

A survey on Riordan arrays

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Outline

- 1 Some history
- 2 Main properties of Riordan arrays
- 3 Riordan arrays and binary words avoiding a pattern
- 4 Riordan arrays, combinatorial sums and recursive matrices

A previous seminar

- I'm very sorry to have not met P. Flajolet in the recent years.

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- I remember with pleasure my seminar at INRIA on October 10, 1994: *Riordan arrays and their applications*

References -1-

- 1 D. G. Rogers. Pascal triangles, Catalan numbers and renewal arrays. *Discrete Mathematics*, 22: 301–310, 1978.

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- ④ D. Merlini, D. G. Rogers, R. Sprugnoli, and M. C. Verri. On some alternative characterizations of Riordan arrays. *Canadian Journal of Mathematics*, 49(2): 301–320, 1997.

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- 1 T. X. He and R. Sprugnoli. Sequence characterization of Riordan arrays. *Discrete Mathematics*, 309: 3962–3974, 2009.

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The bibliography on the subject is vast and still growing.

Definition in terms of $d(t)$ and $h(t)$

- A *Riordan array* is a pair

$$D = \mathcal{R}(d(t), h(t))$$

in which $d(t)$ and $h(t)$ are formal power series such that $d(0) \neq 0$ and $h(0) = 0$; if $h'(0) \neq 0$ the Riordan array is called *proper*.

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- The pair defines an infinite, lower triangular array $(d_{n,k})_{n,k \in \mathbb{N}}$ where:

$$d_{n,k} = [t^n]d(t)(h(t))^k$$

An example: the Pascal triangle

$$P = \mathcal{R}\left(\frac{1}{1-t}, \frac{t}{1-t}\right)$$

$$d_{n,k} = [t^n] \frac{1}{1-t} \cdot \frac{t^k}{(1-t)^k} = [t^{n-k}](1-t)^{-k-1} = \binom{n}{k}$$

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|---|---|----|----|---|---|
| 0 | 1 | | | | | |
| 1 | 1 | 1 | | | | |
| 2 | 1 | 2 | 1 | | | |
| 3 | 1 | 3 | 3 | 1 | | |
| 4 | 1 | 4 | 6 | 4 | 1 | |
| 5 | 1 | 5 | 10 | 10 | 5 | 1 |

An example: the Catalan triangle

$$C = \mathcal{R} \left(\frac{1 - \sqrt{1 - 4t}}{2t}, \frac{1 - \sqrt{1 - 4t}}{2} \right)$$

$$d_{n,k} = [t^n] d(t) (h(t))^k = [t^{n+1}] \left(\frac{1 - \sqrt{1 - 4t}}{2} \right)^{k+1} = \frac{k+1}{n+1} \binom{2n-k}{n-k}$$

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|----|----|----|----|---|---|
| 0 | 1 | | | | | |
| 1 | 1 | 1 | | | | |
| 2 | 2 | 2 | 1 | | | |
| 3 | 5 | 5 | 3 | 1 | | |
| 4 | 14 | 14 | 9 | 4 | 1 | |
| 5 | 42 | 42 | 28 | 14 | 5 | 1 |

The Group structure

$$\text{Product: } \mathcal{R}(d(t), h(t)) * \mathcal{R}(a(t), b(t)) = \mathcal{R}(d(t)a(h(t)), b(h(t)))$$

$$\text{Identity: } \mathcal{R}(1, t)$$

$$\text{Inverse: } \mathcal{R}(d(t), h(t))^{-1} = \mathcal{R}\left(\frac{1}{d(\bar{h}(t))}, \bar{h}(t)\right)$$

$$h(\bar{h}(t)) = \bar{h}(h(t)) = t$$

Pascal triangle: product and inverse

$$P = \mathcal{R}\left(\frac{1}{1-t}, \frac{t}{1-t}\right)$$

$$\begin{aligned} P * P &= \mathcal{R}\left(\frac{1}{1-t}, \frac{t}{1-t}\right) * \mathcal{R}\left(\frac{1}{1-t}, \frac{t}{1-t}\right) = \\ &= \mathcal{R}\left(\frac{1}{1-t} \frac{1-t}{1-2t}, \frac{t}{1-t} \frac{1-t}{1-2t}\right) = \mathcal{R}\left(\frac{1}{1-2t}, \frac{t}{1-2t}\right). \end{aligned}$$

$$P^{-1} = \mathcal{R}\left(\frac{1}{1+t}, \frac{t}{1+t}\right)$$

Subgroups

APPELL

$$\mathcal{R}(d(t), t) * \mathcal{R}(a(t), t) = \mathcal{R}(d(t)a(t), t)$$

$$\mathcal{R}(d(t), t)^{-1} = \mathcal{R}\left(\frac{1}{d(t)}, t\right)$$

LAGRANGE

$$\mathcal{R}(1, h(t)) * \mathcal{R}(1, b(t)) = \mathcal{R}(1, h(b(t)))$$

$$\mathcal{R}(1, h(t))^{-1} = \mathcal{R}(1, \bar{h}(t))$$

RENEWAL

$$d(t) = h(t)/t$$

HITTING – TIME

$$d(t) = \frac{th'(t)}{h(t)}$$

Inversion of Riordan arrays

$$\mathcal{R}(d(t), h(t))^{-1} = \mathcal{R}\left(\frac{1}{d(\bar{h}(t))}, \bar{h}(t)\right)$$

Every Riordan array is the product of an Appell and a Lagrange Riordan array

$$\mathcal{R}(d(t), h(t)) = \mathcal{R}(d(t), t) * \mathcal{R}(1, h(t))$$

From this fact we obtain the formula for the inverse Riordan array

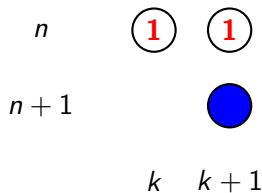
Pascal triangle: construction by columns

$d(t)h(t)^k$ is the g.f. of column k

$$\frac{1}{1-t}, \quad \frac{t}{(1-t)^2}, \quad \frac{t^2}{(1-t)^3}, \dots$$

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|---|---|----|----|---|---|
| 0 | 1 | | | | | |
| 1 | 1 | 1 | | | | |
| 2 | 1 | 2 | 1 | | | |
| 3 | 1 | 3 | 3 | 1 | | |
| 4 | 1 | 4 | 6 | 4 | 1 | |
| 5 | 1 | 5 | 10 | 10 | 5 | 1 |

Pascal triangle: construction by rows



$$\binom{n+1}{k+1} = \binom{n}{k} + \binom{n}{k+1}$$

The A and Z sequences

An alternative definition, is in terms of the so-called A -sequence and Z -sequence, with generating functions $A(t)$ and $Z(t)$ satisfying the relations:

$$h(t) = tA(h(t)), \quad d(t) = \frac{d_0}{1 - tZ(h(t))} \quad \text{with} \quad d_0 = d(0).$$

$$d_{n+1,k+1} = a_0 d_{n,k} + a_1 d_{n,k+1} + a_2 d_{n,k+2} + \cdots$$

$$d_{n+1,0} = z_0 d_{n,0} + z_1 d_{n,1} + z_2 d_{n,2} + \cdots$$

Pascal triangle: A -sequence $1, 1, 0, 0, \dots \implies A(t) = 1 + t$

The A-sequence for the Catalan triangle

| n/k | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|-------|-----|-----|-----|-----|----|----|---|---|
| 0 | 1 | | | | | | | |
| 1 | 1 | 1 | | | | | | |
| 2 | 2 | 2 | 1 | | | | | |
| 3 | 5 | 5 | 3 | 1 | | | | |
| 4 | 14 | 14 | 9 | 4 | 1 | | | |
| 5 | 42 | 42 | 28 | 14 | 5 | 1 | | |
| 6 | 132 | 132 | 90 | 48 | 20 | 6 | 1 | |
| 7 | 429 | 429 | 297 | 165 | 75 | 27 | 7 | 1 |

A-sequence $1, 1, 1, 1, \dots \implies A(t) = \frac{1}{1-t}$

Rogers' Theorem - 1978

The A -sequence is unique and only depends on $h(t)$

$$h(t) = tA(h(t))$$

Pascal $h(t) = t(1 + h(t))$

$$h_P(t) = \frac{t}{1-t}$$

Catalan $h(t) = t \frac{1}{1-h(t)}$

$$h_C(t) = \frac{1 - \sqrt{1-4t}}{2}.$$

The B -sequence: $B(t) = A(t)^{-1}$

$d_{n,k}$ linearly depends on the elements of row $n + 1$

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|---|---|----|----|---|---|
| 0 | 1 | | | | | |
| 1 | 1 | 1 | | | | |
| 2 | 1 | 2 | 1 | | | |
| 3 | 1 | 3 | 3 | 1 | | |
| 4 | 1 | 4 | 6 | 4 | 1 | |
| 5 | 1 | 5 | 10 | 10 | 5 | 1 |

$$\sum_{j=0}^n (-1)^j \binom{n+1}{k+j+1} = \binom{n}{k}$$

A-approach to R.a.'s

$$\text{Product} \quad A_3(t) = A_2(t)A_1\left(\frac{t}{A_2(t)}\right)$$

$$\text{Inverse} \quad A^*(t) = \left[\frac{1}{A(y)} \mid y = tA(y) \right]$$

$$A_{P*C}(t) = \frac{1}{1-t} \left[1+y \mid y = t(1-t) \right] = \frac{1+t-t^2}{1-t}$$

$$A_{C*P}(t) = (1+t) \left[\frac{1}{1-y} \mid y = \frac{t}{1+t} \right] = (1+t)^2$$

$$A_{P^{-1}}(t) = \left[\frac{1}{1+y} \mid y = t(1+y) \right] = 1-t$$

Pascal triangle: the A-matrix (not unique)

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|---|---|----|----|---|---|
| 0 | 1 | | | | | |
| 1 | 1 | 1 | | | | |
| 2 | 1 | 2 | 1 | | | |
| 3 | 1 | 3 | 3 | 1 | | |
| 4 | 1 | 4 | 6 | 4 | 1 | |
| 5 | 1 | 5 | 10 | 10 | 5 | 1 |

$$P^{[0]}(t) = 1 \quad P^{[1]}(t) = 1 + t$$

$$A(t) = \frac{P^{[0]}(t) + \sqrt{P^{[0]}(t)^2 + 4tP^{[1]}(t)}}{2}$$

$$A(t) = \frac{1 + \sqrt{1 + 4t + 4t^2}}{2} = 1 + t$$

$n-1$ ① ①

n ①

$n+1$ ●

$k \ k+1$

The A -matrix in general

$$d_{n+1,k+1} = \sum_{i \geq 0} \sum_{j \geq 0} \alpha_{i,j} d_{n-i,k+j} + \sum_{j \geq 0} \rho_j d_{n+1,k+j+2}.$$

Matrix $(\alpha_{i,j})_{i,j \in \mathbb{N}}$ is called the A -matrix of the Riordan array. If, for $i \geq 0$:

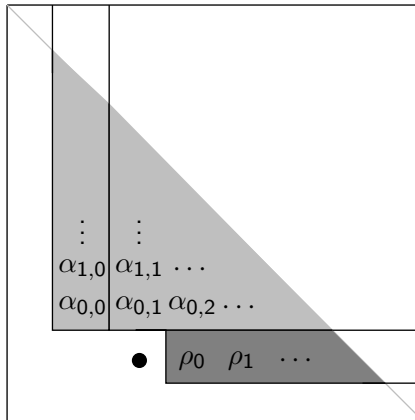
$$P^{[i]}(t) = \alpha_{i,0} + \alpha_{i,1}t + \alpha_{i,2}t^2 + \alpha_{i,3}t^3 + \dots$$

and $Q(t)$ is the generating function for the sequence $(\rho_j)_{j \in \mathbb{N}}$, then we have:

$$\frac{h(t)}{t} = \sum_{i \geq 0} t^i P^{[i]}(h(t)) + \frac{h(t)^2}{t} Q(h(t)).$$

$$A(t) = \sum_{i \geq 0} t^i A(t)^{-i} P^{[i]}(t) + tA(t)Q(t).$$

A graphical representation of the A -matrix



Binary words avoiding a pattern

- We consider the language of binary words with no occurrence of a pattern $\mathfrak{p} = p_0 \cdots p_{h-1}$.

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 - 2 R. Sedgewick and P. Flajolet. *An Introduction to the Analysis of Algorithms*. Addison-Wesley, Reading, MA, 1996.
- The fundamental notion is that of the *autocorrelation vector* of bits $c = (c_0, \dots, c_{h-1})$ associated to a given p .

The pattern $p = 00011$

0 0 0 1 1 | Tails

The pattern $p = 00011$

| | | | | | | |
|---|---|---|---|---|-------|--|
| 0 | 0 | 0 | 1 | 1 | Tails | |
| 0 | 0 | 0 | 1 | 1 | 1 | |

The pattern $p = 00011$

| | | | | | | |
|---|---|---|---|---|---|-------|
| 0 | 0 | 0 | 1 | 1 | | Tails |
| 0 | 0 | 0 | 1 | 1 | | 1 |
| | 0 | 0 | 0 | 1 | 1 | 0 |

The pattern $p = 00011$

| 0 | 0 | 0 | 1 | 1 | Tails | | |
|---|---|---|---|---|-------|---|---|
| 0 | 0 | 0 | 1 | 1 | | 1 | |
| | 0 | 0 | 0 | 1 | 1 | 0 | |
| | | 0 | 0 | 0 | 1 | 1 | 0 |

The pattern $p = 00011$

| 0 | 0 | 0 | 1 | 1 | Tails | | |
|---|---|---|---|---|-------|---|---|
| 0 | 0 | 0 | 1 | 1 | | | 1 |
| | 0 | 0 | 0 | 1 | 1 | | 0 |
| | | 0 | 0 | 0 | 1 | 1 | 0 |
| | | | 0 | 0 | 0 | 1 | 1 |

The pattern $p = 00011$

| 0 | 0 | 0 | 1 | 1 | Tails | | | | |
|---|---|---|---|---|-------|---|---|---|---|
| 0 | 0 | 0 | 1 | 1 | | | | | 1 |
| | 0 | 0 | 0 | 1 | 1 | | | | 0 |
| | | 0 | 0 | 0 | 1 | 1 | | | 0 |
| | | | 0 | 0 | 0 | 1 | 1 | | 0 |
| | | | | 0 | 0 | 0 | 1 | 1 | 0 |
| | | | | | 0 | 0 | 0 | 1 | 0 |

The autocorrelation vector is then $c = (1, 0, 0, 0, 0)$

The bivariate generating function

Let $F_{n,k}^{[p]}$ denotes the number of words excluding the pattern and having n bits 1 and k bits 0, then we have

$$F^{[p]}(x, y) = \sum_{n,k \geq 0} F_{n,k}^{[p]} x^n y^k = \frac{C^{[p]}(x, y)}{(1 - x - y)C^{[p]}(x, y) + x^{n_1^{[p]}} y^{n_0^{[p]}}},$$

where $n_1^{[p]}$ and $n_0^{[p]}$ correspond to the number of ones and zeroes in the pattern and $C^{[p]}(x, y)$ is the bivariate autocorrelation polynomial.

An example with $p = 110011$

We have $C^{[p]}(x, y) = 1 + x^2y^2 + x^3y^2$, and:

$$F^{[p]}(x, y) = \frac{1 + x^2y^2 + x^3y^2}{(1 - x - y)(1 + x^2y^2 + x^3y^2) + x^4y^2}.$$

| n/k | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|-------|----------|----------|----------|-----------|-----------|------------|------------|-------------|
| 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 2 | 1 | 3 | 6 | 10 | 15 | 21 | 28 | 36 |
| 3 | 1 | 4 | 10 | 20 | 35 | 56 | 84 | 120 |
| 4 | 1 | 5 | 14 | 33 | 67 | 122 | 205 | 324 |
| 5 | 1 | 6 | 19 | 50 | 114 | 232 | 432 | 750 |
| 6 | 1 | 7 | 25 | 72 | 181 | 404 | 822 | 1552 |
| 7 | 1 | 8 | 32 | 100 | 273 | 660 | 1451 | 2952 |

...the lower and upper triangular parts

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|------------|----------|----------|----------|----------|----------|
| 0 | 1 | | | | | |
| 1 | 2 | 1 | | | | |
| 2 | 6 | 3 | 1 | | | |
| 3 | 20 | 10 | 4 | 1 | | |
| 4 | 67 | 33 | 14 | 5 | 1 | |
| 5 | 232 | 114 | 50 | 19 | 6 | 1 |

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|------------|----------|----------|----------|----------|----------|
| 0 | 1 | | | | | |
| 1 | 2 | 1 | | | | |
| 2 | 6 | 3 | 1 | | | |
| 3 | 20 | 10 | 4 | 1 | | |
| 4 | 67 | 35 | 15 | 5 | 1 | |
| 5 | 232 | 122 | 56 | 21 | 6 | 1 |

Matrices $\mathcal{R}^{[p]}$ and $\mathcal{R}^{[\bar{p}]}$

- Let $R_{n,k}^{[p]} = F_{n,n-k}^{[p]}$ with $k \leq n$. More precisely, $R_{n,k}^{[p]}$ counts the number of words avoiding p with n bits one and $n - k$ bits zero.

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- Let $\bar{p} = \bar{p}_0 \dots \bar{p}_{h-1}$ be the conjugate pattern.

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- Let $\bar{p} = \bar{p}_0 \dots \bar{p}_{h-1}$ be the conjugate pattern.
- We obviously have $R_{n,k}^{[\bar{p}]} = F_{n,n-k}^{[\bar{p}]} = F_{n-k,n}^{[p]}$, therefore, the matrices $\mathcal{R}^{[p]}$ and $\mathcal{R}^{[\bar{p}]}$ represent the lower and upper triangular part of the array $\mathcal{F}^{[p]}$, respectively.

Riordan patterns

- When matrices $\mathcal{R}^{[p]}$ and $\mathcal{R}^{[\bar{p}]}$ are both Riordan arrays?

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Riordan patterns

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- D. Merlini and R. Sprugnoli. Algebraic aspects of some Riordan arrays related to binary words avoiding a pattern. *Theoretical Computer Science*, 412 (27), 2988-3001, 2011.
- We say that $p = p_0 \dots p_{h-1}$ is a Riordan pattern if and only if

$$C^{[p]}(x, y) = C^{[p]}(y, x) = \sum_{i=0}^{\lfloor (h-1)/2 \rfloor} c_{2i} x^i y^i, \quad |n_1^{[p]} - n_0^{[p]}| \in \{0, 1\}.$$

Main Theorem -1-

The matrices $\mathcal{R}^{[p]}$ and $\mathcal{R}^{[\bar{p}]}$ are both Riordan arrays
 $\mathcal{R}^{[p]} = (d^{[p]}(t), h^{[p]}(t))$ and $\mathcal{R}^{[\bar{p}]} = (d^{[\bar{p}]}(t), h^{[\bar{p}]}(t))$ if and only if p is a Riordan pattern. Moreover we have:

$$d^{[p]}(t) = d^{[\bar{p}]}(t) = [x^0] F\left(x, \frac{t}{x}\right) = \frac{1}{2\pi i} \oint F\left(x, \frac{t}{x}\right) \frac{dx}{x}$$

and

$$h^{[p]}(t) = \frac{1 - \sum_{i=0}^{n_1^p-1} \alpha_{i,1} t^{i+1} - \sqrt{(1 - \sum_{i=0}^{n_1^p-1} \alpha_{i,1} t^{i+1})^2 - 4 \sum_{i=0}^{n_1^p-1} \alpha_{i,0} t^{i+1} (\sum_{i=0}^{n_1^p-1} \alpha_{i,2} t^{i+1} + 1)}}{2(\sum_{i=0}^{n_1^p-1} \alpha_{i,2} t^{i+1} + 1)}$$

Main Theorem -2-

... where $\delta_{i,j}$ is the Kronecker delta,

$$\sum_{i=0}^{n_1^p-1} \alpha_{i,0} t^i = \sum_{i=0}^{n_1^p-1} c_{2i} t^i - \delta_{-1, n_0^p - n_1^p} t^{n_1^p-1},$$

$$\sum_{i=0}^{n_1^p-1} \alpha_{i,1} t^i = - \sum_{i=0}^{n_1^p-1} c_{2(i+1)} t^i - \delta_{0, n_0^p - n_1^p} t^{n_1^p-1},$$

$$\sum_{i=0}^{n_1^p-1} \alpha_{i,2} t^i = \sum_{i=0}^{n_1^p-1} c_{2(i+1)} t^i - \delta_{1, n_0^p - n_1^p} t^{n_1^p-1},$$

and the coefficients c_i are given by the autocorrelation vector of \mathbf{p} .
An analogous formula holds for $h^{[\mathbf{p}]}(t)$.

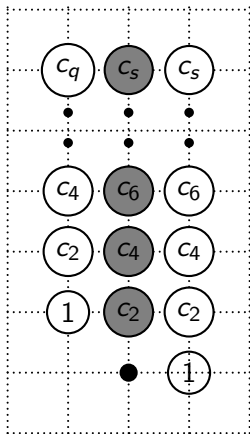
A Corollary

Let p be a Riordan pattern. Then the Riordan array $\mathcal{R}^{[p]}$ is characterized by the A -matrix defined by the following relation:

$$R_{n+1,k+1}^{[p]} = R_{n,k}^{[p]} + R_{n+1,k+2}^{[p]} - R_{n+1-n_1^p,k+1+n_0^p-n_1^p}^{[p]} + \\ - \sum_{i \geq 1} c_{2i} \left(R_{n+1-i,k+1}^{[p]} - R_{n-i,k}^{[p]} - R_{n+1-i,k+2}^{[p]} \right),$$

where the c_i are given by the autocorrelation vector of p .

The A -matrix corresponding to a Riordan pattern



The coefficients in the gray circles are negative, $s = 2n_1^p$, $q = 2(n_1^p - 1)$. Moreover, we have to consider the contribution of

$$-R_{n+1-n_1^p, k+1+n_0^p-n_1^p}^{[p]}.$$

The case $n_1^{[p]} - n_0^{[p]} = 1$

By specializing the main result to the cases $|n_1^p - n_0^p| \in \{0, 1\}$ and by setting $C^{[p]}(t) = C^{[p]}(\sqrt{t}, \sqrt{t}) = \sum_{i \geq 0} c_{2i} t^i$, we have the following explicit generating functions:

$$d^{[p]}(t) = \frac{C^{[p]}(t)}{\sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_0^p})}},$$

$$h^{[p]}(t) = \frac{C^{[p]}(t) - \sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_0^p})}}{2C^{[p]}(t)}.$$

The case $n_1^{[p]} - n_0^{[p]} = 0$

$$d^{[p]}(t) = \frac{C^{[p]}(t)}{\sqrt{(C^{[p]}(t) + t^{n_0^p})^2 - 4tC^{[p]}(t)^2}},$$

$$h^{[p]}(t) = \frac{C^{[p]}(t) + t^{n_0^p} - \sqrt{(C^{[p]}(t) + t^{n_0^p})^2 - 4tC^{[p]}(t)^2}}{2C^{[p]}(t)}.$$

The case $n_0^{[p]} - n_1^{[p]} = 1$

$$d^{[p]}(t) = \frac{C^{[p]}(t)}{\sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_1^p})}},$$

$$h^{[p]}(t) = \frac{C^{[p]}(t) - \sqrt{C^{[p]}(t)^2 - 4tC^{[p]}(t)(C^{[p]}(t) - t^{n_1^p})}}{2(C^{[p]}(t) - t^{n_1^p})}.$$

An example with $p = 00011$

| n/k | 0 | 1 | 2 | 3 | 4 | 5 |
|-------|-----|-----|----|----|---|---|
| 0 | 1 | | | | | |
| 1 | 2 | 1 | | | | |
| 2 | 6 | 3 | 1 | | | |
| 3 | 18 | 10 | 4 | 1 | | |
| 4 | 58 | 32 | 15 | 5 | 1 | |
| 5 | 192 | 106 | 52 | 21 | 6 | 1 |

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+4t^3}}$$

$$h^{[p]}(t) = \frac{1 - \sqrt{1-4t+4t^3}}{2(1-t^2)}$$

$$R_{n+1,k+1}^{[p]} = R_{n,k}^{[p]} + R_{n+1,k+2}^{[p]} - R_{n-1,k+2}^{[p]}.$$

The A -sequence for $p = 00011$

- For $p = 00011$, we find after setting $R(t) = \sqrt{1 + 4t^4 - 4t^3}$:

$$A(t) = \frac{(2t^3 - t^2 - t - 1 - (t^2 + t + 1)R(t)) (2t^3 - \sqrt{2}\sqrt{2t^6 + 8t^4 - 12t^3 + 4} - (4 - 4t^3)R(t))}{8t^4(t-1)(t+1)}$$

$$= 1 + t + t^2 + t^4 + t^5 + 2t^7 + t^8 - t^9 + 5t^{10} - t^{11} - 4t^{12} + 16t^{13} - 14t^{14} - 8t^{15} + 57t^{16} - 83t^{17} + 15t^{18} + 197t^{19} + O(t^{20}).$$

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- In general, the Riordan arrays for binary words avoiding p are characterized by a complex A -sequence, while the A -matrix is quite simple. However, the presence of negative coefficients leads to non trivial combinatorial interpretations.

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- S. Bilotta, D. Merlini, E. Pergola, R. Pinzani. Pattern $1^{j+1}0^j$ avoiding binary words. To appear in *Fundamenta Informaticae*.

Formulas relative to whole classes of patterns

• $p = 1^{j+1}0^j$

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+4t^{j+1}}}, \quad h^{[p]}(t) = \frac{1 - \sqrt{1-4t+4t^{j+1}}}{2}$$

• $p = 0^{j+1}1^j$

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+4t^{j+1}}}, \quad h^{[p]}(t) = \frac{1 - \sqrt{1-4t+4t^{j+1}}}{2(1-t^j)}$$

• $p = 1^j 0^j$ and $p = 0^j 1^j$

$$d^{[p]}(t) = \frac{1}{\sqrt{1-4t+2t^j+t^{2j}}}, \quad h^{[p]}(t) = \frac{1+t^j - \sqrt{1-4t+2t^j+t^{2j}}}{2}$$

• $p = (10)^j 1$

$$d^{[p]}(t) = \frac{\sum_{i=0}^j t^i}{\sqrt{1-2\sum_{i=1}^j t^i - 3\left(\sum_{i=1}^j t^i\right)^2}}, \quad h^{[p]}(t) = \frac{\sum_{i=0}^j t^i - \sqrt{1-2\sum_{i=1}^j t^i - 3\left(\sum_{i=1}^j t^i\right)^2}}{2\sum_{i=0}^j t^i}$$

Riordan array summation

$$\sum_{k=0}^n d_{n,k} f_k = [t^n] d(t) f(h(t))$$

Partial sum theorem:

$$\sum_{k=0}^n f_k = [t^n] \frac{f(t)}{1-t}$$

Euler transformation:

$$\sum_{k=0}^n \binom{n}{k} f_k = [t^n] \frac{1}{1-t} f\left(\frac{t}{1-t}\right).$$

A simple example: Harmonic numbers

$$\mathcal{G}\left(\frac{1}{n}\right) = \ln \frac{1}{1-t}$$

$$\mathcal{G}\left(\sum_{k=1}^n \frac{1}{k}\right) = \mathcal{G}(H_n) = \frac{1}{1-t} \ln \frac{1}{1-t}$$

$$\begin{aligned} & \sum_{k=1}^n \binom{n}{k} \frac{(-1)^{k-1}}{k} = \\ &= [t^n] \frac{1}{1-t} \left[\ln \frac{1}{1+w} \mid w = \frac{t}{1-t} \right] = \\ &= [t^n] \frac{1}{1-t} \ln \frac{1}{1-t} = H_n. \end{aligned}$$

General rules for binomial coefficients

$$\sum_k \binom{n+ak}{m+bk} f_k = [t^n] \frac{t^m}{(1-t)^{m+1}} f\left(\frac{t^{b-a}}{(1-t)^b}\right) \quad b > a$$

$$\sum_k \binom{n+ak}{m+bk} f_k = [t^m] (1+t)^n f(t^{-b}(1+t)^a) \quad b < 0$$

$$\begin{aligned} \sum_k \binom{n+k}{m+2k} \binom{2k}{k} \frac{(-1)^k}{k+1} &= [t^n] \frac{t^m}{(1-t)^{m+1}} \left[\frac{\sqrt{1+4y}-1}{2y} \mid y = \frac{t}{(1-t)^2} \right] = \\ &= [t^{n-m}] \frac{1}{(1-t)^{m+1}} \left(\sqrt{1 + \frac{4t}{(1-t)^2}} - 1 \right) \frac{(1-t)^2}{2t} = [t^{n-m}] \frac{1}{(1-t)^m} = \binom{n-1}{m-1}. \end{aligned}$$

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- R. Sprugnoli. Riordan Array Proofs of Identities in Gould's Book.

Recursive matrices

- A. Luzon, D. Merlini, M. A. Moron and R. Sprugnoli.
Identities induced by Riordan arrays. *Linear Algebra and its Applications*, 436 (3), 631-647, 2012.

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$$d_{n,k} = [t^n]d(t)h(t)^k \quad n, k \in \mathbb{Z}$$

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$$D = \mathcal{X}(d(t), h(t))$$

$$d_{n,k} = [t^n]d(t)h(t)^k \quad n, k \in \mathbb{Z}$$

- The introduction of recursive matrices simply extends the properties of Riordan arrays.

The Pascal recursive matrix

| $n \backslash k$ | -6 | -5 | -4 | -3 | -2 | -1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|------------------|-----|----|----|----|----|----|---|---|----|----|----|---|---|
| -6 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -5 | -5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -4 | 10 | -4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -3 | -10 | 6 | -3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -2 | 5 | -4 | 3 | -2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -1 | -1 | 1 | -1 | 1 | -1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | 0 | 0 | 0 |
| 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 3 | 1 | 0 | 0 | 0 |
| 4 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 4 | 6 | 4 | 1 | 0 | 0 |
| 5 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 5 | 10 | 10 | 5 | 1 | 0 |
| 6 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 6 | 15 | 20 | 15 | 6 | 1 |

The Catalan recursive matrix

| | -4 | -3 | -2 | -1 | 0 | 1 | 2 | 3 | 4 | 5 |
|----|-------|-------|------|----|-----|-----|----|----|----|---|
| -6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -3 | -3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -2 | 0 | -2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -1 | -1 | -1 | -1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | -3 | -2 | -1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| 1 | -9 | -5 | -2 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| 2 | -28 | -14 | -5 | 0 | 2 | 2 | 1 | 0 | 0 | 0 |
| 3 | -90 | -42 | -14 | 0 | 5 | 5 | 3 | 1 | 0 | 0 |
| 4 | -297 | -132 | -42 | 0 | 14 | 14 | 9 | 4 | 1 | 0 |
| 5 | -1001 | -429 | -132 | 0 | 42 | 42 | 28 | 14 | 5 | 1 |
| 6 | -3432 | -1430 | -429 | 0 | 132 | 132 | 90 | 48 | 20 | 6 |

Generalized Sums

Identities with three parameters $k, n, m \in \mathbb{Z}$

$$d_{n+m, k+m} = \sum_{j=0}^{n-k} a_j^{(m)} d_{n, k+j} = \sum_{j=0}^{n-k} h_{j+m}^{(m)} d_{n-j, k}$$

$$a_j^{(m)} = [t^j] A(t)^m$$

$$h_{j+m}^{(m)} = [t^{j+m}] h(t)^m = [t^j] (h(t)/t)^m$$

Generalized Sums for the Catalan triangle

$$\sum_{j=0}^{n-k} \binom{m+j-1}{j} \frac{k+j+1}{n+1} \binom{2n-j-k}{n-j-k} =$$

$$= \frac{k+m+1}{n+m+1} \binom{2n+m-k}{n-k}.$$

$$\sum_{j=0}^{n-k} \frac{m}{m+2j} \binom{m+2j}{j} \frac{k+1}{n-j+1} \binom{2n-2j-k}{n-j-k} =$$

$$= \frac{k+m+1}{n+m+1} \binom{2n+m-k}{n-k}$$

Specializing the parameters

$$n \mapsto n, m \mapsto n, k \mapsto 0$$

$$\sum_{j=0}^n \frac{j+1}{n+1} \binom{n+j-1}{j} \binom{2n-j}{n-j} = \frac{n+1}{2n+1} \binom{3n}{n}$$

$$\sum_{j=0}^n \frac{n}{n+2j} \binom{n+2j}{j} \frac{1}{n-j+1} \binom{2n-2j}{n-j} = \frac{n+1}{2n+1} \binom{3n}{n}$$

$$n \mapsto 2n, m \mapsto n, k \mapsto n$$

$$\sum_{j=0}^n \frac{n+j+1}{2n+1} \binom{n+j-1}{j} \binom{3n-j}{n-j} = \frac{2n+1}{3n+1} \binom{4n}{n}$$

$$\sum_{j=0}^n \frac{n}{n+2j} \binom{n+2j}{j} \frac{n+1}{2n-j+1} \binom{3n-2j}{n-j} = \frac{2n+1}{3n+1} \binom{4n}{n}$$

Work in progress: the complementary Riordan array

| | -4 | -3 | -2 | -1 | 0 | 1 | 2 | 3 | 4 | 5 |
|----|-------|------|------|----|----|----|----|----|---|---|
| -6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -3 | -3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -2 | 0 | -2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| -1 | -1 | -1 | -1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | -3 | -2 | -1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| 1 | -9 | -5 | -2 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| 2 | -28 | -14 | -5 | 0 | 2 | 2 | 1 | 0 | 0 | 0 |
| 3 | -90 | -42 | -14 | 0 | 5 | 5 | 3 | 1 | 0 | 0 |
| 4 | -297 | -132 | -42 | 0 | 14 | 14 | 9 | 4 | 1 | 0 |
| 5 | -1001 | -429 | -132 | 0 | 42 | 42 | 28 | 14 | 5 | 1 |

$$D^\perp = \mathcal{R}(d(\bar{h}(t))\bar{h}'(t), \bar{h}(t)) = \mathcal{R}\left(\frac{1-2t}{1-t}, t(1-t)\right)$$

End of the seminar

Thank you for your attention and for the invitation

Exercise: find the identities induced by Pascal triangle.

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- $$d_{n+m,k+m} = \sum_{j=0}^{n-k} a_j^{(m)} d_{n,k+j} = \sum_{j=0}^{n-k} h_{j+m}^{(m)} d_{n-j,k}$$

Exercise: find the identities induced by Pascal triangle.

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$$a_j^{(m)} = [t^j](1+t)^m = \binom{m}{j}$$

$$h_{m+j}^m = [t^{j+m}] \left(\frac{t}{1-t} \right)^m = \binom{m+j-1}{j}$$

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$$\binom{n+m}{k+m} = \sum_{j=0}^{n-k} \binom{m}{j} \binom{n}{k+j} = \sum_{j=0}^{n-k} \binom{m}{j} \binom{n}{n-k-j}$$

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Well! You have proved Vandermonde's identity

Exercise: find the identities induced by Pascal triangle.

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$$a_j^{(m)} = [t^j](1+t)^m = \binom{m}{j}$$

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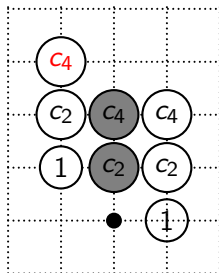
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Well! You have proved Vandermonde's identity

$$\binom{n+m}{k+m} = \sum_{j=0}^n \binom{m+j-1}{j} \binom{n-j}{k}.$$

Exercise: find $A^{[p]}(t)$ for $p = 10101$

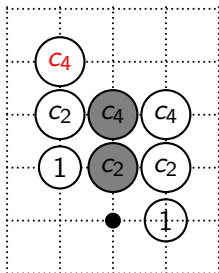
$$C^{[p]}(x, y) = 1 + xy + x^2y^2 \Rightarrow Q(t) = 1, \quad P^{[0]}(t) = P^{[1]}(t) = 1 - t + t^2$$



Moreover, we have to consider the contribution of $-R_{n+1-n_1^p, k+1+n_0^p-n_1^p}^{[p]} = -R_{n-2, k}^{[p]}$.

Exercise: find $A^{[p]}(t)$ for $p = 10101$

$$C^{[p]}(x, y) = 1 + xy + x^2y^2 \Rightarrow Q(t) = 1, \quad P^{[0]}(t) = P^{[1]}(t) = 1 - t + t^2$$



Moreover, we have to consider the contribution of $-R_{n+1-n_1^p, k+1+n_0^p-n_1^p}^{[p]} = -R_{n-2, k}^{[p]}$.

$$A(t) = \sum_{i \geq 0} t^i A(t)^{-i} P^{[i]}(t) + tA(t)Q(t) = 1 - t + t^2 + tA(t)^{-1}(1 - t + t^2) + tA(t)$$

$$A(t) = \frac{1 - t + t^2 - \sqrt{1 + 2t - 5t^2 + 6t^2 - 3t^4}}{2(1 - t)} = 1 + t + 3t^3 - 3t^4 + 12t^5 - 30t^6 + 93t^7 - 282t^8 + O(t^9)$$