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# The $q$-Exponential Operator 

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#### Abstract

We define a $q$-exponential operator $R\left(b D_{q}\right)$ which turn out to be suitable for dealing with the Cauchy polynomials $P_{n}(x, y)$ and the homogeneous Rogers-Szegö polynomials $h_{n}(x, y \mid q)$. By using this operator, we derive Mehler's formula and Rogers formula for the polynomials $P_{n}(x, y)$ and $h_{n}(x, y \mid q)$.


Mathematics Subject Classification: 05A30, 33D45
Keywords: the $q$-exponential operator, Cauchy polynomials, Rogers-Szegö polynomials, Mehler's formula, Rogers formula

## 1. Introduction

In this paper we will follow the standard notations on $q$-series in [9] and we always assume that $|q|<1$. The $q$-shifted factorial is defined by:

$$
(a ; q)_{k}= \begin{cases}1, & \text { if } k=0, \\ (1-a)(1-a q) \cdots\left(1-a q^{k-1}\right), & \text { if } k=1,2,3, \ldots\end{cases}
$$

We also define

$$
(a ; q)_{\infty}=\prod_{k=0}^{\infty}\left(1-a q^{k}\right)
$$

The generalized basic hypergeometric series is defined by

$$
\begin{align*}
& { }_{r} \phi_{s}\left(a_{1}, a_{2}, \ldots, a_{r} ; b_{1}, b_{2}, \ldots, b_{s} ; q, x\right)={ }_{r} \phi_{s}\left(\begin{array}{c}
a_{1}, a_{2}, \ldots, a_{r} \\
b_{1}, b_{2}, \ldots, b_{s}
\end{array} q, x\right) \\
& =\sum_{n=0}^{\infty} \frac{\left(a_{1} ; q\right)_{n}\left(a_{2} ; q\right)_{n} \cdots\left(a_{r} ; q\right)_{n}}{(q ; q)_{n}\left(b_{1} ; q\right)_{n}\left(b_{2} ; q\right)_{n} \cdots\left(b_{s} ; q\right)_{n}}\left[(-1)^{n} q^{\binom{n}{2}}\right]^{1+s-r} x^{n} \tag{1.1}
\end{align*}
$$

where $q \neq 0$ when $r>s+1$. Note that

$$
{ }_{r+1} \phi_{r}\left(\begin{array}{c}
a_{1}, a_{2}, \ldots, a_{r+1} \\
b_{1}, b_{2}, \ldots, b_{r}
\end{array} ; q, x\right)=\sum_{n=0}^{\infty} \frac{\left(a_{1} ; q\right)_{n}\left(a_{2} ; q\right)_{n} \cdots\left(a_{r+1} ; q\right)_{n}}{(q ; q)_{n}\left(b_{1} ; q\right)_{n}\left(b_{2} ; q\right)_{n} \cdots\left(b_{r} ; q\right)_{n}} x^{n} .
$$

The following easily verified identities will be frequently used in this paper:

$$
\begin{aligned}
(x ; q)_{n} & =\frac{(x ; q)_{\infty}}{\left(q^{n} x ; q\right)_{\infty}}, \\
(a ; q)_{n+k} & =(a ; q)_{n}\left(a q^{n} ; q\right)_{k} .
\end{aligned}
$$

We shall adopt the following notation of multiple $q$-shifted factorials:

$$
\begin{aligned}
\left(a_{1}, a_{2}, \cdots, a_{m} ; q\right)_{n} & =\left(a_{1} ; q\right)_{n}\left(a_{2} ; q\right)_{n} \cdots\left(a_{m} ; q\right)_{n} \\
\left(a_{1}, a_{2}, \cdots, a_{m} ; q\right)_{\infty} & =\left(a_{1} ; q\right)_{\infty}\left(a_{2} ; q\right)_{\infty} \cdots\left(a_{m} ; q\right)_{\infty}
\end{aligned}
$$

The $q$-binomial coefficients is defined by:

$$
\left[\begin{array}{l}
n \\
k
\end{array}\right]= \begin{cases}\frac{(q ; q)_{n}}{(q ; q)_{k}(q ; q)_{n-k}}, & \text { if } 0 \leqslant k \leqslant n \\
0, & \text { otherwise }\end{cases}
$$

One of the most classical identities in $q$-series is Cauchy identity

$$
\sum_{n=0}^{\infty} \frac{(a ; q)_{n}}{(q ; q)_{n}} x^{n}=\frac{(a x ; q)_{\infty}}{(x ; q)_{\infty}}, \quad|x|<1
$$

The following is the homogeneous form of the $q$-shifted factorial:

$$
\begin{equation*}
P_{n}(x, y)=(y / x ; q)_{n} x^{n}=(x-y)(x-q y)\left(x-q^{2} y\right) \cdots\left(x-q^{n-1} y\right) \tag{1.2}
\end{equation*}
$$

Because the polynomials $P_{n}(x, y)$ occur so often in $q$-series, Chen et al. [7] proposed to call them the Cauchy polynomials because they are the coefficients in the expansion of the homogeneous version of the Cauchy identity (the generating function of $\left.P_{n}(x, y)\right)$ :

$$
\begin{equation*}
\sum_{n=0}^{\infty} P_{n}(x, y) \frac{t^{n}}{(q ; q)_{n}}=\frac{(y t ; q)_{\infty}}{(x t ; q)_{\infty}}, \quad|x t|<1 \tag{1.3}
\end{equation*}
$$

Setting $y=0$, the Cauchy identity becomes Euler's identity:

$$
\begin{equation*}
\sum_{n=0}^{\infty} \frac{(x t)^{n}}{(q ; q)_{\infty}}=\frac{1}{(x t ; q)_{\infty}}, \quad|x t|<1 \tag{1.4}
\end{equation*}
$$

Setting $x=0$, the Cauchy identity becomes, another, Euler's identity:

$$
\begin{equation*}
\sum_{n=0}^{\infty} \frac{(-1)^{n} q^{\binom{n}{2}}(y t)^{n}}{(q ; q)_{n}}=(y t ; q)_{\infty} \tag{1.5}
\end{equation*}
$$

In 1970, Goldman and Rota [10] have shown the $q$-binomial identity

$$
P_{n}(x, y)=\sum_{k=0}^{n}\left[\begin{array}{l}
n  \tag{1.6}\\
k
\end{array}\right] P_{k}(x, z) P_{n-k}(z, y)
$$

Setting $z=0$ in (1.6), one obtains the following identity:

$$
P_{n}(x, y)=\sum_{k=0}^{n}\left[\begin{array}{l}
n  \tag{1.7}\\
k
\end{array}\right](-1)^{k} q^{\binom{k}{2}} y^{k} x^{n-k}
$$

Note that, the Cauchy polynomials $P_{n}(x, y)$ naturally arise in the $q$-umbral calculus as studied by Andrews [1, 2], Goldman and Rota [10], Goulden and Jackson [11], Ihrig and Ismail [12], Johnson [14] and Roman [17].

The usual $q$-differential operator, or the $q$-derivative operator is defined by:

$$
\begin{equation*}
D_{q}\{f(x)\}=\frac{f(x)-f(q x)}{x} . \tag{1.8}
\end{equation*}
$$

The Leibniz rule for $D_{q}$ is the following identity:

$$
D_{q}^{n}\{f(x) g(x)\}=\sum_{k=0}^{n}\left[\begin{array}{l}
n  \tag{1.9}\\
k
\end{array}\right] q^{k(k-n)} D_{q}^{k}\{f(x)\} D_{q}^{n-k}\left\{g\left(q^{k} x\right)\right\}
$$

$D_{q}^{0} f(x)$ is understood as the identity.
In [5], Chen and Liu developed a method for deriving hypergeometric identities by parameter augmentation, which means that a hypergeometric identity with multiple parameters may be derived from its special case obtained by reducing some parameters to zero.

In [6], Chen and Liu realized the parameter augmentation by the $q$-exponential operator $T\left(b D_{q}\right)$, which leads to considerable simplifications of some well known $q$-summation and transformation formulas. The $q$-exponential operator is defined by

$$
T\left(b D_{q}\right)=\sum_{n=0}^{\infty} \frac{\left(b D_{q}\right)^{n}}{(q ; q)_{n}}
$$

The following operator identities were obtained:

Theorem 1.1. (Chen and Liu [6]). Let $D_{q}$ be defined as above. Then

$$
\begin{align*}
D_{q}^{k}\left\{x^{n}\right\} & =\frac{(q ; q)_{n}}{(q ; q)_{n-k}} x^{n-k}  \tag{1.10}\\
D_{q}^{k}\left\{\frac{1}{(x t ; q)_{\infty}}\right\} & =\frac{t^{k}}{(x t ; q)_{\infty}} . \tag{1.11}
\end{align*}
$$

The classical Rogers-Szegö polynomials play an important role in the theory of orthogonal polynomials, particularly in the study of the Askey-Wilson integral [4]. Some important results on the Rogers-Szegö polynomials naturally fall into the framework of parameter augmentation such as Mehler's formula, Rogers formula and the linearization formula and its inverse $[3,6,13,15,16$, 18, 20]. The classical Rogers-Szegö polynomials are defined by:

$$
h_{n}(x \mid q)=\sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] x^{k}
$$

which has the generating function:

$$
\begin{equation*}
\sum_{n=0}^{\infty} h_{n}(x \mid q) \frac{t^{n}}{(q ; q)_{n}}=\frac{1}{(x t, t ; q)_{\infty}}, \quad \max \{|x t|,|t|\}<1 \tag{1.12}
\end{equation*}
$$

In the same paper, Chen and Liu represented the polynomials $h_{n}(x \mid q)$ by the augmentation operator as follows:

$$
T\left(D_{q}\right)\left\{x^{n}\right\}=h_{n}(x \mid q)
$$

Using the above operator definition of the Rogers-Szegö polynomials and the augmentation argument, they easily derived Mehler's formula and the Rogers formula for $h_{n}(x \mid q)$.

Theorem 1.2. (Chen and Liu [6]).
The Mehler's formula for $h_{n}(x \mid q)$ is

$$
\begin{equation*}
\sum_{n=0}^{\infty} h_{n}(x \mid q) h_{n}(y \mid q) \frac{t^{n}}{(q ; q)_{n}}=\frac{\left(x y t^{2} ; q\right)_{\infty}}{(x t, t, y t, x y t ; q)_{\infty}} \tag{1.13}
\end{equation*}
$$

where max $\{|x t|,|t|,|y t|,|x y t|\}<1$.
The Rogers formula for $h_{n}(x \mid q)$ is

$$
\begin{equation*}
\sum_{n=0}^{\infty} \sum_{m=0}^{\infty} h_{n+m}(x \mid q) \frac{t^{n}}{(q ; q)_{n}} \frac{s^{m}}{(q ; q)_{m}}=\frac{(x s t ; q)_{\infty}}{(x s, s, x t, t ; q)_{\infty}} \tag{1.14}
\end{equation*}
$$

where $\max \{|x s|,|s|,|x t|,|t|\}<1$.

In 2006, Zhang and Wang [19] used the $q$-exponential operator $T\left(b D_{q}\right)$ to some terminating summation formulas of basic hypergeometric series and $q$ integrals to obtain some $q$-series identities and $q$-integrals involving ${ }_{3} \phi_{2}$. The following operator identity were obtained:

Theorem 1.3. (Zhang and Wang [19]). Let $D_{q}$ be defined as above. Then

$$
\begin{equation*}
D_{q}^{k}\left\{\frac{(x v ; q)_{\infty}}{(x t ; q)_{\infty}}\right\}=t^{k}(v / t ; q)_{k} \frac{\left(x v q^{k} ; q\right)_{\infty}}{(x t ; q)_{\infty}} \tag{1.15}
\end{equation*}
$$

In 2003, Chen et al. [7], introduced the homogenous $q$-difference operator $D_{x y}$, which is suitable for the study of the Cauchy polynomials, acting on function in two variables $x$ and $y$ :

$$
D_{x y} f(x, y)=\frac{f\left(x, q^{-1} y\right)-f(q x, y)}{x-q^{-1} y} .
$$

Based on the homogeneous $q$-difference operator, they built up the homogeneous $q$-shift operator as the $q$-exponential of the homogeneous $q$-difference operator:

$$
E\left(D_{x y}\right)=\sum_{n=0}^{\infty} \frac{D_{x y}^{n}}{(q ; q)_{n}} .
$$

They also introduced the homogeneous Rogers-Szegö polynomials and derive their generating function by using the homogeneous $q$-shift operator $E\left(D_{x y}\right)$. The homogeneous Rogers-Szegö polynomials are defined by:

$$
h_{n}(x, y \mid q)=\sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] P_{k}(x, y)
$$

which has the generating function:

$$
\sum_{n=0}^{\infty} h_{n}(x, y \mid q) \frac{t^{n}}{(q ; q)_{n}}=\frac{(y t ; q)_{\infty}}{(x t, t ; q)_{\infty}}, \quad \max \{|x t|,|t|\}<1
$$

In 2007, Chen et al. [8] present an operator approach to derive Mehler's formula and Rogers formula for the homogeneous Rogers-Szegö polynomials $h_{n}(x, y \mid q)$. The proofs of these results are based on parameter augmentation with respect to the $q$-exponential operator $T\left(D_{q}\right)$ and the homogeneous $q$-shift operator $E\left(D_{x y}\right)$.

In this paper, we introduce a new $q$-exponential operator $R\left(b D_{q}\right)$. We present an operator proof for Mehler's formula and Rogers formula for both the Cauchy polynomials $P_{n}(x, y)$ and the homogeneous Rogers-Szegö polynomials $h_{n}(x, y \mid q)$.

## 2. The $q$-exponential operator $R\left(b D_{q}\right)$

Let $D_{q}$ be defined as in (1.8). We define a $q$-exponential operator $R\left(b D_{q}\right)$ as follows:

$$
\begin{equation*}
R\left(b D_{q}\right)=\sum_{k=0}^{\infty} \frac{(-1)^{k} q^{\binom{k}{2}} b^{k}}{(q ; q)_{k}} D_{q}^{k} \tag{2.1}
\end{equation*}
$$

The operator proof needs operator identities, so we derive some identities for the $q$-exponential operator $R\left(b D_{q}\right)$. We use $R_{a}$ for the operator $R$ acting on the variable $a$. The following theorem for the exponential operator $R_{a}\left(b D_{q}\right)$ is easy to verify.

Theorem 2.1. We have

$$
\begin{align*}
R_{a}\left(b D_{q}\right)\left\{\frac{1}{(a t ; q)_{\infty}}\right\} & =\frac{(b t ; q)_{\infty}}{(a t ; q)_{\infty}}  \tag{2.2}\\
R_{a}\left(b D_{q}\right)\left\{\frac{(a v ; q)_{\infty}}{(a t ; q)_{\infty}}\right\} & =\frac{(a v ; q)_{\infty}}{(a t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{c}
v / t \\
a v
\end{array} q, b t\right) . \tag{2.3}
\end{align*}
$$

Theorem 2.2. We have

$$
R_{a}\left(b D_{q}\right)\left\{\frac{(a v ; q)_{\infty}}{(a t, a s ; q)_{\infty}}\right\}=\frac{(b s ; q)_{\infty}}{(a s ; q)_{\infty}}{ }_{2} \phi_{1}\left(\begin{array}{c}
v / t, b / a  \tag{2.4}\\
b s
\end{array} ; q, a t\right) .
$$

Proof. By using (1.9), we get

$$
\begin{aligned}
& R_{a}\left(b D_{q}\right)\left\{\frac{(a v ; q)_{\infty}}{(a t, a s ; q)_{\infty}}\right\} \\
& =\sum_{n=0}^{\infty} \frac{(-1)^{n} q^{\binom{n}{2}} b^{n}}{(q ; q)_{n}} \sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] q^{k(k-n)} D_{q}^{k}\left\{\frac{(a v ; q)_{\infty}}{(a t ; q)_{\infty}}\right\} D_{q}^{n-k}\left\{\frac{1}{\left(a s q^{k} ; q\right)_{\infty}}\right\} \\
& =\sum_{k=0}^{\infty} \frac{(-1)^{k} q^{\binom{k}{2}} b^{k}}{(q ; q)_{k}} D_{q}^{k}\left\{\frac{(a v ; q)_{\infty}}{(a t ; q)_{\infty}}\right\} \sum_{n=0}^{\infty} \frac{(-1)^{n} q^{\binom{n}{2}} b^{n}}{(q ; q)_{n}} D_{q}^{n}\left\{\frac{1}{\left(a s q^{k} ; q\right)_{\infty}}\right\} \\
& =\sum_{k=0}^{\infty} \frac{(-1)^{k} q^{\binom{k}{2}} b^{k}}{(q ; q)_{k}} t^{k}(v / t ; q)_{k} \frac{\left(a v q^{k} ; q\right)_{\infty}}{(a t ; q)_{\infty}} R_{a}\left(b D_{q}\right)\left\{\frac{1}{\left(a s q^{k} ; q\right)_{\infty}}\right\} \text { (by using (1.15)) } \\
& =\sum_{k=0}^{\infty} \frac{(-1)^{k} q^{\binom{k}{2}} b^{k}}{(q ; q)_{k}} t^{k}(v / t ; q)_{k} \frac{\left(a v q^{k} ; q\right)_{\infty}}{(a t ; q)_{\infty}} \frac{\left(b s q^{k} ; q\right)_{\infty}}{\left(a s q^{k} ; q\right)_{\infty}} \\
& =\frac{(a v, b s ; q)_{\infty}}{(a t, a s ; q)_{\infty}} \sum_{k=0}^{\infty} \frac{(v / t, a s ; q)_{k}}{(q, a v, b s ; q)_{k}}(-1)^{k} q^{\binom{k}{2}}(b t)^{k} \\
& =\frac{(a v, b s ; q)_{\infty}}{(a t, a s ; q)_{\infty}}{ }_{2} \phi_{2}\left(\begin{array}{c}
v / t, a s \\
a v, b s
\end{array} q, b t\right) .
\end{aligned}
$$

By Jackson's transformation [9, Appendix III, equation (III.4)], we get the required result.

Theorem 2.3. We have

$$
R_{a}\left(b D_{q}\right)\left\{\frac{1}{(a s, a t ; q)_{\infty}}\right\}=\frac{(b t ; q)_{\infty}}{(a s, a t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{l}
a t  \tag{2.5}\\
b t
\end{array} q, b s\right) .
$$

Proof. From (1.9), we get

$$
\begin{align*}
& R_{a}\left(b D_{q}\right)\left\{\frac{1}{(a s, a t ; q)_{\infty}}\right\} \\
& =\sum_{n=0}^{\infty} \frac{(-1)^{n} q^{(n} \begin{array}{c}
n \\
2
\end{array} b^{n}}{(q ; q)_{n}} \sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] q^{k(k-n)} D_{q}^{k}\left\{\frac{1}{(a s ; q)_{\infty}}\right\} D_{q}^{n-k}\left\{\frac{1}{\left(a t q^{k} ; q\right)_{\infty}}\right\} \\
& =\sum_{n=0}^{\infty} \frac{(-1)^{n} q^{\binom{n}{2}} b^{n}}{(q ; q)_{n}} \sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] q^{k(k-n)} \frac{s^{k}}{(a s ; q)_{\infty}} \frac{\left(t q^{k}\right)^{n-k}}{\left(a t q^{k} ; q\right)_{\infty}}  \tag{1.11}\\
& =\frac{1}{(a s, a t ; q)_{\infty}} \sum_{k=0}^{\infty} \frac{(-1)^{k} q^{\binom{k}{2}}(b s)^{k}}{(q ; q)_{k}}(a t ; q)_{k} \sum_{n=0}^{\infty} \frac{(-1)^{n} q^{\binom{n}{2}}\left(b t q^{k}\right)^{n}}{(q ; q)_{n}} \\
& =\frac{1}{(a s, a t ; q)_{\infty}} \sum_{k=0}^{\infty} \frac{(-1)^{k} q^{\binom{k}{2}}(b s)^{k}}{(q ; q)_{k}}(a t ; q)_{k}\left(b t q^{k} ; q\right)_{\infty} \\
& =\frac{(b t ; q)_{\infty}}{(a s, a t ; q)_{\infty}} \sum_{k=0}^{\infty} \frac{(a t ; q)_{k}}{(q, b t ; q)_{k}}(-1)^{k} q^{\binom{k}{2}}(b s)^{k} \\
& =\frac{(b t ; q)_{\infty}}{(a s, a t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{l}
a t \\
b t
\end{array} q, b s\right) .
\end{align*}
$$

(by using (1.5))

Theorem 2.4. We have

$$
\begin{equation*}
R_{x}\left(y D_{q}\right)\left\{\frac{x^{n}}{(x t ; q)_{\infty}}\right\}=\frac{(y t ; q)_{\infty} P_{n}(x, y)}{(x t ; q)_{\infty}(y t ; q)_{n}} \tag{2.6}
\end{equation*}
$$

Proof. By using (2.1) and (1.9), we get

$$
\begin{align*}
& R_{x}\left(y D_{q}\right)\left\{\frac{x^{n}}{(x t ; q)_{\infty}}\right\} \\
& \quad=\sum_{k=0}^{\infty} \frac{\left.(-1)^{k} q^{(k)} 2\right)^{k} y^{k}}{(q ; q)_{k}} \sum_{j=0}^{k}\left[\begin{array}{c}
k \\
j
\end{array}\right] q^{j(j-k)} D_{q}^{j}\left\{\frac{1}{(x t ; q)_{\infty}}\right\} D_{q}^{k-j}\left\{\left(x q^{j}\right)^{n}\right\} \\
& \quad=\frac{1}{(x t ; q)_{\infty}} \sum_{j=0}^{\infty} \frac{(-1)^{j} q^{\binom{3}{2}}\left(y t q^{n}\right)^{j}}{(q ; q)_{j}} \sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right](-1)^{k} q^{\binom{k}{2}} y^{k} x^{n-k}  \tag{1.10}\\
& \quad=\frac{(y t ; q)_{\infty} P_{n}(x, y)}{(x t ; q)_{\infty}(y t ; q)_{n}} .
\end{align*}
$$

## 3. Mehler's formula and Rogers formula for $P_{n}(x, y)$

By using (1.7), the Cauchy polynomials $P_{n}(x, y)$ can easily be represented by the augmentation operator as follows:

$$
\begin{equation*}
R_{x}\left(y D_{q}\right)\left\{x^{n}\right\}=P_{n}(x, y) \tag{3.1}
\end{equation*}
$$

Using the operator definition (3.1) of the Cauchy polynomials $P_{n}(x, y)$, it is easy to give a simple derivation for Mehler's formula and Rogers formula for $P_{n}(x, y)$.

Theorem 3.1. (Mehler's formula for $\left.P_{n}(x, y)\right)$. We have

$$
\sum_{n=0}^{\infty} P_{n}(x, y) P_{n}(z, w) \frac{t^{n}}{(q ; q)_{n}}=\frac{(x w t ; q)_{\infty}}{(x z t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{c}
w / z \\
x w t
\end{array} ; q, y z t\right), \quad|z x t|<1
$$

Proof. From (3.1), we get

$$
\begin{aligned}
\sum_{n=0}^{\infty} P_{n}(x, y) P_{n}(z, w) \frac{t^{n}}{(q ; q)_{n}} & =R_{x}\left(y D_{q}\right)\left\{\sum_{k=0}^{\infty} P_{n}(z, w) \frac{(x t)^{n}}{(q ; q)_{n}}\right\} \\
& =R_{x}\left(y D_{q}\right)\left\{\frac{(x w t ; q)_{\infty}}{(x z t ; q)_{\infty}}\right\}, \quad \text { (by using (1.3)) } \\
& =\frac{(x w t ; q)_{\infty}}{(x z t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{c}
w / z \\
x w t
\end{array} ; q, y z t\right) . \quad \text { (by using (2.3)) }
\end{aligned}
$$

Theorem 3.2. (Rogers formula for $\left.P_{n}(x, y)\right)$. We have

$$
\sum_{n=0}^{\infty} \sum_{m=0}^{\infty} P_{n+m}(x, y) \frac{t^{n}}{(q ; q)_{n}} \frac{s^{m}}{(q ; q)_{m}}=\frac{(y t ; q)_{\infty}}{(x s, x t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{c}
x t \\
y t
\end{array} ; q, y s\right)
$$

where $\max \{|x t|,|x s|\}<1$.

Proof. From (3.1), we get

$$
\begin{align*}
& \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} P_{n+m}(x, y) \frac{t^{n}}{(q ; q)_{n}} \frac{s^{m}}{(q ; q)_{m}} \\
& =R_{x}\left(y D_{q}\right)\left\{\sum_{n=0}^{\infty} \frac{(x t)^{n}}{(q ; q)_{n}} \sum_{m=0}^{\infty} \frac{(x s)^{m}}{(q ; q)_{m}}\right\} \\
& =R_{x}\left(y D_{q}\right)\left\{\frac{1}{(x s, x t ; q)_{\infty}}\right\}  \tag{1.4}\\
& =\frac{(y t ; q)_{\infty}}{(x s, x t ; q)_{\infty}}{ }_{1} \phi_{1}\left(\begin{array}{c}
x t \\
y t
\end{array} ; q, y s\right) .
\end{align*}
$$

(by using (2.5))

## 4. Mehler's formula and Rogers formula for $h_{n}(x, y \mid q)$

The homogeneous Rogers-Szegö polynomials $h_{n}(x, y \mid q)$ can easily be represented by the augmentation operator as follows:

$$
\begin{equation*}
R_{x}\left(y D_{q}\right)\left\{h_{n}(x \mid q)\right\}=h_{n}(x, y \mid q) \tag{4.1}
\end{equation*}
$$

Using the operator definition (4.1) of the homogeneous Rogers-Szegö polynomials $h_{n}(x, y \mid q)$, it is easy to give a simple derivation of the Mehler's formula and Rogers formula for $h_{n}(x, y \mid q)$.
Theorem 4.1. (Mehler's formula for $\left.h_{n}(x, y \mid q)\right)$. We have

$$
\sum_{n=0}^{\infty} h_{n}(x, y \mid q) h_{n}(u, v \mid q) \frac{t^{n}}{(q ; q)_{n}}=\frac{(y t, x v t ; q)_{\infty}}{(x t, t, x u t ; q)_{\infty}}{ }_{3} \phi_{2}\left(\begin{array}{c}
y, x t, v / u \\
y t, x v t
\end{array} ; q, u t\right)
$$

where $\max \{|x t|,|t|,|u t|,|x u t|\}<1$.
Proof. From (4.1), we get

$$
\begin{align*}
& \sum_{n=0}^{\infty} h_{n}(x, y \mid q) h_{n}(u, v \mid q) \frac{t^{n}}{(q ; q)_{n}} \\
& \quad=R_{x}\left(y D_{q}\right) R_{u}\left(v D_{q}\right)\left\{\sum_{n=0}^{\infty} h_{n}(x \mid q) h_{n}(u \mid q) \frac{t^{n}}{(q ; q)_{n}}\right\} \\
& \quad=R_{x}\left(y D_{q}\right)\left\{\frac{1}{(x t, t ; q)_{\infty}} R_{u}\left(v D_{q}\right)\left\{\frac{\left(u x t^{2} ; q\right)_{\infty}}{(u t, u x t ; q)_{\infty}}\right\}\right\}  \tag{1.13}\\
& \quad=R_{x}\left(y D_{q}\right)\left\{\frac{(v x t ; q)_{\infty}}{(x t, t, u x t ; q)_{\infty}}{ }_{2} \phi_{1}\binom{x t, v / u}{v x t ; q, u t}\right\} \tag{2.4}
\end{align*}
$$

By Heine's transformation ${ }_{2} \phi_{1}$ series [9, Appendix III, equation (III.2)], we get

$$
{ }_{2} \phi_{1}\left(\begin{array}{c}
x t, v / u \\
v x t
\end{array} ; q, u t\right)=\frac{(x u t, v t ; q)_{\infty}}{(v x t, u t ; q)_{\infty}}{ }_{2} \phi_{1}\left(\begin{array}{c}
t, v / u \\
v t
\end{array} ; q, x u t\right) .
$$

Hence

$$
\begin{align*}
& \sum_{n=0}^{\infty} h_{n}(x, y \mid q) h_{n}(u, v \mid q) \frac{t^{n}}{(q ; q)_{n}} \\
& \quad=\frac{(v t ; q)_{\infty}}{(t, u t ; q)_{\infty}} \sum_{n=0}^{\infty} \frac{(t, v / u ; q)_{n}(u t)^{n}}{(q, v t ; q)_{n}} R_{x}\left(y D_{q}\right)\left\{\frac{x^{n}}{(x t ; q)_{\infty}}\right\} \\
& \quad=\frac{(v t, y t ; q)_{\infty}}{(x t, t, u t ; q)_{\infty}} \sum_{n=0}^{\infty} \frac{(t, v / u ; q)_{n}(u t)^{n}}{(q, v t ; q)_{n}} \frac{P_{n}(x, y)}{(y t ; q)_{n}}  \tag{2.6}\\
& \quad=\frac{(v t, y t ; q)_{\infty}}{(x t, t, u t ; q)_{\infty}}{ }_{3} \phi_{2}\left(\begin{array}{c}
t, v / u, y / x \\
v t, y t
\end{array} ; q, x u t\right) .
\end{align*}
$$

(by using (1.1) and (1.2))
By transformation ${ }_{3} \phi_{2}$ series [9, Appendix III, equation (III.9)], we get the required result.

Theorem 4.2. (Rogers formula for $\left.h_{n}(x, y \mid q)\right)$. We have

$$
\sum_{n=0}^{\infty} \sum_{m=0}^{\infty} h_{n+m}(x, y \mid q) \frac{t^{n}}{(q ; q)_{n}} \frac{s^{m}}{(q ; q)_{m}}=\frac{(y s ; q)_{\infty}}{(x s, s, x t ; q)_{\infty}}{ }_{2} \phi_{1}\left(\begin{array}{c}
y, x s \\
y s
\end{array} ; q, t\right)
$$

where $\max \{|x s|,|s|,|x t|,|t|\}<1$.
Proof. From (4.1), we get

$$
\begin{align*}
& \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} h_{n+m}(x, y \mid q) \frac{t^{n}}{(q ; q)_{n}} \frac{s^{m}}{(q ; q)_{m}} \\
& =R_{x}\left(y D_{q}\right)\left\{\sum_{n=0}^{\infty} \sum_{m=0}^{\infty} h_{n+m}(x \mid q) \frac{t^{n}}{(q ; q)_{n}} \frac{s^{m}}{(q ; q)_{m}}\right\} \\
& =\frac{1}{(s, t ; q)_{\infty}} R_{x}\left(y D_{q}\right)\left\{\frac{(x s t ; q)_{\infty}}{(x s, x t ; q)_{\infty}}\right\}  \tag{1.14}\\
& =\frac{1}{(s, t ; q)_{\infty}} \frac{(y s ; q)_{\infty}}{(x s ; q)_{\infty}}{ }_{2} \phi_{1}\left(\begin{array}{c}
s, y / x \\
y s
\end{array} ; q, x t\right)
\end{align*}
$$

(by using (2.4))

By Heine's transformation ${ }_{2} \phi_{1}$ series [9, Appendix III, equation (III.3)], we get desired result.

Our derivation for Mehler's formula and Rogers formula for $h_{n}(x, y \mid q)$ seems shorter and simpler than the one given in [8], because we only use the $q$ exponential operator $R\left(b D_{q}\right)$, while their proofs are based on parameter augmentation with respect to the $q$-exponential operator $T\left(D_{q}\right)$ and the homogeneous $q$-shift operator $E\left(D_{x y}\right)$.

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