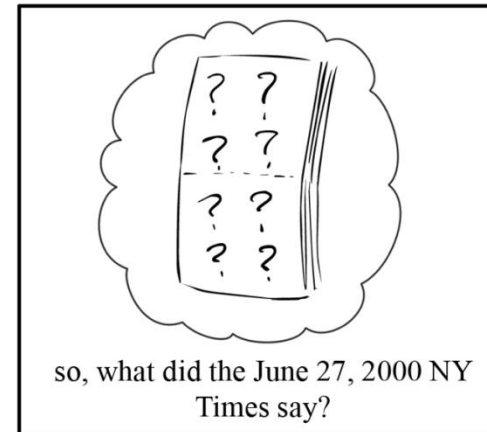
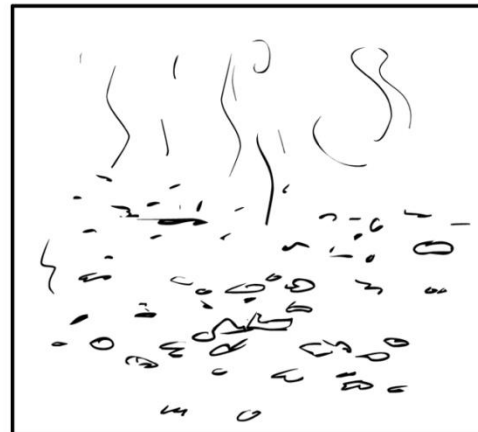
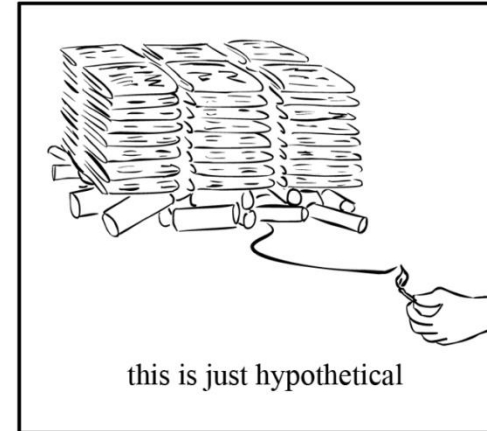
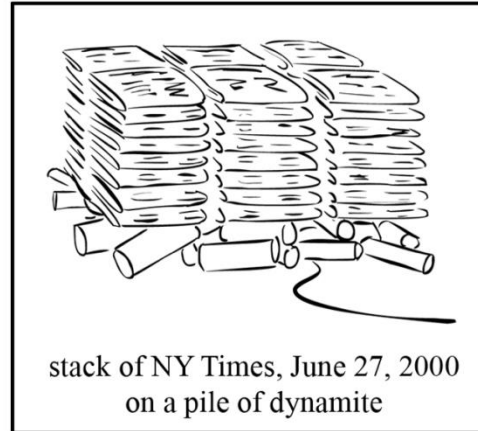
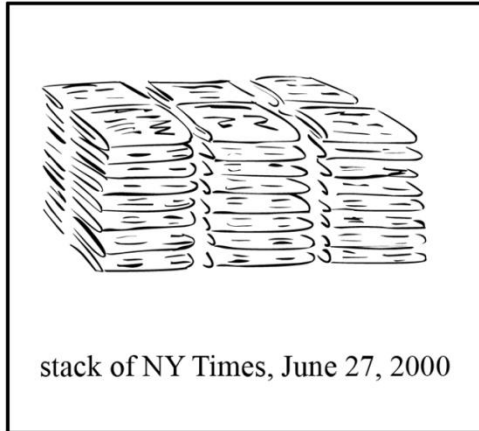


# Genome Reconstruction: A Puzzle with a Billion Pieces

Phillip Compeau & Pavel Pevzner  
Modified by Alexander Niema Moshiri  
University of California, San Diego

# The Newspaper Problem



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# Section 1: Introduction to Genome Sequencing

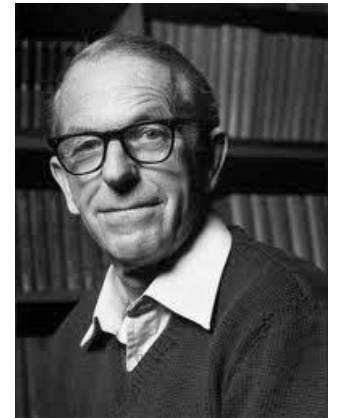
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## Brief History of Genome Sequencing

- **Late 1970s:** Walter Gilbert and Frederick Sanger develop independent sequencing methods.
- **1980:** They share the Nobel Prize in Chemistry.
- Still, their sequencing methods were too expensive for large genomes: with a \$1 per nucleotide cost, it would cost \$3 billion to sequence a human genome.



Walter Gilbert



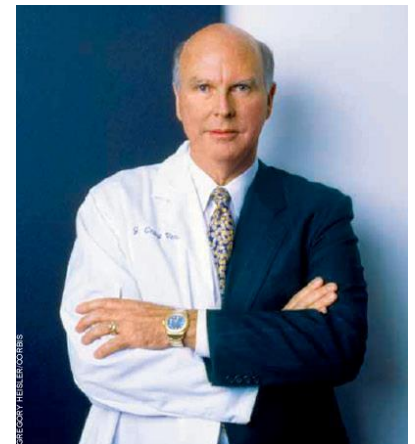
Frederick Sanger

## Brief History of Genome Sequencing

- **1990:** The public Human Genome Project, headed by Francis Collins, aims to sequence the human genome.
- **1997:** Craig Venter founds Celera Genomics, a private firm, with the same goal.



Francis Collins



Craig Venter

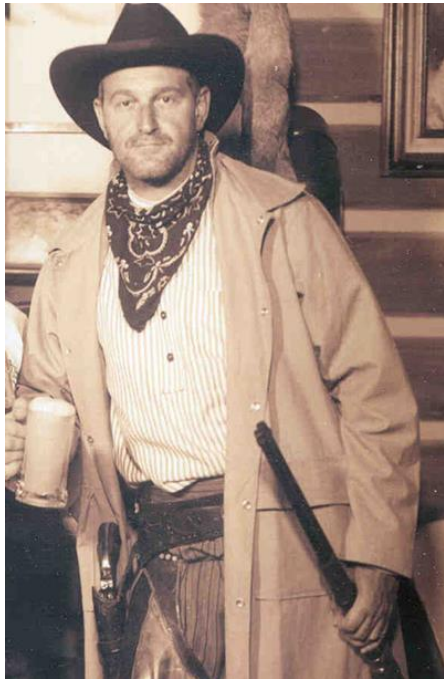
## Brief History of Mammalian Genome Sequencing

- **2000:** The draft of the human genome is simultaneously completed by the (public) Human Genome Consortium and (private) Celera Genomics.



## The Eulerian Approach to DNA Sequencing

- **2001:** Pavel Pevzner, Haixu Tang, and Michael Waterman propose an Eulerian Path approach to Genome Assembly



Pavel Pevzner



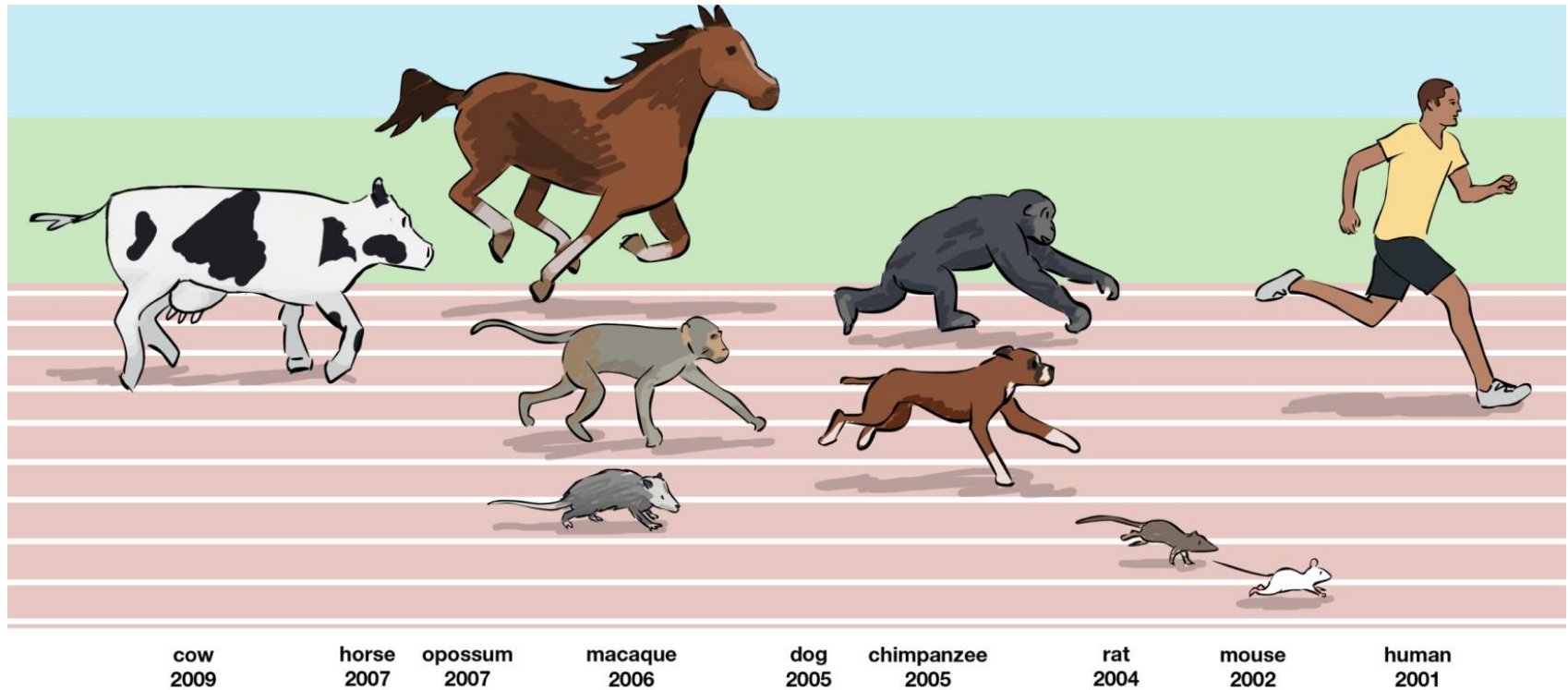
Haixu Tang



Michael Waterman

# Brief History of Mammalian Genome Sequencing

- **2000s:** Many more mammalian genomes are sequenced.





## The Arrival of Personal Genomics

- **2010s:** The market for sequencing machines takes off.
    - Illumina reduces the cost of sequencing an individual human genome from \$3 billion to \$1,000.
    - Complete Genomics builds a genomic factory in Silicon Valley that sequences hundreds of genomes per month.
    - Beijing Genome Institute orders hundreds of sequencing machines, becoming the world's largest sequencing center.
    - 23andMe offers partial genome sequencing for \$499.
-

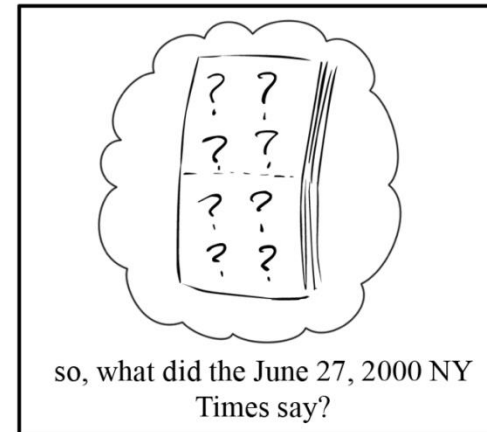
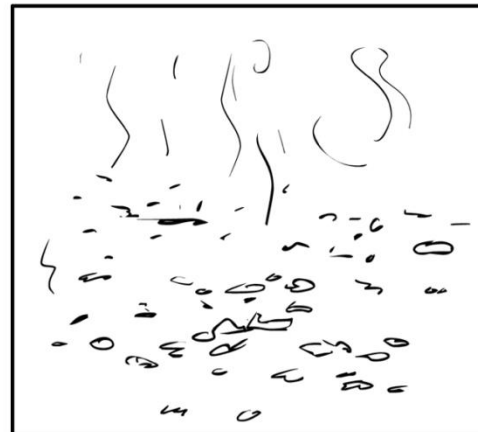
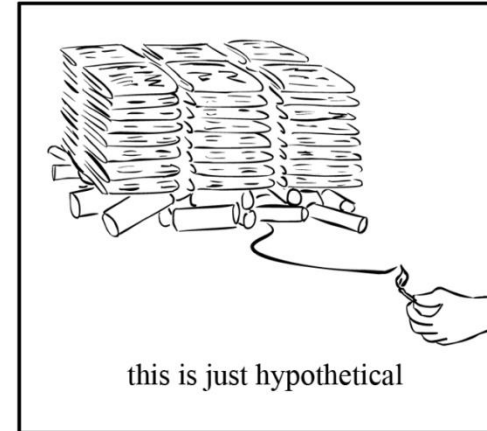
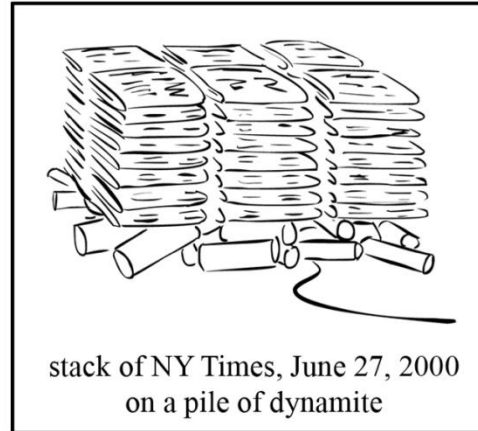
# The Future of Genome Sequencing

- **2015+:** Hopefully, sequencing an individual genome will soon become as routine as an X-ray.



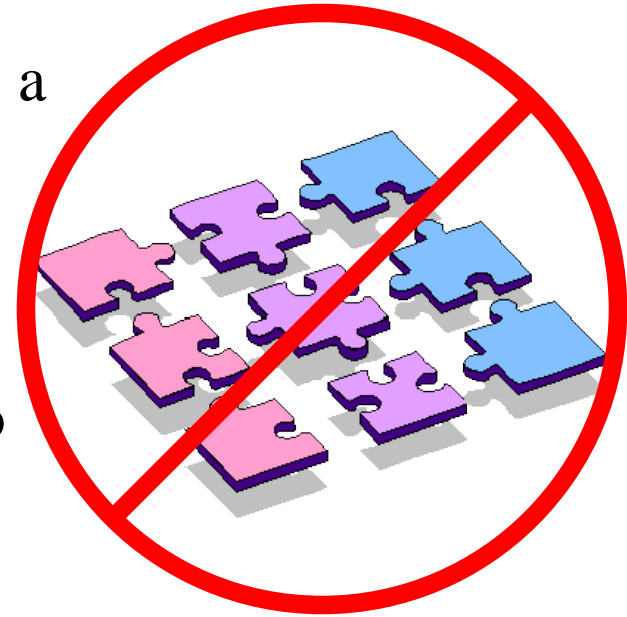
# Section 2: The Newspaper Problem and Genome Sequencing

# Returning to The Newspaper Problem



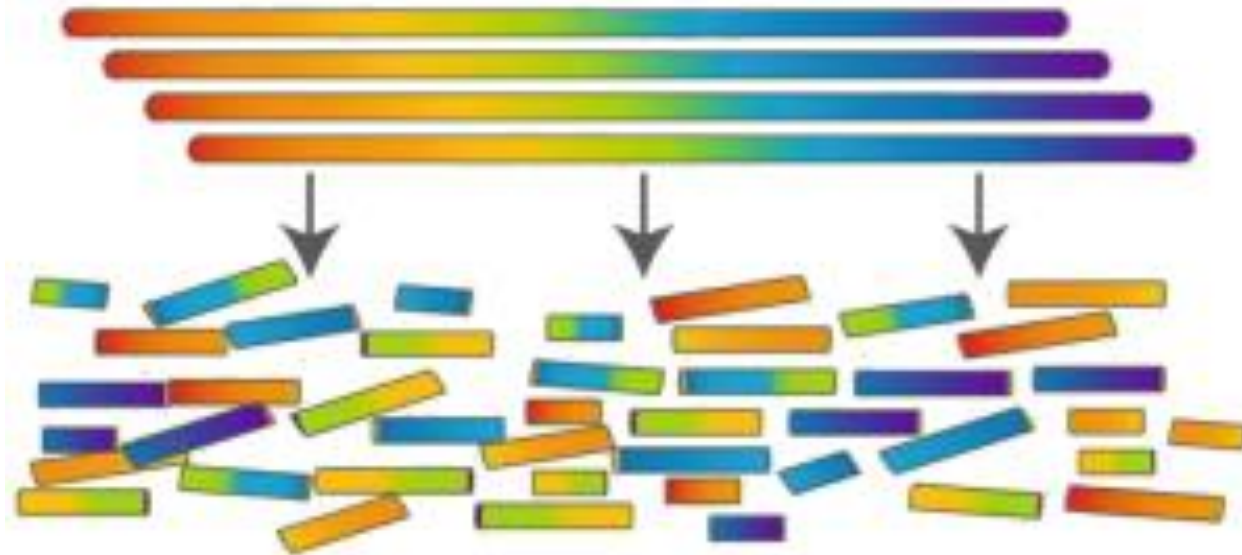
## The Newspaper Problem as an “Overlap Puzzle”

- The newspaper problem is not the same as a jigsaw puzzle:
  - We have multiple copies of the *same* edition of a newspaper.
  - Plus, some pieces of paper got blown to bits in the explosion.
- Instead, we must use *overlapping* shreds of paper to reconstruct what the newspaper said.
- This gives us a giant **overlap puzzle**.



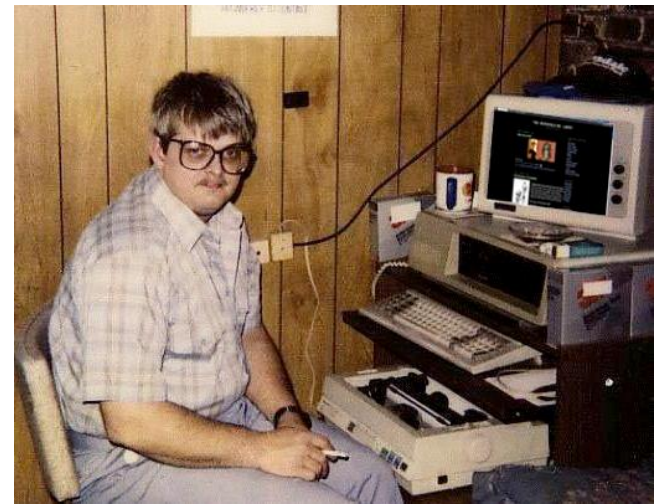
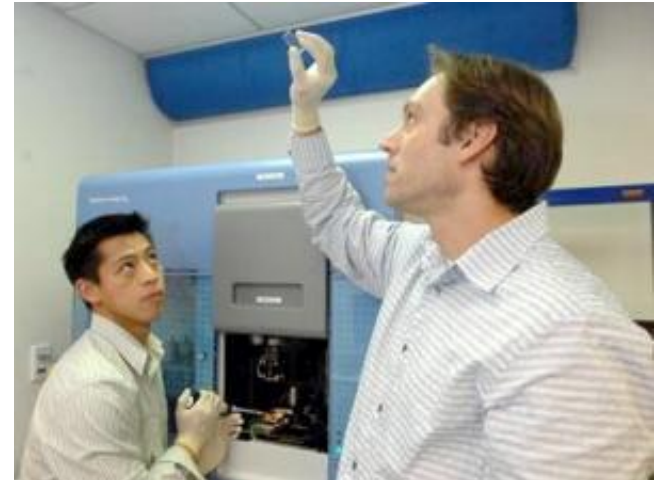
## What Makes Genome Sequencing So Difficult?

- When we read a book, we can read the entire book one letter at a time from beginning to end.
- However, modern sequencing machines can only read short pieces of DNA (~100 nucleotides long), called **reads**.



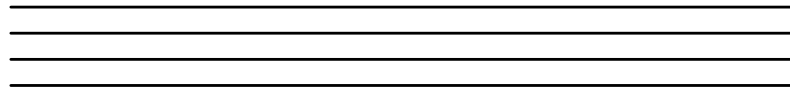
## Sequencing a Genome: Lab + Computation

- **Read Generation:**  
Chemically blow multiple copies of a genome to bits to obtain many reads.
- **Fragment Assembly:** Use these reads to algorithmically put the genome back together.

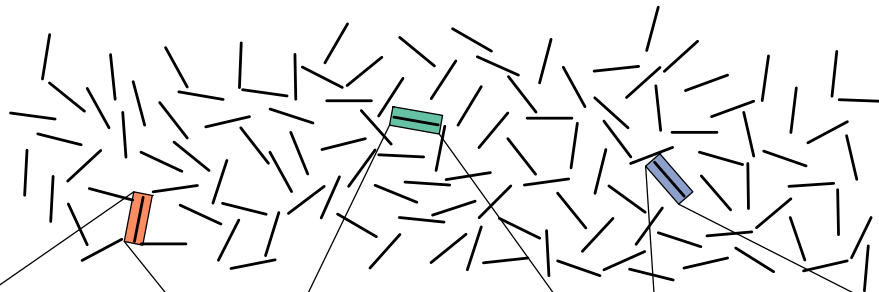


# Sequencing a Genome: Illustration

Multiple identical copies of a genome



Shatter the genome into reads



Sequence the reads

AGAATATCA

TGAGAATAT

GAGAATATC

Assemble the genome using overlapping reads

AGAATATCA  
GAGAATATC  
TGAGAATAT

... TGAGAATATCA ...

**What does this process remind you of?**



## Sound Familiar?

- **Conclusion:** Fragment assembly reduces to an *overlap puzzle!*



## Sequencing is Harder than Newspaper Problem

- In the newspaper problem, we have the rules of grammar and common sense (e.g. “**murder**” and “**suspect**” would often appear near each other in a newspaper.)

...the murder occurred at approximately 5:2

...noodie, approximately 5:2  
...we have not yet named any suspects, alt  
...information is welcome. The ca

- However, the “grammar” of DNA remains largely unknown.

# Sequencing is Harder than Newspaper Problem

- 50% of the human genome is made up of **repeats**, or strings that appear multiple times with minor variations.
- **Analogy:** The “Triazzle” contains lots of repeated figures, which makes it difficult to solve (even with just 16 pieces).



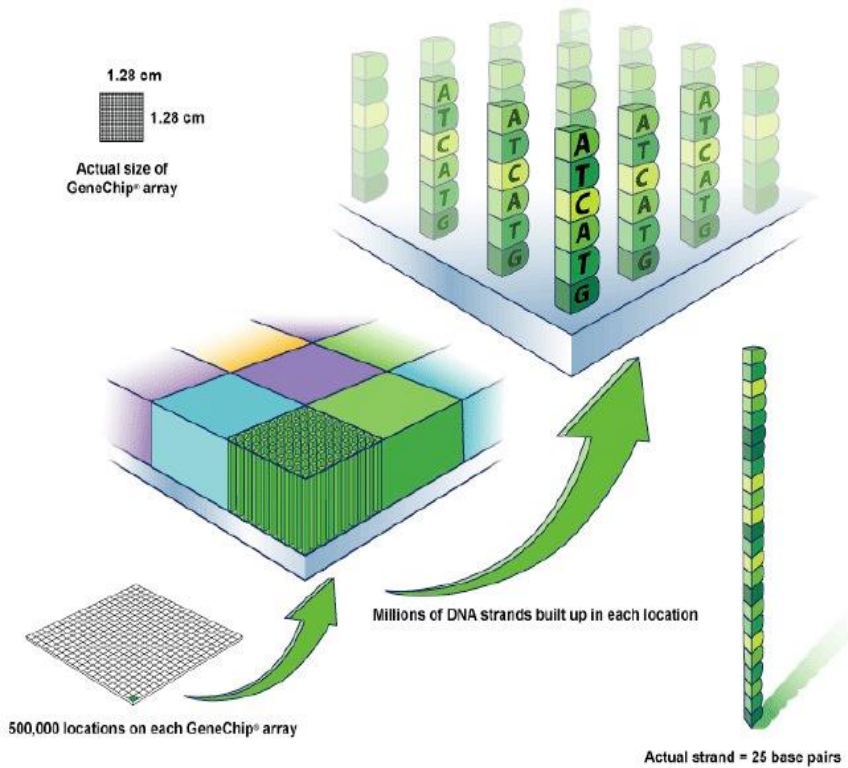
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# Section 3: DNA Chips: A First Shot at Sequencing with Short Reads

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## DNA Chips: Implementation

1. Synthesize a *distinct* read of length  $k$  in each cell of an array.
2. Cover the array with multiple copies of our fluorescently-labeled reads.
3. DNA will hybridize with a string if it contains its *reverse complement*.
4. Use a spectroscope to determine which sites emit light ...the *complements* of these sites will reveal the reads within the unknown DNA fragment.



## DNA Chips: Example

- What are our reads?

CAT

|||

ATG

|     |     |     |     |     |  |  |     |
|-----|-----|-----|-----|-----|--|--|-----|
|     |     |     |     |     |  |  |     |
|     |     | CAC | CGC |     |  |  | TGC |
|     |     |     |     |     |  |  |     |
|     |     | CAT |     |     |  |  |     |
|     |     | CCA |     | GCA |  |  |     |
|     |     |     |     | GCC |  |  |     |
| ACG |     |     |     |     |  |  | TTG |
|     | ATT |     |     |     |  |  |     |

## DNA Chips: Example

- What are our reads?

**ATG**

- So 3-mer **ATG** must occur in the genome!

|     |     |            |     |     |  |  |     |
|-----|-----|------------|-----|-----|--|--|-----|
|     |     |            |     |     |  |  |     |
|     |     | CAC        | CGC |     |  |  | TGC |
|     |     |            |     |     |  |  |     |
|     |     | <b>ATG</b> |     |     |  |  |     |
|     |     | CCA        |     | GCA |  |  |     |
|     |     |            |     | GCC |  |  |     |
| ACG |     |            |     |     |  |  | TTG |
|     | ATT |            |     |     |  |  |     |

## Red Reads Must Occur in the Genome

- What are our reads?

- CAC → GTG
- CGC → GCG
- CAT → ATG
- TGC → GCA
- ACG → CGT
- ATT → AAT
- CCA → TGG
- GCA → TGC
- GCC → GGC
- TTG → CAA

|     |     |     |     |     |  |  |     |
|-----|-----|-----|-----|-----|--|--|-----|
|     |     |     |     |     |  |  |     |
|     |     | GTG | GCG |     |  |  | GCA |
|     |     |     |     |     |  |  |     |
|     |     | ATG |     |     |  |  |     |
|     |     | TGG |     | TGC |  |  |     |
|     |     |     |     | GGC |  |  |     |
| CGT |     |     |     |     |  |  | CAA |
|     | AAT |     |     |     |  |  |     |



# From Biological Data to Computational Problem

- **Aim:** Construct a shortest possible genome containing all our reads.
- How in the world would we solve this problem if we had *a billion* reads?

|     |     |     |     |     |  |  |     |
|-----|-----|-----|-----|-----|--|--|-----|
|     |     |     |     |     |  |  |     |
|     |     | GTG | GCG |     |  |  | GCA |
|     |     |     |     |     |  |  |     |
|     |     | ATG |     |     |  |  |     |
|     |     | TGG |     | TGC |  |  |     |
|     |     |     |     | GGC |  |  |     |
| CGT |     |     |     |     |  |  | CAA |
|     | AAT |     |     |     |  |  |     |

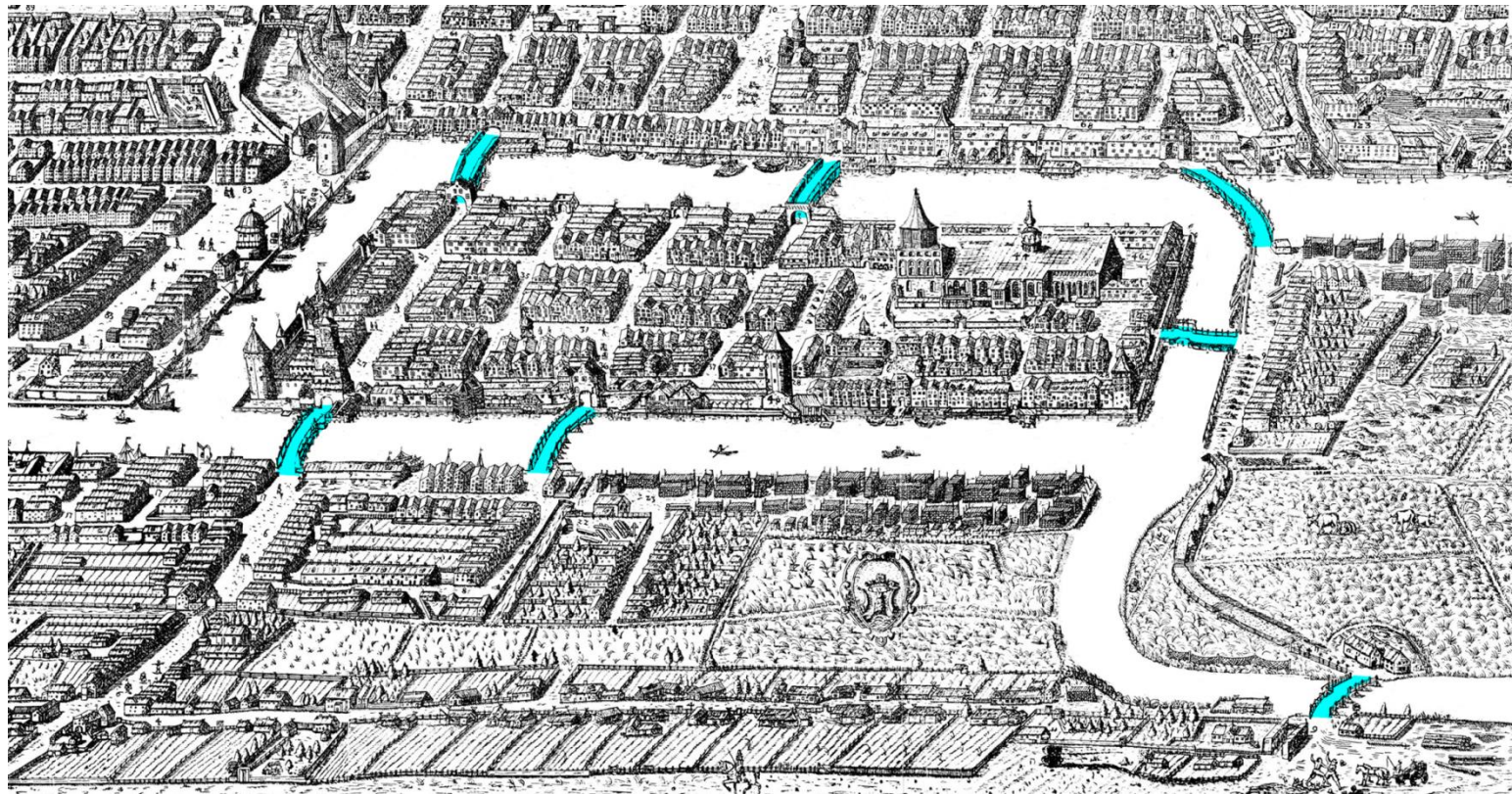
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# Section 4: Two Mathematical Detours

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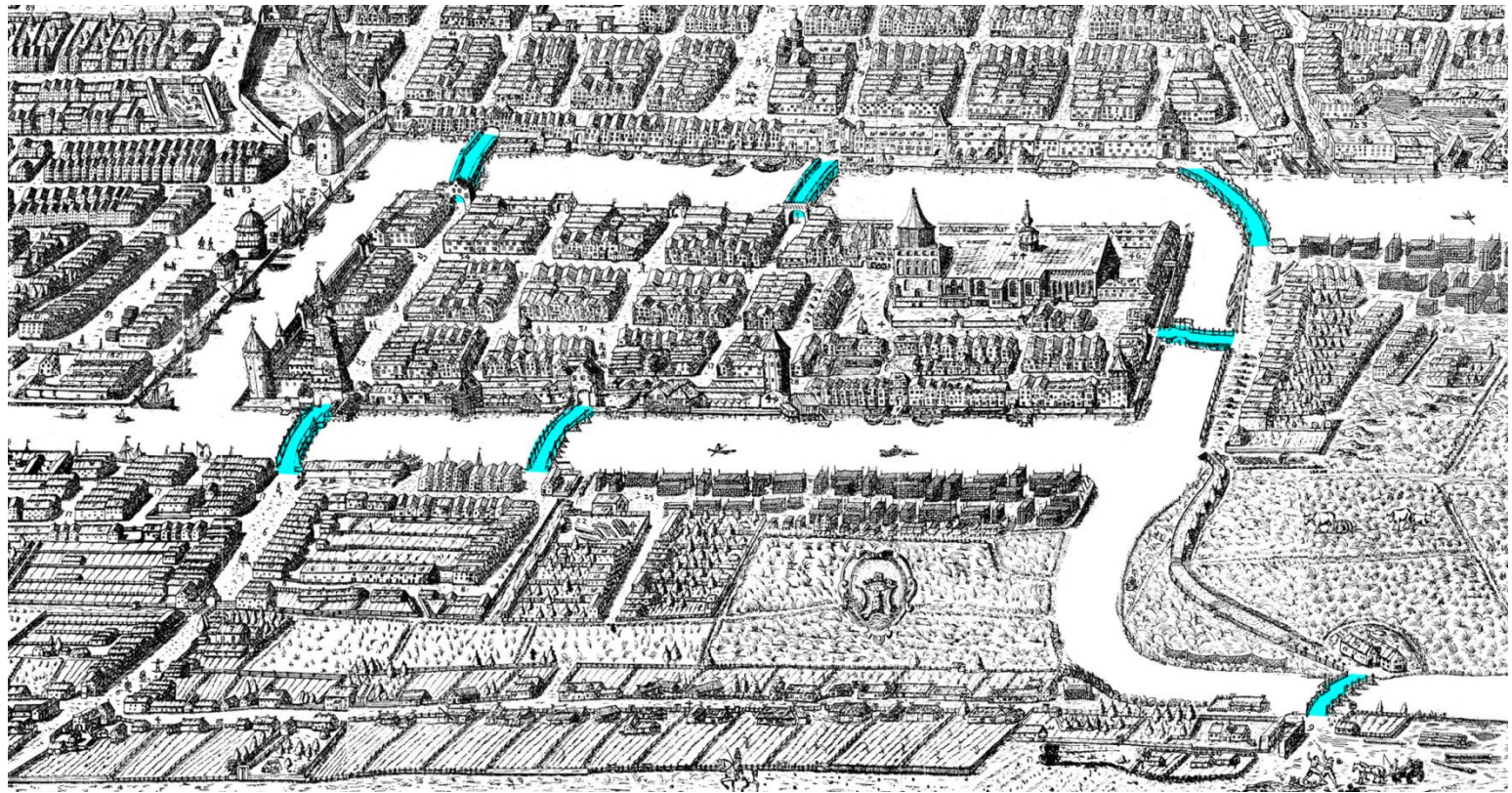
## The Bridges of Königsberg

- The people of Königsberg, Prussia (present-day Kaliningrad, Russia) enjoyed taking walks.



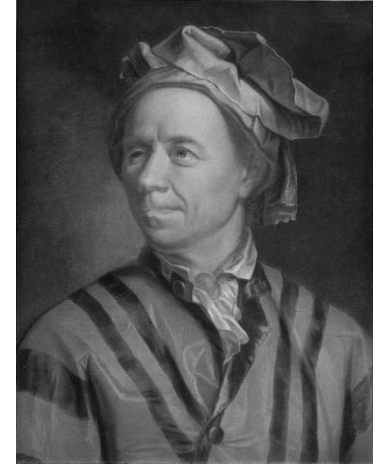
## The Bridges of Königsberg

- They wondered if they could walk through the city, cross each bridge (blue) **exactly once**, and return where they started.



## The Bridges of Königsberg

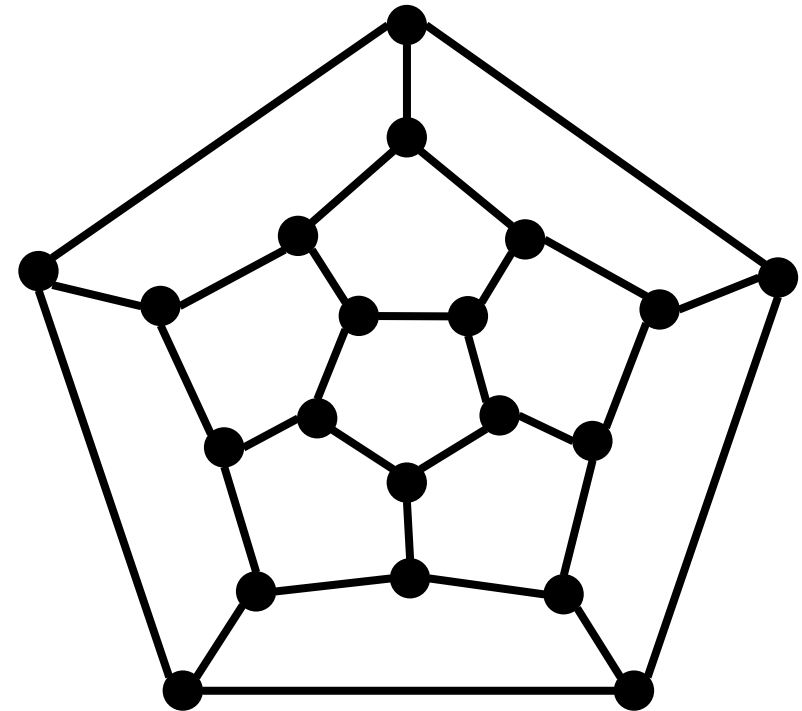
- **1735:** Leonhard Euler develops an approach to answer this question for *any* city, even for a “city” with a million islands.
- We will soon discuss Euler’s approach.



Leonhard Euler

## The Icosian Game

- Over a century passes...
- **1857**: Irish mathematician William Hamilton designs a game consisting of a board representing 20 “islands” connected by “bridges.”
- **Goal**: find a walk that visits every island **exactly once** and returns back where it started.



Icosian Game

## Similar Problems with Very Different Fates

- These two stories have something in common:
  - Find a walk that uses every *bridge* once and returns home (Konigsberg Bridge Problem)
  - Find a walk that visits every *island* once and returns home (Icosian game)
- However, while Euler solved the first problem (even for a city with a million *bridges*), mathematicians still do not know how to solve the second problem, even for a city with just a thousand *islands*.
- But where are the genomes???

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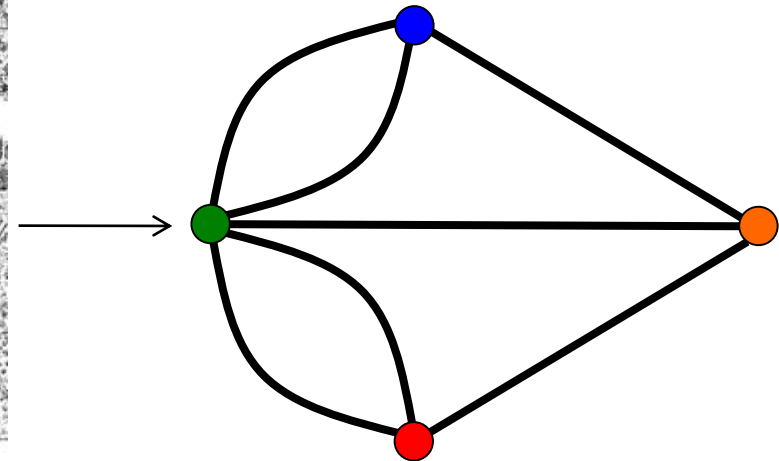
# Section 5: Hamiltonian and Eulerian Cycles

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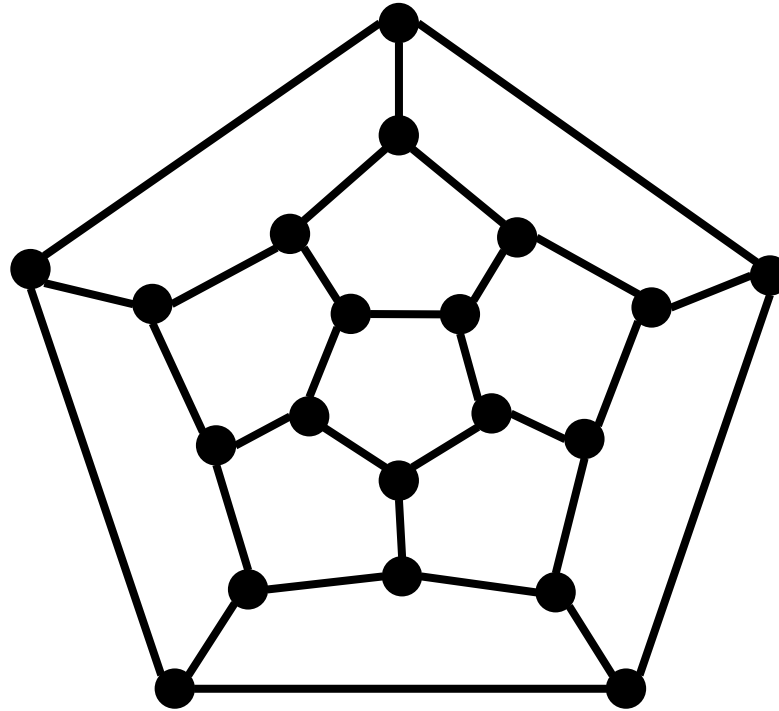


## Königsberg Bridges Network

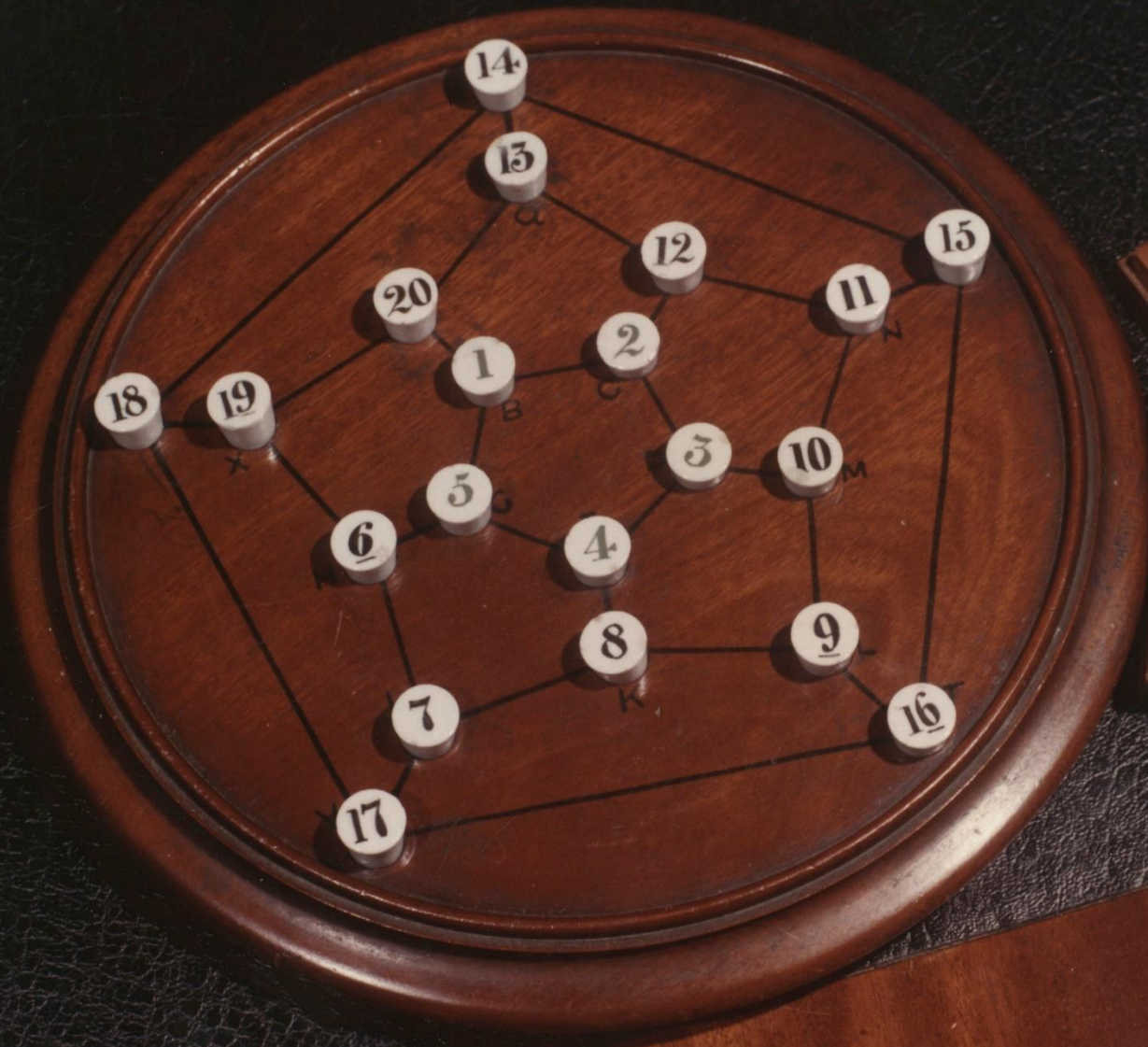
- For the Königsberg Bridge Problem, we create a **network**:
  - Nodes = 4 land masses of the city
  - Edges = 7 bridges connecting land masses



## Icosian Game Network



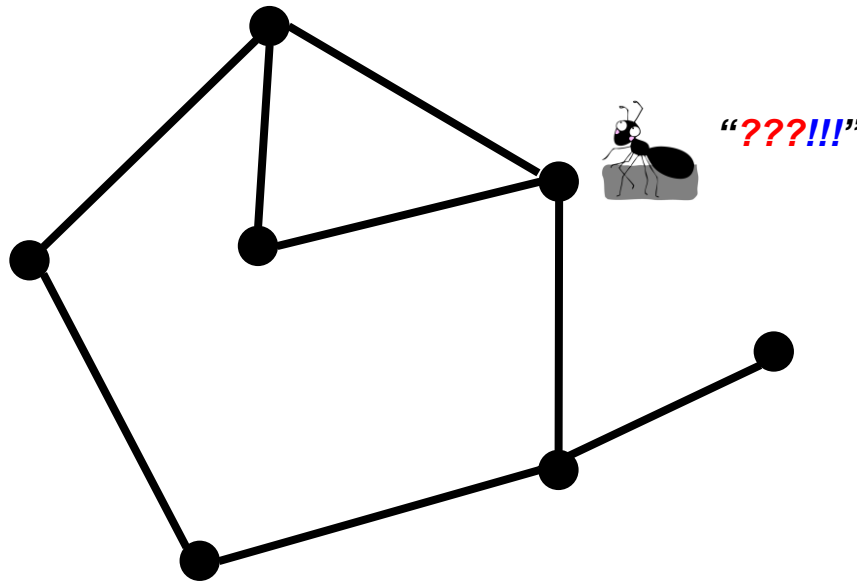
**Can you see a solution?**



THE NEW  
**ICOSIAN GAME**  
INVENTED BY  
SIR WILLIAM R. HAMMOND  
LL.D., F.R.S., F.R.A.S., &c.  
Andrew Professor of Astronomy and Mathematics  
and Royal Astronomer to the Queen

## Eulerian and Hamiltonian Cycles

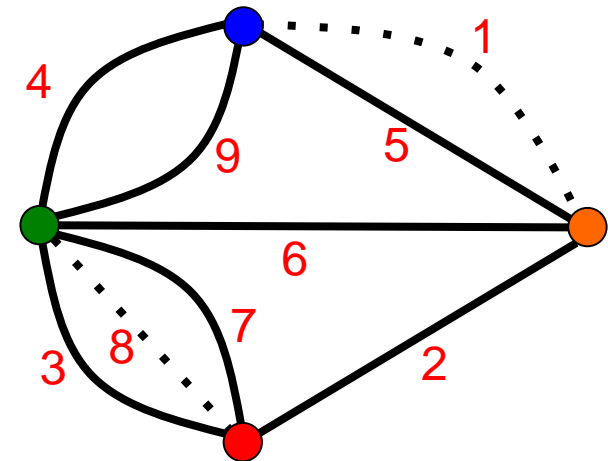
- Two questions:
  1. Can the ant walk through each *edge* exactly once and return to where it started? **Eulerian cycle**
  2. Can the ant walk through each *node* exactly once and return to where it started? **Hamiltonian cycle**



## Eulerian Cycles

- If there were a solution to the Königsberg Bridge Problem, then we could find an Eulerian cycle in this network.

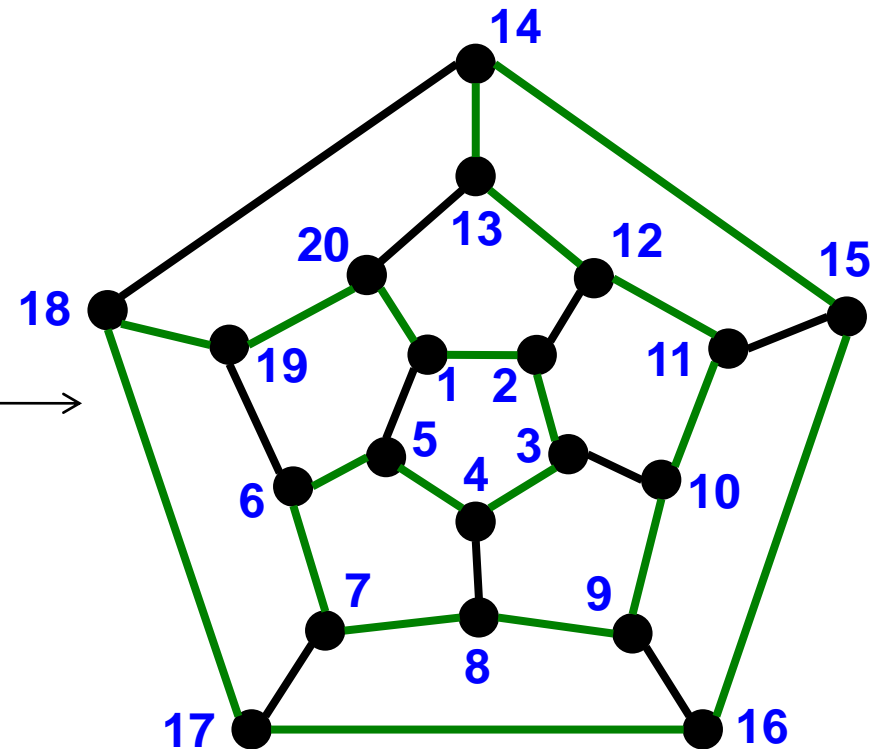
- However, no such cycle exists. Why?



- **If we add two more edges, there will be such a cycle.**

# Hamiltonian Cycles

- A **Hamiltonian cycle** in a network uses each node exactly once and returns to its starting node.



## Finding Eulerian Cycles vs Hamiltonian Cycles

- Given a network  $G$ , we now have two questions that we can program a computer to answer about  $G$ .
  - **Eulerian Cycle Problem (ECP):** Find an **Eulerian** cycle in  $G$  or prove that  $G$  does not have an Eulerian cycle.
  - **Hamiltonian Cycle Problem (HCP):** Find a **Hamiltonian** cycle in  $G$  or prove that  $G$  does not have a Hamiltonian cycle.
-

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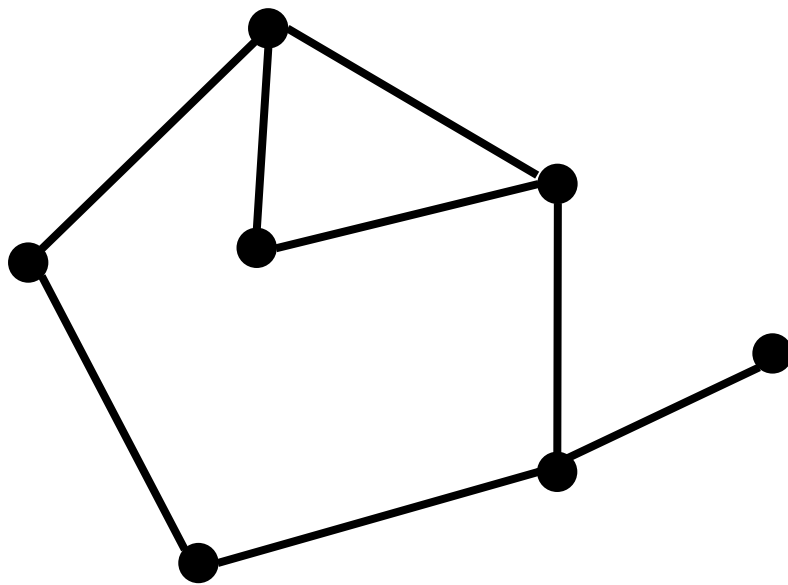
# Section 6: Euler's Theorem

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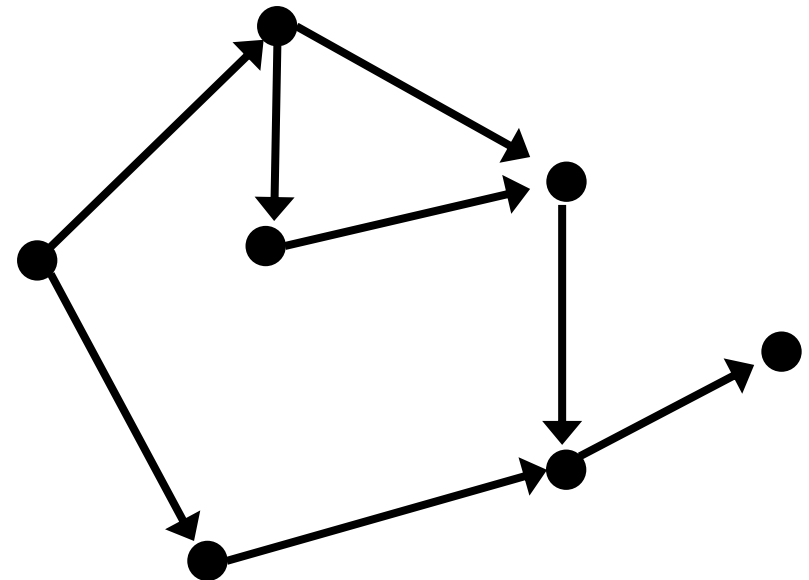


## Directed Networks

- **Directed Network:** A network in which each edge has a *direction* (represented by an arrow).
  - You might like to think of directed edges as “one-way bridges.”



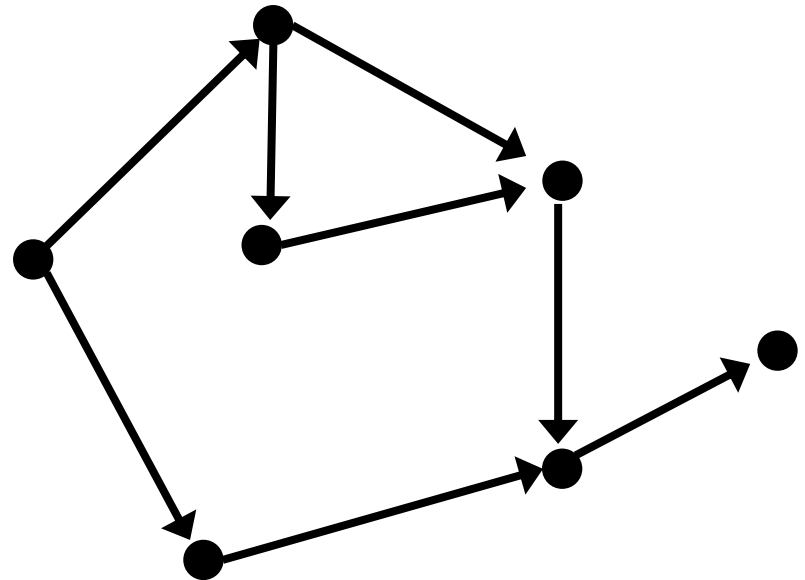
Undirected



Directed

## Eulerian Cycles in Directed Networks

- An **Eulerian cycle** in a directed network must travel down all the edges in the correct direction.
- Does this graph have an Eulerian cycle?

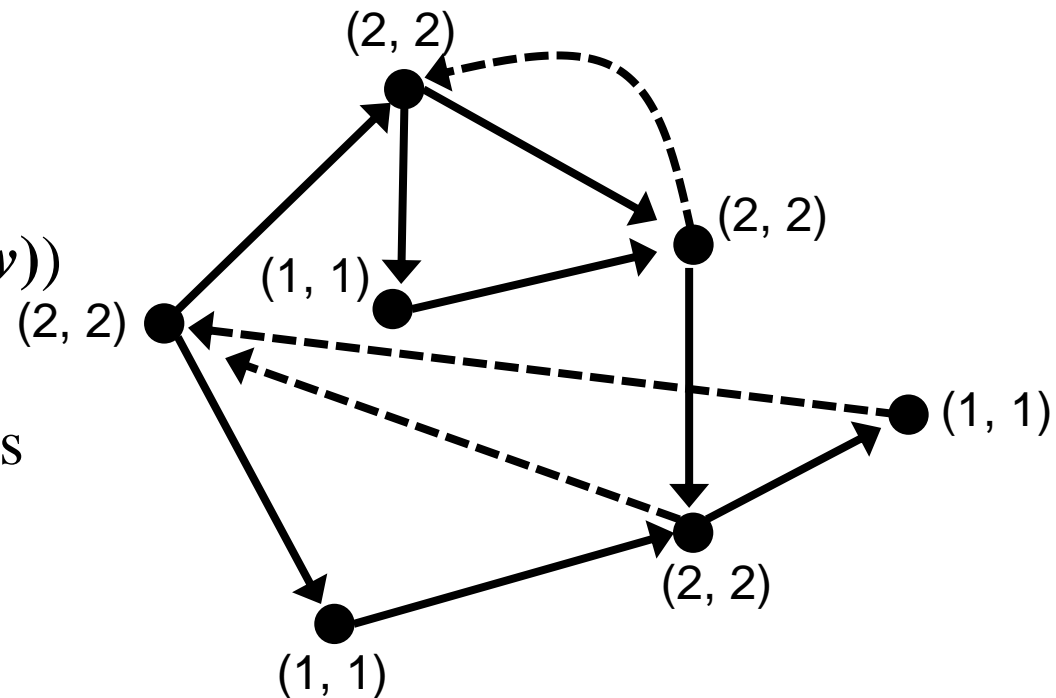


## Balanced Graphs

- **indegree**( $v$ ) = the number of edges leading into node  $v$ .
- **outdegree**( $v$ ) = the number of edges leading out of node  $v$ .
- A graph is **balanced** if **indegree**( $v$ ) = **outdegree**( $v$ ) for every node  $v$ .

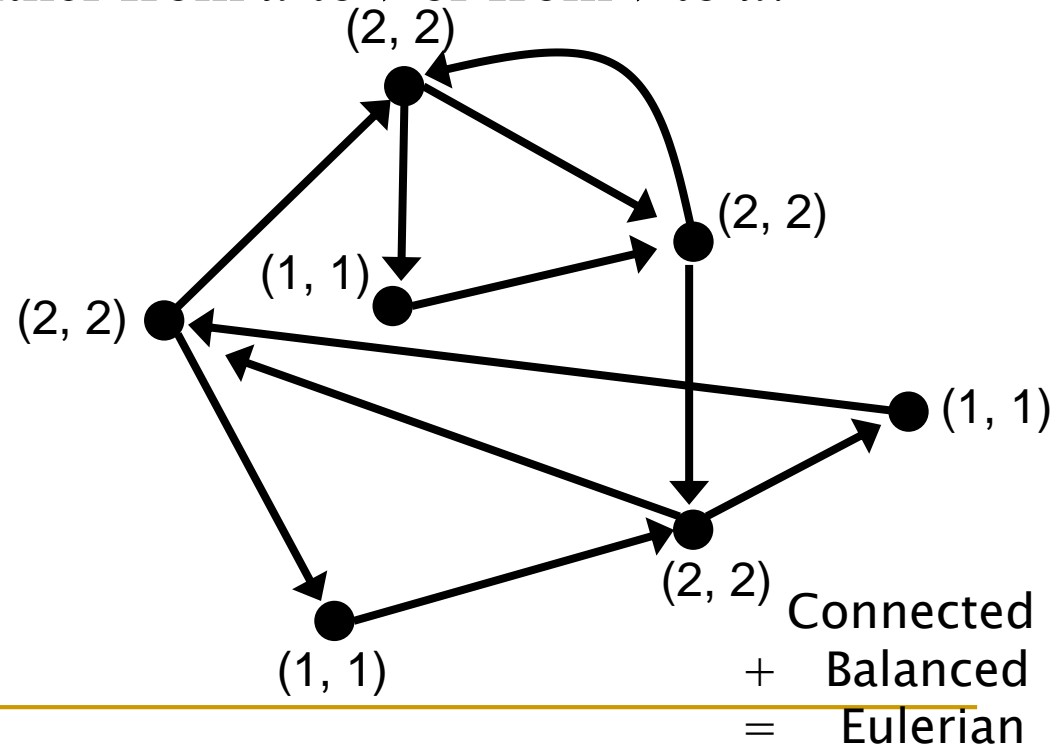
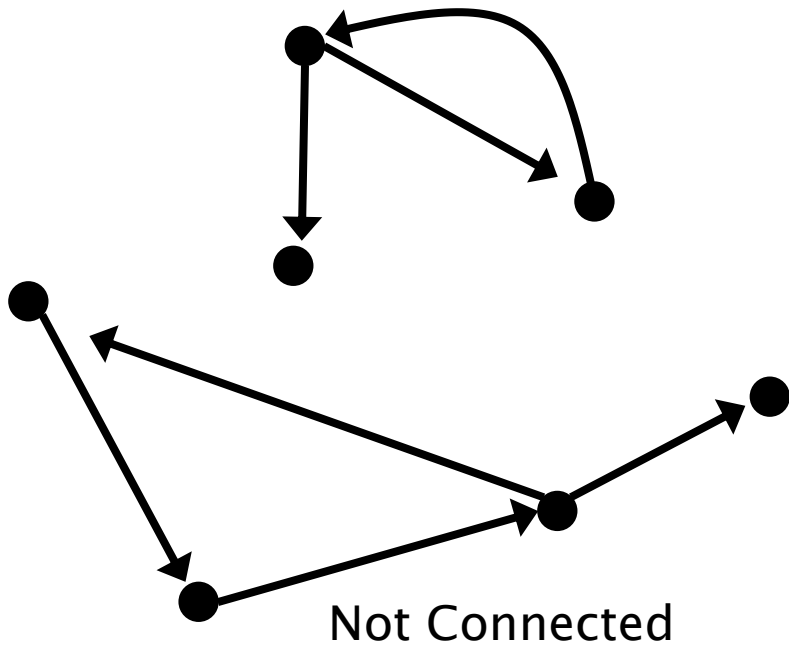
- Label each node  $v$  with **(indegree**( $v$ ), **outdegree**( $v$ ))

- Adding some edges makes the graph balanced.



## Euler's Theorem

- **Euler's Theorem:** A directed network contains an Eulerian cycle when the network is connected and balanced.
- A graph is **connected** if for every pair of vertices  $\{u, v\}$ , an ant can legally travel either from  $u$  to  $v$  or from  $v$  to  $u$ .



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# Section 7: ECP vs. HCP and Algorithmic Complexity

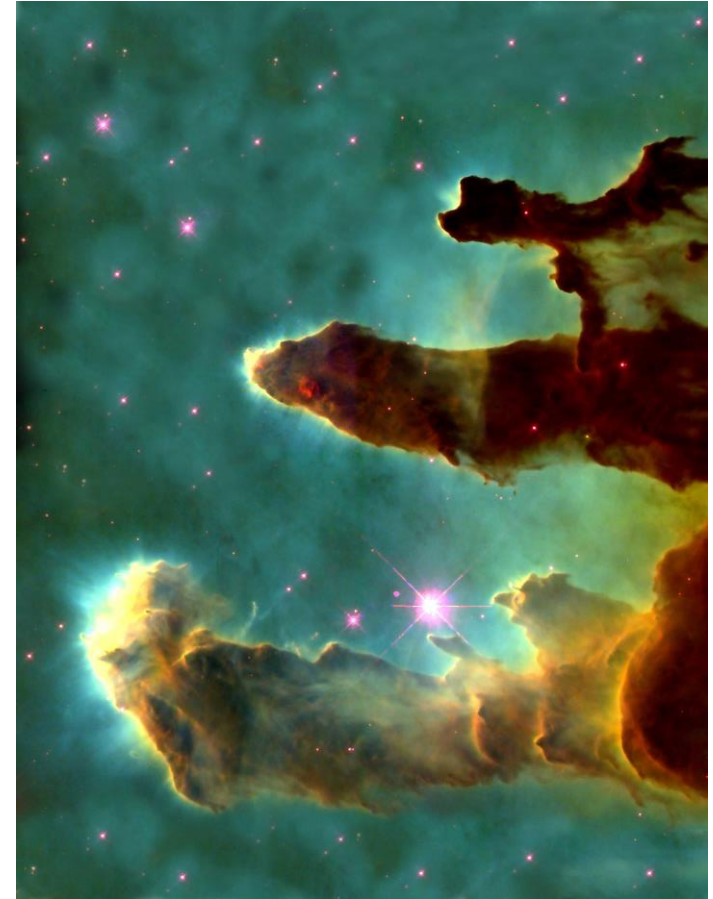
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## What's the Big Deal?

- *“I thought computers were supermachines!”*
  - *“Computers don’t need 300-year old mathematics to help them solve problems.”*
  - *“Aren’t computers going to take over the world anyway?”*
  - Let’s examine the case of finding a *Hamiltonian* cycle...
-

## Searching for an Efficient Algorithm for HCP

- **Key Point:** No one has ever found a similar efficient test if a network has a Hamiltonian cycle.
- Of course, we could examine every possible ant walk through the graph to solve the HCP.
- However, this **brute force** approach is just not *efficient*: there are more walks through the average network with just 1,000 nodes than there are atoms in the universe!



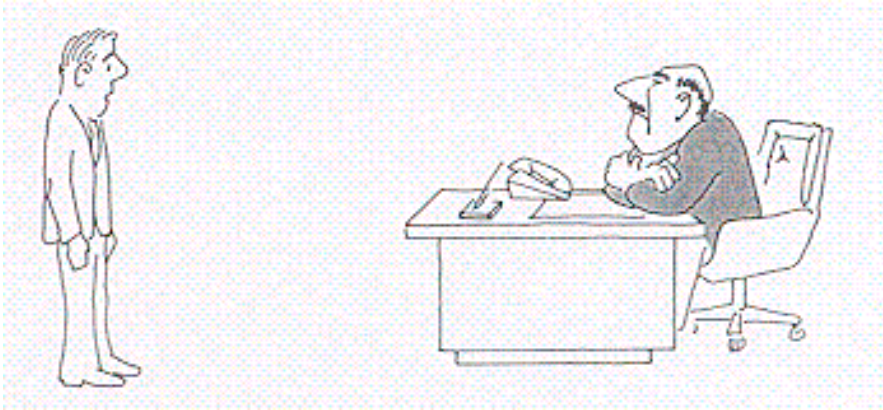
## *NP*-Complete Problems

- In fact, the HCP has been classified as ***NP*-Complete**.
  - This means that the HCP belongs to a collection containing thousands of computational problems that cannot be solved quickly for large data sets.
  - *NP*-Complete problems are all **equivalent** to each other: find an efficient solution to one, and you have an efficient solution to them all.
-



## *NP*-Complete Problems

- Attempting to solve any *NP*-Complete problem is difficult.



***"I can't find an efficient algorithm, I guess I'm just too dumb."***

## *NP*-Complete Problems

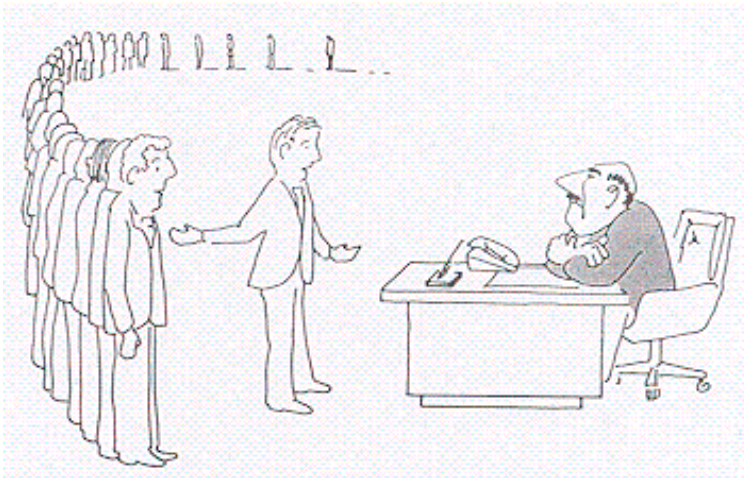
- Attempting to solve any *NP*-Complete problem is difficult.
- The hope is that you could verify that you failed because an efficient algorithm to an *NP*-Complete problem doesn't exist.



*"I can't find an efficient algorithm, because no such algorithm is possible."*

## *NP*-Complete Problems

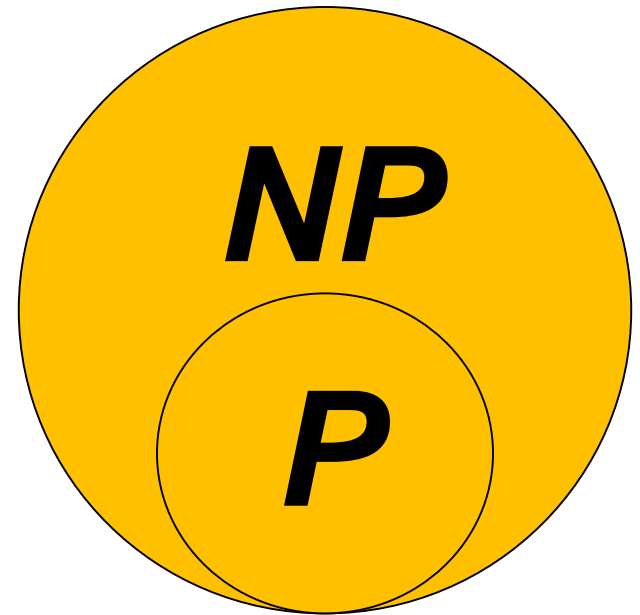
- Attempting to solve any *NP*-Complete problem is difficult.
- The hope is that you could verify that you failed because an efficient algorithm to an *NP*-Complete problem doesn't exist.
- The present state of affairs is somewhere in between.



*"I can't find an efficient algorithm, but neither can all these smart people."*

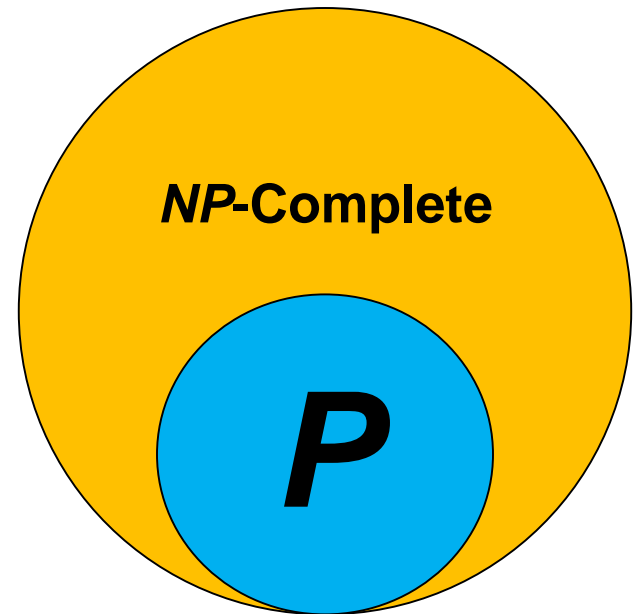
## $P$ vs. $NP$ , $NP$ -Complete vs. $NP$ -Hard

- $NP$ : The set of problems that can be verified efficiently
- $P$ : The set of problems that can be solved efficiently
- As can be seen,  $P$  is a subset of  $NP$



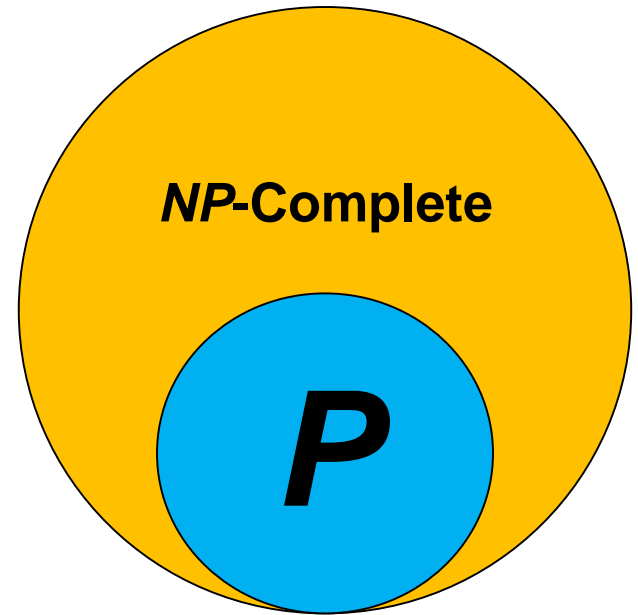
## $P$ vs. $NP$ , $NP$ -Complete vs. $NP$ -Hard

- $NP$ : The set of problems that can be verified efficiently
- $P$ : The set of problems that can be solved efficiently
- As can be seen,  $P$  is a subset of  $NP$
- Problems in  $NP$  that are not in  $P$  are called  **$NP$ -Complete**



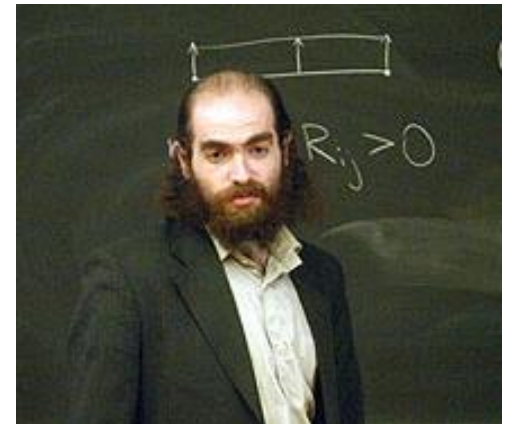
## $P$ vs. $NP$ , $NP$ -Complete vs. $NP$ -Hard

- **$NP$ -Hard**: Problems that cannot be solved nor verified efficiently
- **$P$  vs.  $NP$  Problem**: Can we prove that  $P = NP$ , or that  $P \neq NP$ ?
- If  $P = NP$ , then ALL  $NP$  problems can be solved efficiently
- If  $P \neq NP$ , then  $NP$ -Complete problems can't be solved efficiently



## The *NP*-Completeness of the HCP

- The question of whether or not *NP*-Complete problems (including the HCP) can be solved efficiently is one of seven **Millennium Problems** in mathematics.
- Find an efficient algorithm for the HCP, or demonstrate that no such algorithm exists, and you will get \$1 million.
- However, if you become a mathematician, odds are that you are not in it for the \$\$\$...recently, Grigory Perelman solved one of these problems but turned down the prize.



Grigory Perelman

# Section 8: From Euler and Hamilton to Fragment Assembly



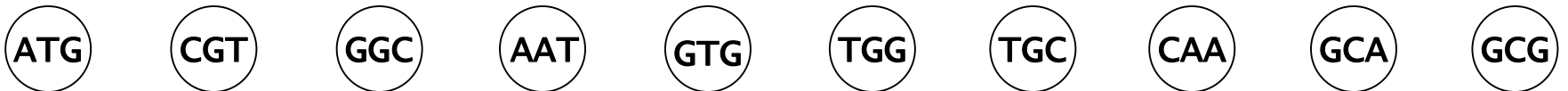
## First Try: The Network $H$

- Create a node for every read detected by our array.

|     |     |     |     |     |  |  |     |
|-----|-----|-----|-----|-----|--|--|-----|
|     |     |     |     |     |  |  |     |
|     |     | GTG | GCG |     |  |  | GCA |
|     |     |     |     |     |  |  |     |
|     |     | ATG |     |     |  |  |     |
|     |     | TGG |     | TGC |  |  |     |
|     |     |     |     | GGC |  |  |     |
| CGT |     |     |     |     |  |  | CAA |
|     | AAT |     |     |     |  |  |     |

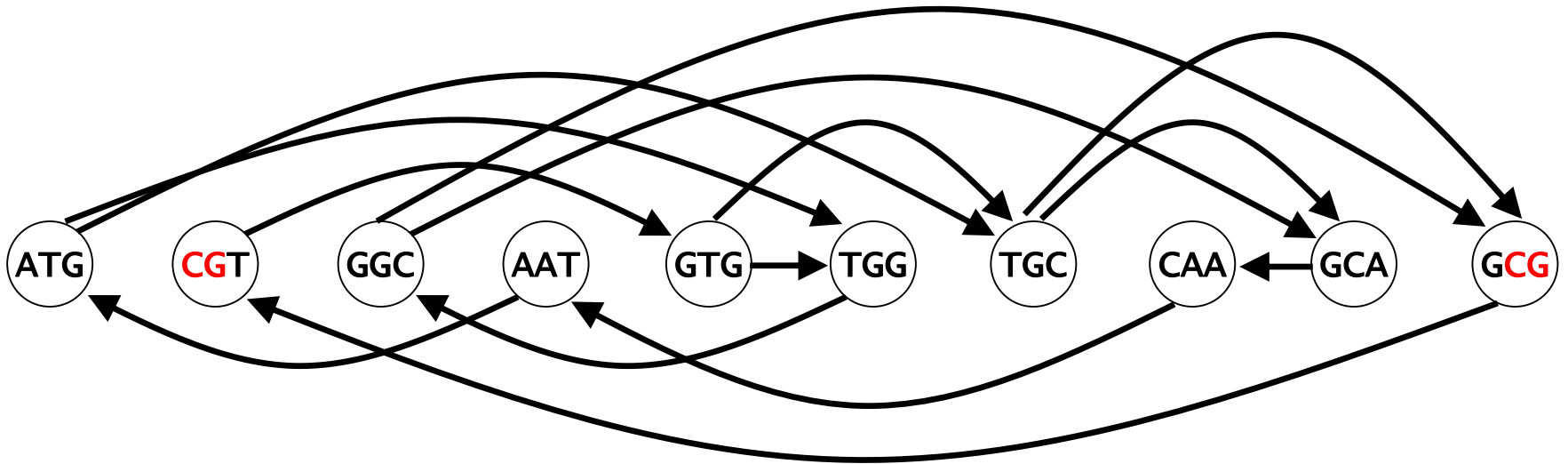
## First Try: The Network *H*

- Create a node for every read detected by our array.
  - **Prefix:** First 2 nucleotides of a read (CAA)
  - **Suffix:** Last 2 nucleotides of a read (AA)
- Different 3-mers may share a prefix/suffix: ATG, TGA, CTG



## First Try: The Network $H$

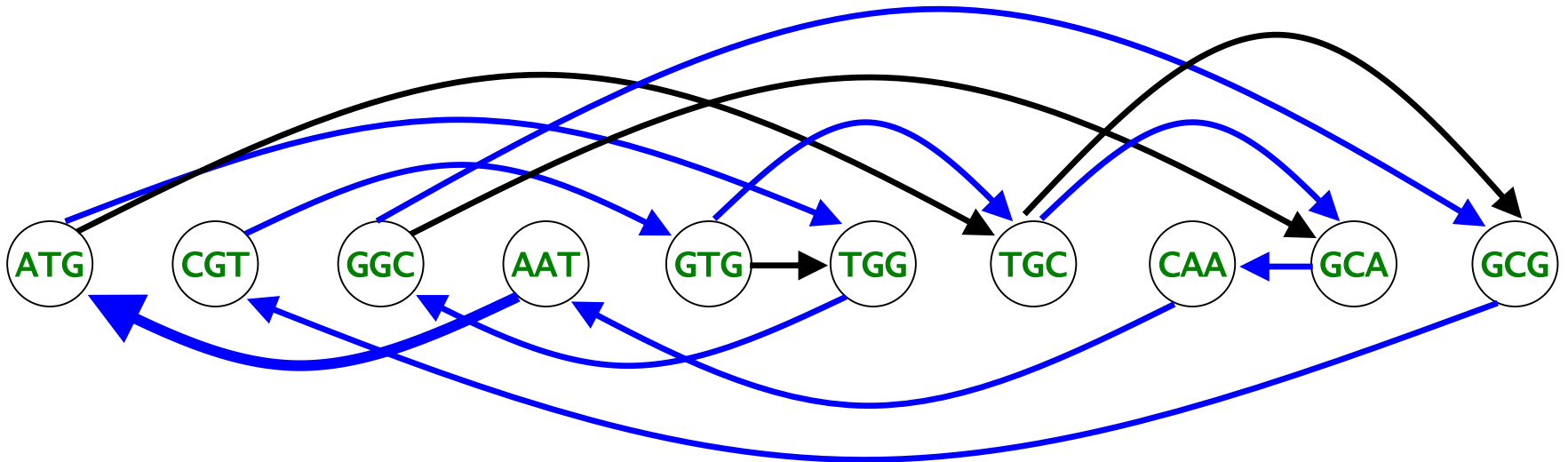
- As for the edges of  $H$ , connect node  $v$  to node  $w$  with a *directed edge* if the suffix of  $v$  matches the prefix of  $w$ .



## *Hamiltonian Cycles in $H$*

- Here we have a Hamiltonian cycle in  $H$ :

- $ATG \rightarrow TGG \rightarrow GGC \rightarrow GCG \rightarrow CGT \rightarrow GTG \rightarrow$   
 $TGC \rightarrow GCA \rightarrow CAA \rightarrow AAT \rightarrow ATG$

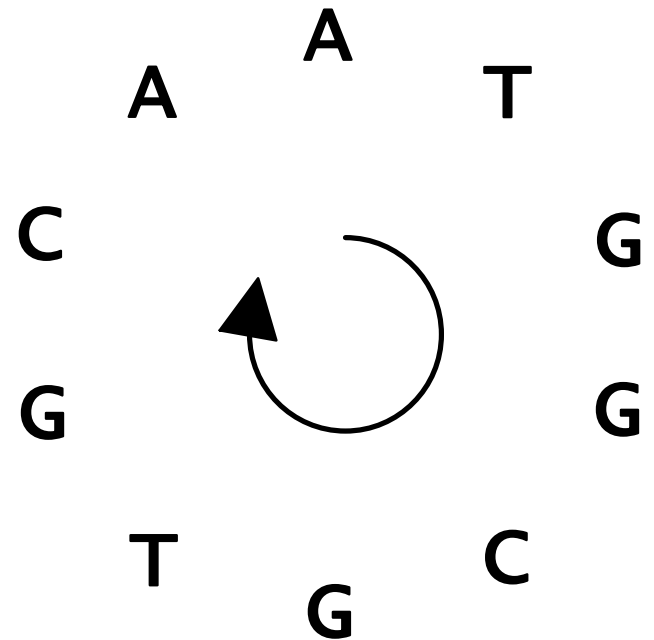


## *Hamiltonian Cycles in $H$*

- Here we have a Hamiltonian cycle in  $H$ :

- ATG → TGG → GGC → GCG → CGT → GTG →  
TGC → GCA → CAA → AAT → ATG

ATG  
TGG  
GGC  
GCG  
CGT  
GTG  
TGC  
GCA  
CAA  
AAT  
ATG



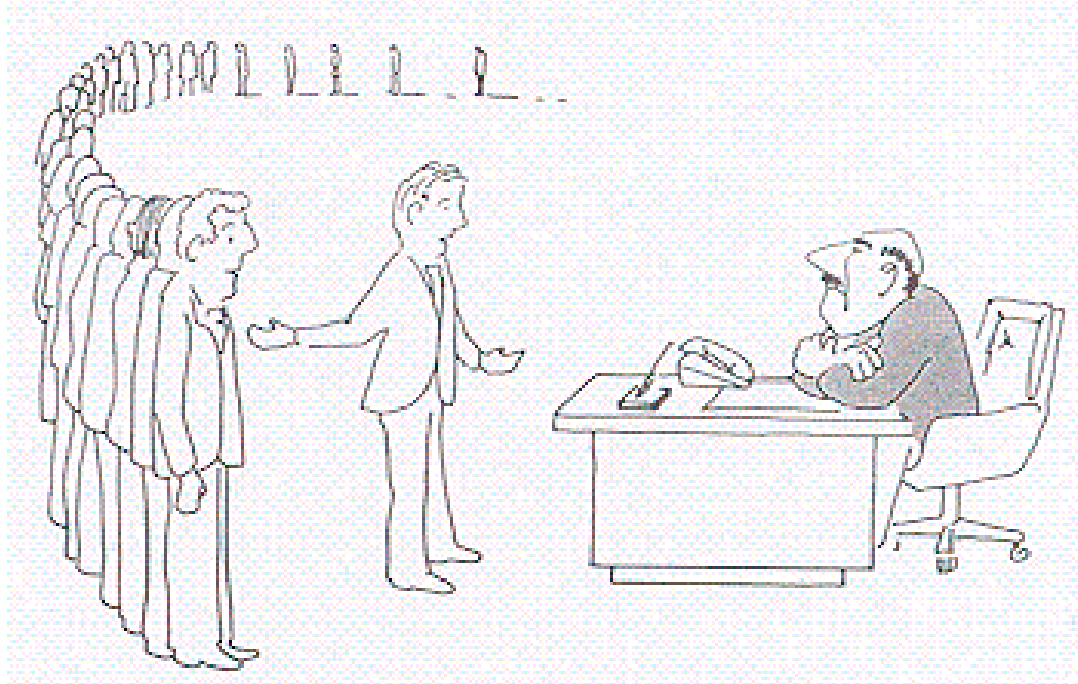
Genome: **ATGGCGTGCA**

## *Hamiltonian Cycles in $H$*

- What is wrong with this approach?
-

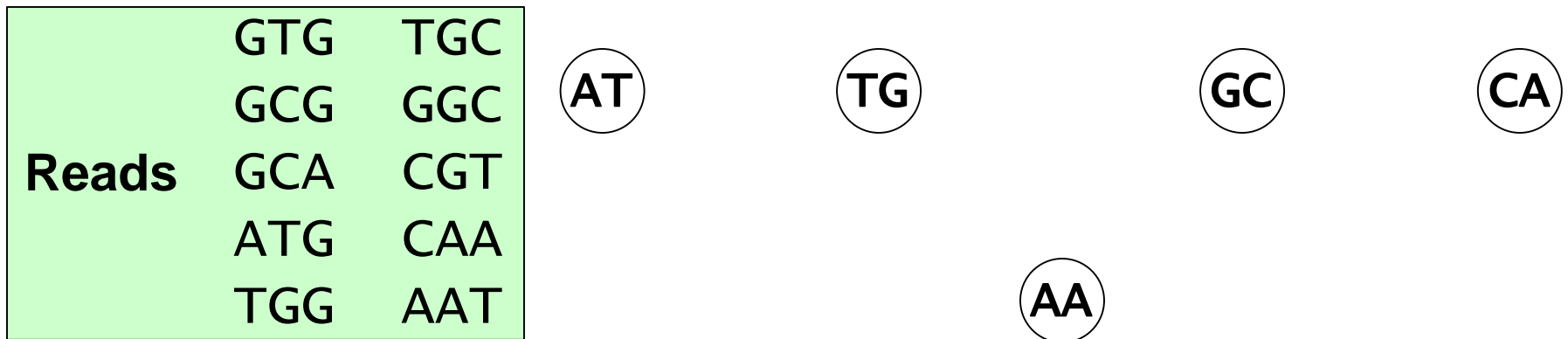
## Problem with $H$

- Ultimately, we must solve the HCP on  $H$  (millions of nodes) in order to obtain a candidate genome ...



## Second Try: The Network $E$

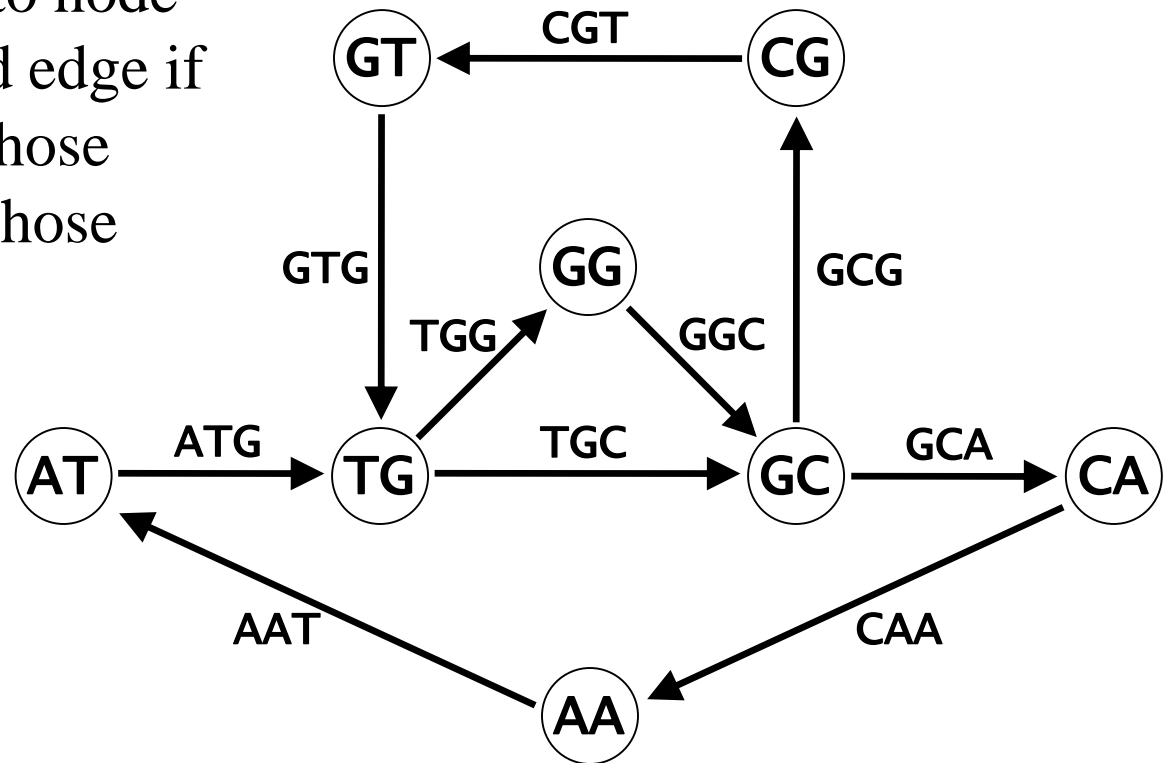
- Form a different network  $E$  as follows:
  - Create a node for each *distinct* prefix/suffix from reads.
  - Connect node  $v$  to node  $w$  with a directed edge if there is a read whose prefix is  $v$  and whose suffix is  $w$ .





## Second Try: The Network $E$

- Form a different network  $E$  as follows:
  - Create a node for each *distinct* prefix/suffix from reads.
  - Connect node  $v$  to node  $w$  with a directed edge if there is a read whose prefix is  $v$  and whose suffix is  $w$ .

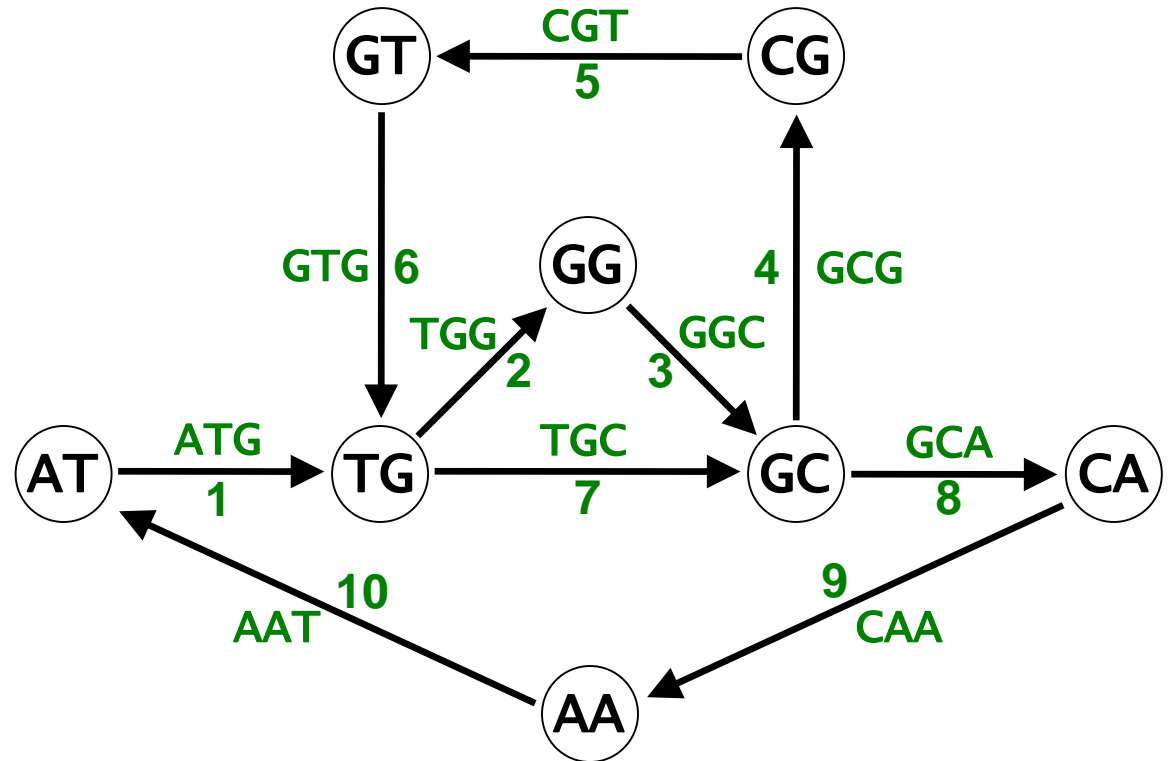


|              |     |     |
|--------------|-----|-----|
| <b>Reads</b> | GTG | TGC |
|              | GCG | GGC |
|              | GCA | CGT |
|              | ATG | CAA |
|              | TGG | AAT |

## Eulerian Cycles in $E$

- We have an Eulerian cycle in  $E$ :

•  $ATG \rightarrow TGG \rightarrow GGC \rightarrow GCG \rightarrow CGT \rightarrow GTG \rightarrow$   
 $TGC \rightarrow GCA \rightarrow CAA \rightarrow AAT$

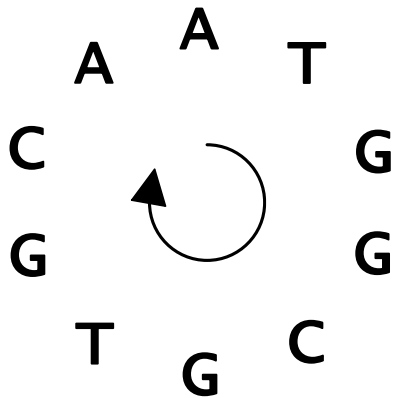
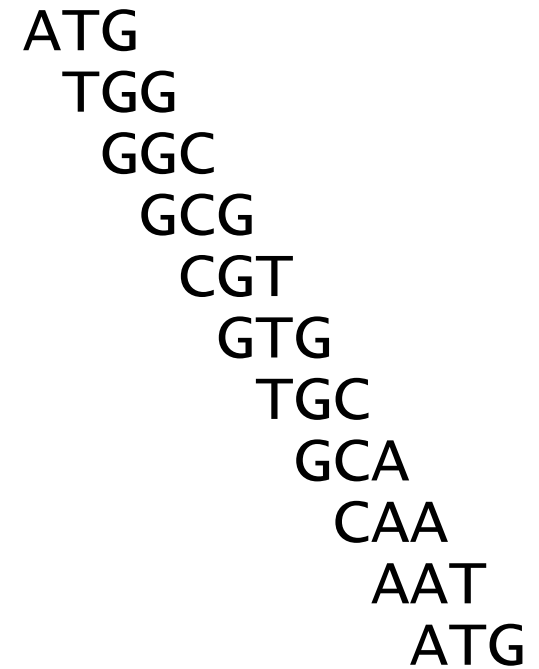


## Eulerian Cycles in $E$

- We have an Eulerian cycle in  $E$ :

- ATG → TGG → GGC → GCG → CGT → GTG → TGC → GCA → CAA → AAT

- This is the same sequence of reads that we had in  $H$ !
- Thus we will obtain the same sequenced genome as before.



Genome: **ATGGCGTGCA**

## Eulerian Cycles in $E$

- We have an Eulerian cycle in  $E$ :

- ATG → TGG → GGC → GCG → CGT → GTG →  
TGC → GCA → CAA → AAT

- This is the same sequence of reads that we had in  $H$ !
- Thus we will obtain the same sequenced genome as before.
- **The only difference: a computer can find an Eulerian cycle quickly.**

ATG  
TGG  
GGC  
GCG  
CGT  
GTG  
TGC  
GCA  
CAA  
AAT  
ATG

Genome: **ATGGCGTGCA**

## Example Problem

- What is the genome assembled from the following reads? Start with the read “GAT” when creating your Eulerian cycle

ACA

AGA

ATT

CAG

GAT

TAC

TTA

---

## Example Problem

AC

AG

AT

CA

GA

TA

TT

Reads:

ACA

AGA

ATT

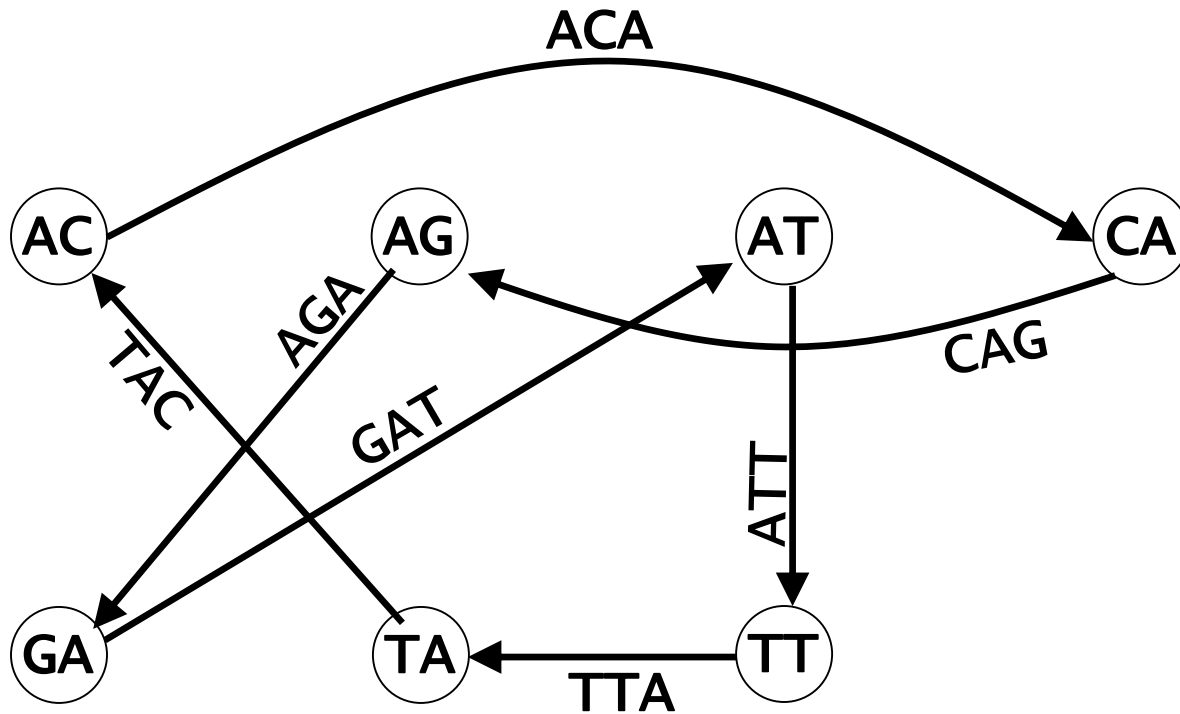
CAG

GAT

TAC

TTA

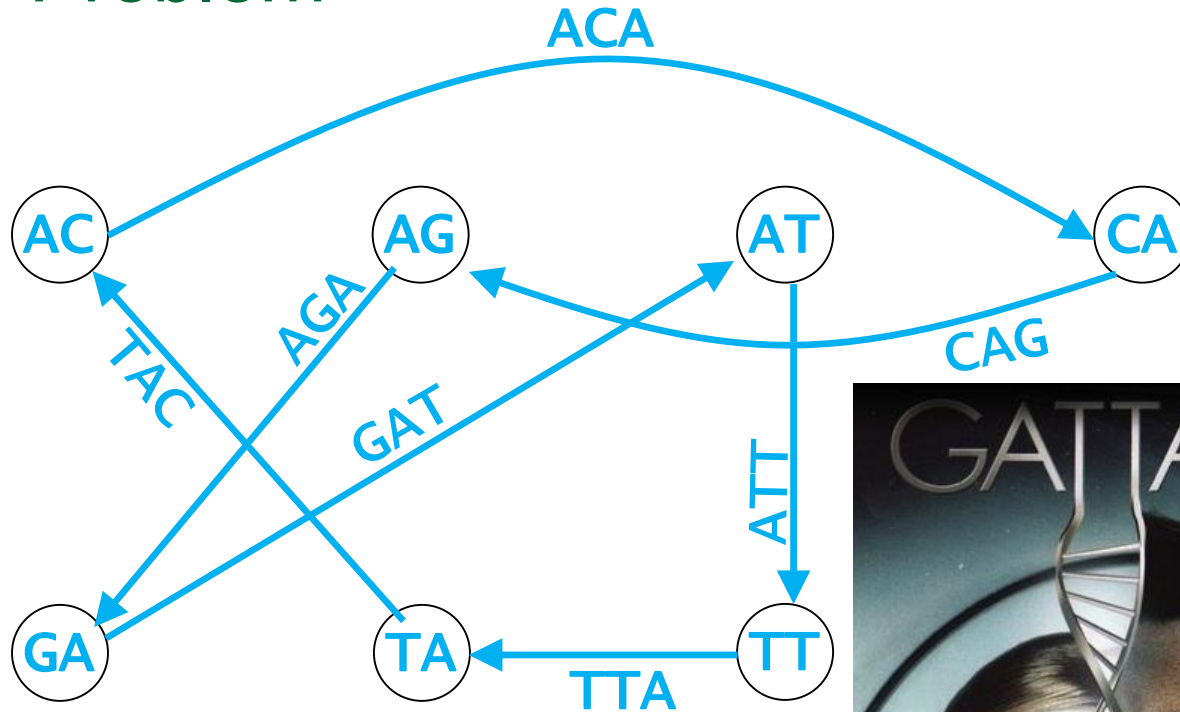
## Example Problem



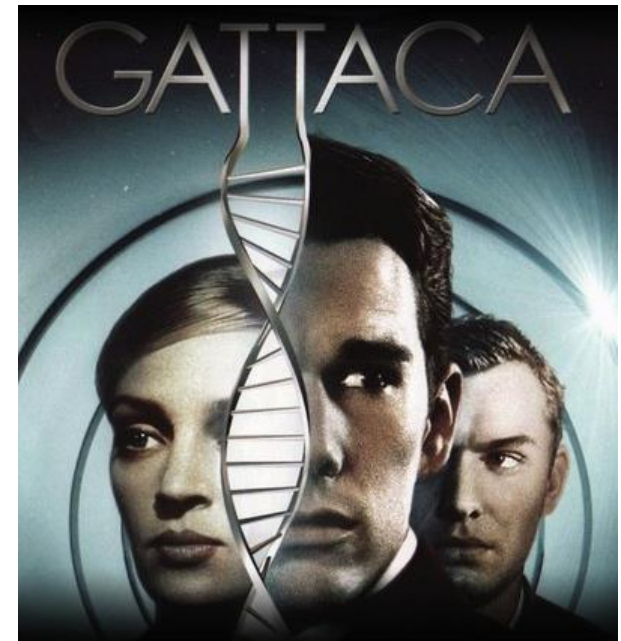
Reads:

ACA  
AGA  
ATT  
CAG  
GAT  
TAC  
TTA

# Example Problem



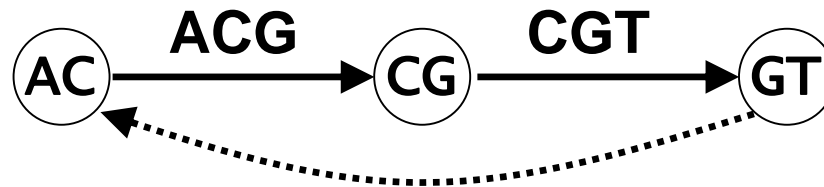
**GATTACA**





## Linear Genomes

- The previous example was for a circular genome, but what about for a linear genome?
- Example: “ACGT” (not circular)



- Now, we can use the exact same algorithm as before!

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# Section 9: Practical Complications

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## Analysis of $E$

- **Good News:** We now only have to find an Eulerian cycle in the network  $E$ .
  - **Bad News:** We made some unrealistic assumptions.
    1. In practice, reads are **error-prone**.
    2. Reads have **imperfect coverage** (so we will not always be able to move from one read to the next).
    3. Etc.
-

## 1<sup>st</sup> Unrealistic Assumption: Coverage Is Perfect

- Real reads capture only a small fraction of genome substrings.

```
atgccgtatggacaacgact
atgccgtatg
  gccgtatgga
    gtatggaca
      gacaacgact
```

- What can we do?

# Breaking Reads into Shorter Pieces

atgccgtatggacaacgact  
atgccgtatg  
gccgtatgga  
gtatggacaa  
gacaacgact

atgccgtatggacaacgact  
atgcc  
tgccg  
gccgt  
ccgta  
cgtat  
gtatg  
tatgg  
atgga  
tggac  
ggaca  
gacaa  
acaac  
caacg  
aacga  
acgac  
cgact

## 2<sup>nd</sup> Unrealistic Assumption: No Errors

