### AUTOMATED REASONING

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Let us consider programs consisting of rules of the kind:

$$H \leftarrow B_1, \dots, B_m, \text{not } C_1, \dots, \text{not } C_n$$
 (1)

where  $H, B_i, C_j$  are atoms,  $n \ge 0$ ,  $m \ge 0$  is said an (ASP) rule.

Sets of these rules are called *general programs*.

An extended  $T_P$  can be defined:

$$T_{P}(I) = \begin{cases} a \leftarrow b_{1}, \dots, b_{m}, \neg c_{1}, \dots, \neg c_{n} \in \text{ground}(P), \\ a : \{b_{1}, \dots, b_{m}\} \subseteq I, \\ \{c_{1}, \dots, c_{n}\} \cap I = \emptyset \end{cases}$$

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#### EXAMPLE

Let  $P = p(a) \leftarrow \text{not } p(b)$  (it's the theory:  $T = p(a) \lor p(b)$ ). There are 4 Herbrand interpretations:



3 of them are models. There is no A such that  $T \models A$ .

Moreover,  $T_P(\emptyset) = \{p(a)\}, T_P(\{p(b)\}) = \emptyset$ : this is not monotone.

We need other techniques for reasoning on the semantics of programs with Negation (stable model semantics).

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#### EXAMPLE

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WHAT IS THE EFFECT OF THE LOSS OF MONOTONICITY?

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student(alberto). student(bruno). student(carlo).
course(fondamenti). course(asd).
```

studied(alberto, fondamenti). studied(bruno, fondamenti).
studied(carlo,asd).

```
can_participate_exam(S,E) :-
    student(S), course(E),
    studied(S,E),
    not fail selftest(S,E).
```

```
fail_selftest(S,E) :- test(S,E,VOTO), VOTO < 15.</pre>
```

What can we deduce? And if now knew that test (carlo, asd, 10). And if now knew that test (alberto, fondamenti, 5). ???

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**PROGRAM COMPLETION** 

Given a program P:

r(a, c).
r(a, d).
q(X) :- r(X,Y), not s(Y).
p(a) :- not p(b).
p(b) :- not p(a).

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**PROGRAM COMPLETION** 

it is normalized, obtaining *norm*(*P*):

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**PROGRAM COMPLETION** 

# Let us collect equal heads and add iff and explicit quantifiers, obtaining iff(P):

$$\begin{array}{rcrcrc} r(X_{1}, X_{2}) &\leftrightarrow & (X_{1} = a \ \land \ X_{2} = c) \ \lor & (X_{1} = a \ \land \ X_{2} = d) \\ q(X_{1}) &\leftrightarrow \ \exists \ Y \ (r(X_{1}, Y) \ \land \ \neg \ s(Y)) \\ p(X_{1}) &\leftrightarrow & (X_{1} = a \ \land \ \neg \ p(b)) \ \lor & (X_{1} = b \ \land \ \neg \ p(a)) \\ s(X_{1}) &\leftrightarrow \ false \end{array}$$

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**PROGRAM COMPLETION** 

The completion of *P* is:  

$$r(X_1, X_2) \leftrightarrow (X_1=a \land X_2=c) \lor (X_1=a \land X_2=d)$$
  
 $q(X_1) \leftrightarrow \exists Y (r(X_1, Y) \land \neg s(Y))$   
 $p(X_1) \leftrightarrow (X_1=a \land \neg p(b)) \lor (X_1=b \land \neg p(a))$   
 $s(X_1) \leftrightarrow false$ 

(plus the so-called freeness axioms)

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HERBRAND MODELS OF THE COMPLETION

$$\begin{array}{rcrcrc} r(X_{1}, X_{2}) &\leftrightarrow & (X_{1} = a \ \land \ X_{2} = c) \ \lor & (X_{1} = a \ \land \ X_{2} = d) \\ q(X_{1}) &\leftrightarrow & \exists \ Y \ (r(X_{1}, Y) \ \land \ \neg \ s(Y)) \\ p(X_{1}) &\leftrightarrow & (X_{1} = a \ \land \ \neg \ p(b)) \ \lor & (X_{1} = b \ \land \ \neg \ p(a)) \\ s(X_{1}) &\leftrightarrow & false \end{array}$$

We need to consider 28 atoms:

s(a)	s(b)	s(c)	s(d)	
p(a)	p(b)	p(c)	p(d)	
q(a)	q(b)	q(c)	q(d)	
r(a,a)	r(a,b)	r(a,c)	r(a,d)	
r(b,a)	r(b,b)	r(b,c)	r(b,d)	
r(c,a)	r(c,b)	r(c,c)	r(c,d)	
r(d,a)	r(d,b)	r(d,c)	r(d,d)	
Which o	of them a	are in all	models	of the completions?

Which one in no-one?

HERBRAND MODELS OF THE COMPLETION

$$\begin{array}{rcrcrc} r(X_{1}, X_{2}) &\leftrightarrow & (X_{1} = a \ \land \ X_{2} = c) \ \lor & (X_{1} = a \ \land \ X_{2} = d) \\ q(X_{1}) &\leftrightarrow & \exists \ Y \ (r(X_{1}, Y) \ \land \ \neg \ s(Y)) \\ p(X_{1}) &\leftrightarrow & (X_{1} = a \ \land \ \neg \ p(b)) \ \lor & (X_{1} = b \ \land \ \neg \ p(a)) \\ s(X_{1}) &\leftrightarrow & false \end{array}$$

s(a) F	s(b) F	s(c) F	s(d) F	
p(a)	p(b)	p(c)	p(d)	
q(a)	q(b)	q(c)	q(d)	
r(a,a)	r(a,b)	r(a,c)	r(a,d)	
r(b,a)	r(b,b)	r(b,c)	r(b,d)	
r(c,a)	r(c,b)	r(c,c)	r(c,d)	
r(d,a)	r(d,b)	r(d,c)	r(d,d)	
_et's analyze s				

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HERBRAND MODELS OF THE COMPLETION

$$\begin{array}{rcrcrc} r(X_1, X_2) &\leftrightarrow & (X_1=a \ \land \ X_2=c) \ \lor & (X_1=a \ \land \ X_2=d) \\ q(X_1) &\leftrightarrow \ \exists \ Y \ (r(X_1, Y) \ \land \ \neg \ s(Y)) \\ p(X_1) &\leftrightarrow & (X_1=a \ \land \ \neg \ p(b)) \ \lor & (X_1=b \ \land \ \neg \ p(a)) \\ s(X_1) &\leftrightarrow \ false \end{array}$$

s(a) F	s(b) F	s(c) F	s(d) F
p(a)	p(b)	p(c)	p(d)
q(a)	q(b)	q(c)	q(d)
r(a,a) F	r(a,b) F	r(a,c) T	r(a,d) T
r(b,a) F	r(b,b) F	r(b,c) F	r(b,d) F
r(c,a) F	r(c,b) F	r(c,c) F	r(c,d) F
r(d,a) F	r(d,b) F	r(d,c) F	r(d,d) F
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s(a) F	s(b) F	s(c) F	s(d) F
p(a)	p(b)	p(c)	p(d)
q(a) T	q(b) F	q(c) F	q(d) F
r(a,a) F	r(a,b) F	r(a,c) T	r(a,d) T
r(b,a) F	r(b,b) F	r(b,c) F	r(b,d) F
r(c,a) F	r(c,b) F	r(c,c) F	r(c,d) F
r(d,a) F	r(d,b) F	r(d,c) F	r(d,d) F
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HERBRAND MODELS OF THE COMPLETION

$$\begin{array}{rcrcrc} r(X_1, X_2) &\leftrightarrow & (X_1=a \ \land \ X_2=c) \ \lor & (X_1=a \ \land \ X_2=d) \\ q(X_1) &\leftrightarrow \ \exists \ Y \ (r(X_1, Y) \ \land \ \neg \ s(Y)) \\ p(X_1) &\leftrightarrow & (X_1=a \ \land \ \neg \ p(b)) \ \lor & (X_1=b \ \land \ \neg \ p(a)) \\ s(X_1) &\leftrightarrow \ false \end{array}$$

s(a) F	s(b) F	s(c) F	s(d) F
p(a) ?	p(b) ?	p(c) F	p(d) F
q(a) T	q(b) F	q(c) F	q(d) F
r(a,a) F	r(a,b) F	r(a,c) T	r(a,d) T
r(b,a) F	r(b,b) F	r(b,c) F	r(b,d) F
r(c,a) F	r(c,b) F	r(c,c) F	r(c,d) F
r(d,a) F	r(d,b) F	r(d,c) F	r(d,d) F
₋et's analyze p			

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HERBRAND MODELS OF THE COMPLETION

- Three sets of atoms emerge:
  - Those true in all Herbrand models of the completion
  - Those false in all Herbrand models of the completion
  - The others (true in some models, false in others models)
- This suggest a data structure storing the set *I*<sup>+</sup> (always true) and *I*<sup>-</sup> (always false). This is sometimes called Fitting 3-valued semantics
- These sets can be computed using the notion of *well-founded* model.
- The well-founded model is a pair (*I*<sup>+</sup>, *I*<sup>-</sup>) which is unique and computable in polynomial time on the ground program.
- If  $I^+ \cup I^- \neq B_P$  the well-founded model is not a real model!
- If it is a model it will be the unique stable model

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HERBRAND MODELS OF THE COMPLETION

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- The well-founded model is a pair (*I*<sup>+</sup>, *I*<sup>-</sup>) which is unique and computable in polynomial time on the ground program.
- If  $I^+ \cup I^- \neq B_P$  the well-founded model is not a real model!
- If it is a model it will be the unique stable model

- We have already used the notion of ground program
- We will start our reasoning on the ground version of the program
- Given a general program P, ground(P) is the set of all ground instances of P obtained replacing the variables in the clauses with all elements of  $\mathcal{H}_P$
- Later we'll see the complexity of computing *ground*(*P*).

GROUNDING

P =

ground(P) =

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STABLE MODELS

• Let P be

```
p :- not q.
```

We know that *P* has two minimal models:  $\{p\} \in \{q\}$ .

- {*q*} does not capture the meaning "if you have no reasons for believing in *q*, then believe in *p*"). There are no info in *P* to justify that *q* is true.
- We would like to have as unique model {*p*} (it is also the well-founded model).

If P is:

```
p :- not q. q :- not p.
```

then well-founded simply states  $I^+ = \emptyset$ ,  $I^- = \emptyset$  (i.e., nothing)

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

- Given a general program *P* and a candidate model *S*, let us define *P*<sup>*S*</sup> (the reduct of *P* w.r.t. *S*) as follows:
  - I remove every rule that contains a naf-literal not *L* in the body such that *L* ∈ *S*;
  - remove every naf-literal from the bodies of the remaining rules.
- Let us observe that  $P^S$  is a definite program. We can compute its minimum model  $M_{P^S}$ . If  $M_{P^S} = S$  then S is a stable model (a.k.a. answer set) for P.

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STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ .

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Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ .  $\emptyset$  We have that  $P^{\emptyset} = \{p \leftarrow a. a. b.\}$ .  $\emptyset$  is not the minimum model of  $P^{\emptyset}$ . Thus  $\emptyset$  is not an answer set of *P*.

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ . {*a*} We have that  $P^{\{a\}} = \{p \leftarrow a. a.\}$ . {*a*} is not the minimum model of  $P^{\{a\}}$ . Thus {*a*} is not an answer set of *P*.

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ . {*b*} We have that  $P^{\{b\}} = \{p \leftarrow a, b\}$ . {*b*} is the minimum model of  $P^{\{b\}}$ . Thus, {*b*} is an answer set of *P*.

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

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p :- a. a :- not b. b :- not a.

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STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ .

 $\{p, a\}$  We have that  $P^{\{p,a\}} = \{p \leftarrow a. a.\}$ .  $\{p, a\}$  is the minimum model of  $P^{\{p,a\}}$ . Thus,  $\{p, a\}$  is an answer set of *P*.

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ .

 $\{a, b\}, \{b, p\} \in \{a, b, p\}$  are not answer sets of *P* since they include properly answer sets (e.g.,  $\{b\}$ ) [This is a theorem. Answer Sets are always minimal]

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- a. a :- not b. b :- not a.

the candidate stable models for *P* are all the subsets of  $\mathcal{B}_P = \{a, b, p\}$ . Thus *P* has two answer sets:  $\{b\}$  and  $\{p, a\}$ .

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

a :- not b. b :- not c. d.

The set  $S_1 = \{b, d\}$  is an answer set of *P*. As a matter of fact,  $P^{S_1} = \{b. d.\}$  that has  $S_1$  as minimum model. Instead,  $S_2 = \{a, d\}$  is not an answer set of *P*:  $P^{S_2} = \{a. b. d.\}$  has not  $S_2$  as minimum model.

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- not p, d. d.

It admits the (logical) model  $\{p, d\}$ . Observe that any model of *P* must contain *d*. Thus we have two possible candidates for being answer sets:

- $S_1 = \{d\}$ : then  $P^{S_1} = \{p \leftarrow d, d\}$ . Its minimum model is not  $S_1$ .
- $S_2 = \{d, p\}$ : then  $P^{S_2} = \{d\}$ . Its minimum model is not  $S_2$ .

This program has logical models but it has not stable models!

STABLE MODEL (GELFOND-LIFSCHITZ 1988)

Let us consider the program P

p :- not p, d. d.

It admits the (logical) model  $\{p, d\}$ . Observe that any model of *P* must contain *d*. Thus we have two possible candidates for being answer sets:

- $S_1 = \{d\}$ : then  $P^{S_1} = \{p \leftarrow d, d\}$ . Its minimum model is not  $S_1$ .
- $S_2 = \{d, p\}$ : then  $P^{S_2} = \{d\}$ . Its minimum model is not  $S_2$ .

This program has logical models but it has not stable models!

"CONSTRAINTS"

- We should think to the body of an ASP rule as a justification for supporting the truth of its head.
- Intuitively, "p is in the answer set only if it is supported by the fact that it is the head of a body which is true in the answer set. The only exception is that you cannot support p by the presence of not p in its body"

• E.g.

```
p :- not p, d.
```

does not support th etruth of p.

• (but p could be supported by another rule, in case)

"CONSTRAINTS"

 $\bullet\,$  From the answer set point of view, if  ${\rm p}$  does not occur elsewhere in (head of rules of) the program

p :- not p, d.

is equivalent to state that d must be false

• This can be simply stated by

:- d

(called constraint)

constraints are therefore syntactic sugar

NON-DETERMINISTIC CHOICES

Let us consider the following program:

a :- not n.a. n.a :- not a. b :- not n.b. n.b :- not b. c :- not n.c. n.c :- not c. d :- not n.d. n.d :- not d.

Its answer sets are all (and only) the sets containing exactly one option between

- a and n\_a,
- b and n\_b,
- c and n\_c,
- d **and** n\_d.

Also for this case we have a syntactic sugar.

COMPLEXITY

#### THEOREM

Given a ground program P, the problem of establishing whether it admits answer sets (stable models) is NP-complete.

NP Let *P* ground program, a candidate stable model *S* will contain only atoms occurring in *P*, thus  $|S| \le |P|$ . Computing  $P^S$ , the fixpoint computation of  $M_{PS}$ , and checking if  $S = M_{PS}$  therefore polynomial w.r.t. |P|. Thus the problem is in NP.

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COMPLEXITY

#### THEOREM

Given a ground program P, the problem of establishing whether it admits answer sets (stable models) is NP-complete.

Hardness Let us consider an instance  $\varphi$  of 3SAT:

$$\underbrace{(\underline{A \lor \neg B \lor C})}_{C^1} \land \underbrace{(\neg A \lor B \lor \neg C)}_{C^2}$$

and define accordingly the program  $P_{\varphi}$ :

a :- not	na.	na	:-	not	a.	
b :- not	nb.	nb	:-	not	b.	
c :- not	nc.	nc	:-	not	с.	
cl :- a.	c1	:- r	ıb.	c1	:-	с.
c2 :- na	. c2	:-	b.	с2	:-	nc.
:- not c1. :- not c2.						

 $P_{\varphi}$  can be computed in LOGSPACE and it is immediate to check that it admits a stable model iff  $\varphi$  is satisfiable.

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- *P* definite program: unique minimal model *M<sub>P</sub>*. If *P* is ground and finite you can compute it in PTIME.
- *P* general program. *B<sub>P</sub>* is always a logical model, but it is not interesting.
- *P* general program. It admits a unique well-founded model. If *P* is ground and finite you can compute it in PTIME. If it is total it is also the unique stable model.
- If instead it is partial, and *P* is ground and finite establishing the existence of a stable model is NP complete.
- We have a programming paradigm exactly for the class NP.
- It is also useful for non monotonic reasoning.