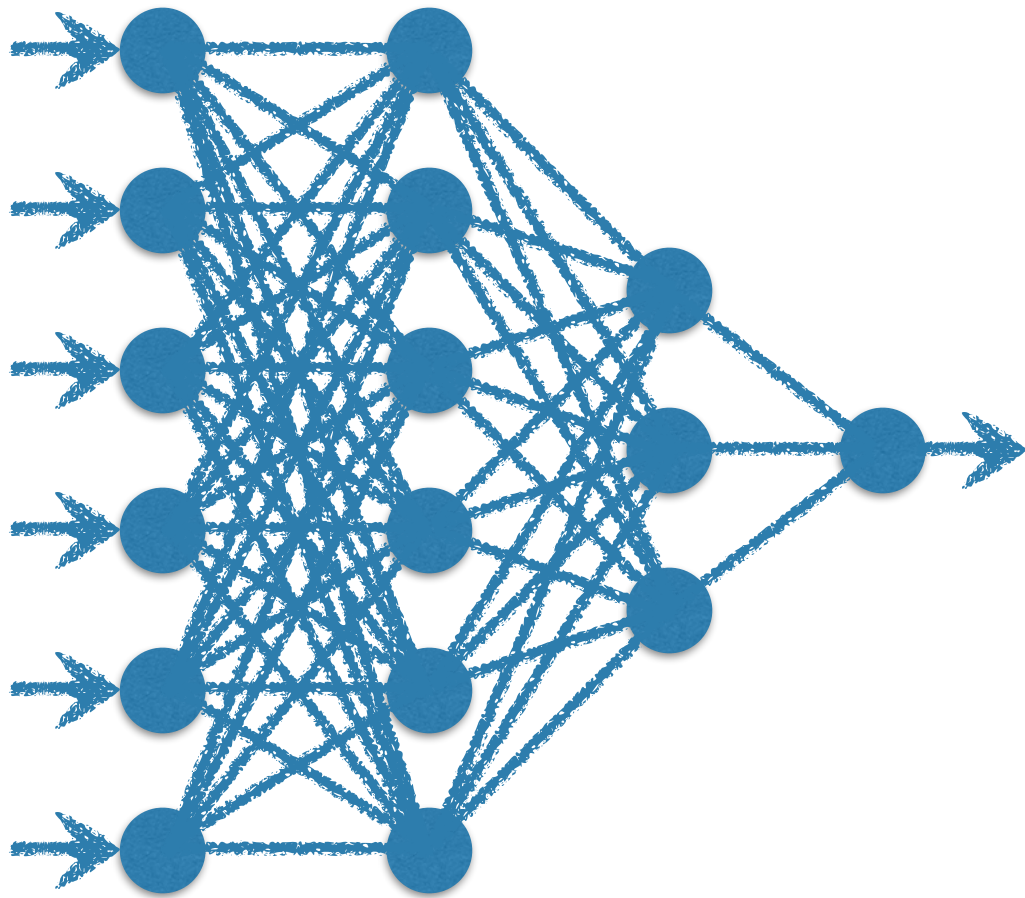
Learning scenarios

NNs

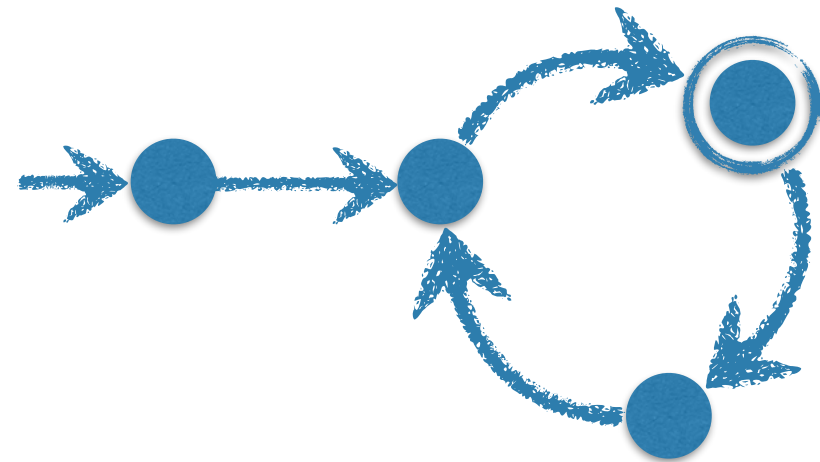


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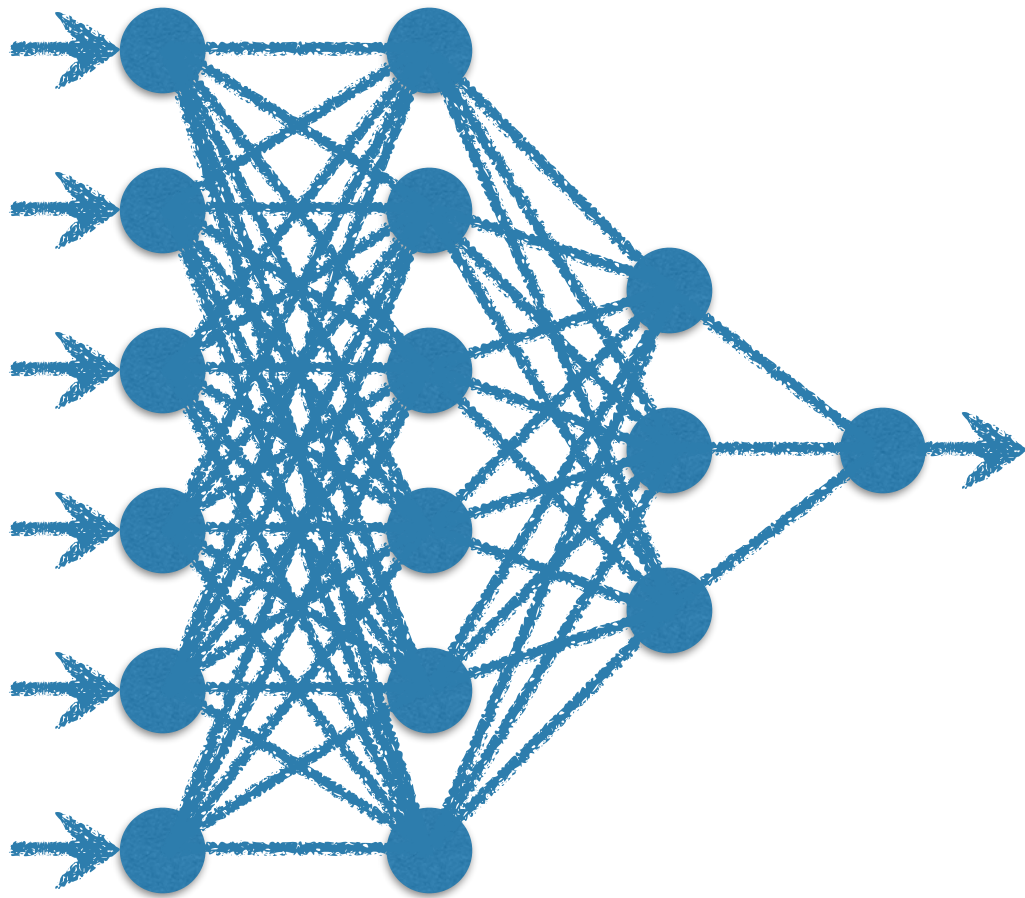


Automata



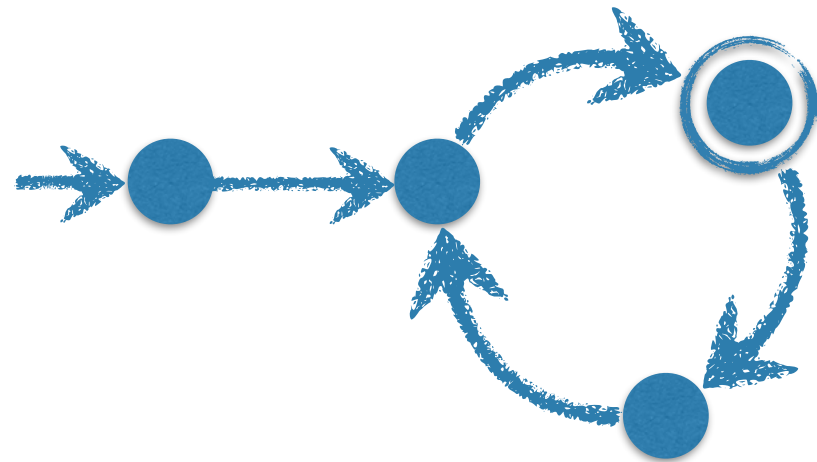
Learning scenarios

NNs



simple data, e.g. $\bar{\mathbf{x}} \in \mathbb{R}^k$

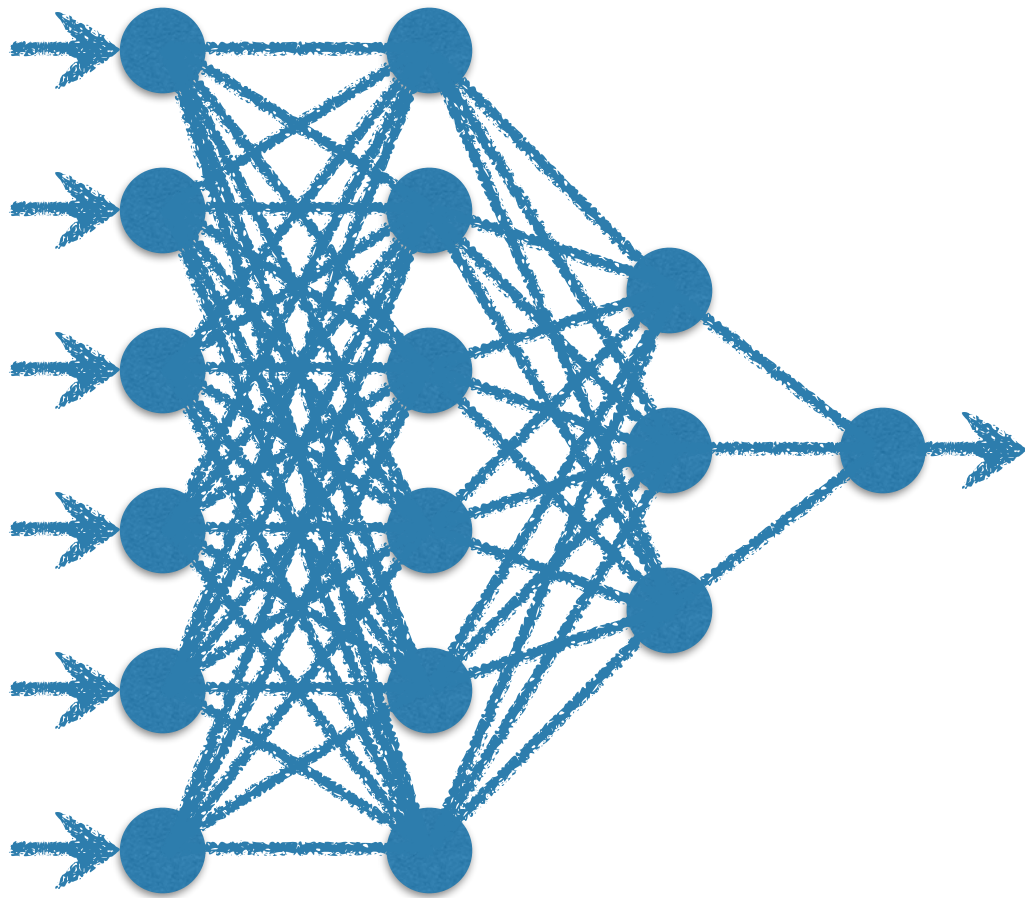
Automata



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Learning scenarios

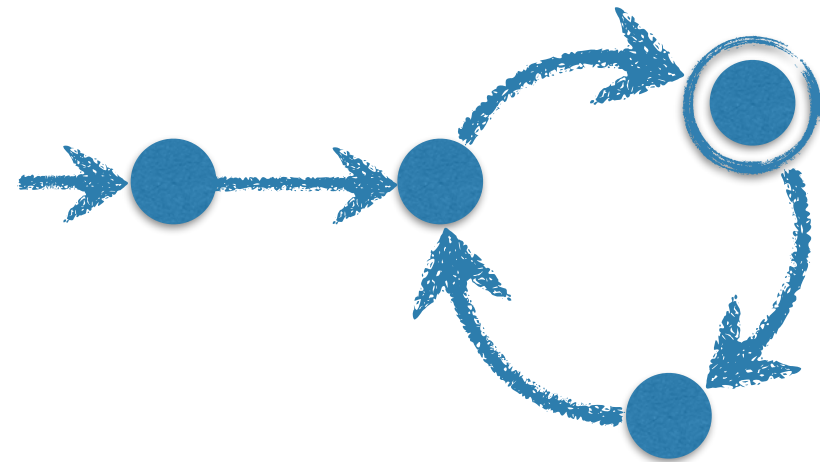
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complex functions, e.g. $f: \mathbb{R}^k \rightarrow \{0,1\}$
representing pictures of dogs

Automata

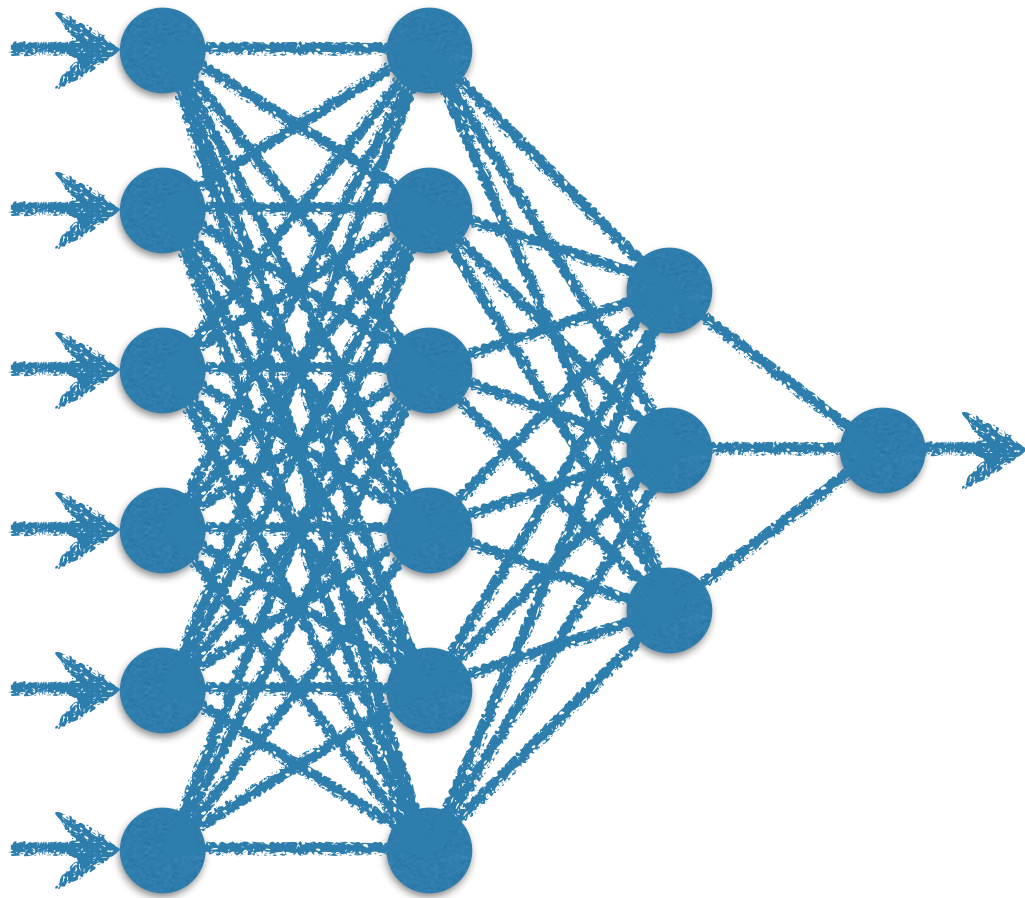


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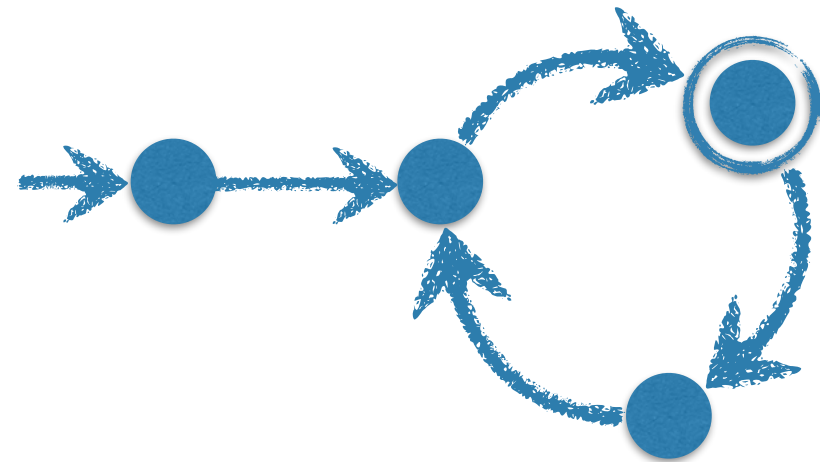


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structure (architecture) is fixed

Automata



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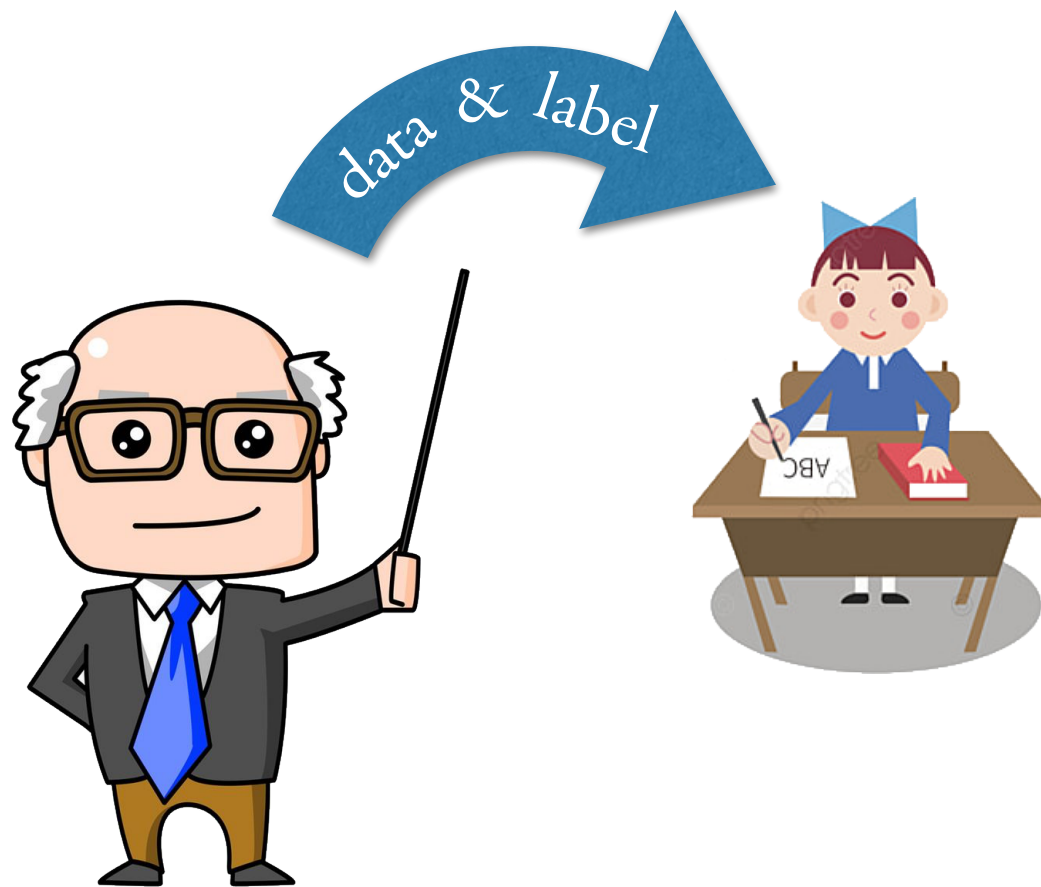
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representing regular $L \subseteq \Sigma^*$

structure (states+transitions) is learned

Learning scenarios

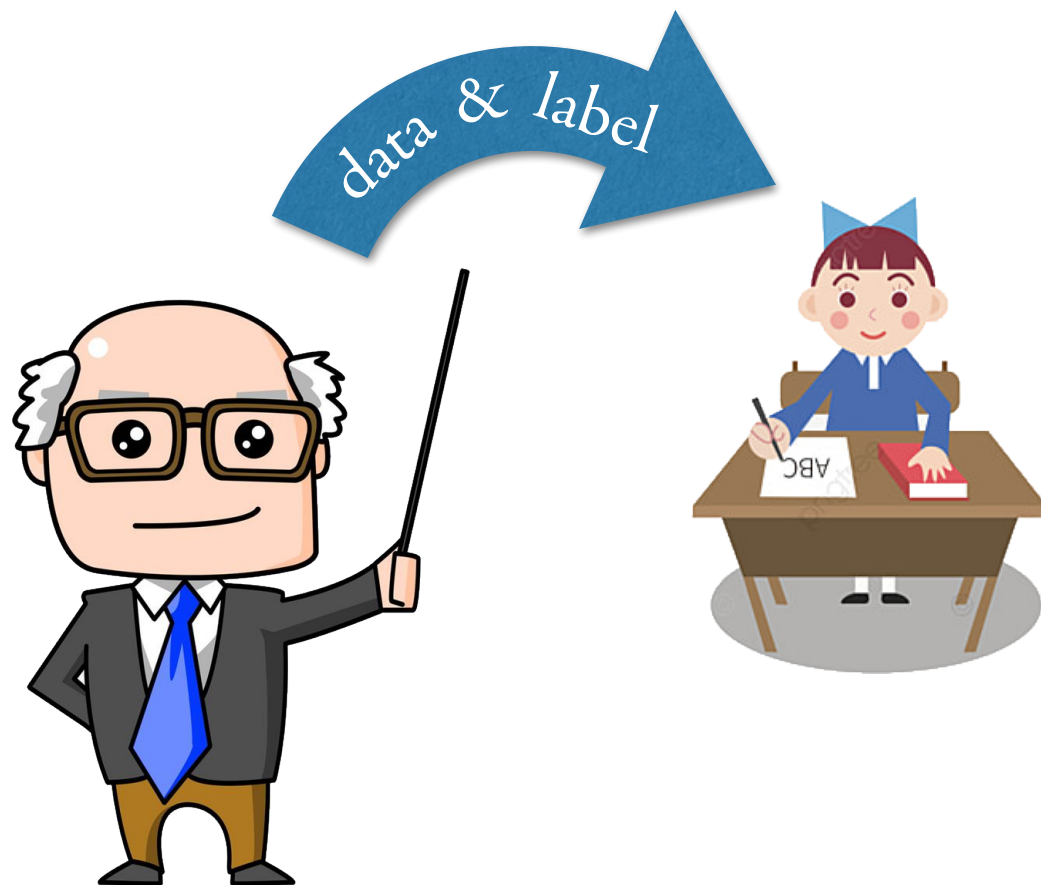
Learning scenarios

Passive

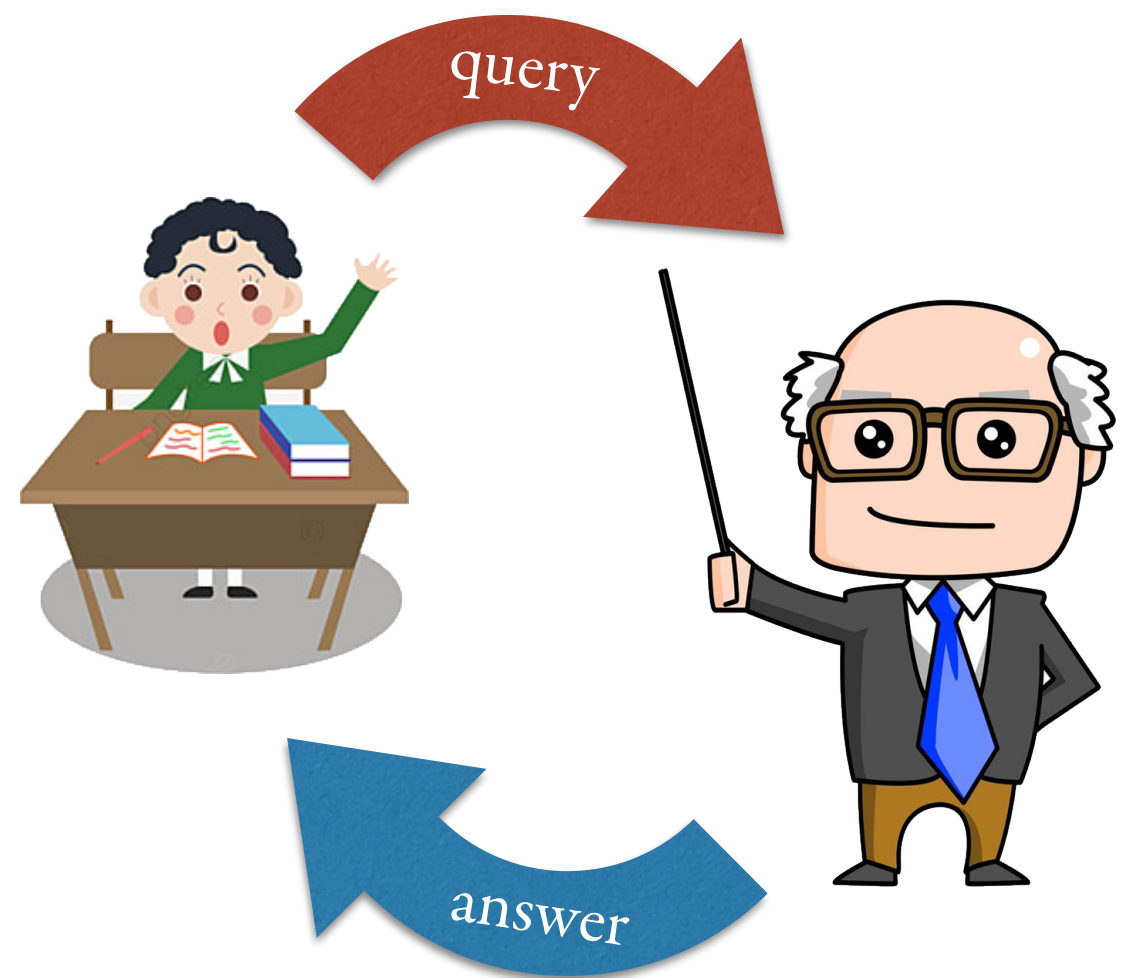


Learning scenarios

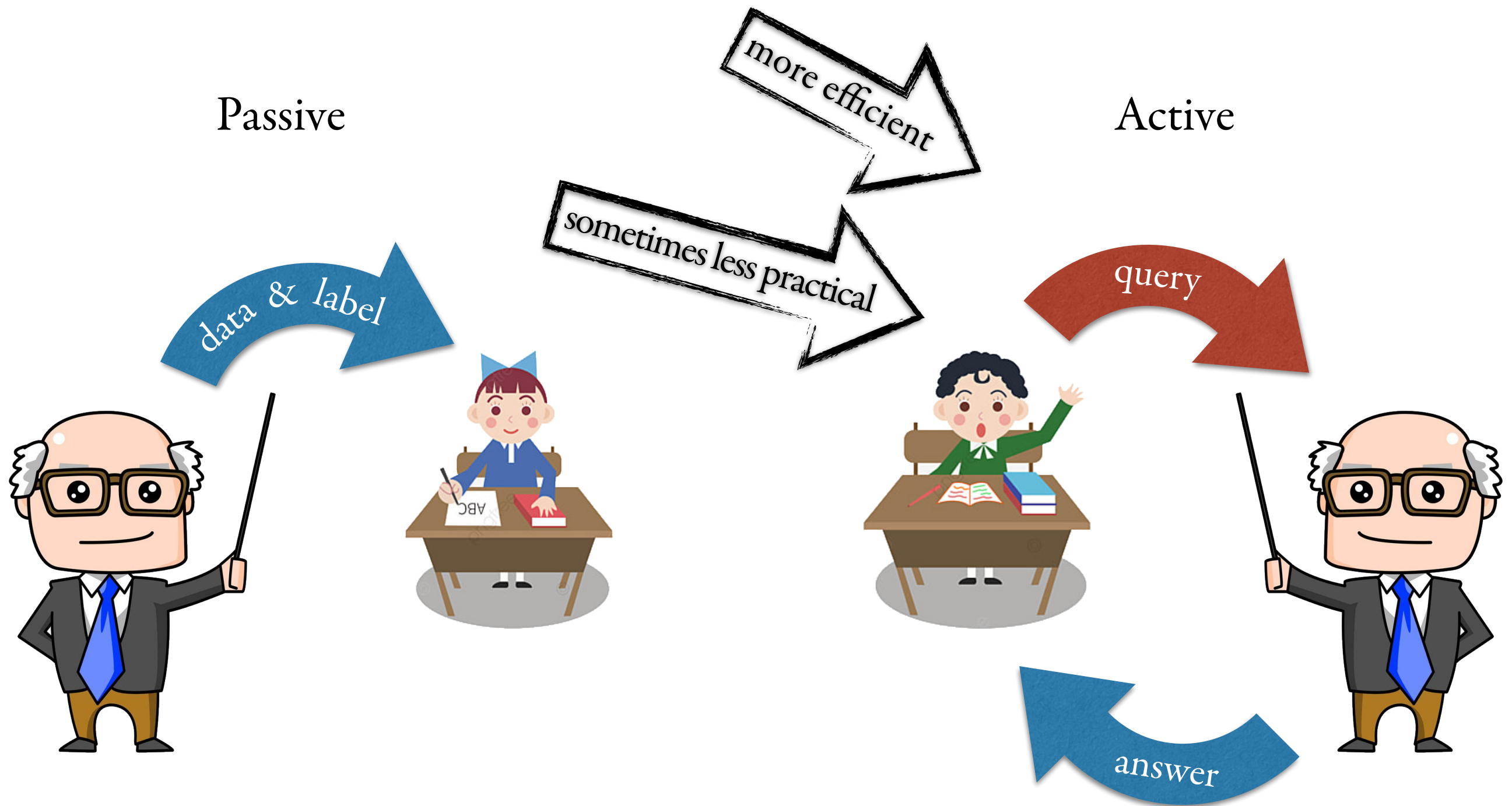
Passive




Active



Learning scenarios



A bit of history on automata learning



— '56	Moore	<i>Gedanken-experiments on sequential machines</i>
— '67	Gold	<i>passive learning in the limit</i>
— '87	Angluin	<i>active learning with queries</i>
— '93...	Pitt et al.	<i>PAC-learning, cryptographic hardness</i>
— '95...	Maler et al.	<i>learning regular ω-languages</i>
— '96...	Vilar et al.	<i>learning word transformations</i>
— '00...	Beimel et al.	<i>learning weighted and multiplicity automata</i>
— '10...	Lemay et al.	<i>learning tree transformations</i>
— '12...	Howar et al.	<i>learning languages over infinite alphabets</i>
— '14...	Maier et al.	<i>learning timed languages</i>
— '15...	Balle et al.	<i>spectral techniques for learning</i>

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Edward F. Moore

INTRODUCTION

This paper is concerned with finite automata¹ from the experimental point of view. This does not mean that it reports the results of any experimentation on actual physical models, but rather it is concerned with what kinds of conclusions about the internal conditions of a finite machine it is possible to draw from external experiments. To emphasize the conceptual nature of these experiments, the word "gedanken-experiments" has been borrowed from the physicists for the title.

The sequential machines considered have a finite number of states, a finite number of possible input symbols, and a finite number of possible output symbols. The behavior of these machines is strictly deterministic (i.e., no random elements are permitted in the machines) in that the present state of a machine depends only on its previous input and previous state, and the present output depends only on the present state.

The point of view of this paper might also be extended to probabilistic machines (such as the noisy discrete channel of communication theory²), but this will not be attempted here.

EXPERIMENTS

There will be two kinds of experiments considered in this paper. The first of these, called a simple experiment, is depicted in Figure 1.

Learning as a game

- 1) **Teacher** has a secret regular language L_0 , e.g. represented by DFA A_0
Learner initially only knows the underlying alphabet Σ

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- 3) **Teacher** answers accordingly:
 - a) yes if $w \in L_0$, no otherwise
 - b) yes if $L_0 = L(A)$ (game ends and Learner wins),
otherwise gives a shortest counter-example $w \in (L_0 - L(A)) \cup (L(A) - L_0)$
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- membership queries alone are not sufficient for **Learner** to win
- instead, equivalence queries alone are sufficient to win (why? how quick?)

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Theorem

[Angluin '87]

Learner has a strategy to win

in a number of rounds that is *polynomial* in $|A_0|$

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 in the form of an algorithm (L^* algorithm)

Theorem

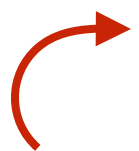
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- MAT (Minimally Adequate Teacher)**
- Sometimes answering an equivalence query is not practical: if Teacher knew A_0 , he could pass this information directly to Learner, so why bothering querying?
- Equivalence queries can however be *approximated* by a series of membership queries: as long as membership in A matches membership in A_0 , Learner assumes he made the correct guess.
- This latter setting is often called Black-box learning

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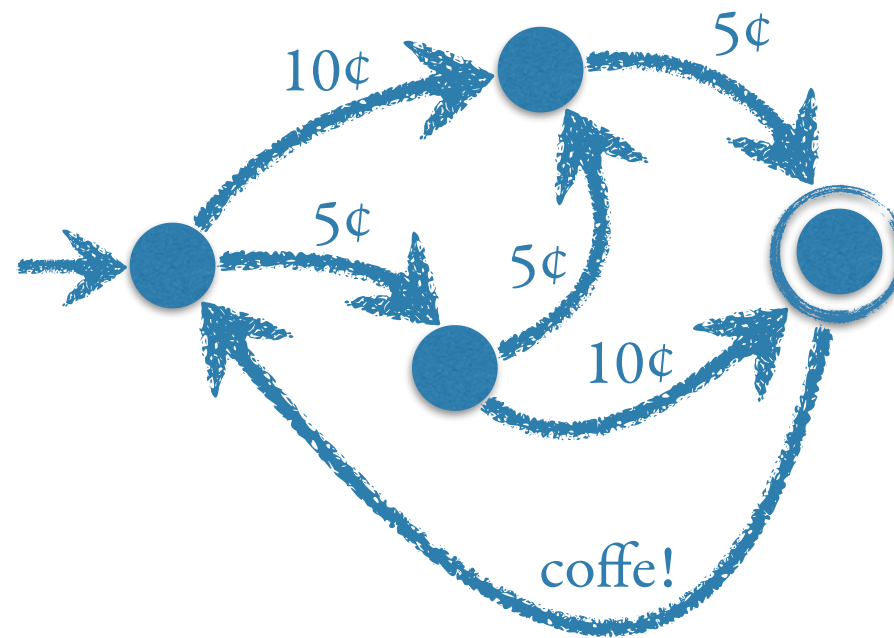
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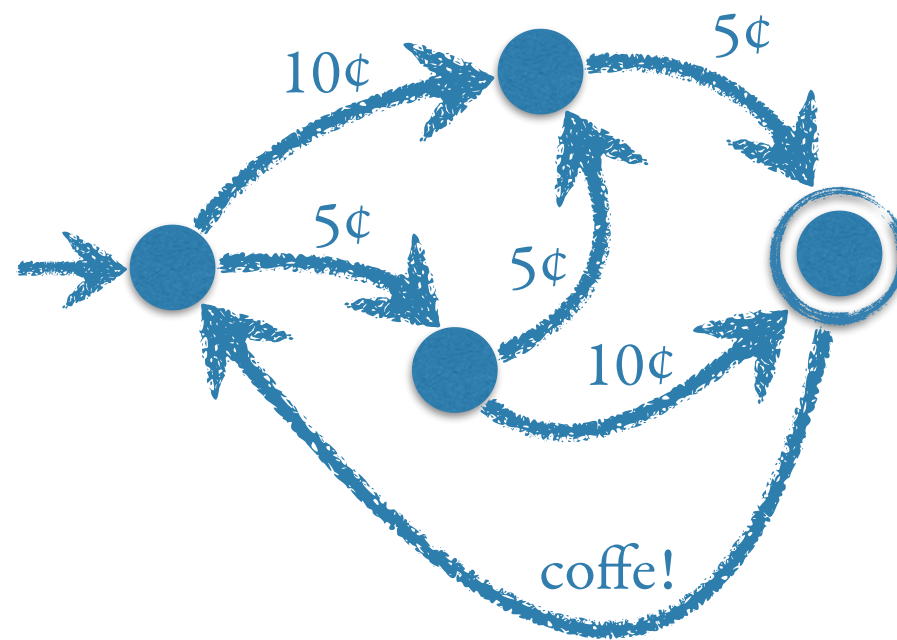
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Learning as a game



Verification: model-learning
(in contrast to model-checking)

Control theory:
system identification,
diagram inference

Language theory:
grammar inference,
regular extrapolation

Security:
protocol state fuzzing

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Learning as a killer app of Myhill-Nerode theorem

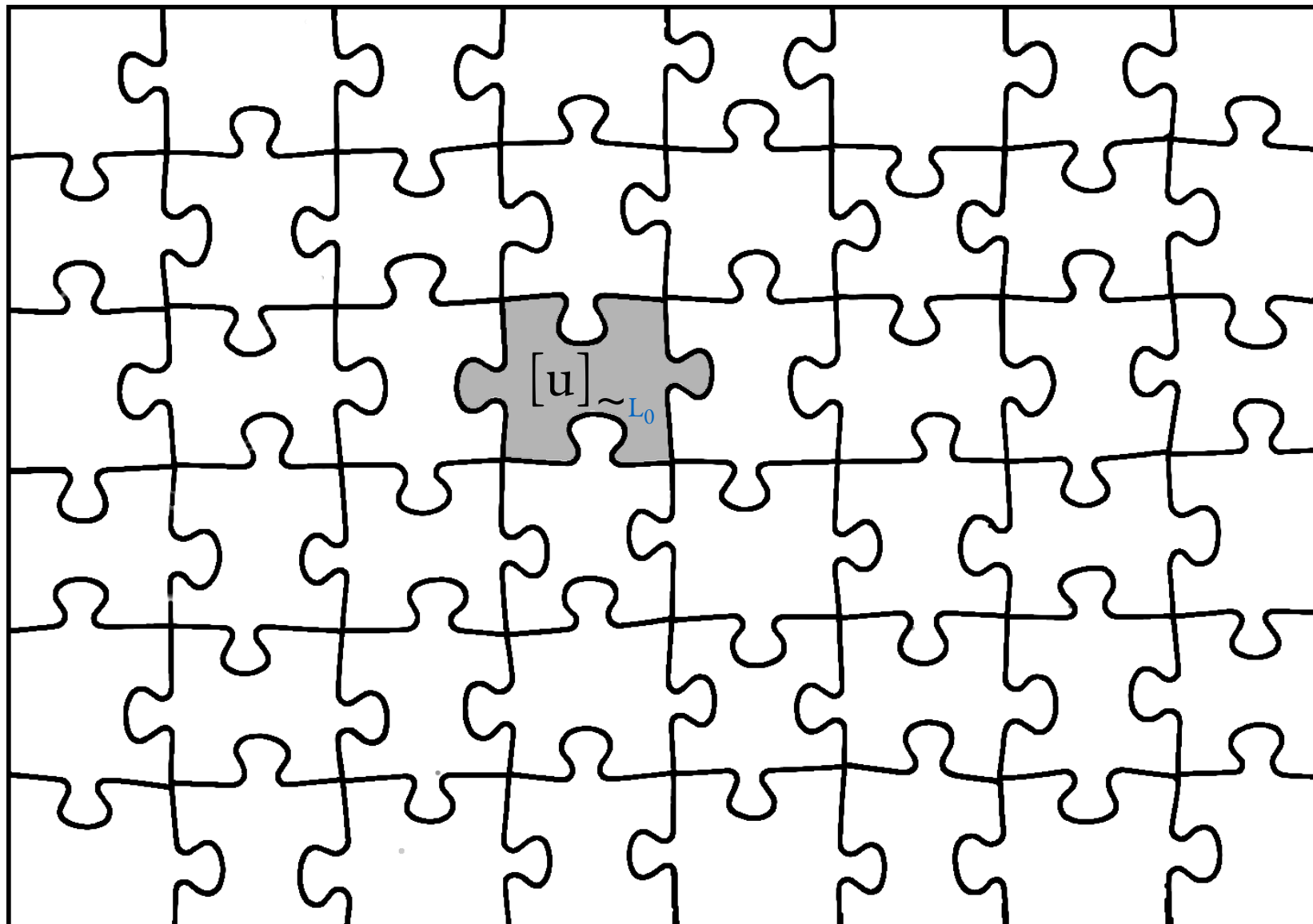
Myhill-Nerode equivalence: $u \sim_{L_0} v$ if?

Learning as a killer app of Myhill-Nerode theorem

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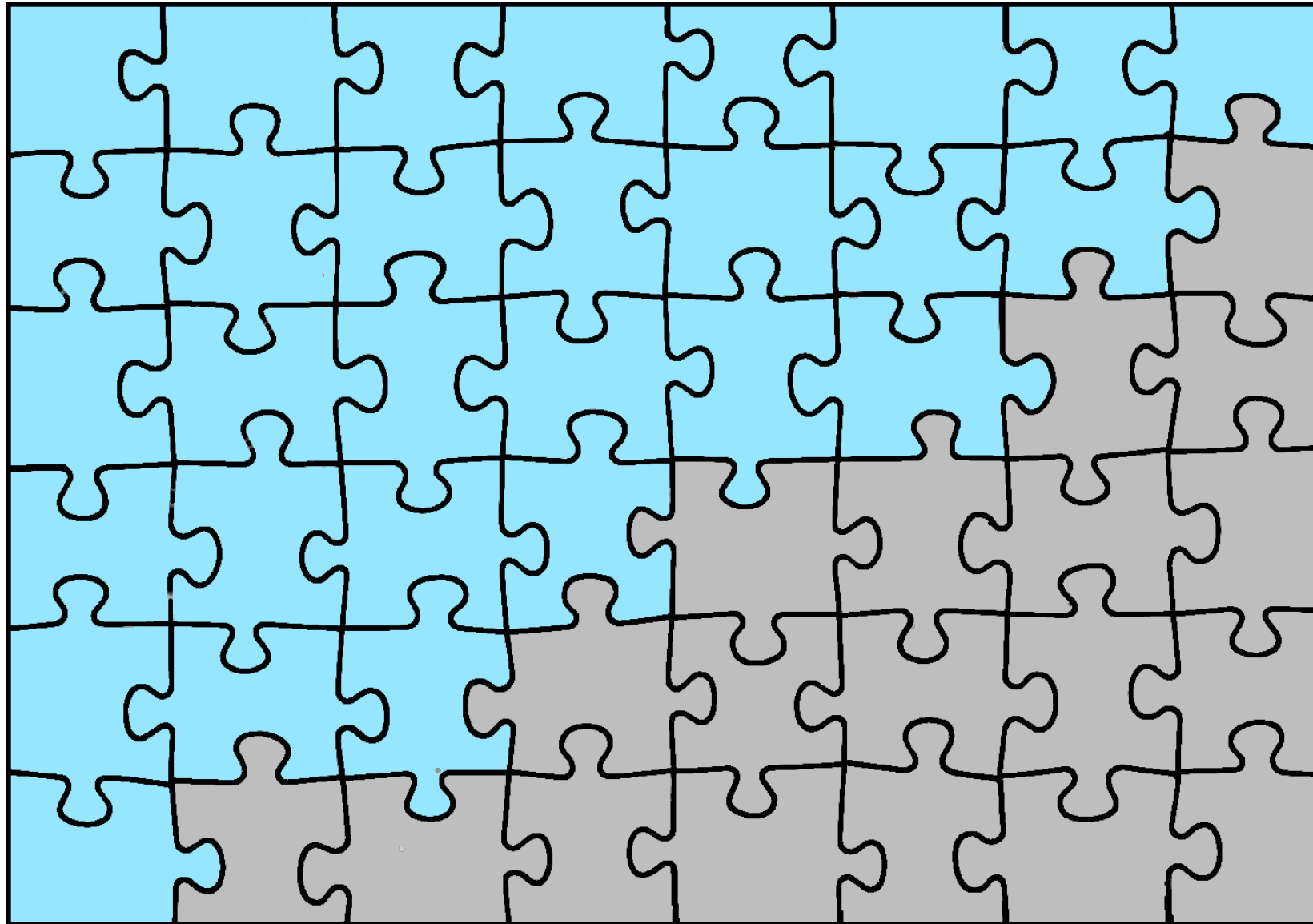
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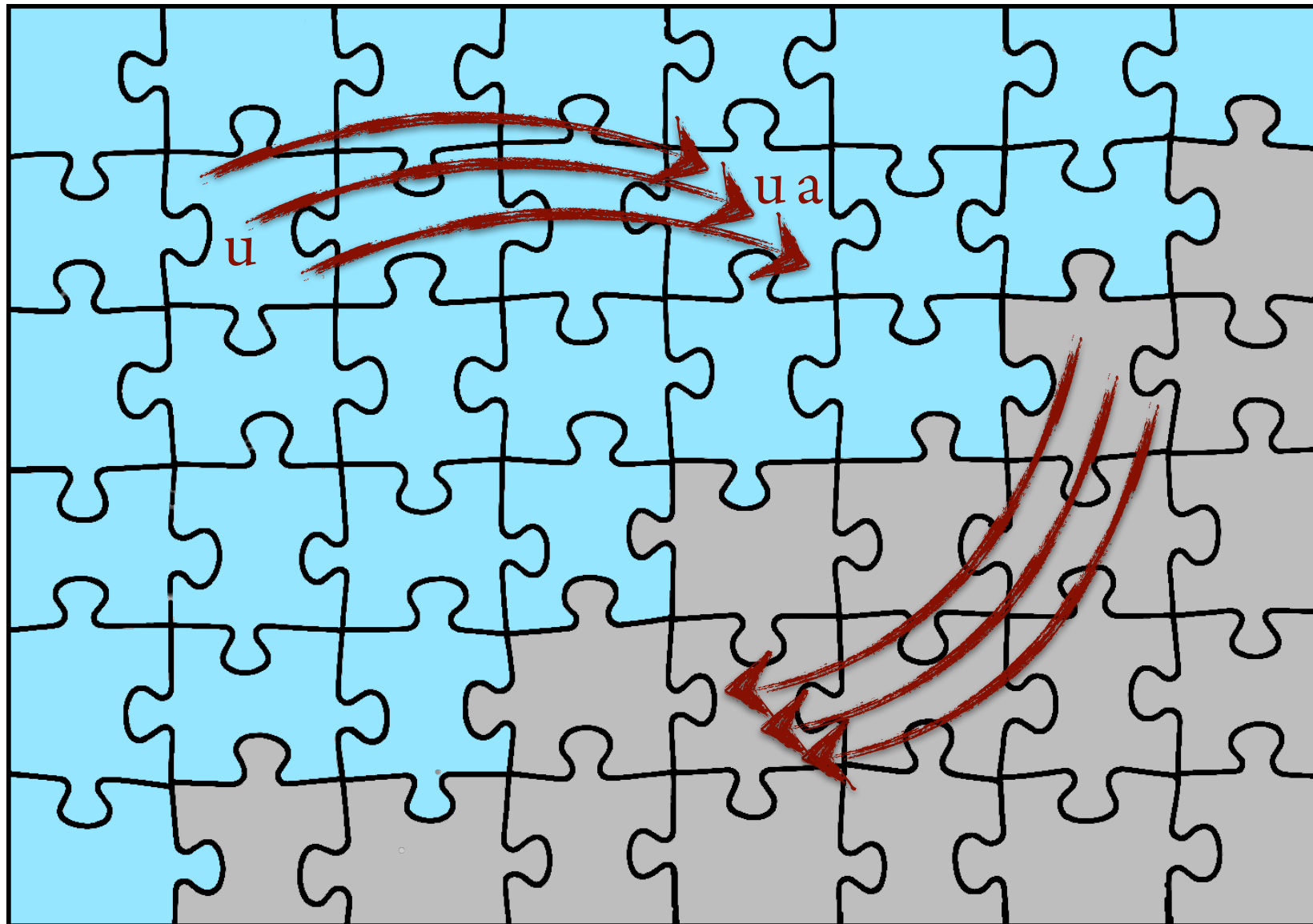
Properties:

- \sim_{L_0} refines $=_{L_0}$

$\Sigma^* - L_0$

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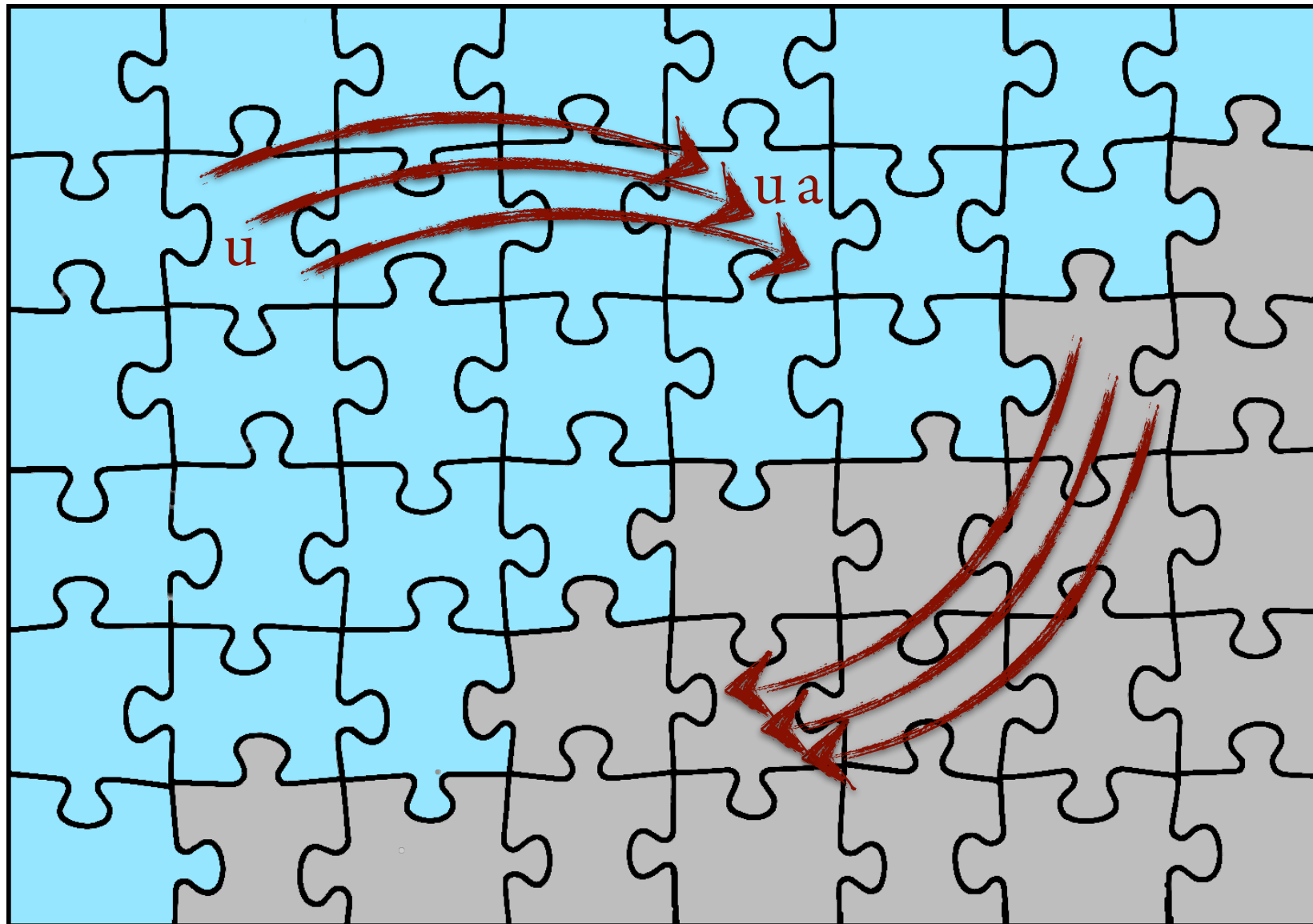


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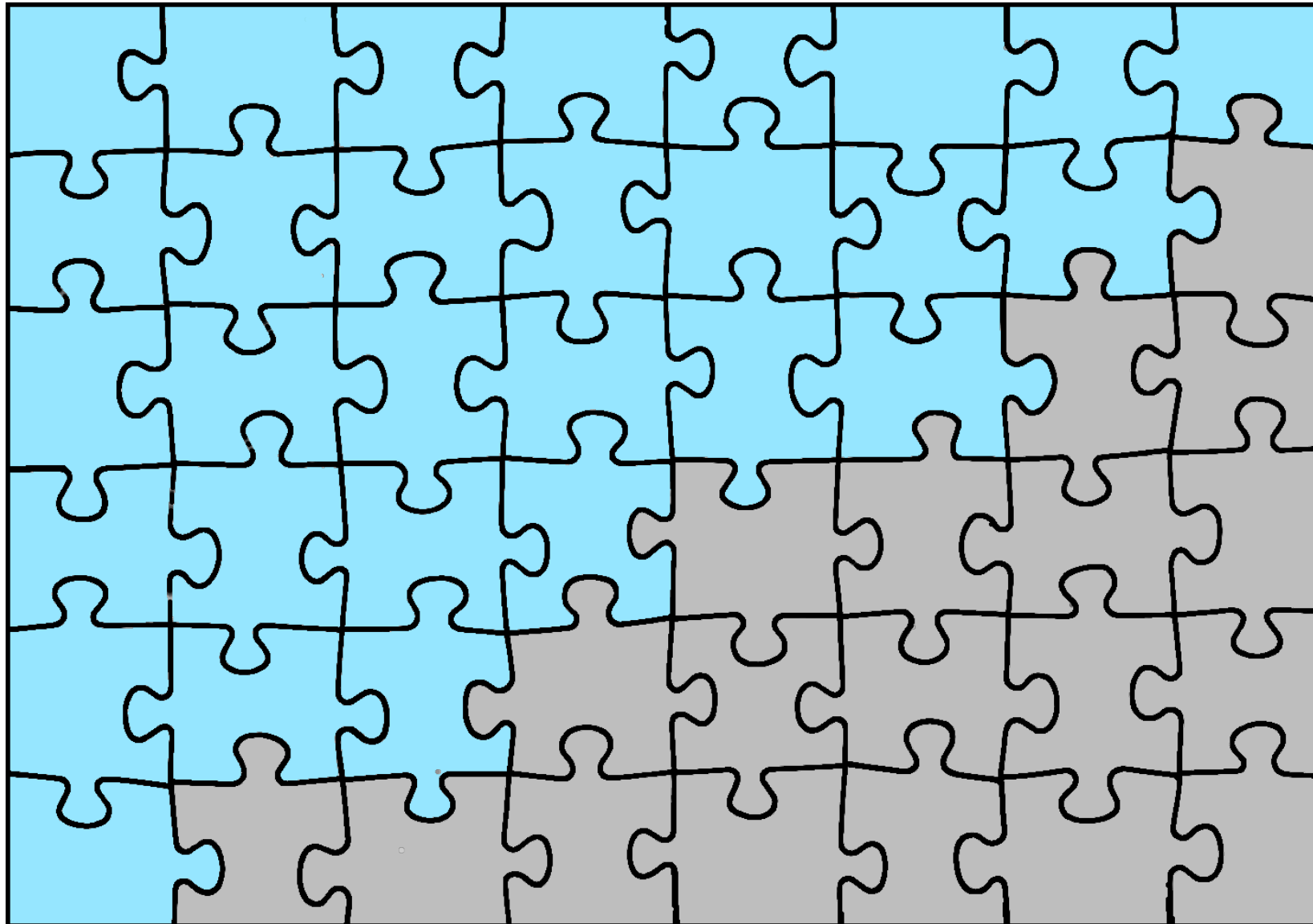


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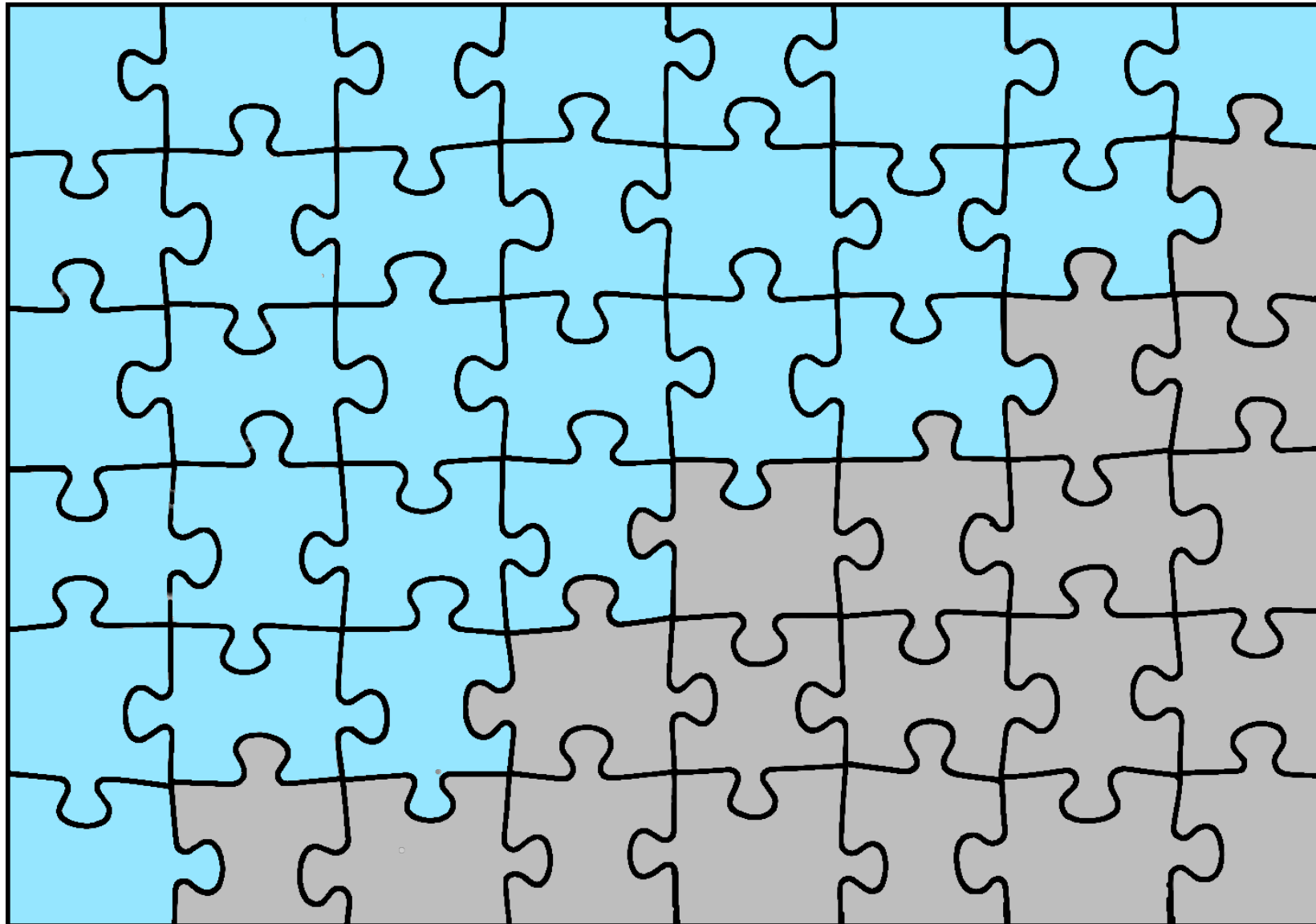
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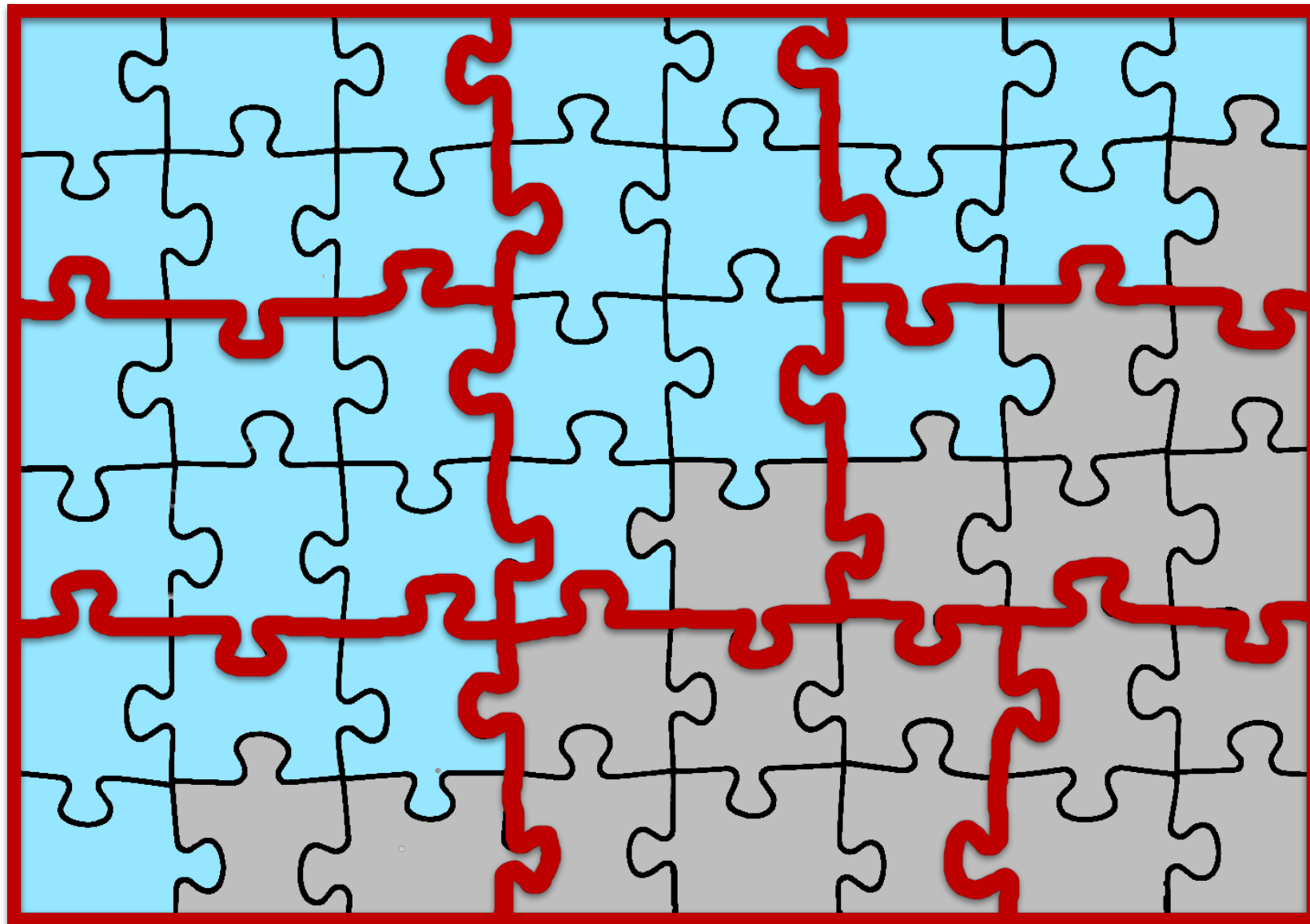
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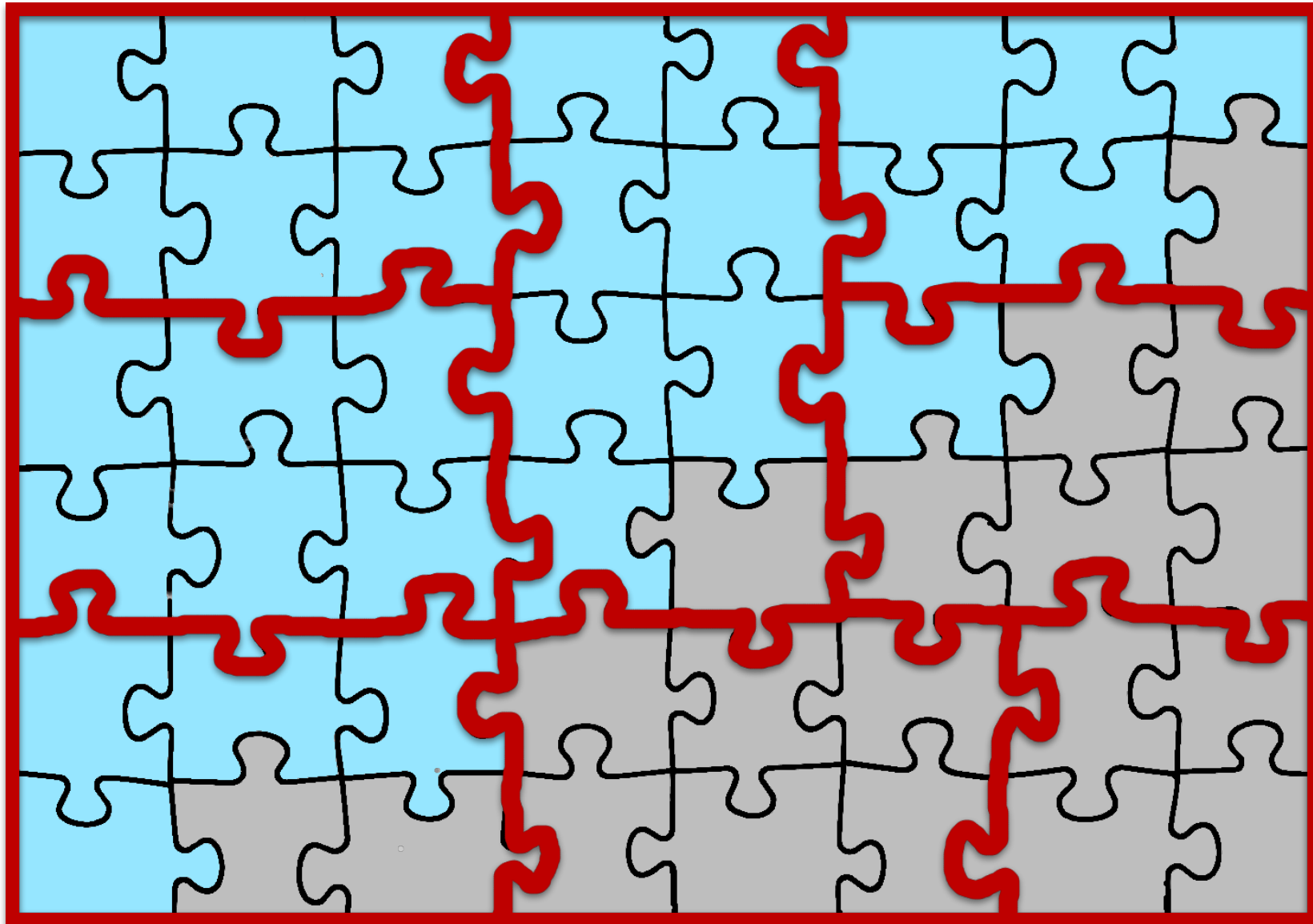
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- \sim_{L_0} has finite index
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- $\approx_{L_0, T}$ is coarser than \sim_{L_0}

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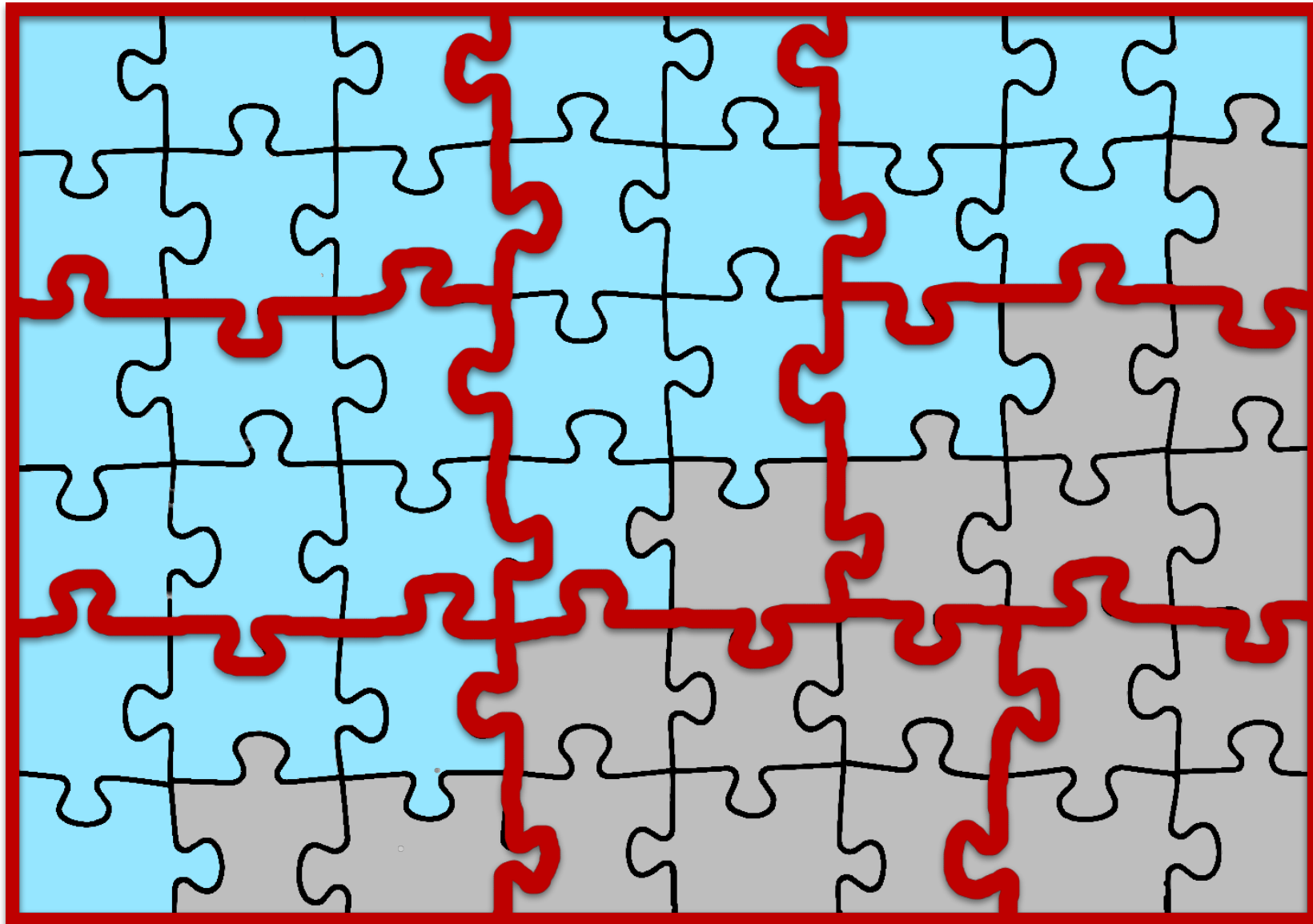
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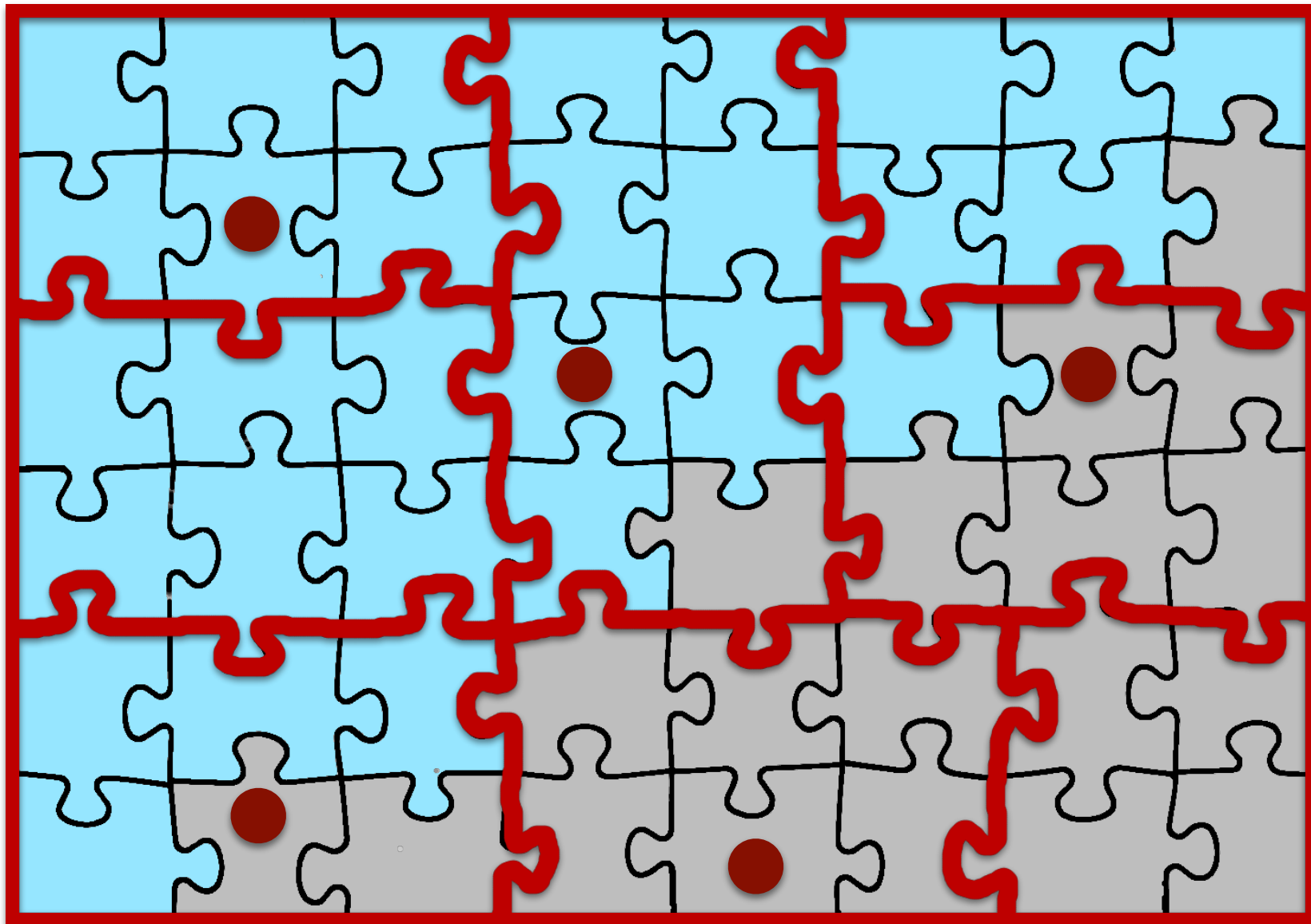



 **Learner** constructs automata from pairs (S, T) where $S \subseteq \Sigma^*$ is T-minimal & T-complete

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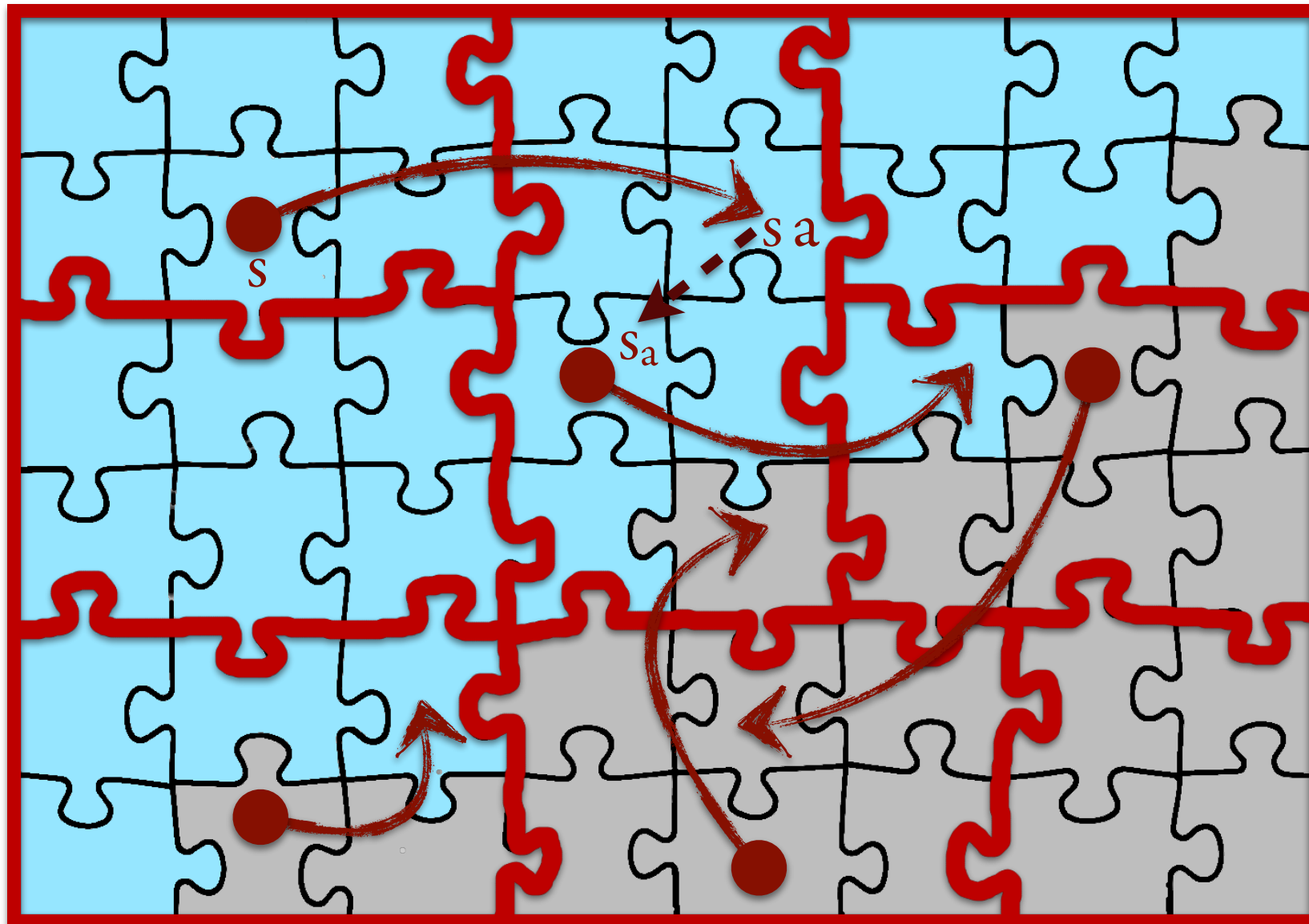
$$S \subseteq \Sigma^* \text{ is } \underline{\text{T-minimal}}$$

$$\text{if } \forall s \neq s' \in S \quad s \not\approx_{\textcolor{blue}{L}_0, \textcolor{red}{T}} s'$$

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💡 **Learner** constructs automata from pairs (S, T) where $S \subseteq \Sigma^*$ is T -minimal & T -complete

$S \subseteq \Sigma^*$ is T -minimal if $\forall s \neq s' \in S \quad s \not\approx_{L_0, T} s'$

$S \subseteq \Sigma^*$ is T -complete if $\forall s \in S \quad \forall a \in \Sigma$
 $\exists s_a \in S \quad s_a \approx_{L_0, T} sa$


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Learner-strategy

$S = T = \{\varepsilon\}$ // S is T -minimal, possibly not T -complete

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loop

while S NOT T -complete

let $s \in S$ and $a \in \Sigma$ such that

$\forall s' \in S \exists t \in T \text{ Membership}(s a t) \neq \text{Membership}(s' t)$

$S = S \cup \{s a\}$

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$S = S \cup \{s a\}$

A = DFA with state set S , transitions $\delta(s, a) = s_a$

initial state ε , final states s' s.t. $\text{Membership}(s')$

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Learning as a killer app of Myhill-Nerode theorem

Myhill-Nerode equivalence: $u \sim_{L_0} v$ if $\forall t \in \Sigma^* \quad ut \in L_0 \leftrightarrow vt \in L_0$

Relativization to a test set T : $u \approx_{L_0, T} v$ if $\forall t \in T \quad ut \in L_0 \leftrightarrow vt \in L_0$

Learner-strategy

$S = T = \{\varepsilon\}$ // S is T -minimal

loop

while S NOT T -complete

let $s \in S$ and $a \in \Sigma$ such that

$\forall s' \in S \exists t \in T \text{ Membership}(s a t) \neq \text{Membership}(s' t)$


$S = S \cup \{s a\}$

A = DFA with state set S , transitions $\delta(s, a) = s_a$

initial state ε , final states s' s.t. $\text{Membership}(s')$

s_a is the unique word in S
such that $s_a \approx_{L_0, T} s a$

(S T -complete $\rightarrow s_a$ exists
 S T -minimal $\rightarrow s_a$ unique)

 **Learner** constructs automata from pairs (S, T) where $S \subseteq \Sigma^*$ is T -minimal & T -complete

$S \subseteq \Sigma^*$ is T -minimal
if $\forall s \neq s' \in S \quad s \not\approx_{L_0, T} s'$

$S \subseteq \Sigma^*$ is T -complete
if $\forall s \in S \quad \forall a \in \Sigma$
 $\exists s_a \in S \quad s_a \approx_{L_0, T} s a$

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A = DFA with state set S , transitions $\delta(s, a) = s_a$

initial state ε , final states s' s.t. $\text{Membership}(s')$

if $\text{Equivalence}(A)$ // this surely happens

return A // when $|S| = \text{index}(\sim_{L_0})$


else

let w be the counter-example of equivalence

$T = T \cup \{\text{suffixes of } w\}$

s_a is the unique word in S
such that $s_a \approx_{L_0, T} s a$

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Learner-strategy

$S = T = \{\varepsilon\}$ // S is T -minimal, possibly not T -complete

loop // this will loop at most $\text{index}(\sim_{L_0})$ times

while S NOT T -complete

let $s \in S$ and $a \in \Sigma$ such that

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let w be the counter-example of equivalence

$T = T \cup \{\text{suffixes of } w\}$ // S becomes T -incomplete

// and will grow at next iteration...

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else

let w be the counter-example of equivalence

$T = T \cup \{\text{suffixes of } w\}$ // S becomes T -incomplete

// and will grow at next iteration...

Proof by contradiction:

Assume:

- $w = a_1 \dots a_n$ counter-example
- $t_i = a_{i+1} \dots a_n$ suffixes of w
- s_i state reached by A after reading prefix $a_1 \dots a_i$ of w
- S is $(T \cup \{t_0, \dots, t_n\})$ -complete

Verify by induction on i that

$w \in L_0$ iff $s_i t_i \in L_0$

Conclude $w \in L_0$ iff $w \in L(A)$

An alternative view - Hankel matrices

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Hankel matrix of L_0 :

$$H \in \{0,1\}^{\Sigma^* \times \Sigma^*}$$

$$H(s,t) = \text{Membership}(s t)$$

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(infinite, highly redundant,
but nicely structured)

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(infinite, highly redundant,
but nicely structured)

a row = a \sim_{L_0} -class

a column = a test word t

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Hankel matrix of L_0 :

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$$H(s,t) = \text{Membership}(st)$$

(infinite, highly redundant,
but nicely structured)

a row = a \sim_{L_0} -class

a column = a test word t

a submatrix = a pair (S,T)

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$S = \{\varepsilon\}$

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expand S to make it T -complete...

	ε	a	b	aa	ab	\dots	aba	\dots
ε	1	0	0	1	0	\dots	0	\dots
a	0	1	0	0	0	\dots	0	\dots
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ab	0	0	0	0	0	\dots	0	\dots
\dots	\dots	\dots	\dots	\dots	\dots	\dots	\dots	\dots
aba	0	0	0	0	0	\dots	0	\dots
\dots	\dots	\dots	\dots	\dots	\dots	\dots	\dots	\dots

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$S = \{\varepsilon\}$

$T = \{\varepsilon\}$

expand S to make it T -complete...

$S = \{\varepsilon, a\}$

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...

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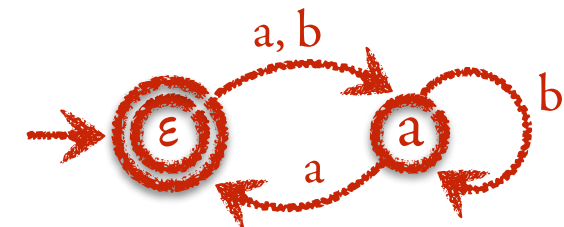
$T = \{\varepsilon\}$

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$S = \{\varepsilon, a\}$

$T = \{\varepsilon\}$

build candidate automaton...



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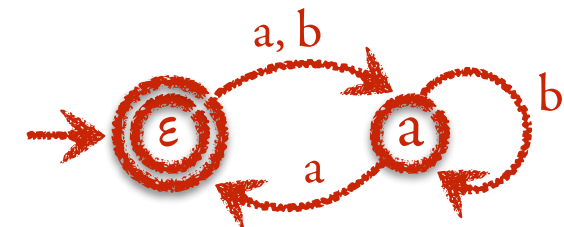
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expand S to make it T -complete...

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build candidate automaton...



expand T by counter-example $w = aba$

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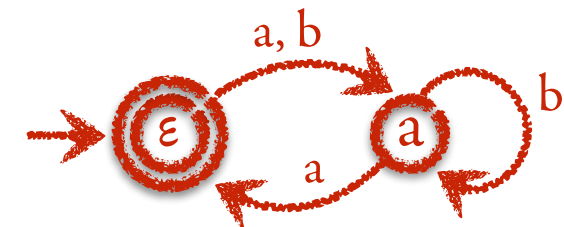
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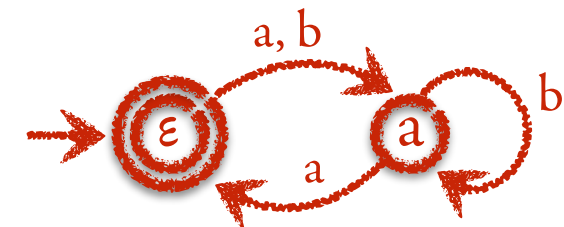
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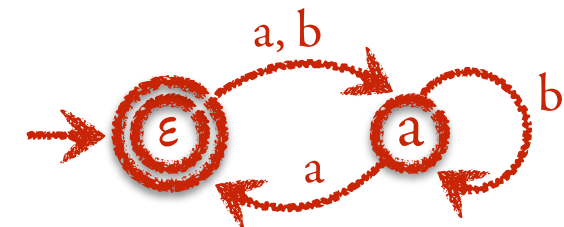
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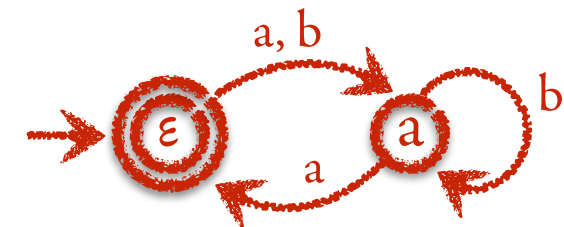
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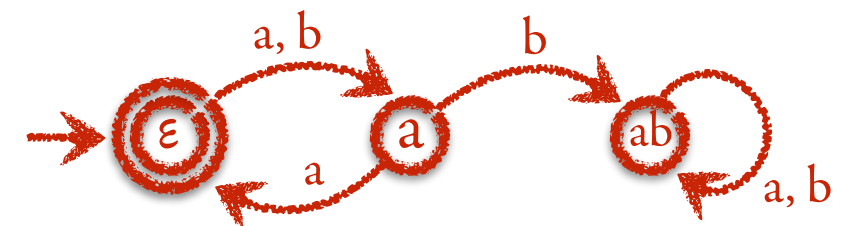
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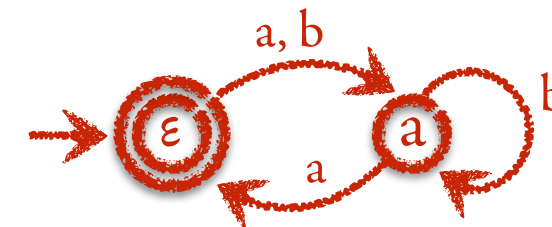
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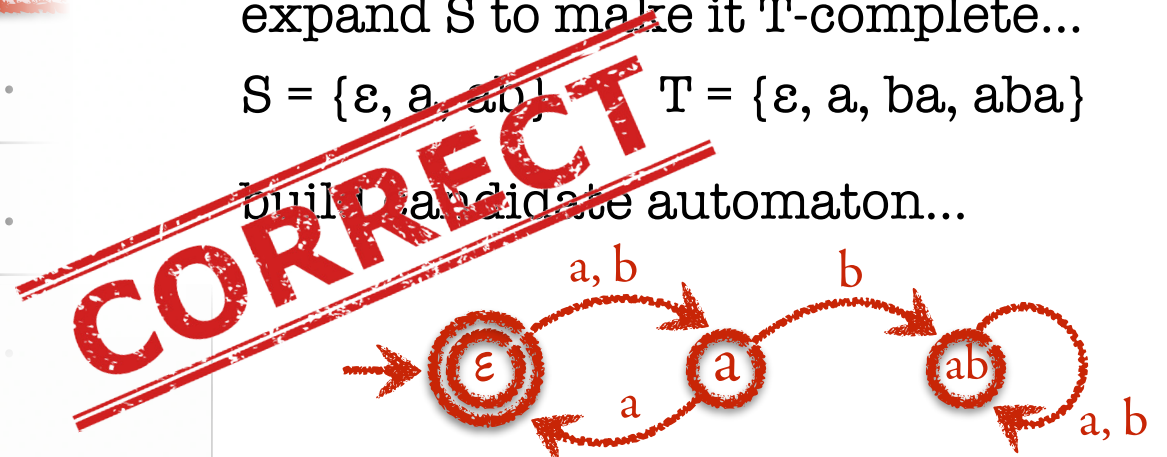
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From word languages to word functions

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Automata can be used to represent not only languages, but also *functions on words*

$$f: \Sigma^* \rightarrow \Gamma^*$$

$$\text{e.g. } f(\text{abaab}) = \text{abcaacb}$$

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Many variants of automata with outputs. Simplest one is sequential transducer

i.e. $A = (\Sigma, \Gamma, Q, q_0, \delta)$ with $\delta: Q \times \Sigma \rightarrow Q \times \Gamma^*$ (e.g. $\delta(q, a) = (q', ac)$)

From word languages to word functions

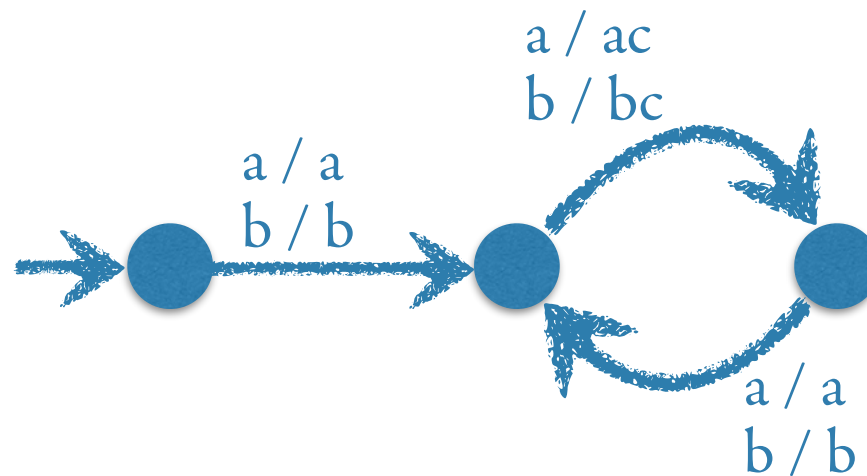
Automata can be used to represent not only languages, but also *functions on words*

$$f: \Sigma^* \rightarrow \Gamma^*$$

$$\text{e.g. } f(\text{abaab}) = \text{abcaacb}$$

Many variants of automata with outputs. Simplest one is sequential transducer

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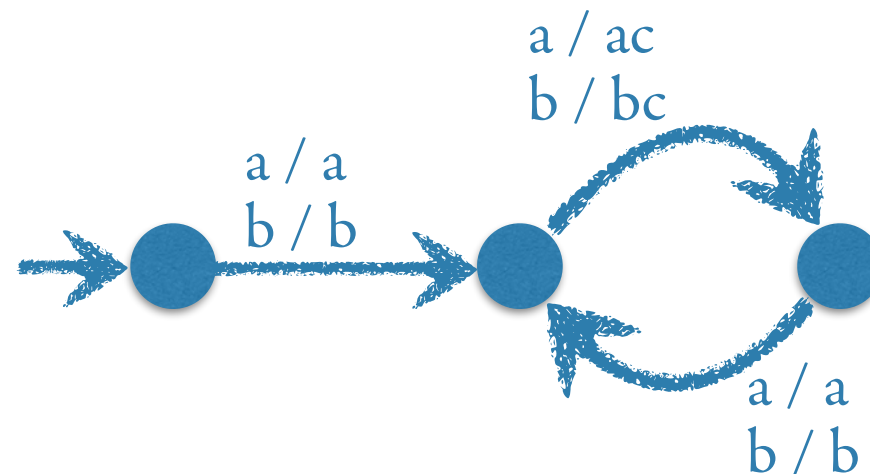
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Note: sequential transducers can only compute *total, monotone* functions
(f is monotone if whenever w is prefix of w' then $f(w)$ is prefix of $f(w')$)

Learning monotone word functions

Learning monotone word functions

- 1) **Teacher** has a secret function $f_0: \Sigma^* \rightarrow \Gamma^*$, computed by a seq. transducer A_0
Learner initially only knows the input alphabet Σ

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 - a) either an evaluation query “What is the value of $f_0(\mathbf{w})$?”
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- 2) **Learner** chooses a query:
 - a) either an evaluation query “What is the value of $f_0(w)$?”
 - b) or an equivalence query “Is f_0 computed by seq. transducer A ?”
- 3) **Teacher** answers accordingly:
 - a) gives value of $f_0(w)$
 - b) yes if f_0 is computed by A (requires an algorithm for testing equivalence),
otherwise gives a shortest counter-example w such that $f_0(w) \neq A(w)$

Learning monotone word functions

Learning monotone word functions

Myhill-Nerode equivalence: $u \sim_{f_0} v$ if $\forall t \in \Sigma^* \quad f_0(ut) - f_0(u) = f_0(vt) - f_0(v)$

Learning monotone word functions

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Example

f_0 inserts c between every two positions

$f_0(\varepsilon) = \varepsilon$, $f_0(a) = a$,

$f_0(aa) = aac$, $f_0(aaa) = aaca$, ...

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\sim_{f_0} has only two equivalence classes:

$[\varepsilon]_{\sim_{f_0}} \quad [a]_{\sim_{f_0}}$

E.g. $a \sim_{f_0} bba$ because $f(a ???...) - f(a) = a ?c??c... - a$
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Properties:

- \sim_{f_0} is right-invariant
(i.e. $u \sim_{f_0} v \rightarrow ua \sim_{f_0} va$)

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- \sim_{f_0} has finite index
iff f is computed by
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Hankel matrix of f_0 :

$$H \in (\Gamma^*)^{\Sigma^* \times \Sigma^*}$$

$$H(s,t) = f_0(st) - f_0(s)$$

Learning monotone word functions

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 $s \not\approx_{f_0, T} s'$

S T-complete if $\forall s \in S \forall a \in \Sigma$
 $\exists s_a \in S \quad s_a \approx_{f_0, T} s a$

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start with

$S = \{\varepsilon\}$

$T = \{\varepsilon\}$

Learning monotone word functions

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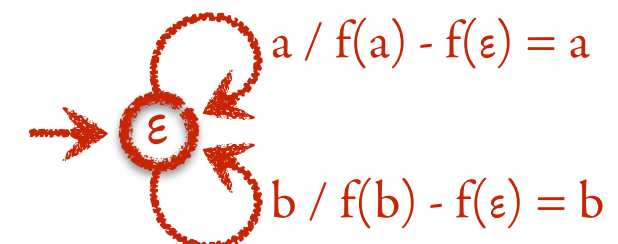
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build candidate transducer...



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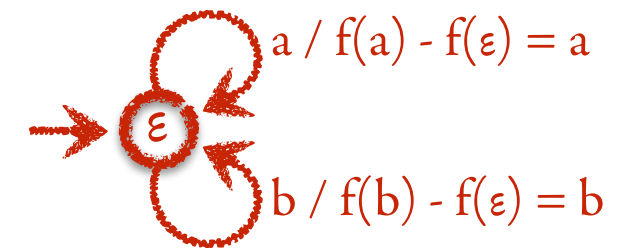
	ε	a	b	aa	ab	...	aaa	...
ε	ε	a	b	aac	abc	...	aaca	...
a	ε	ac	bc	aca	acb	...	acaac	...
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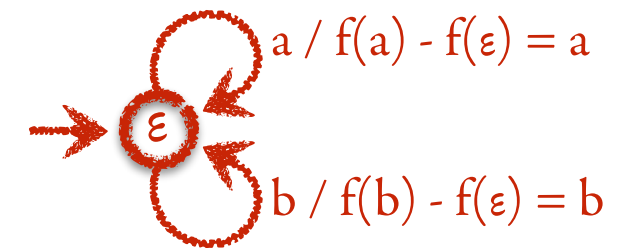
	ε	a	b	aa	ab	...	aaa	...
ε	ε	a	b	aac	abc	...	aaca	...
a	ε	ac	bc	aca	acb	...	acaac	...
b	ε	ac	bc	aca	acb	...	acaac	...
aa	ε	a	b	aac	abc	...	aaca	...
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...
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expand T by counter-example $w = ab$

Learning monotone word functions

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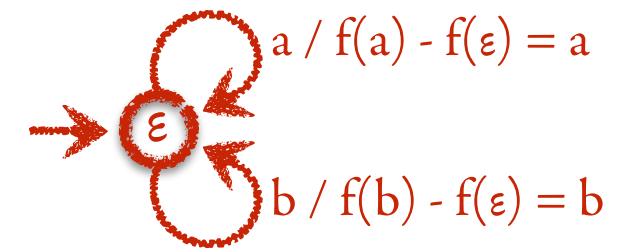
	ε	a	b	aa	ab	...	aaa	...
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start with

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$T = \{\varepsilon\}$

build candidate transducer...



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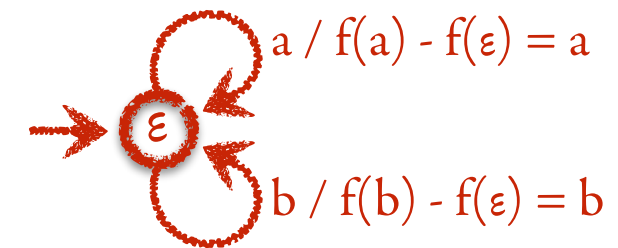
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ab	ε	a	b	aac	abc	...	aaca	...
...
aaa	ε	ac	bc	aca	acb	...	acaac	...
...

start with

$S = \{\varepsilon\}$

$T = \{\varepsilon\}$

build candidate transducer...



expand T by counter-example $w = ab$

$S = \{\varepsilon\}$

$T = \{\varepsilon, b, ab\}$

expand S to make it T -complete...

Learning monotone word functions

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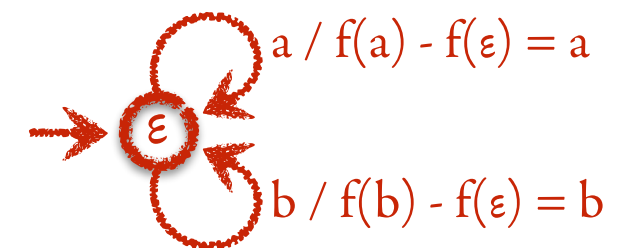
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...
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...

start with

$S = \{\varepsilon\}$

$T = \{\varepsilon\}$

build candidate transducer...



expand T by counter-example $w = ab$

$S = \{\varepsilon\}$

$T = \{\varepsilon, b, ab\}$

expand S to make it T -complete...

$S = \{\varepsilon, a\}$

$T = \{\varepsilon, b, ab\}$

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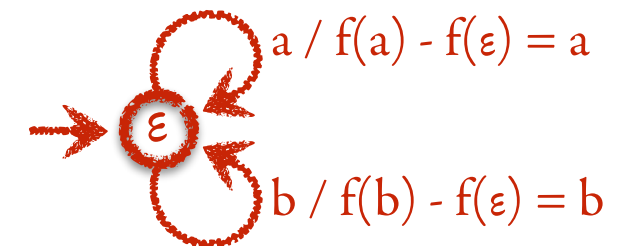
	ϵ	a	b	aa	ab	...	aaa	...
ϵ	ϵ	a	b	aac	abc	...	aaca	...
a	ϵ	ac	bc	aca	acb	...	acaac	...
b	ϵ	ac	bc	aca	acb	...	acaac	...
aa	ϵ	a	b	aac	abc	...	aaca	...
ab	ϵ	a	b	aac	abc	...	aaca	...
...
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start with

$S = \{\epsilon\}$

$T = \{\epsilon\}$

build candidate transducer...



expand T by counter-example $w = ab$

$S = \{\epsilon\}$

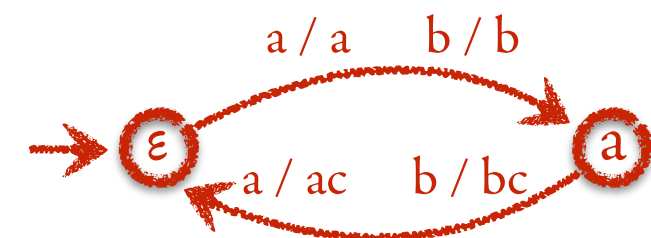
$T = \{\epsilon, b, ab\}$

expand S to make it T -complete...

$S = \{\epsilon, a\}$

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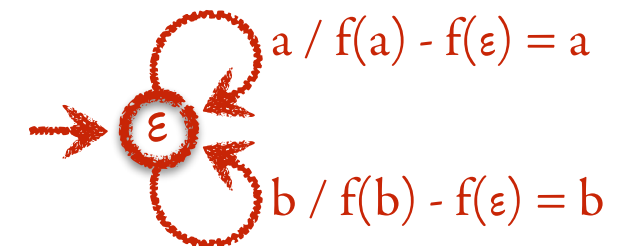
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start with

$S = \{\varepsilon\}$

$T = \{\varepsilon\}$

build candidate transducer...



expand T by counter-example $w = ab$

$S = \{\varepsilon\}$

$T = \{\varepsilon, b, ab\}$

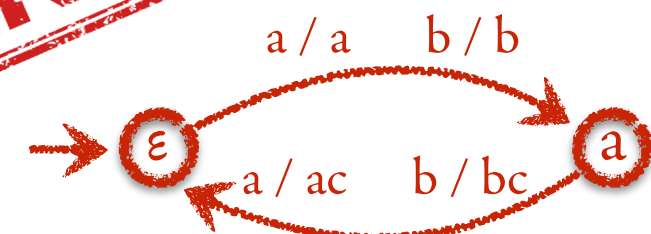
expand S to make it T -complete...

$S = \{\varepsilon, a\}$

$T = \{\varepsilon, b, ab\}$

build candidate transducer...

CORRECT



From word languages to word functions... and beyond

This learning technique with Hankel matrices has been successfully applied to:

- Weighted automata (i.e. outputs given by products of weights along transitions)
and, partially, to probabilistic automata
- Büchi automata
- Tree transducers (e.g. for learning XSLT transformations of XML documents)
- Timed & register automata (e.g. for processing strings with timestamps/data)

A simple yet useful real application (surprisingly, not yet done :/)

Implementing a learning algorithm for automata/transducers

would allow to automatically derive *RegEx* expressions like

```
/^(0?[1-9]|12[0-9]|3[01])([ \\/-])(0?[1-9]|1[012])  
\2([0-9][0-9][0-9][0-9])(([ -])([0-1]?[0-9]|2[0-3]):  
[0-5]?[0-9]:[0-5]?[0-9])?$/
```

from positive and negative examples like

01/01/2000
18/10/1985
...

ab/01/2000
1/01/2000
18/10/200x
...